

Kent Academic Repository

Yuvaraj, R., Murugappan, M., Ibrahim, Norlinah Mohamed, Sundaraj, Kenneth, Omar, Mohd Iqbal, Mohamad, Khairiyah and Palaniappan, Ramaswamy (2014) Detection of emotions in Parkinson's disease using higher order spectral features from brain's electrical activity. Biomedical Signal Processing and Control, 14 . pp. 108-116. ISSN 1746-8094.

Downloaded from <https://kar.kent.ac.uk/48275/> The University of Kent's Academic Repository KAR

The version of record is available from <https://doi.org/10.1016/j.bspc.2014.07.005>

This document version Pre-print

DOI for this version

Licence for this version CC BY-NC-ND (Attribution-NonCommercial-NoDerivatives)

Additional information

Versions of research works

Versions of Record

If this version is the version of record, it is the same as the published version available on the publisher's web site. Cite as the published version.

Author Accepted Manuscripts

If this document is identified as the Author Accepted Manuscript it is the version after peer review but before type setting, copy editing or publisher branding. Cite as Surname, Initial. (Year) 'Title of article'. To be published in Title of Journal , Volume and issue numbers [peer-reviewed accepted version]. Available at: DOI or URL (Accessed: date).

Enquiries

If you have questions about this document contact [ResearchSupport@kent.ac.uk.](mailto:ResearchSupport@kent.ac.uk) Please include the URL of the record in KAR. If you believe that your, or a third party's rights have been compromised through this document please see our [Take Down policy](https://www.kent.ac.uk/guides/kar-the-kent-academic-repository#policies) (available from [https://www.kent.ac.uk/guides/kar-the-kent-academic-repository#policies\)](https://www.kent.ac.uk/guides/kar-the-kent-academic-repository#policies).

Running head: Emotions in PD patients 1

2 **Detection of emotions in Parkinson's disease using higher order spectral** 3 **features from brain's electrical activity**

R. Yuvaraj^{a,*}, M. Murugappan^a, Norlinah Mohamed Ibrahim^b, Kenneth Sundaraj^a, Mohd Iqbal Omar^a, Khairiyah Mohamad^b, R. Palaniappan^c

^aSchool of Mechatronic Engineering, University Malaysia Perlis (UniMAP), Arau, Malaysia ^bNeurology Unit, Department of Medicine, UKM Medical Center, Kuala Lumpur, Malaysia ^cFaculty of Science and Engineering, University of Wolverhampton, Telford, UK

> 16 *Correspondence author at: School of Mechatronic Engineering, University Malaysia Perlis (UniMAP), 17 Campus UluPauh, Arau, 02600, Perlis, Malaysia. E-mail: yuva2257@gmail.com; Tel: +6-014-6011990; Fax: 04-988-5167

1 **Abstract**

Objective: Non-motor symptoms in Parkinson's disease (PD) involving cognition and emotion have been progressively receiving more attention in recent times. Electroencephalogram (EEG) signals, being an activity of central nervous system, can reflect the underlying true emotional 5 state of a person. This paper presents a computational framework for classifying PD patients 6 compared to healthy controls (HC) using emotional information from the brain's electrical 7 activity. *Approach:* Emotional EEG data were obtained from 20 PD patients and 20 healthy age-, gender- and education level-matched controls by inducing the six basic emotions of happiness, sadness, fear, anger, surprise and disgust using multimodal (audio and visual) stimuli. In addition, participants were asked to report their subjective affect. Because of the nonlinear and dynamic nature of EEG signals, we utilized higher order spectral features (specifically, bispectrum) for analysis. Two different classifiers namely K-Nearest Neighbor (KNN) and 13 Support Vector Machine (SVM) were used to investigate the performance of the HOS based features to classify each of the six emotional states of PD patients compared to HC. Ten-fold 15 cross-validation method was used for testing the reliability of the classifier results.

Main Results: From the experimental results with our EEG data set, we found that (a) classification performance of bispectrum features across ALL frequency bands is better than individual frequency bands in both the groups using SVM classifier; (b) higher frequency band plays a more important role in emotion activities than lower frequency band; and (c) PD patients showed emotional impairments compared to HC, as demonstrated by a lower classification performance, particularly for negative emotions (sadness, fear, anger and disgust). *Significance:* These results demonstrate the effectiveness of applying EEG features with machine learning techniques to classify the each emotional state difference of PD patients compared to HC, and

Emotions in PD patients 3

1 offer a promising approach for detection of emotional impairments associated with other neurological disorders.

3 *Keywords:* electroencephalogram; emotion recognition; Parkinson's disease; bispectrum; support vector machine

1 *1. Background*

2 Social communication and the ability to respond to emotional signals are essential for 3 meaningful interpersonal interactions. While Parkinson's disease (PD) has traditionally been defined as a motor system disorder (in the form of tremors, rigidity, and bradykinesia) [1], there 5 is growing evidence of cognitive and social deficits for people associated with this disease [2, 3]. 6 Non-motor symptoms, including disruptions in processing of emotional information [4, 5] have been found in over 50% of newly diagnosed PD patients [6] and can appear in any stage of disease progression [7]. Interestingly, social cognitive dysfunction has been found before the appearance of motor disturbances in PD [8].

10 Individuals with PD show impairments in the ability to recognize emotions from facial expressions $[9, 10]$, emotional prosody $[11, 12]$ and show reduced startle reactivity to highly arousing unpleasant pictures [13, 14]. There is sparse event related potential (ERP) evidence that 13 early processing of emotional prosody (mismatch negativity, [15]) and faces (show reduced arousal ratings of highly arousing affective pictures, [16] and early posterior negativity [17]) may 15 be affected in PD. Still there is some controversy about which specific emotions are recognized abnormally in PD. Some researchers report specific impairments in the recognition of fear and sadness [18], whereas others have reported deficits in recognizing anger or disgust [9, 10], while 18 still others failed to report emotion recognition deficits [17, 19, 20]. Altogether, experimental evidence so far supports the view of impairments in emotion processing in PD. Much of the 20 research in this area dealt with behavioral responses (self-ratings) i.e., participants were asked to match, to identify, to judge, or to rate the emotional stimuli and physiological measures of 22 emotional experience (e.g., startle eye blink and ERPs). In addition, all the studies mentioned

Emotions in PD patients 5

1 above used traditional tools (i.e., statistical analysis) for the investigation of emotion-related 2 information processing in PD patients.

In recent years, numerous studies on engineering approaches to automatic emotion recognition using machine learning techniques have been published, although research in this 5 field is relatively new compared to the long history of emotion research in psychology and 6 psychophysiology. In particular, many efforts have been deployed to recognize emotions using facial expressions [21], speech signals [22] and gestures [23] in healthy controls (HC). Though these modalities have been researched widely and have produced better results, they are all susceptible to social masking. Emotions that are not expressed, emotions expressed differently 10 (an angry person may smile) or minor emotional changes that are invisible to the natural eye, 11 cannot be tracked by using these modalities [24]. These limitations lead the way to recognizing 12 emotions through physiological signals (or biosignals) [25]. As physiological signals reflects the inherent activity of the autonomous nervous system (ANS) or the central nervous system (CNS), social masking does not have any influence in recognizing true emotions felt by the person. This 15 approach also provides an opportunity to track minute emotional changes which cannot be perceived visually or by hearing.

17 Biosignals such as electrocardiogram, galvanic skin response, electromyogram, skin 18 temperature, blood volume pressure, heart rate variability, and body temperature, and respiration rate have been used to evaluate the emotional state of a person. In addition to these biosignals, signals captured from the CNS, such as electroencephalogram (EEG), Magnetoencephalogram (MEG), Positron Emission Tomography (PET), and functional Magnetic Resonance Imaging 22 (fMRI) have been proved to provide informative characteristics in response to emotional states. 23 Towards such a more reliable emotion recognition procedure, EEG [26] appears to be less

Emotions in PD patients 6

invasive and the one with best time resolution than the other three (MEG, PET, and fMRI). In general, EEG signals have been widely used in order to study brain activity relating to affective responses. Evidence of such activity is reported in the majority of EEG frequency bands such as *theta* (4 – 8 Hz), *alpha* (8 – 13 Hz), *beta* (13 – 30 Hz) and *gamma* (30 – 49 Hz). For example, frontal midline (Fm) *theta* power modulation is suggested to reflect affective processing during emotional music [27]. The *alpha*-power asymmetry on the prefrontal cortex has been proposed as an index for the discrimination between positively and negatively valenced emotions [28]. *Beta* activity has been associated with emotional arousal modulation [29]. Finally, *gamma* band has been mainly suggested as related to arousal effects [30].

10 Nonlinear analysis has been applied to many areas such as medicine and biology over the past decade. In particular, the nonlinear analysis method is effectively applied to EEG signals to study the dynamics of the complex underlying behavior [31] and it is well known that the EEG signals exhibit significant non-linear behavior [32]. Non-linear analysis based on chaos theory helps in identifying the apparently irregular behaviors that were present in the system [33]. 15 Several nonlinear features such as correlation dimension (CD), approximate entropy (AP), largest lyapunov exponent (LLE), higher order spectra (HOS) and Hurst exponent (H) have been 17 used widely [34, 35] to characterize the EEG signal. In general, any analysis technique that can 18 detect and quantify some aspect of non-linear mechanisms, may better reflect the dynamics and 19 the characteristics of the EEG signal, and provide more realistic information about the 20 physiological and pathological state of the CNS, the phenomenon of non-linearity and deviations 21 of the signal from [36]. HOS are known to be useful to detect non-linearity and deviations from Gaussian behavior.

1 Motivated by these, we set out to explore the relation between emotional states and EEG 2 frequency bands in PD patients compared to HC using a set of HOS based parameters as features 3 (specifically, bispectrum). Two different classifiers namely K-Nearest Neighbor (KNN) and Support Vector Machine (SVM) were used to investigate the performance of the HOS based 5 features to classify each of the six emotional states (happiness, sadness, fear, anger, surprise and disgust) of PD patients compared to HC. The remainder of the paper is organised as follows: "Materials used" briefly presents the participants' characteristics, emotion elicitation protocol, and EEG recordings. "Methodology" presents the signal preprocessing, features extracted from 9 the bispectrum and the classifiers used. The results and discussion are presented in "Experimental results and Discussion". Finally, the paper is concluded in the last section.

12 **2. Materials used**

13 *2.1 Participants*

Twenty PD patients (all right-handed) and 20 HC (all right-handed) matched for age, 15 gender, and education level participated in the study. Parkinson's disease patients were recruited 16 from the clinic Neurology outpatient service of the Hospital University Kebangsaan Malaysia 17 (HUKM) medical center, Kuala Lumpur, Malaysia. All patients had been diagnosed with idiopathic PD by a neurologist and were optimally medicated during the testing session (ON state) with d2-agonist (n = 18); carbidopa/L-dopa (n = 13), monoamine oxidase B (MAO-B) inhibitor (n = 7), catechol-O-methyltransferase (COMT) inhibitor (n = 5), amantadine (n = 5), or anticholinergics ($n = 3$). The average duration of PD (post-diagnosis) in the group was 5.75 years [standard deviation $(SD) = 3.52$, range = 1–12 years]. The severity of motor signs in the patient group could be characterised as mild to moderate; all patients fit Hoehn and Yahr stages (H $\&$ Y) $[37] I - III$ (Stage I = unilateral disease with mild symptoms, Stage II = bilateral involvement,

Stage III = bilateral symptoms with postural and gait disturbances) with a mean Unified 2 Parkinson's Disease Rating Scale (UPDRS) [38] motor score of 17.05 (SD = 3.15). None of the 3 patients had coexisting neurological (e.g., epilepsy) or psychiatric disturbances (e.g., major 4 depression or anxiety, psychotic symptoms, etc.) that might independently influence their cognitive functioning.

The HC participants were recruited through the hospital community and/or from relatives 7 of PD patients. Exclusion criteria for controls included any psychiatric or neurological disorder. 8 To exclude dementia or depression, both of the groups scoring 24 or lower on the Mini-Mental State Examination (MMSE) [39] or 18 or higher on the Beck Depression Inventory (BDI) [40] were excluded. Handedness was determined by self-report and confirmed by Edinburgh Handedness Inventory (EHS) [41]. This test consisted of 10 questions asking for the preferred hand for a series of activities (e.g., writing, throwing, using scissors, etc.). All participants 13 reported normal or corrected-to-normal vision. Intact hearing was formally established in all 14 participants by administering a pure tone audiometric screening of both ears to ensure acceptable normal hearing threshold (minimum 30 dB HL at 0.5, 1, 2, and 4 kHz, for the better ear). All participants/caretakers gave informed consent before completing the study, which was ethically approved by the Faculty of Medicine, Institutional Review Board of the HUKM. All participants were paid 50 Malaysian Ringgits for their participation.

Patients and controls were comparable in demographic variables such as age (PD: mean age: 59.05 ± 5.64 ; HC: mean age: 58.10 ± 2.95 ; t (38) = 0.667, *p* = 0.509), gender distribution (PD: 10 men, HC: 09 men; $x^2(1, N = 40) = 0.100$, $p = 0.752$), and education level (PD: 10.45 \pm 4.86 years; HC: 11.05 ± 3.34 years; t (38) = -0.455, *p* = 0.652). Table 1 lists the demographic 23 and clinical characteristics of the analyzed PD patients and HC participants. As can be seen from the table, the groups did not significantly differ in mean MMSE scores, mean BDI scores as well as mean EHI scores.

2.2 Stimulus material

Gathering good and meaningful data are essential in any signal processing application. In works related to emotion recognition using physiological signal, acquiring emotional data that corresponds to specific emotional state is challenging, because of the subjective nature of the emotions and cognitive dependence of physiological signals which requires the emotional states have to be elicited internally in the participant. Until now, most studies on emotion recognition in PD have used only facial stimuli, prosodic stimuli, or music stimuli [4, 5, 42]. In addition, a number of emotion induction techniques using pictures, sounds, music, or multimodal approaches (combination of audio and visual) have been used to elicit target emotions in healthy controls [26, 43-46]. Among all of these stimuli modalities researchers have identified that multimodal stimuli induce emotions in the participants more naturally and more effectively compared to other modalities [25, 45-47]. In this work, we utilised a multimodal approach to evoke six basic emotions (happiness, sadness, fear, surprise, and disgust) that are universally accepted.

The emotional stimuli we used were taken from different sources, such as the International Affective Picture System (IAPS) database [48], International Affective Digitized Sounds (IADS) [49] database and video clips (e.g., funny animals, wonder activities by humans, etc.) collected from various resources on the internet (e.g., YouTube, Facebook, and others) [24]. The elicitation of emotions such as sad, fear, and disgust was attained by using affective pictures from IAPS and sounds from IADS databases. Various psychological and psychophysiological experiments have revealed that these stimuli sets have great potential in the investigation of sad,

fear, and disgust emotion [43, 50]. In addition, Mikels et al. [51] and Redondo et al. [52] 2 provided a more complete characterisation of the categorical structure of the IAPS and IADS 3 stimuli set, with the objective of identifying images and sounds that elicit one discrete emotion more than other emotions. The IAPS pictures¹ [*disgust:* valence- mean \pm SD = 2.43 \pm 1.51, arousal mean \pm SD = 5.90 \pm 2.25; *fear*: valence mean \pm SD = 3.80 \pm 1.89, arousal mean \pm SD = 5.85 \pm 2.12; *sadness*: valence- mean \pm SD = 2.74 \pm 1.57, arousal mean \pm SD = 5.00 \pm 2.08] and IADS sound² [*disgust:* valence mean \pm SD = 4.00 \pm 1.72, arousal mean \pm SD = 5.82 \pm 1.93; *fear*: valence mean \pm SD = 4.00 \pm 1.72, arousal mean \pm SD = 5.82 \pm 1.93; *sadness:* valence mean \pm $SD = 3.28 \pm 1.65$, arousal mean $\pm SD = 6.61 \pm 1.89$] were selected and combined together according to their arousal and valence values provided in the databases. For example, a 11 negative/high aroused sound was matched with a negative/high aroused image. On the other hand, the emotions happiness, surprise, and anger were elicited using video clips. A pilot study was conducted to identify the video clip that was better able to elicit the target emotion in the participants. Ninety video clips corresponding to happiness, surprise, and anger were displayed 15 to thirty volunteers with a mean age of 26.4 years (ranging from 24 to 45 years). All of the participants were psychology teachers or students at the UKM medical center, Kuala Lumpur. Of these, 30 clips with the highest ratings were chosen for data collection. Table 2 shows the summary of emotion induction stimulus material [see supplementary file 1].

¹The following pictures in the database were used for emotion induction: **Disgust**: 1945, 2352.2, 3000, 3010, 3015, 3030, 3051, 3060, 3061, 3071, 3080, 3110, 3120, 3130, 3140, 3150,3160, 3250, 3400, 7360, 7361, 7380, 8230, 9040, 9042, 9181, 9290, 9300, 9320, 9330, 9373, 9390, 9405, 9490, 9570, 9830; **Fear**: 1019, 1022, 1030, 1040, 1050, 1051, 1052, 1070, 1080, 1090, 1110, 1111, 1113, 1120, 1200, 1201, 1220, 1230, 1240, 1280, 1274, 1300, 1301, 1302, 1321,

1390, 1930, 1931, 3280, 5970, 5971, 5972, 6370, 9584, 9594, 9592; **Sadness**: 2205, 2271, 2276, 2490, 2520, 2590, 2700, 2800, 2900, 3220, 3230, 3300, 3301, 3350, 6570, 6838, 8010, 9000, 9041, 9050, 9120, 9190, 9210, 9220, 9331, 9410, 9415, 9470, 9520, 9530, 9561,9611, 9910, 9911, 9920, 9921.

²The following sounds in the database were used for emotion induction: **Disgust:** 134, 115, 251, 262, 284, 698, 702, 711, 712, 713, 714, 720, 728, 729, 730, 732, 812, 813; **Fear:** 106, 133, 170, 171, 275, 276, 277, 279, 291, 312, 378, 380, 424, 425, 500, 626, 627, 699, 817; **Sadness**: 115, 150, 260, 261, 278, 280, 285, 286,290, 293, 295, 310, 311, 368, 403, 420, 422, 501, 600, 625.

9 *2.3 Emotion elicitation protocol*

An illustrated representation of the emotion elicitation protocol is shown in Figure 1(a). As shown in the figure, the protocol had two sessions of three trials each. There was a break of 12 10–15 minutes between the sessions. The participants were allowed to relax during the break since the continuous assessment would have been too exhausting. The multimodal stimuli 14 relating to all the six emotional states (happiness, sadness, fear, anger, surprise and disgust) were displayed in each trial in a random order. Each combination of picture and sound was presented 16 for 6-seconds [22]. To maximise the participants' emotional response, each clip block consisted of six combinations of the same emotional category and lasted for 36-seconds. In addition, each of the video clips varied from 36–45 seconds in duration, depending on the length of the clip. Neutral images, which can calm down the participants, were displayed for 10 seconds at the start 20 of each trial. This would help the participants return to the normal or neutral state away from 21 emotional excitation. Besides, a 15 second rating interval [53] was provided between the clips in which participants answered a five point self-assessment questionnaire. Each session took approximately 30 minutes.

1 *2.4 Procedure*

2 The purpose of the study was clearly explained to the participants before initiating the experiment. The participants were further requested to relax, minimise their bodily movements (as much as possible, to reduce the appearance of undesired artifacts in the EEG recordings), and 5 concentrate on the emotional stimuli. The self-guided emotion elicitation protocol was then displayed on the screen. The complete experimental set up is shown in Figure 1(b). At the end of each clip, participants filled a self-assessment questionnaire to state the status of the emotions they felt during the experiment; they were also asked to report the strength of the emotions using a five-point scale according to the degree (1 = very low, 2 = low, 3 = medium, 4 = high, and 5 = 10 very high). These ratings were then used to understand the intensity of the emotional state they experienced. However, despite the intensity levels, all emotional data were taken into 12 considerations. The participants were also allowed to indicate multiple emotions during the experiment. An example of the self-assessment questionnaire is shown in Figure 1(c).

14 2.5 *EEG recordings*

EEG recordings were conducted using the Emotive EPOC 14 channel EEG wireless recording headset (Emotive Systems, Inc., San Francisco, CA) [54]. The electrode scheme was arranged according to the international 10–20 system and included active electrodes at AF3, F7, F3, FC5, T7, P7, O1, O2, P8, T8, FC6, F4, F8, and AF4 positions, referenced to the common mode sense (CMS-left mastoid)/driven right leg (DRL-right mastoid) ground as shown in Figure 1(d)*.* The acquired data were digitised using the embedded 16-bit ADC with 128 Hz sampling frequency per channel and sent to the computer via wireless communication, which utilises a proprietary USB dongle to communicate using the 2.4 GHz band. Sample EEG recordings of PD 1 patient and HC corresponding for six emotional states are given in Figures 2 (a) *and 2(b)*, respectively.

3 **3. Methodology**

4 *3.1 Signal preprocessing*

First, the time waves of EEG data were pre-processed using thresholding method to remove eye blinking artifacts, in which data that are found to have amplitudes of more than 80 μ V are discarded from the study. Second, a $6th$ order bandpass Butterworth filter (with forward reverse 8 filtering algorithm) was used to extract the frequency range of 1–49 Hz. The focus was placed 9 upon the five EEG frequency bands, i.e., *delta* (1–4), *theta* (4–8 Hz), *alpha* (8–13 Hz), *beta* (13– 10 30 Hz) and *gamma* (30–49). Third, each channel of the EEG signal was segmented into six seconds epoch without overlapping using time-windows [55]. Finally, features discussed below were computed using each epoch of the EEG data.

13 *3.2 Feature extraction*

The main task of the feature extraction stage was to derive the salient features which can map the EEG data into corresponding emotional states. In this work, we have used HOS based 16 features to investigate each of the six emotional states difference of PD patients compared to HC.

17 3.2.1 Bispectrum computation

Higher order spectra (also known as polyspectra) are the spectral representations of higher order statistics, i.e., moments or cumulants of third and higher orders. In particular, we have studied features related to the third-order statistics of the signal, namely, the bispectrum. The bispectrum is the Fourier transform of the third order correlation of the signal and is given by,

$$
B(f_1, f_2) = E[X(f_1)X(f_2)X^*(f_1 + f_2)]
$$
\n(1)

where $B(f_1, f_2)$ is the bispectrum in the bifrequency (f_1, f_2) , $X(f)$ is the discrete time Fourier transform (FT) of the given signal, $*$ denotes complex conjugate. $X(f)$ is the discrete time 3 Fourier transform for deterministic signals computed with discrete frequency samples using Fast 4 Fourier Transform (FFT) algorithm. The frequency *f* may be normalized by the Nyquist 5 frequency to be between 0 and 1. The bispectrum given by equation (1) is a complex valued function of two frequency variables. It is well known that the FT of a real-valued signal shows 7 conjugate symmetry, and the power spectrum is redundant in the negative frequency region. Likewise, the bispectrum, which is the product of three Fourier coefficients, exhibits symmetry and therefore, was computed in the non-redundant region [56]. Assuming that there is no 10 bispectral aliasing, the bispectrum of a real valued signal is uniquely defined with the triangle $0 \le f_2 \le f_1 \le f_1 + f_2 \le 1$. This is termed as the principal domain or the non-redundant region, denoted as Ω (the triangle region) in Figure 3. The extracted bispectral based features are:

i) Mean of bispectral magnitude: $M_{avg} = \frac{1}{L} \sum_{\Omega} |B(f_1, f_2)|$ (2)

where L is the number of points within the region.

ii) Normalised bispectral entropy (BE1): $P_1 = -\sum_k$ ii) Normalised bispectral entropy (BE1): $P_1 = -\sum p_k \log(p_k)$ (3)

where
$$
p_k = \frac{|B(f_1, f_2)|}{\sum_{\Omega} |B(f_1, f_2)|}, \Omega = \text{region as in Fig. (3)}
$$

iii) Normalised bispectral squared entropy (BE2): $P_2 = -\sum_n$ iii) Normalised bispectral squared entropy (BE2): $P_2 = -\sum q_n \log(q_n)$ (4)

where
$$
q_n = \frac{|B(f_1, f_2)|^2}{\sum_{\Omega} |B(f_1, f_2)|^2}
$$
, Ω = region as in Fig. (3)

The absolute value or magnitude and the square of the magnitude are the L_1 and L_2 norms 2 of the bispectrum. For both of these entropies, normalisation was done by the sum of the norm over Ω which is the complete non-redundant bi-frequency region, such that each norm is now similar to a probability distribution function (PDF) with values estimated over the Ω region. These PDFs are one-dimensional histograms of these values. They allow entropies, namely P_1 and P_2 , to be defined and calculated.

> In order to calculate above bispectral features across each frequency band of PD patients and healthy controls, we used epochs of 768 samples with hanning window of 50% overlap 9 corresponding to six seconds at the given sampling rate. These epochs were taken from each record of 1024 NFFT points.

11 *3.3 Classification of emotional states*

Twenty participants from each group with six trials and six epochs per channel resulted in a total of 720 x 14 EEG data samples per emotion, which were processed. All the three HOS features were extracted from these samples. The performance of the emotional feature between 15 PD patients and healthy controls were analyzed using KNN and SVM classifiers across different 16 EEG bands. We also tested other classification techniques such as linear discriminant analysis, probabilistic neural network and Naive Bayes. However, these results are not superior to those obtained with KNN and SVM methods and hence are not reported.

The KNN is a simple data-driven lazy learning algorithm, where an unlabeled point is attributed to the predominant class within the k-nearest labeled points belonging to the training 21 class [57]. Euclidean distance was used as a measure to assess the similarity of testing points.

Euclidean distance was calculated using the below formula. In this work, different values of k between 1 and 10 were tested.

$$
D_E = (a, b) = \sum_{i=1}^{N} \sqrt{(a_i - b_i)^2}
$$
 (5)

where *a* and *b* are the training and testing data, respectively and *N* is the number of features.

In SVM, a separating hyperplane that maximizes the margin between the input data classes which are viewed in an n-dimensional space (n is the number of features used as inputs) is 7 determined. In general, a larger margin results in a lower generalization error. SVM can be easily adapted to nonlinearly separable data by the use of kernal functions to map the data to a much higher dimensional space where the data becomes more separable [58]. The radial basis function 10 (RBF) kernel and polynomial kernel are most commonly used [59]. With the use of kernels, an explicit transformation of the data to the feature space is not required. In this work, we have used 12 RBF kernel function. The performance parameters of SVM (regularization constant,*C* and width of the RBF kernal, σ) were obtained by using the grid search approach [60]. To achieve better accuracy, the suitable values of *C* and σ were given by this algorithm as 108 and 2.434, respectively.

16 In this work, ten-fold cross validation method was used to test the performance and 17 reliability of the classifiers. During this method, the dataset is divided randomly in ten equal (or approximately equal) subsets and for each fold nine subsets are used for training and one subset for testing. The procedure is repeated ten-times (ten-folds) in order for all subsets to be used as 20 testing data. Classification performance was mainly evaluated through the classification accuracy (CA) and was computed for each emotional states between PD patients and HC as,

$$
\% Accuracy_{(PD \ vs \ HC)} = \frac{\text{Numberof correctly classified feature vectors}_{Emotion}}{\text{Total number of tested feature vectors}_{Emotion}} \ X \ 100 \tag{6}
$$

where *Emotion* refers to one of the six emotional state of PD patients compared to healthy controls (i.e., Happiness_(PD vs HC), Sadness_(PD vs HC), Fear_(PD vs HC), Angry_{PD vs HC}, Surprise_(PD vs HC), and Disgust_(PD vs HC)) across delta, theta, alpha, beta, gamma EEG frequency bands and ALL (combination of five frequency bands). The overall performance of the classifier was evaluated by taking the average and standard deviation (SD) of the accuracies of ten-folds. 7 Here, the SD of the classification clearly reveals the consistency of the classifier results and the number of classes used for classification here was two.

9 *4. Experimental results and discussion*

Table 2 shows the results of the self-assessment phase (in percentage) for each of six 11 emotional states between PD patients and HC. From the table, it can be observed that the subjective response accuracy to emotional stimuli confirmed that the participants were almost able to induce the expected emotions and to investigate the correspondence in EEG responses. It should be also noted that the happiness stimuli were recognised most easily (% average $CA =$ 93.42) whereas, stimuli related to disgust emotion were recognised the worst (% average $CA =$ 69.58).

Table $3(a)-3(e)$ shows the range of three features used for classification obtained from PD patients compared to HC for each of the six emotional states across delta, theta, alpha, beta and gamma frequency bands [see supplementary file 1]. From the tables, it can be observed that there 20 is a decrease in the values of the extracted features from PD patient's EEG signals as compared 21 to the healthy controls during emotion processing. This is due to the dynamic processes 22 underlying the EEG recording that are less complex for PD patients than healthy controls. This

1 confirms to other studies that there will be decrease in brain complexity during emotion 2 processing due to the dysfunction in the neural circuits [10, 61, 62].

The statistical significance of all the three features was studied using analysis of variance (ANOVA). The threshold was set to $p = 0.05$ and all the three features showed statistical significance ($p < 0.05$) indicating that the each of the six emotional states of PD patients and HC have significant difference in the feature values, for all cases studied. This would also ensure a 7 higher probability of achieving better classification accuracy in discriminating the emotional states between the groups.

Table 4(a)–4(f) presents the classification performance of HOS based features to distinguish each of the six emotional states of PD patient's compared to HC across delta, theta, 11 alpha, beta, gamma and ALL bands using KNN and SVM classifier. From tables, several important observations can be drawn, for all the cases studied. First, it can be noted that the classification performance of HOS based features across ALL frequency bands is better than those based on individual frequency bands in both the groups. Second, it is found that the 15 classification performance of alpha, beta, and gamma is obviously better than those of delta and theta bands in discriminating the emotional state EEG between PD patients compared to HC. 17 This result partly reflects that higher frequency bands play a more important role in emotion activities than lower frequency bands [63-65]. The current finding matches our previous study, where bispectrum emotion-specific features were mainly related to higher frequency band rather 20 than lower frequency band in distinguishing six emotional states (happiness, sadness, fear, anger, 21 surprise, and disgust) of PD patients and HC respectively with an averaged recognition rate of $270.10\% \pm 2.83\%$ and $77.29\% \pm 1.73\%$ [55]. These clearly suggest that EEG signals, being an activity of CNS, can reflect the underlying inherent emotional state of PD patients.

1 Third, PD patients achieved less classification performance for negative emotions 2 (sadness, fear, anger and disgust), whereas classification performance for happiness and surprise 3 emotion was comparable between PD patients and HC. This suggests that the results from PD patients with lower accuracy are those where EEG features are not reflecting the emotions, 5 which can be interpreted as impairment in the brain processing of emotions, particularly for 6 negative emotions. Recent evidence points to neuropathological changes in PD in many brain areas which are assumed to play key roles in emotion processing [66]. These include limbic structures such as the amygdala, and the ventral striatum, which is centrally located within the basal ganglia's limbic loop. Furthermore, our results are comparable with the more general hypothesis that a loss of complexity appears when the biological systems become functionality impaired [67, 68].

Finally, the average classification performance of SVM out performs KNN classifier. For 13 PD patients, the classification accuracy of HOS features across ALL frequency bands using SVM under each emotional state was: $86.89\% \pm 1.74\%$ for happiness, $82.56\% \pm 2.09\%$ for sadness, 79.99% \pm 3.48% for fear, 80.98% \pm 5.28% for anger, 91.27% \pm 4.04% for surprise, and $80.57\% \pm 3.38\%$ for disgust. For healthy controls, the classification accuracy of HOS features across ALL frequency bands using SVM under each emotional state was: $94.76\% \pm 2.28\%$ for happiness, $93.86\% \pm 3.92\%$ for sadness, $91.74\% \pm 1.38\%$ for fear, $90.86\% \pm 2.48\%$ for anger, 94.98% \pm 3.84% for surprise and 93.39% \pm 2.97% for disgust. This definitely proves the 20 robustness of the SVM over KNN classifier for these datasets. Furthermore, this study provided a different viewpoint and new insights into emotional responses to PD patients. So far, no related 22 work that specifically attempted the EEG frequency band based emotion classification in PD

patients using machine learning techniques has been reported in the literature and therefore, it was difficult for the acquired results to be compared.

3 Some limitations of our study should be pointed out. First, the use of small number of PD samples which can affect the reliability of the system. Future studies should use larger number of 5 PD patients to examine the relationship between brain activity and emotions. Second, the present study was limited by the fact that the PD sample consisted of PD patients in H & Y 1–3 stage, 7 only, and all patients had ON-medication UPDRS motor scores mean value of 17.05. Thus, our finding is limited by the fact that persons with severe PD were not included in the study (H $&$ Y 9 4–5 stage). Further research would explore this limitation. Finally, all PD patients were under dopamine replacement therapy, which might also affect the performance in the emotion processing [69] and future research is required with unmedicated patients to reveal the actual effects on PD [70].

13 *5. Conclusion*

14 This study indicates that EEG signals are reliable in identifying the inherent emotional state 15 of PD patients. The design of data acquisition protocol for eliciting the six emotional states 16 (happiness, sadness, fear, anger, surprise and disgust) and the data acquisition methodology were explained in detail. Since the EEG signal is non-linear, non-stationary, and non-Gaussian in nature, non-linear features such as bispectrum were used to classify each of the six emotional states difference between PD and HC. The performance of the extracted features was analysed using two classifiers namely KNN and SVM. Experimental results demonstrate that 21 classification performance of bispectrum features across ALL frequency bands was better than those based on individual frequency bands in both the groups using SVM classifier. We also found that high frequency bands play a more important role in emotion activities than low

1 frequency band. PD patients showed emotional impairments than HC, as demonstrated by a lower classification performance, particularly for negative emotions. Quantitative measure to assess emotional states may have a wide range of clinical applications in patient populations, including expression training, assessment of treatment effects and detection of persons at risk.

Acknowledgements

The research was financially supported by Ministry of Science and Technology (MOSTI), Malaysia. Grant Number: 9005-00053. The authors would like to thank Dr. Mohamad Fadli, Dr. Siva Rao Subramanian and Dr. Shahrul Azmin for their assistance with recruitment of PD participants. Also we would like to thank all of the individuals who participated in this study.

1 **References**

- 2 [1] J.M. Savitt, V.L. Dawson, T.M. Dawson, Diagnosis and treatment of Parkinson disease: molecules to medicine, The Journal of Clinical Investigation 116 (2006) 1744-1754.
- 4 [2] E. Mohr, J. Juncos, C. Cox, I. Litvan, P. Fedio, T.N. Chase, Selective deficits in cognition 5 and memory in high-functioning Parkinsonian patients, Journal of Neurology Neurosurgery and Psychiatry 53 (1990) 603-606.
- 7 [3] B. Pillon, B. Dubois, Y. Agid, Testing cognition may contribute to the diagnosis of movement disorders Neurology 46 (1996) 329-334.
- [4] H.M. Gray, L. Tickle-Degnen, A meta-analysis of performance on emotion recognition tasks in Parkinson's disease, Neuropsychology 24 (2010) 176-191.
- 11 [5] J. Péron, T. Dondaine, F.L. Jeune, D. Grandjean, M. Vérin, Emotional processing in 12 Parkinson's disease: a systematic review, Movement Disorder 27 (2012) 186-199.
- 13 [6] C. Janvin, D. Aarsland, J.P. Larsen, K. Hugdahl, Neuropsychological Profile of Patients with Parkinson's Disease without Dementia, Dementia and Geriatric Cognitive Disorders 15 (2003) 126-131.
- [7] A. Park, M. Stacy, Non-motor symptoms in Parkinson's disease, Journal of Neurology 256 (Suppl.3) (2009) S293-S298.
- 18 [8] M. Kawamura, S. Koyama, Social cognitive impairment in Parkinson's disease, Journal of Neurology, 254 (Suppl 4) 2007 S49-S53.
- 20 [9] U.S. Clark, S. Neargarder, A. Cronin-Golomb, Specific Impairments in the Recognition of 21 Emotional Facial Expressions in Parkinson's Disease, Neuropsychologia 46 (2008) 2300- 22 2309.
- [10] A.D. Lawrence, I.K. Goerendt, D.J. Brooks, Impaired recognition of facial expression of 2 anger in Parkinson's disease patients acutely withdrawn from dopamine replacement therapy, 3 Neuropsychologia 45 (2007) 65-74.
- [11] C. Dara, L. Monetta, M.D. Pell, Vocal emotion processing in Parkinson's disease: Reduced sensitivity to negative emotions, Brain Research 1188 (2008) 100-111.
- [12] S. Paulmann, M.D. Pell, Dynamic emotion processing in Parkinson's disease as a function 7 of channel availability, Journal of Clinical Experimental Neuropsychology 32(8) (2010) 822- 835.
- [13] D. Bowers, K. Miller, A. Mikos, L. Kirsch-Darrow, U. Springer, H. Fernandez, K. Foote, 10 M. Okun, Startling facts about emotion in Parkinson's disease: blunted reactivity to aversive stimuli, Brain 129 (2006) 3356-3365.
- [14] K.M. Miller, M.S. Okun, M. Marsiske, E.B. Fennell, D. Bowers, Startle reflex hyporeactivity in Parkinson's disease: an emotion-specific or arousal-modulated deficit?, 14 Neuropsychologia 47 (2009) 1917-1927.
- 15 [15] C. Schröder, J. Möbes, M. Schütze, F. Szymanowski, W. Nager, M. Bangert, T.F. Münte, R. 16 Dengler, Perception of emotional speech in Parkinson's disease, Movement Disorder 21 17 (2006) 1774-1778.
- 18 [16] M.J. Wieser, A. Muhlberger, G. Alpers, M. Macht, H. Ellgring, P. Pauli, Emotion processing in parkinson's disease: Dissociation between early neuronal processing and 20 explicit ratings, Clinical Neurophysiology 117 (2006) 94-102.
- 21 [17] M.J. Wieser, E. Klupp, P. Weyers, P. Pauli, D. Weise, D. Zeller, J. Classen, A. Muhlberger, 22 Reduced early visual emotion discrimination as an index of diminished emotion processing

in Parkinson's disease? - Evidence from event-related brain potentials, Cortex 48 (2012) 2 1207-1217.

- [18] A. Ariatti, F. Benuzzi, P. Nichelli, Recognition of emotions from visual and prosodic cues in Parkinson's disease, Neurological Sciences 29 (2008) 219-227.
- 5 [19] M.D. Pell, C.L. Leonard, Facial expression decoding in early parkinson's disease, Cognition 6 Brain Research 23 (2005) 327-340.
- 7 [20] P. Garrido-Vásquez, M.D. Pell, S. Paulmann, K. Strecker, J. Schwarz, S.A. Kotz, An ERP study of vocal emotion processing in asymmetric Parkinson's disease, Social and Cognitive 9 Affective Neuroscience 8 (2013) 918-927.
- 10 [21] I. Cohen, A. Garg, T.S. Huang, Emotion Recognition from Facial Expressions using 11 Multilevel HMM, Proceedings of Neural information Processing Systems Workshop Affective Computing (2000).
- 13 [22] J. Kim, Bimodal emotion recognition using speech and physiological changes, Technical Report, (2007).
- 15 [23] L. Kessous, G. Castellano, G. Caridakis, Multimodal emotion recognition in speech-based interaction using facial expression, body gesture and acoustic analysis, Journal of Multimodal User Interfaces 3 (2010) 33-48.
- [24] S. Jerritta, M. Murugappan, K. Wan, S. Yaacob, Classification of emotional states from 19 electrocardiogram signals: a non-linear approach based on Hurst, Biomedical Engineering Online 12 (2013) 44-62.
- [25] K.H. Kim, S.W. Bang, S.R. Kim, Emotion recognition system using short-term monitoring 22 of physiological signal, Medical & Biological Engineering and Computing 42 (2004) 419- 23 427.
- [26] P.C. Petrantonakis, L.J. Hadjileontiadis, A novel emotion elicitation index using frontal 2 brain asymmetry for enhanced EEG-based emotion, IEEE Transactions on Information Technology in Medicine $15 (2011) 737-746$.
- [27] D. Sammler, M. Grigutsch, T. Fritz, S. Koelsch, Music and emotion: electrophysiological 5 correlates of the processing of pleasant and unpleasant music, Psychophysiology 44 (2007) 293-304.
- [28] L.A. Schmidt, L.J. Trainor, Frontal brain electrical activity (EEG) distinguishes valence and 8 intensity of musical emotions, Cognition and Emotion 15 (2001) 487-500.
- [29] L.I. Aftanas, N.V. Reva, L.N. Savotina, V.P. Makhnev, Neurophysiological correlates of 10 induced discrete emotions in humans: An individually oriented analysis, Neuroscience and Behavioral Physiology 36 (2006) 119-130.
- [30] M. Balconi, C. Lucchiari, Consciousness and arousal effects on emotional face processing as revealed by brain oscillations: a gamma band analysis, International Journal of 14 Psychophysiology 67 (2008) 41-46.
- 15 [31] R.A. McCarthy, E.K. Warrington, Cognitive Neuropsychology: A Clinical Introduction, 16 Academic Press, San Diego, LA, (1990).
- 17 [32] C.J. Stama, J.P.M. Pijn, P. Suffczynski, F.H. LopesdaSilvab, Dynamics of the human alpha 18 rhythm: evidence for non-linearity?, Clinical Neurophysiology 110 (1999) 1801-1831.
- [33] J. Gao, J. Hu, W. Tung, Facilitating Joint Chaos and Fractal Analysis of Biosignals through 20 Nonlinear Adaptive Filtering, Plos One 6 (2011) 1-8.
- [34] T. Balli, R. Palaniappan, Classification of biological signals using linear and nonlinear 22 features, Physiological Measurements 31 (2010) 903-920.
- [35] K.C. Chua, V. Chandran, U.R. Acharya, C.M. Lim, Application of higher order spectra to identify epileptic EEG, Journal of Medical Systems 35 (2011) 1563-1571.
- 3 [36] M. Shen, F.H.Y. Chan, L. Sun, F.J. Beadle, Parametric bispectral estimation of EEG signals in different functional states of the brain, IEEE Proceedings of Science and Measurements Technology 147(6) (2000).
- 6 [37] M.M. Hoehn, M.D. Yahr, Parkinsonism: Onset, Progression and mortality, Neurology, 17 (1967) 427-442.
- [38] S. Fahn, R.L. Elton, M. Committee, Unified Parkinson's Disease Rating Scale, in: Fahn's, Marsden CD, Calne DB, Goldstein M, Clane DB, Recent Developments in Parkinson's 10 disease, Macmillan Health Care Information, Florham Park, 1987, pp.153-163.
- [39] M.F. Folstein, S.E. Folstein, P.R. Mchugh, Mini-Mental State Examination: a practical 12 method for grading the cognitive state of patients, Psychology Research 12 (1975) 189-198.
- [40] A.T. Beck, C.H. Ward, M. Mendelson, J. Mock, J. Erbaugh, An inventory for measuring depression., Achieves of General Psychiatry 4 (1961) 561-571.
- 15 [41] R.C. Oldfield, The assessment and analysis of handedness: the Edinburgh inventory, Neuropsychologia 9 (1971) 97-113.
- 17 [42] C.F. Lima, C. Garrett, S.L. Castro, Not all the sounds sound the same: Parkinson's disease 18 affects differently emotion processing in music and in speech prosody, Journal of Clinical and Experimental Neuropsychology 35 (2013) 373-392.
- [43] T. Baumgartner, M. Esslen, L. Jancke, From emotion perception to emotion experience: Emotions evoked by pictures and classical music, International Journal of Psychophysiology 22 60 (2006) 34-43.
- [44] R.J. Davidson, Anterior cerebral asymmetry and the nature of emotion, Brain and Cognition 20 (1) (1992) 125-151.
- [45] J.J. Gross, R.W. Levenson, Emotion elicitation using films, Cognition and Emotion 9 (1995) 87-108.
- [46] M. Murugappan, M. Rizon, R. Nagarajan, S. Yaacob, An Investigation on Visual and Audiovisual Stimulus based Human Emotion Recognition using EEG, International Journal of Medical Engineering and Informatics (IJMEI) 1 (2009) 342-356.
- [47] Y. Wang, L. Guan, Recognizing Human Emotional State From Audiovisual Signals, IEEE Transactions on In Multimedia 10 (2008) 659-668.
- [48] P.J. Lang, M.M. Bradley, B.N. Cuthbert, International affective picture system (IAPS): Affective ratings of pictures and instruction manual, Technical Report A-8, University of Florida, Gainesville, FL (2008).
- [49] M.M. Bradley, P.J. Lang, International affective digitized sounds (2nd Edition; IADS-2): Affective ratings of sounds and instruction manual, Technical Report B-3 University of Florida, Gainesville, FL (2007).
- [50] L. Brown, B. Grundlehner, J. Penders, Towards wireless emotional valence detection from EEG, IEEE Engineering in Medicine and Biology Society, (2011) 2188-2191.
- [51] J. Mikels, B. Fredrickson, G. Larkin, C. Lindberg, S. Maglio, P. Reuter-Lorenz, Emotional Category data on images from the international affective picture system, Behavioral Research Methods, 37 (2005) 630-636.
- [52] J. Redondo, I. Fraga, I. Padron, A. Pineiro, Affective ratings of sound stimuli, Behavioral Research Methods, 40 (2008) 784-790.
- [53] H. Hamdi, P. Richard, A. Suteau, P. Allain, Emotion assessment for affective computing 2 based on physiological responses, IEEE proceedings of World Congress on Computational 3 Intelligence (2012) 10-15.
- [54] S.K. Hadjidimitriou, L.J. Hadjileontiadis, Toward an EEG-based recognition of music liking 5 using time-frequency analysis, IEEE Transactions on Biomedical Engineering 59 (2012) 6 3498-3510.
- 7 [55] R. Yuvaraj, M. Murugappan, M.I. Norlinah, M. Iqbal, K. Sundaraj, K. Mohamad, R. 8 Palaniappan, M. Satiyan, Emotion classification in Parkinson's disease by higher-order spectra and power spectrum features using EEG signals: A comparative study, Journal of Integrative Neuroscience 13 (2014) 1-32.
- [56] C.L. Nikias, A. Petropulu, Higher-order spectral Analysis: A Nonlinear signal Processing Framework, Prentice Hall, Englewood Cliffs, NJ, (1993).
- 13 [57] J. Han, M. Kamber, Data Mining: Concepts and Techniques, Morgan Kaufmann, 2nd edition, (2006).
- 15 [58] K.R. Muller, S. Mika, G. Ratsch, K. Tsuda, B. Scholkopf, An introduction to kernal based 16 learning algorithms, IEEE Transactions on Neural Networks 12 (2001) 181-201.
- 17 [59] N. Christianini, J. Taylor, Support vector machines and other kernal-based learning methods, Cambridge University Press, Cambridge, (2000).
- [60] C.W. Hsu, C.C. Chang, C.J. Lin, A practical guide to support vector classification., 20 Technical report, Department of Computer Science, National Taiwan University (2003).
- 21 [61] A. Suzuki, T. Hoshino, K. Shigemasu, M. Kawamura, Disgust-specific impairment of facial 22 expression recognition in Parkinson's disease, Brain 129 (2006) 707-717.
- [62] T.P. Bouchard, N. Malykhin, W.R. Martin, C.C. Hanstock, D.J. Emery, N.J. Fisher, R.M. 2 Camicioli, Age and dementia-associated atrophy predominates in the hippocampal head and 3 amygdala in Parkinson's disease, Neurobiology of Aging 29 (2008) 1027-1039.
- [63] W. Klimesch, EEG alpha and theta oscillations reflect cognitive and memory performance: 5 a review and analysis, Brain Research Reviews 29 (1999) 169-195.
- [64] D.J. Oathes, W.J. Ray, Worry, generalized anxiety disorder, and emotion: evidence from the 7 EEG gamma band, Biological Psychology 79 (2008) 165-170.
- 8 [65] X.W. Wang, D. Nie, B.L. Lu, Emotional state classification from EEG data using machine learning approach, Neurocomputing, 129 (2013) 94-106.
- 10 [66] H. Kober, L.F. Barrett, J. Joseph, E. Bliss-Moreau, K. Lindquist, T.D. Wagera, Functional 11 grouping and cortical–subcortical interactions in emotion: A meta-analysis of neuroimaging studies, Neuroimage 42 (2008) 998-1031.
- 13 [67] J. Roschke, J. Fell, P. Beckmann, Nonlinear analysis of sleep EEG data in schizophrenia: 14 calculation of the principal Lyapunov exponent, Psychiatric Research, 56 (1995) 257-269.
- 15 [68] J. Jeong, S.Y. Kim, S.H. Han, Non-linear dynamical analysis of the EEG in Alzheimer's disease with optimal embedding dimension, Electroencephalography and Clinical Neurophysiology 106 (1998) 220-228.
- 18 [69] A. Tessitore, A. Hariri, F. Fera, W. Smith, T. Chase, T. Hyde, D. Weinberger, V. Mattay, Dopamine modulates the response of the human amygdala: A Study in Parkinson's disease, 20 Journal of Neuroscience 22 (2002) 9099-9103.
- 21 [70] R. Sprengelmeyer, A.W. Young, K. Mahn, U. Schroeder, D. Woitalla, T. Büttner, W. Kuhn, 22 H. Przuntek, Facial expression recognition in people with medicated and unmedicated Parkinson's disease, Neuropsychologia 41 (2003) 1047-1057.

Figure

EEG emotion recognition in PD

Fig. 1 (a) Schematic representation of the experiment protocol

Fig. 1 (b) Experimental setup for emotion assessment using multimodal stimuli

EEG emotion recognition in PD

S. N _O	Audio- visual clip No.	Primary emotion experienced $\sqrt{ }$				Intensity of primary emotion $\sqrt{ }$				Any other emotion? $(\sqrt{2})$								
		HAPPY	SAD	FEAR	ANGER	SURPRISE	DISGUST	VERY LOW	LOW	MEDIUM	HIGH	VERY HIGH	HAPPY	SAD	FEAR	ANGER	SURPRISE	DISGUST
f	Clip 1																	
$\overline{2}$	Clip 2																	
3	Clip 3																	
4	Clip 4																	
5	Clip 5																	
$\overline{6}$	Clip 6																	
$\overline{7}$	Clip ₇																	
8	Clip ₈																	
9	Clip ₉																	
10	Clip 10																	
11	Clip 11																	

8 **Fig. 1** (c) Self-assessment questionnaire

Fig. 1 (d) Electrode positions, according to the 10-20 system, of the Emotiv EPOC device used for EEG acquisition.

12 **Fig. 2** Sample recording of EEG signals corresponding to six emotions (a) PD patients (b) healthy controls

Fig. 3 Non-redundant region (Ω) of computation of the bispectrum for real signals

1 Table 1 Demographic and clinical characteristics of PD patients and HC.

Note: n = number of participants, PD = Parkinson's disease, HC = healthy controls, M = male, F = female, MMSE = Mini Mental State 4 Examination, UPDRS = Unified Parkinson's Disease Rating Scale, BDI = Beck Depression Inventory, EHS = Edinburg Handedness Inventory. Data presented as mean \pm SD. *Difference is significant at the $p < 0.05$ level.

8 Table 2 Self-assessment classification accuracy (in percentage) for each of six emotional states between PD patients and healthy controls obtained from the confusion matrix.

Table 4(a) Percentage \pm SD of classification of KNN and SVM classifiers with HOS features (M_{avg}, P₁, and P₂) between PD patients and healthy 2 controls emotional state across *delta (1–4 Hz)* frequency band.

Emotion		KNN classifier $(\%)$		SVM classifier $(\%)$			
	PD.	HC	AVG	PD.	HС	AVG	
Happiness	64.84 ± 3.76	75.39 ± 2.58	70.11 ± 3.19	66.49 ± 3.49	76.29 ± 2.01	72.89 ± 2.17	
Sadness	61.28 ± 2.13	71.86 ± 3.19	66.57 ± 2.48	64.29 ± 2.57	75.49 ± 3.45	69.89 ± 3.58	
Fear	59.20 ± 1.29	65.28 ± 2.30	62.24 ± 3.60	60.49 ± 3.59	70.39 ± 2.59	65.44 ± 3.49	
Anger	62.39 ± 3.90	68.44 ± 3.29	65.41 ± 4.04	66.24 ± 4.29	74.12 ± 3.29	70.18 ± 4.56	
Surprise	65.38 ± 3.71	73.20 ± 4.83	69.29 ± 3.75	69.72 ± 3.29	75.39 ± 4.22	72.55 ± 3.59	
Disgust	60.10 ± 4.49	68.39 ± 5.46	64.24 ± 2.58	62.67 ± 2.49	72.28 ± 2.25	67.47 ± 4.29	

Table 4(b) Percentage \pm SD of classification of KNN and SVM classifiers with HOS features (M_{avg}, P₁, and P₂) between PD patients and healthy 6 controls emotional state across *theta (4–8 Hz)* frequency band.

Table 4(c) Percentage \pm SD of classification of KNN and SVM classifiers with HOS features (M_{avg}, P₁, and P₂) between PD patients and healthy 10 controls emotional state across *alpha (8–13 Hz)* frequency band.

Emotion		KNN classifier $(\%)$		SVM classifier $(\%)$				
	PD.	HС	Average	PD.	HС	Average		
Happiness	87.00 ± 2.55	88.01 ± 3.41	87.50 ± 2.23	90.89 ± 5.74	92.45 ± 5.95	91.67 ± 3.23		
Sadness	80.87 ± 3.22	89.96 ± 2.36	85.41 ± 2.18	82.71 ± 6.62	90.91 ± 3.79	86.81 ± 5.56		
Fear	81.94 ± 5.67	87.49 ± 3.82	84.72 ± 3.63	81.67 ± 4.34	89.78 ± 5.56	85.73 ± 4.33		
Anger	81.03 ± 2.81	87.00 ± 4.90	84.02 ± 2.65	82.76 ± 3.77	89.47 ± 5.23	86.11 ± 3.43		
Surprise	86.61 ± 3.52	87.02 ± 2.88	86.81 ± 2.44	91.65 ± 5.78	92.67 ± 3.88	91.67 ± 4.22		
Disgust	81.82 ± 3.43	87.65 ± 3.65	84.73 ± 2.22	80.83 ± 4.34	89.92 ± 4.82	85.38 ± 3.56		

Table 4(d) Percentage \pm SD of classification of KNN and SVM classifiers with HOS features (M_{avg} , P_1 , and P_2) between PD patients and healthy 3 controls emotional state across *beta (3–30 Hz)* frequency band.

Table 4(e) Percentage \pm SD of classification of KNN and SVM classifiers with HOS features (M_{avg}, P₁, and P₂) between PD patients and healthy 7 controls emotional state across *gamma (30–49 Hz)* frequency band.

Emotion		KNN classifier $(\%)$		SVM classifier $(\%)$				
	PD.	HС	Average	PD.	HС	Average		
Happiness	85.85 ± 2.89	90.27 ± 4.10	88.06 ± 3.39	86.68 ± 3.37	93.58 ± 3.55	90.13 ± 2.35		
Sadness	78.87 ± 2.48	90.29 ± 3.49	84.58 ± 4.25	82.27 ± 2.48	91.09 ± 2.01	86.68 ± 1.04		
Fear	80.32 ± 3.10	89.20 ± 4.90	84.76 ± 2.77	77.67 ± 4.28	92.76 ± 2.43	85.21 ± 3.88		
Anger	77.29 ± 1.59	90.84 ± 4.12	84.06 ± 3.49	80.76 ± 2.51	90.13 ± 3.38	85.44 ± 2.57		
Surprise	87.36 ± 3.29	88.98 ± 3.17	88.17 ± 4.10	92.27 ± 3.83	93.98 ± 4.00	93.12 ± 1.25		
Disgust	78.96 ± 4.17	88.05 ± 2.75	83.50 ± 5.29	78.00 ± 3.59	91.97 ± 2.18	84.98 ± 2.58		

Table 4(f) Percentage \pm SD of classification of KNN and SVM classifiers with HOS features (M_{avg} , P_1 , and P_2) between PD patients and healthy controls emotional state across ALL (combination of five bands) frequency bands.

Note: The *p*-value represents the significance (*p* < 0.05) difference between PD patients and healthy controls on extracted HOS features. Note: The p-value represents the significance $(p < 0.05)$ difference between PD patients and healthy controls on extracted HOS features. Table 3(b) Range of various HOS based features (in mean ± standard deviation) and results of ANOVA between PD patients and healthy controls during each of Table 3(b) Range of various HOS based features (in mean ± standard deviation) and results of ANOVA between PD patients and healthy controls during each of six emotional states under *theta* (4–8 Hz) EEG frequency bands. six emotional states under theta (4-8 Hz) EEG frequency bands.

Note: The *p*-value represents the significance (*p* < 0.05) difference between PD patients and healthy controls on extracted HOS features. Note: The p-value represents the significance ($p < 0.05$) difference between PD patients and healthy controls on extracted HOS features. Table 3(c) Range of various HOS based features (in mean ± standard deviation) and results of ANOVA between PD patients and healthy controls during each of Table 3(c) Range of various HOS based features (in mean ± standard deviation) and results of ANOVA between PD patients and healthy controls during each of six emotional states under *alpha* (8–13 Hz) EEG frequency bands. six emotional states under alpha (8-13 Hz) EEG frequency bands.

Note: The *p*-value represents the significance (*p* < 0.05) difference between PD patients and healthy controls on extracted HOS features. Note: The p-value represents the significance $(p < 0.05)$ difference between PD patients and healthy controls on extracted HOS features. Table 3(d) Range of various HOS based features (in mean ± standard deviation) and results of ANOVA between PD patients and healthy controls during each of Table 3(d) Range of various HOS based features (in mean ± standard deviation) and results of ANOVA between PD patients and healthy controls during each of six emotional states under *beta* (13–30 Hz) EEG frequency bands. six emotional states under beta (13-30 Hz) EEG frequency bands.

Note: The *p*-value represents the significance (*p* < 0.05) difference between PD patients and healthy controls on extracted HOS features. Note: The p-value represents the significance $(p < 0.05)$ difference between PD patients and healthy controls on extracted HOS features. Table 3(e) Range of various HOS based features (in mean ± standard deviation) and results of ANOVA between PD patients and healthy controls during each of Table 3(e) Range of various HOS based features (in mean ± standard deviation) and results of ANOVA between PD patients and healthy controls during each of six emotional states under gamma (30-49 Hz) EEG frequency bands. six emotional states under *gamma* (30–49 Hz) EEG frequency bands.

Note: The *p*-value represents the significance (*p* < 0.05) difference between PD patients and healthy controls on extracted HOS features. Note: The p-value represents the significance $(p < 0.05)$ difference between PD patients and healthy controls on extracted HOS features.