

1 **Assessment of aneuploidy concordance between clinical trophectoderm**
2 **biopsy and blastocyst**

3

4

5 Running Title:

6 Aneuploidy concordance within blastocysts

7

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25

26 **ABSTRACT**

27

28 **Study question:**

29 Is a clinical trophoctoderm (TE) biopsy a suitable predictor of chromosomal
30 aneuploidy in blastocysts?

31

32 **Summary answer:**

33 In the analyzed group of blastocysts, a clinical TE biopsy was an excellent
34 representative of blastocyst karyotype in cases of whole chromosome
35 aneuploidy, but in cases of segmental (sub-chromosomal) aneuploidy, a TE
36 biopsy was a poor representative of blastocyst karyotype.

37

38 **What is known already:**

39 Due to the phenomenon of chromosomal mosaicism, concern has been
40 expressed about the possibility of discarding blastocysts classified as aneuploid
41 by pre-implantation genetic testing for aneuploidy (PGT-A) that in fact contain a
42 euploid Inner Cell Mass (ICM). Previously published studies investigating
43 karyotype concordance between TE and ICM have examined small sample sizes
44 and/or have utilized chromosomal analysis technologies superseded by Next
45 Generation Sequencing (NGS). It is also known that blastocysts classified as
46 mosaic by PGT-A can result in healthy births. TE re-biopsy of embryos classified

47 as aneuploid can potentially uncover new instances of mosaicism, but the
48 frequency of such blastocysts is currently unknown.

49

50 **Study design, size, duration:**

51 45 patients donated 100 blastocysts classified as uniform aneuploids (non-
52 mosaic) using PGT-A by NGS (n=93 whole chromosome aneuploids, n=7
53 segmental aneuploids). In addition to the original clinical TE biopsy used for
54 PGT-A, each blastocyst was subjected to an ICM biopsy as well as a second TE
55 biopsy. All biopsies were processed for chromosomal analysis by NGS, and
56 karyotypes compared to the original TE biopsy.

57

58 **Participants/materials, setting, methods:**

59 Single IVF center with in-house PGT-A program and associated research
60 laboratory.

61

62 **Main results and the role of chance:**

63 When one or more whole chromosomes were aneuploid in the clinical TE biopsy,
64 the corresponding ICM was aneuploid in 90 out of 93 blastocysts (96.8%). When
65 the clinical TE biopsy only contained segmental (sub-chromosomal)
66 aneuploidies, the ICM was aneuploid in 4 out of 7 cases (57.1%). Blastocysts
67 showing aneuploidy concordance between clinical TE biopsy and ICM were also
68 aneuploid in a second TE biopsy in 86 out of 88 cases (97.7%). In blastocysts

69 displaying clinical TE-ICM discordance, a second TE biopsy was aneuploid in
70 only 2 out of 6 cases (33.3%).

71

72

73 **Limitations, reasons for caution:**

74 All embryos in this study had an initial classification of 'aneuploid' and not
75 'euploid' or 'mosaic'. Therefore, the findings of this study refer specifically to a TE
76 biopsy predicting aneuploidy in the remaining blastocyst, and cannot be
77 extrapolated to deduce the ability of a TE biopsy to predict euploidy in the
78 blastocyst. No conclusions should be drawn from this study about a mosaic TE
79 biopsy's ability to predict the karyotype of the corresponding blastocyst. Caution
80 should be exercised in generalizing the findings of the sample group of this study
81 to the general IVF blastocyst population. The segmental aneuploidy group only
82 contains 7 samples.

83

84 **Wider implications of the findings:**

85 The high rate of intra-blastocyst concordance observed in this study concerning
86 whole chromosome aneuploidy contributes experimental evidence to the
87 validation of PGT-A at the blastocyst stage. Concomitantly, the results suggest
88 potential clinical value in reassessing blastocysts deemed aneuploid by TE re-
89 biopsy in select cases, particularly in instances of segmental aneuploidies. This
90 could impact infertility treatment for patients who only have blastocysts classified
91 as aneuploid by PGT-A available.

92

93 **Study funding/competing interest(s):**

94 This study was supported by the Zouves Foundation for Reproductive Medicine
95 and Zouves Fertility Center. The authors have no competing interest to disclose.

96

97 **Trial registration number:**

98 Not applicable

99

100 **Keywords:** Aneuploidy, Concordance, Blastocyst, PGT-A, Mosaic

101

102 INTRODUCTION

103

104 A number of clinical trials have reported improved IVF outcomes following the
105 vetting of embryos for chromosomal abnormalities (Forman et al., 2013; Rubio et
106 al., 2017; Scott et al., 2013; Yang et al., 2012), and yet the IVF community is still
107 debating the appropriate use of preimplantation genetic testing for aneuploidy
108 (PGT-A, previously called PGS) (Practice Committees of the American Society
109 for Reproductive et al., 2018; Sermon et al., 2016). Skeptics of the technology
110 condemn the assumption that a 5-10 cell biopsy is representative of the
111 remaining embryo (Esfandiari et al., 2016; Gleicher and Orvieto, 2017). Indeed,
112 the phenomenon of mosaicism, the condition of containing two or more cell lines
113 with distinct chromosomal content (Taylor et al., 2014), provides a biological
114 rationale for that concern. A karyotypic categorization of the trophectoderm (TE),
115 the precursor to the placenta, might therefore not always be predictive of the
116 inner cell mass (ICM), which gives rise to the fetus.

117 One of the potential consequences of misclassification of embryos during
118 PGT-A is the deselection of viable embryos when a blastocyst is deemed
119 aneuploid by TE biopsy but in fact contains a euploid ICM (Esfandiari et al.,
120 2016; Schoolcraft et al., 2017; Vera-Rodriguez and Rubio, 2017). Some patients
121 are only capable of producing embryos classified as aneuploid by PGT-A even
122 after repeated IVF cycles, particularly with advanced age (Franasiak et al., 2014).
123 Such cases invariably lead to the abandonment of infertility treatment.

124 Previous studies investigating rates of TE-ICM chromosomal concordance
125 (expertly reviewed by Capalbo and Rienzi), while extremely valuable, have relied
126 on limited sample sizes or methodologies that have recently been superseded by
127 higher resolution genetic testing platforms (Capalbo and Rienzi, 2017). Next
128 Generation Sequencing (NGS), has been heralded as a PGT-A technique with
129 superior sensitivity for chromosomal mosaicism compared to aCGH, qPCR or
130 SNP array (Fragouli et al., 2017; Harton et al., 2017; Maxwell et al., 2016; Munne
131 et al., 2017; Munne and Wells, 2017) and has also been reported as highly
132 effective in detecting segmental (i.e. sub-chromosomal) losses and gains with
133 higher precision than previous methods (Lai et al., 2017).

134 The purpose of this study was specifically to test the hypothesis that a
135 blastocyst embryo classified as aneuploid by NGS-based PGT-A correctly
136 predicts the ploidy of the ICM in the majority of cases. Furthermore, by analyzing
137 a second TE biopsy we determined the frequency of blastocysts originally
138 classified as aneuploid that could be redefined as mosaic by re-biopsy.

139

140

141 **MATERIALS AND METHODS**

142

143 **Embryos and clinical PGT-A analysis by NGS**

144

145 Blastocysts derived from patients seeking infertility treatment were
146 generated by in vitro fertilization and embryo culture as previously described
147 (Victor et al., 2017), and were evaluated using the Gardner system (Gardner and
148 Schoolcraft, 1999). As part of the embryo selection process, a clinical 5-10 cell
149 TE biopsy was collected and blastocysts were vitrified. The clinical TE biopsies
150 were subjected to whole genome amplification (WGA) with SurePlex reagents
151 (Illumina) followed by NGS-based PGT-A using Illumina's VeriSeq kit (Illumina)
152 on a MiSeq system (Illumina) according to the manufacturer's protocol and
153 described in detail elsewhere (Vera-Rodriguez et al., 2016). For quality control,
154 only samples satisfying the following cutoffs were used: number of Reads
155 Passing Filter: >0.25M; Average Q-Score: >30; Alignment Score: >30; DLR
156 (derivative log ratio): <0.4. Karyotype profiles were evaluated independently by
157 three analysts and consensus determined. Copy number variation (CNV) for
158 each chromosome was scored in Bluefuse Multi Analysis Software (Illumina)
159 according to guidelines defined by the Preimplantation Genetic Diagnosis
160 International Society (PGDIS), accessible at
161 'http://www.pgdis.org/docs/newsletter_071816.html': Profiles with copy number
162 scale values <1.2 and >2.8 were recorded as aneuploid, those with values

163 between 1.8 and 2.2 were recorded as euploid, and all others were recorded as
164 mosaic. These guidelines reflect the detection range of mosaicism by NGS PGT-
165 A, validated in various cell- and DNA-mixing experiments (Fragouli et al., 2017;
166 Maxwell et al., 2016; Munne et al., 2017; Munne and Wells, 2017). The resolution
167 of VeriSeq NGS is validated to detect segmental (sub-chromosomal)
168 aneuploidies of 20Mb or larger by the manufacturer, although detection of
169 regions down to 1.81Mb have been reported using this platform (Zheng et al.,
170 2015). In our center, we consider 'aneuploidy' to encompass both whole and
171 segmental chromosome abnormalities.

172 Supernumerary blastocysts classified as 'aneuploid' (no mosaics) by PGT-
173 A were donated to science by signed informed consent by 45 patients (average
174 age of 36.5 ± 5.7) and de-identified. This study was approved by the institutional
175 review board of the Zouves Foundation for Reproductive Medicine (OHRP
176 IRB00011505).

177

178

179 **ICM and Second TE Biopsy Collection and Analysis**

180

181 ICM biopsies were isolated from vitrified-warmed blastocysts as outlined in the
182 legend for Fig. 1A, basing the technique on a protocol described previously
183 (Taylor et al., 2016) but omitting the exposure of samples to $\text{Ca}^{2+}/\text{Mg}^{2+}$ -free
184 medium. Immediately following ICM biopsy an additional TE biopsy was
185 collected. All biopsies were washed three times to clear any loose cells or cellular

186 debris, and subsequently stored at -80°C until further processing. Biopsies were
187 subjected to NGS-based PGT-A (as detailed above), and results evaluated
188 independently by three analysts blinded to the analysis profile of the original,
189 clinically reported TE biopsy.

190 For transparency, all karyotype profiles of every biopsy analyzed in this
191 study are shown in the main or supplemental figures of the manuscript.

192

193

194 **Immunofluorescence**

195

196 Whole blastocysts or biopsies were immersed in fixation buffer containing 4%
197 paraformaldehyde (EMS #15710) and 10% fetal bovine serum (FBS) (Seradigm
198 1500-050) in phosphate buffered saline (PBS) (Corning MT21040CM) for 10
199 minutes (min) at room temperature (rt), followed by three 1 min washes at rt in
200 stain buffer, composed of 0.1% Triton X-100 (TX-100) (Sigma X100-100ML) and
201 10% FBS in PBS. Samples were then immersed in permeabilization buffer (0.5%
202 TX-100, 10% FBS in PBS) for 30 min at rt, followed by three washes in stain
203 buffer. Samples were then exposed to stain buffer containing both primary
204 antibodies each in 1:200 concentrations over night at 4°C rocking on a nutator.
205 Primary antibodies were mouse anti-human GATA3 (Thermo Fisher MA1-028)
206 and rabbit anti-human OCT4A (Cell Signaling #2890). The next day, after three
207 washes in stain buffer, samples were immersed in stain buffer containing both
208 secondary antibodies each in 1:500 concentrations for 2-3 hours at rt. Secondary

209 antibodies were goat anti-mouse IgG AlexaFluor488 (Thermo Fisher A11029)
210 and goat anti-rabbit IgG AlexaFluor647 (Thermo Fisher A21245). After three
211 washes in stain buffer, samples were exposed to nuclear stain (Hoechst 33342,
212 Thermo Fisher H3570) diluted at 1:1000 in stain buffer for 30 min at rt, followed
213 by three more washes in stain buffer. Samples were placed in glass bottom
214 dishes (MatTek P35G-1.5-20-C) in small drops of stain buffer overlaid with
215 mineral oil (Sigma M5904), and imaged with a LSM 780 Confocal microscope
216 (Zeiss).

217

218

219 **Analysis of tissue relatedness**

220 In cases of clinical TE-ICM karyotype discordance we confirmed tissue
221 relatedness by a DNA fingerprinting method that utilizes SNP analysis and
222 linkage disequilibrium known as 'Tilde' (Vohr et al., 2015). A full explanation of
223 the adaptation of this method to PGT-A samples with low coverage NGS is
224 detailed in Supplemental Data 1.

225

226 **Statistical analysis of correlation between morphology and karyotype** 227 **discordance**

228 Analysis and graph preparation were performed in Prism 6 (GraphPad).
229 Differences between groups were assessed by Chi-square test for trend with
230 95% confidence levels. Significance was defined when $P < 0.05$.

231

232

233 **RESULTS**

234

235 **Isolation of ICM and Second TE Biopsies**

236 We adopted a modified ICM-biopsy procedure previously outlined (Taylor
237 et al., 2016), which permitted us to collect an ICM biopsy and subsequently a
238 second TE biopsy in blastocysts (Fig. 1A and Video 1). Immunofluorescence was
239 used to confirm accurate isolation of intended cells. In whole blastocysts the
240 pluripotency factor OCT4 was present at high levels in the ICM and low levels in
241 the TE, while GATA3 was exclusively expressed in cells of the TE as previously
242 shown (Deglincerti et al., 2016). Analysis of matched TE-ICM biopsies from 12
243 blastocysts indicated that both biopsy types exclusively contained cells of their
244 intended lineage and were devoid of contamination from the other cell type (Fig.
245 1B and Supplemental Fig. 1). Nuclear counterstain by Hoechst did not reveal any
246 cells with fragmenting or apoptotic nuclear material, suggesting that the biopsy
247 technique did not disrupt individual cells (Fig. 1B and Fig. S1). On average, TE
248 biopsies comprised 7.6 cells (+/- 1.3 SD) while ICM biopsies comprised 7.3 cells
249 (+/- 2.0 SD).

250

251 **Clinical TE-ICM Biopsy Concordant Blastocysts**

252 Of the 100 blastocysts originally classified as aneuploid by clinical
253 (original) TE biopsy, 93 had ICMs that were also classified as aneuploid, which
254 we denote as aneuploid-aneuploid concordant (Fig. 2 and Table I). Importantly,

255 when only considering blastocysts with whole chromosomal aneuploidies (single
256 or multiple) in their clinical TE biopsies, aneuploidy in the ICM was present in 90
257 out of 93 cases (96.8%). On the other hand, when considering blastocysts with
258 only segmental (sub-chromosomal) aneuploidies in their clinical TE biopsies,
259 aneuploidy in the ICM was present in only 3 out of 7 cases (42.9%).

260 In aneuploid-aneuploid concordant blastocysts, analysis of second TE
261 biopsies showed aneuploidy in 86 out of 88 cases, equaling 97.7% (Table I). The
262 remaining two samples showed a mosaic pattern in their respective second TE
263 biopsies. In five samples a second TE biopsy could not be retrieved.

264 The 93 clinical TE-ICM aneuploid-aneuploid concordant blastocysts could
265 be further subdivided in two groups. 79 were blastocysts that had perfectly
266 matching karyotypes in the clinical TE and ICM biopsies (i.e., all the same
267 chromosomes possessed the same aneuploidies in both tissues), which we
268 denoted as aneuploid-aneuploid *perfect* concordant (Fig. 2, Table I, and for the
269 karyotypic profiles see Supplemental Fig. 2). Such instances are likely
270 consequences of meiotic errors, as the identical aneuploidy is present in both TE
271 and ICM tissues (see Supplemental Data 2 for more detailed interpretations).

272 The remaining 14 out of 93 blastocysts had dissimilar aneuploidies in the
273 clinical TE and ICM biopsies, which we denoted as aneuploid-aneuploid
274 *imperfect* concordant (Fig. 2, Table I, and for the karyotypic profiles see
275 Supplemental Fig. 2). Interestingly, most such blastocysts (10 out of 14) showed
276 the same aneuploid chromosome(s) in the ICM biopsy as the clinical TE biopsy

277 (presumed consequence of meiotic error), but contained additional mosaic
278 events in the ICM (resulting from mitotic error), often segmental in nature.

279 See Supplemental Data 2 for interpretations of chromosomal error
280 etiologies in samples of the aneuploid-aneuploid *imperfect* concordant group.

281

282 **Clinical TE-ICM Biopsy Discordant Blastocysts**

283 Of the 100 blastocysts tested, we observed two cases in which the clinical
284 TE biopsy was uniformly aneuploid but the ICM was mosaic (Fig. 2, Table I, and
285 for the karyotypic profiles see Fig. 3) Supplemental Data 2 contains more
286 detailed interpretations of their karyotypes.

287 Five out of 100 blastocysts had euploid ICMs while their clinical TE
288 biopsies contained aneuploidies (Fig. 2, Table I, and for the karyotypic profiles
289 see Fig. 3). Blastocyst #96 was the only case in which the clinical TE biopsy had
290 a whole chromosomal aneuploidy (gain of chromosome 12, note that the
291 karyotype profile enters into the 2.8-3.0 copy number region) but displayed
292 euploidy in the ICM as well as in the second TE biopsy.

293 The remaining four samples (blastocysts #97-#100) contained segmental
294 aneuploidies in their original TE biopsies, but euploid ICM biopsies. For
295 blastocyst #97, the clinical and second TE biopsies contained the same
296 segmental aneuploidy, thereby suggesting euploidy confined to the ICM. This
297 would be consistent with a mitotic event happening before or at the time of
298 lineage segregation but in the progenitor cell of a large part of the TE.
299 Blastocysts #98 and #99 displayed mosaicism in their respective second TE

300 biopsies, revealing the occurrence of mitotic errors in the TE lineage. For one
301 blastocyst (#100), the second TE biopsy did not yield results due to a failed WGA
302 reaction. (Global WGA failure rate for this study is 1 out of 221, or 0.4%). In total,
303 of the clinical TE-ICM discordant blastocysts (aneuploid-euploid or aneuploid-
304 mosaic) yielding information in the second TE biopsy, only 2 out of 6 (33.3%)
305 were uniformly aneuploid.

306 In cases of clinical TE-ICM biopsy discordance, there existed the
307 possibility of sample contamination or mislabeling. Notably, in the 100 embryos
308 tested, the sex chromosomes (XX or XY) were always concordant between
309 biopsies taken within the same blastocyst. Further, for each of the seven
310 blastocysts that produced discordant results, we performed DNA fingerprinting to
311 confirm that the clinical TE and ICM biopsies were derived from the same
312 respective embryos (Fig. 4 and Supplemental Fig. 3).

313 Finally, we determined whether poor blastocyst morphology impacted
314 karyotype discordance. The analysis indicated that neither blastocyst stage nor
315 ICM/TE grade affected the likelihood of intra-blastocyst karyotype inconsistencies
316 (Supplemental Fig. 4).

317

318

319

320 **DISCUSSION**

321

322 Some parties have argued that PGT-A should not be performed under any
323 circumstance and one of the criticisms of the technology questions whether a
324 clinical TE biopsy is a valid genetic representative of the embryo (Esfandiari et
325 al., 2016; Mastenbroek and Repping, 2014; Sermon et al., 2016). A study basing
326 its rationale on mathematical modeling has claimed that a typical TE cell biopsy
327 cannot determine embryo ploidy accurately enough for clinical use (Gleicher et
328 al., 2017). One of the ensuing concerns that has been expressed is the
329 possibility of erroneously discarding viable embryos (Practice Committees of the
330 American Society for Reproductive et al., 2018). Here however, we provide
331 experimental evidence using NGS that a TE biopsy classified as aneuploid is
332 commonly predictive of aneuploidy in the ICM. In our experience, a whole
333 chromosome aneuploidy in a clinical TE biopsy is predictive of aneuploidy in the
334 ICM in 96.8% of cases (sample size n=93), although for a segmental aneuploidy
335 this decreases significantly to 42.9% (n=7).

336 A blastocyst with an aneuploid TE and ICM due to meiotic error is in
337 principle exceptionally unlikely to result in healthy pregnancy (Adashi and
338 McCoy, 2017). Although various corrective mechanisms for aneuploidies in
339 human embryos have been proposed (differential proliferation/depletion,
340 preferential lineage allocation, self-correction) (Capalbo and Rienzi, 2017;
341 McCoy, 2017) and have also been conceptually demonstrated in mouse embryos
342 (Bolton et al., 2016) and human embryonic stem cells (hESC) (Munne et al.,

343 2005), most models describe the out-competition of aneuploid cells by euploid
344 cells in the mosaic setting, not the conversion of an entirely aneuploid embryo to
345 an entirely euploid one.

346 The observation that segmentals had a drastically different rate of clinical
347 TE-ICM discordance compared to whole chromosome aneuploids highlights the
348 difference in mechanistic origins of these two types of aneuploidies. Whole
349 chromosome aneuploidies can arise during meiosis or mitosis by different
350 mechanisms that include non-disjunction, anaphase lag, and endoreplication
351 (Taylor et al., 2014), but the majority are believed to be derived from meiotic
352 errors in the oocyte (Nagaoka et al., 2012). The majority of segmental
353 aneuploidies on the other hand are mitotic in origin and are thought to arise
354 during the first few cell divisions after fertilization (Babariya et al., 2017). Cell
355 cycle control is thought to be more lax during the first days of embryogenesis due
356 to rapid mitoses primarily controlled by maternal RNA and proteins, leading to an
357 increased incidence of double strand breaks which upon faulty correction
358 mechanisms result in segmental duplications or deletions when left unresolved
359 by a strained cell cycle machinery (Babariya et al., 2017). Consequently,
360 segmental aneuploidies will often be represented in mosaic configurations at a
361 whole blastocyst level, likely translating in the high TE-ICM discordance rate
362 observed for the segmental aneuploidy group in this study.

363 Out of 93 blastocysts with whole chromosome aneuploidies (single or
364 multiple) in a clinical TE biopsy, three embryos had a discordant ICM: two
365 contained mosaic ICM biopsies, and one had a euploid ICM. Consequently, the

366 karyotype of these three blastocysts should be re-classified from aneuploid to
367 mosaic, since on a whole embryo level they contained aneuploid and euploid
368 cells. This re-categorization would have changed the status of the blastocysts
369 from 'not recommended for transfer' to 'possible transfer if no euploid embryos
370 available'. Mosaic embryos have recently been considered for transfer in several
371 clinics, producing healthy pregnancies albeit with considerably lower implantation
372 rates than blastocysts classified as euploid (Fragouli et al., 2017; Lledo et al.,
373 2017; Munne et al., 2017; Spinella et al., 2018).

374 From a clinical standpoint, our findings may support re-biopsy of
375 blastocysts in patients that have only produced embryos classified as aneuploid
376 (particularly segmentals) by initial TE biopsy after repeated IVF cycles, an
377 occurrence that happens with relative frequency especially with advanced
378 maternal age (Franasiak et al., 2014). It could also affect those patients that have
379 unsuccessfully transferred their embryos classified as 'euploid' and 'mosaic', and
380 only have 'aneuploid' samples remaining. In our study, all blastocysts had an
381 initial, clinical TE biopsy that was uniformly aneuploid. When a second TE biopsy
382 was either mosaic or euploid, such a blastocyst had a 66% chance to contain an
383 ICM that was either mosaic or euploid as well. On the other hand, in cases where
384 the second TE biopsy was aneuploid, the ICM was mosaic in only 1.1% of cases,
385 and there were no euploid ICM instances. Therefore, our results suggest that TE
386 re-biopsy can reveal whether the ICM is mosaic or euploid, helping to identify
387 new blastocysts for possible clinical use that were originally not recommended
388 for transfer due to aneuploidy in the clinical TE biopsy. Importantly, while the act

389 of re-biopsy might negatively affect blastocysts, re-biopsied blastocysts can lead
390 to healthy pregnancies albeit with lower efficiency than single-biopsied
391 blastocysts (Bradley et al., 2017). Nevertheless, more research is necessary to
392 determine the short and long term effects of TE re-biopsy, and recommendation
393 of routine re-biopsy of blastocysts classified as aneuploid is undoubtedly
394 premature.

395 The confirmed existence of clinical TE-ICM discordant embryos could also
396 help explain the rare accounts of healthy pregnancies resulting from transfer of
397 embryos classified as aneuploid by PGT-A (Gleicher et al., 2016), although it
398 must be pointed out that to our knowledge, there exist no reports of such events
399 when using blastocyst stage NGS-based PGT-A.

400 It is important to note that our determined rates of clinical TE-ICM
401 concordance apply specifically to blastocysts classified as ‘uniform aneuploid’ (no
402 mosaics) by PGT-A. Having observed an overall 7% clinical TE-ICM discordance
403 rate in our samples, we cannot assume the inverse: that 7% of blastocysts
404 classified as euploid contain an aneuploid ICM. A further intriguing and clinically
405 important question is what a clinical TE biopsy showing mosaicism says about
406 the ICM. Unfortunately, our study cannot shed light on that point.

407 A further limitation of this study was that not all cells were analyzed for
408 intra-blastocyst karyotypic concordance. The ICM biopsies isolated (averaging
409 7.3 cells) collected the bulk of ICM cells but invariably left residual ICM cells
410 behind. On average, we collected 15 TE cells from the two combined TE biopsies
411 of each blastocyst, hence a substantial portion of the TE was left unanalyzed.

412 From the technical standpoint we were unable to isolate more cells from a
413 specific tissue without contamination from the other lineage. As a result,
414 instances of karyotype discordance could remain concealed.

415 While highly controversial, the concept of transferring embryos testing
416 aneuploid by PGT-A is a real subject of discussion in both scientific (Gleicher et
417 al., 2016) and mainstream media (Hall, 2017). The upheaval created by these
418 viewpoints has partly been bolstered by the yet unspecified capability of a single
419 clinical TE biopsy to reflect the state of the ICM and remaining TE. With regard to
420 this question our findings contribute experimental validation on the practice of
421 PGT-A at the blastocyst stage, considering the high intra-blastocyst aneuploidy
422 concordance rates, especially in the case of whole chromosome losses or gains.
423 If indeed the group of blastocysts analyzed in this study is representative of the
424 general body of IVF blastocysts, it would mean that when selecting an embryo
425 classified as 'aneuploid' by PGT-A for uterine transfer, it almost always contains
426 aneuploidy in the entire blastocyst. Unless robust self-correction mechanisms do
427 in fact exist, said embryo would invariable lead to failed implantation,
428 miscarriage, or chromosomally abnormal babies. Segmental aneuploidies on the
429 other hand are rarely concordant; if our observations are confirmed in a larger
430 sample group they should be regarded as their own distinct class when
431 prioritizing or de-selecting embryos for transfer in the clinic.

432

433

434

435 **Authors' Roles**

436 A.R.V., D.K.G., A.L., C.G.Z., F.L.B., R.C.M., and M.V. designed the experiments.

437 A.R.V., A.J.B., J.C.T. A.E.M., L.T.L. R.C.M., and M.V. performed the experiments.

438 A.R.V., D.K.G., F.L.B., and M.V. wrote the manuscript.

439 A.J.B., J.C.T. A.E.M., L.T.L., A.L., C.G.Z., and R.C.M edited the manuscript.

440

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443 ways, including sample storage, reagent preparation, and discussions.

444

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447 and Zouves Fertility Center.

448

449 **Conflict of Interest**

450 The authors have no conflict of interest to declare.

451

452 **FIGURE LEGENDS**

453

454 **Figure 1.**455 **Validation of biopsy and PGT-A methods used in the study**

456

457 **(A)** Stills from video depicting isolation of ICM biopsy in blastocysts.

458 The blastocyst is immobilized with a holding pipet touching the
459 polar TE (adjacent to the ICM), and laser pulses are
460 administered through the zona and mural TE opposite the ICM
461 creating an opening. A biopsy pipette is introduced and guided
462 to the ICM, which is suctioned out through the opening. Once a
463 portion of ICM cells are extracted past the zona, they are
464 exposed to laser pulses aimed at cell-cell junctions to isolate a
465 5-10 cell biopsy.

466

467 **(B)** Nuclear counterstain (Hoechst) and immunofluorescent stains
468 for the TE marker GATA3 and ICM marker OCT4 in a whole
469 human blastocyst and isolated TE and ICM biopsies. See
470 additional samples in Figure S1. Scale bars = 25µm.

471

472

473

474 **Figure 2.**

475 **Summary of paired clinical TE-ICM comparison results**

476

477 Dot plot displays results for all blastocysts, regardless of aneuploidy type.

478 Pie charts depict data stratified by nature of aneuploidy detected in the

479 original TE biopsy for each blastocyst.

480

481

482 **Figure 3.**

483 **NGS-based PGT-A karyotype profiles for biopsies in blastocysts with**
484 **discordant clinical TE-ICM patterns**

485

486 See Table I for the interpretation of each profile.

487

488

489 **Figure 4.**

490 **Log-likelihood ratios of relatedness between tissues in blastocysts**
491 **with clinical TE-ICM discordance**

492

493 In green, controls comparing biopsies from embryos derived from patients

494 expected to be unrelated, showing negative values. In red, comparisons

495 between biopsies from blastocysts derived from the same patient (full-

496 sibs) showing positive values. In purple, comparisons between clinical TE

497 and ICM biopsies for each blastocyst classified as discordant in the study,
498 showing highly positive log-likelihood ratios of relatedness.

499

500 **Supplemental Figure 1.**

501 **Validation of contamination-free ICM and TE biopsy technique**

502

503 Nuclear counterstain (Hoechst) and immunofluorescent stains for the TE
504 marker GATA3 and ICM marker OCT4 in matched isolated TE and ICM
505 biopsies. Numbers in nuclear stain panels indicate total number of cells for
506 each biopsy. Numbers in TE stain panels indicate incidence of cells
507 positive for GATA3. Numbers in ICM stain panels indicate incidence of
508 cells with high nuclear signal for OCT4. Scale bars = 25 μ m.

509

510

511 **Supplemental Figure 2.**

512 **Karyotype profiles analyzed in this study (continued from Fig. 3)**

513

514 Use Table I as a reference for resulting analysis.

515

516

517 **Supplemental Figure 3.**

518 **Genotypes of discordant blastocysts visualized in reference ancestry**
519 **space**

520

521 Supplemental Figure 4**522 Analysis of correlation between morphology and intra-blastocyst**
523 karyotype discordance

524 Blastocysts were evaluated using the Gardner system, that assigns a
525 number score for blastocyst expansion stage (1-6 from least to most
526 progressed), and letter scores for ICM and TE grades (C-A from worst to
527 best quality) (Gardner and Schoolcraft, 1999). Stage of blastocyst was
528 analyzed when there was any intra-blastocyst karyotype inconsistency (A),
529 when an inconsistency was specific to the ICM (B), and when an
530 inconsistency was specific to the TE (C). Grade of the ICM was analyzed
531 when there was any karyotype inconsistency between clinical TE biopsy
532 and the ICM (D). Grade of the TE was analyzed when there was any
533 karyotype inconsistency between clinical TE and second TE biopsy (E).
534 Note that in graphs A, C, and D, sample numbers do not add up to 100
535 because in six cases the second TE biopsy was not processed (in five
536 cases the biopsy could not be collected, and in one case there was a
537 failed WGA reaction.

538

539

540 **TABLE LEGENDS**

541

542 **Table I.** List of blastocysts, clinical TE, ICM, and second TE biopsies analyzed in
543 this study.

544

545

546 **Supplemental Table I.** Blastocysts and assigned 1000 Genomes super-
547 populations.

548

549 **Supplemental Data 1.** Adaptation of 'Tilde' to PGT-A samples with low coverage
550 NGS.

551

552 **Supplemental Data 2.** Additional interpretations of chromosomal error etiologies
553 in blastocysts.

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693

TABLE I

Clinical TE-ICM Aneuploid-Aneuploid Perfect Concordant			
Blastocyst Study id#	Gardner Grade	Clinical TE Biopsy	ICM Biopsy
1 4BB		45.XY,-4	45.XY,-4
2 4BB		45.XX,-7	45.XX,-7
3 5BB		45.XX,-8	45.XX,-8
4 3AB		45.XY,-8	45.XY,-8
5 5BC		45.XX,-10	45.XX,-10
6 4BB		45.XY,-11	45.XY,-11
7 5BB		45.XX,-13	45.XX,-13
8 5BC		45.XY,-13	45.XY,-13
9 5BC		45.XY,-14	45.XY,-14
10 4BB		45.XY,-14	45.XY,-14
11 2BB		45.XX,-15	45.XX,-15
12 4CB		45.XX,-15	45.XX,-15
13 3BB		45.XX,-16	45.XX,-16
14 4BC		45.XX,-16	45.XX,-16
15 4BB		45.XX,-16	45.XX,-16
16 4BB		45.XY,-16	45.XY,-16
17 5CC		45.XY,-16	45.XY,-16
18 5CC		45.XX,-17	45.XX,-17
19 5AB		45.XY,-18	45.XY,-18
20 4BB		45.XX,-21	45.XX,-21
21 5BB		45.XX,-21	45.XX,-21
22 4CB		45.XY,-21	45.XY,-21
23 5BB		45.XX,-22	45.XX,-22
24 4BB		45.XX,-22	45.XX,-22
25 5BB		45.XY,-22	45.XY,-22
26 4BB		45.XY,-22	45.XY,-22
27 4AA		45.XY,-22	45.XY,-22
28 4BB		45.X	45.X
29 5BC		47.XX,+1	47.XX,+1
30 4BC		47.XY,+4	47.XY,+4
31 4AB		47.XX,+13	47.XX,+13
32 3BB		47.XX,+13	47.XX,+13
33 5AA		47.XY,+15	47.XY,+15
34 4CB		47.XX,+16	47.XX,+16
35 3AB		47.XX,+16	47.XX,+16
36 5BB		47.XX,+16	47.XX,+16
37 5BB		47.XY,+16	47.XY,+16
38 4BB		47.XY,+16	47.XY,+16
39 4BC		47.XY,+16	47.XY,+16
40 5BB		47.XY,+17	47.XY,+17
41 4BB		47.XY,+18	47.XY,+18
42 4CB		47.XY,+18	47.XY,+18
43 5BB		47.XY,+19	47.XY,+19
44 5AB		47.XX,+20	47.XX,+20
45 4BC		47.XX,+21	47.XX,+21
46 5CB		48.XX,+21(x2)	48.XX,+21(x2)
47 4BB		47.XX,+22	47.XX,+22
48 4AA		47.XX,+22	47.XX,+22
49 5AB		47.XX,+22	47.XX,+22
50 4BB		47.XY,+22	47.XY,+22
51 5CC		47.XY,+22	47.XY,+22
52 4BC		47.XY,+22	47.XY,+22
53 3CC		47.XY,+22	47.XY,+22
54 3BB		44.XY,-10,-22	44.XY,-10,-22
55 4BB		46.XX,-12,+16	46.XX,-12,+16
56 4CC		44.XX,-14,-19	44.XX,-14,-19
57 4BB		44.XY,-18,-21	44.XY,-18,-21
58 4BC		46.XY,-19,+22	46.XY,-19,+22
59 5BB		46.XY,-21,+22	46.XY,-21,+22
60 5CB		48.XY,+2,+3	48.XY,+2,+3
61 4BB		46.XY,+3,-22	46.XY,+3,-22
62 4AA		48.XX,+5,+9	48.XX,+5,+9
63 4AC		46.XX,+6,-15	46.XX,+6,-15
64 5BB		48.XY,+8,+16	48.XY,+8,+16
65 3BB		48.XX,+9,+16	48.XX,+9,+16
66 3BB		48.XX,+9,+22	48.XX,+9,+22
67 4BB		48.XX,+10,+18	48.XX,+10,+18
68 5AB		48.XY,+16,+21	48.XY,+16,+21
69 5BB		46.XX,+18,-22	46.XX,+18,-22
70 3BA		45.XX,-11,+12,-21	45.XX,-11,+12,-21
71 4CB		47.XY,+1,-13,+21	47.XY,+1,-13,+21
72 3BB		47.XY,+11,+18,-20	47.XY,+11,+18,-20
73 5BC		47.XY,+14,-15,+19	47.XY,+14,-15,+19
74 5BC		49.XX,+16,+18,+19	49.XX,+16,+18,+19
75 4AB		48.XX,-2,+3,+9,+22	48.XX,-2,+3,+9,+22
76 5BA		46.XY,+3,+4,-18,-21	46.XY,+3,+4,-18,-21
77 5CB		47.XY,+16,del(20)(q13.2q13.33)	47.XY,+16,del(20)(q13.2q13.33)
78 4AB		46.XY,del(6)(q16.1q27)	46.XY,del(6)(q16.1q27)
79 4BC		46.XX,del(1)(q43q44)	46.XX,del(1)(q43q44)

Clinical TE-ICM Aneuploid-Aneuploid Imperfect Concordant

Blastocyst Study id#	Gardner Grade	Clinical TE Biopsy	ICM Biopsy	Second TE Biopsy
80 4AC		45.XX,-14	45.XX,-14,del(1)(p36.32p36.12)*	45.XX,-14
81 4BB		45.XY,-16	45.XY,-16,del(2)(p25.3p23.1)*	45.XY,-16
82 4AA		45.XY,-18	45.XY,-18,del(2)(q23.3q37.3)*	45.XY,-18
83 5BA		45.XY,-21	45.XY,-21,del(18)(p11.32q12.1)*	45.XY,-21
84 3BC		45.XX,-22	45.XX,-15*,22	45.XX,-22
85 4BC		45.X	45.X,+20*,+21*	45.X,+2*,+14*
86 4BB		47.XX,+16	47.XX,+16,del(5)(q12.1q35.1)*	47.XX,+16
87 5BB		47.XY,+20	47.XY,+20,del(1)(p36.33p33)*	47.XY,+20
88 5CC		46.XX,-10,+15	46.XX,-10*,+15,+17	46.XX,-10,+15
89 4BC		44.XX,-15,-22	44.XX,-15,+18*,22,del(1)(q12q44)*	44.XX,-15,-22
90 5BB		44.XY,-21,-22	44.XY,-21,-22,del(1)(p36.33p33)*	44.XY,-21,-22
91 5BC		47.XX,+4,+9,-13	47.XX,+3*,+4*,+7*,+9,-13	47.XX,+3*,+4*,+7*,+9,-13
92 5CC		47.XX,+20,del(2)(p25.3p24.1)	47.XX,+20,del(2)(p25.3p24.1)*	47.XX,+20,del(2)(p25.3p24.1)
93 5BB		46.XX,del(16)(p13.3p11.2)	45.XX,-16	46.XX,del(16)(p13.3p11.2),dup(16)(p11.2p24.3)*

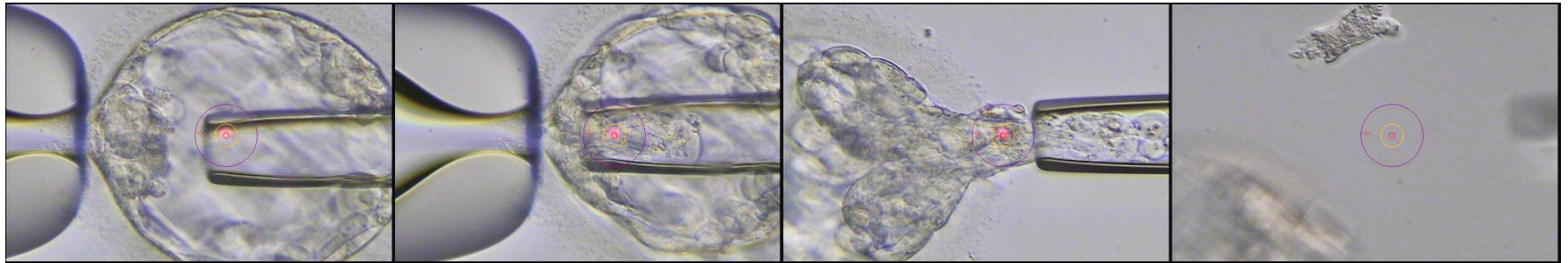
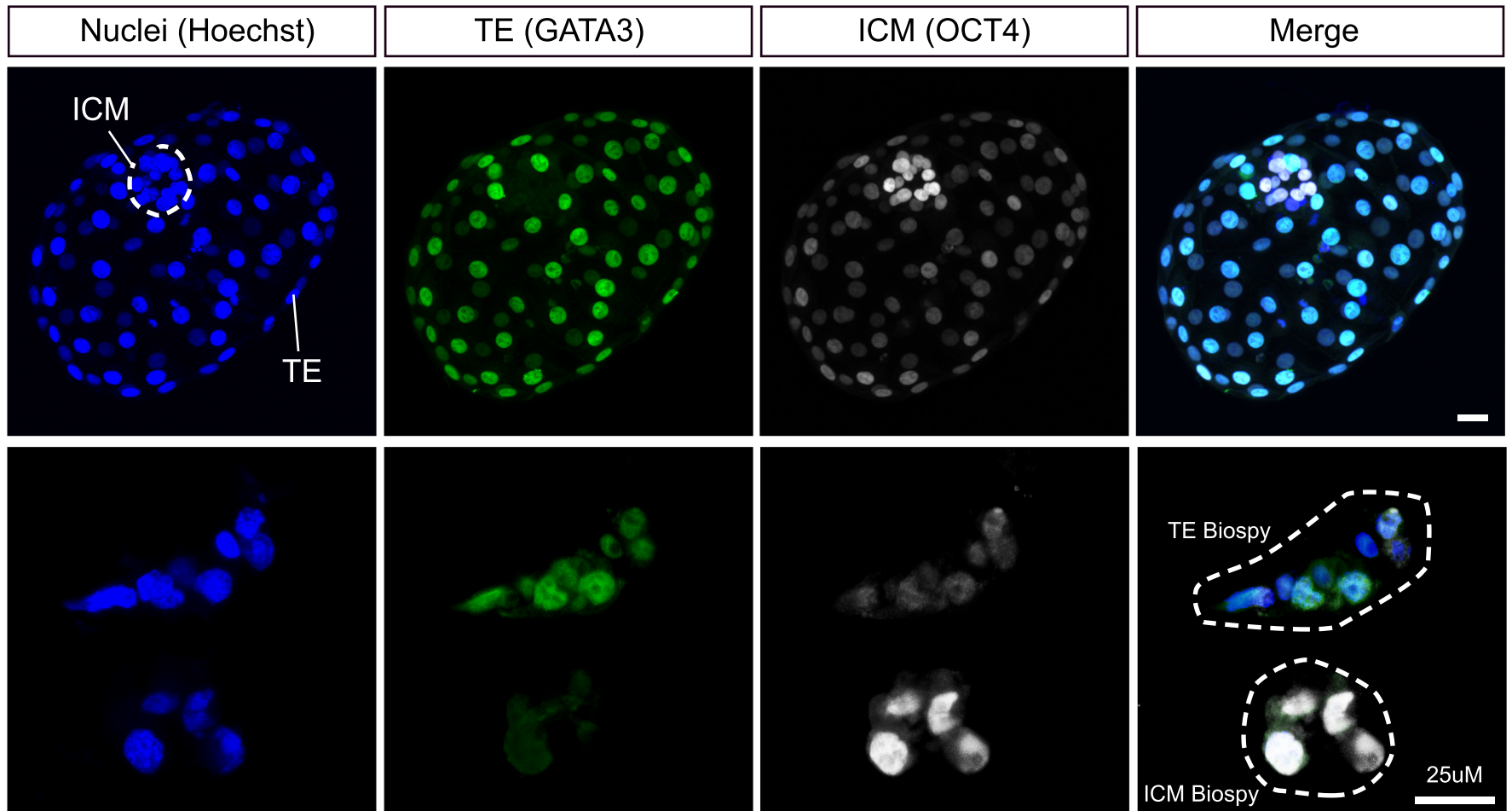
Clinical TE-ICM Aneuploid-Mosaic Discordant

Blastocyst Study id#	Clinical TE Biopsy	ICM Biopsy	Second TE Biopsy
94 4BC	45.XY,-19	46.XY,-19*	45.XY,-19
95 5AB	47.XY,+6	46.XY,del(4)(q32.1q35.2)*	46.XY

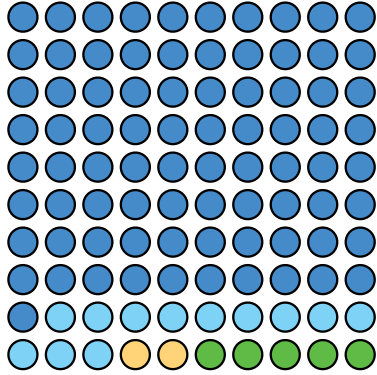
Clinical TE-ICM Aneuploid-Euploid Discordant

Blastocyst Study id#	Clinical TE Biopsy	ICM Biopsy	Second TE Biopsy
96 4AB	47.XX,+12	46.XX	46.XX
97 4BB	46.XX,del(2)(q22.1q31.1)	46.XX	46.XX,del(2)(q22.1q31.1)
98 4BB	46.XY,del(9)(q26.2q29)(x2)	46.XY	46.XY,del(9)(q26.2q29),dup(22)(q11.1q13.31)*
99 4AB	46.XX,del(9)(q12q34.3)	46.XX	46.XX,del(9)(q12q34.3)*
100 3BB	46.XX,del(11)(q23.2q25)	46.XX	46.XX,del(11)(q23.2q25)

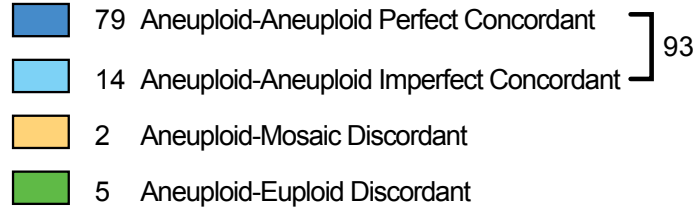
* MOSAIC

A**B**

All Blastocysts

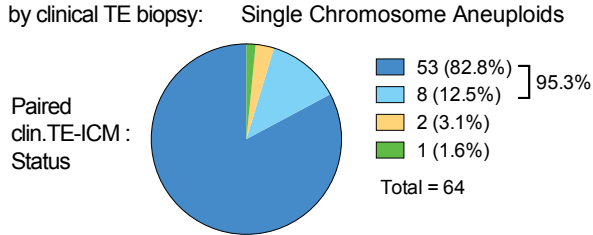


Paired clinical TE-ICM Biopsy Status

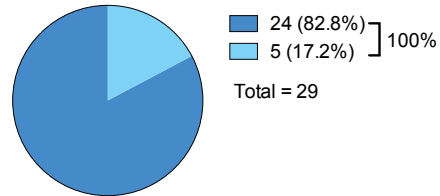


Total = 100

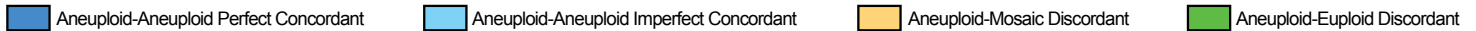
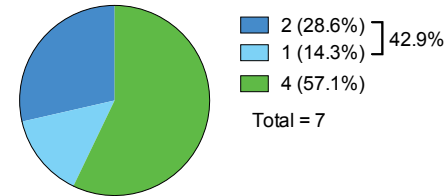
Original Classification
by clinical TE biopsy:



Multiple Chromosome Aneuploids



Segmental Aneuploids



Clinical TE-ICM Biopsy
Aneuploid-Mosaic Discordant

Clinical TE-ICM Biopsy
Aneuploid-Euploid Discordant

94

95

96

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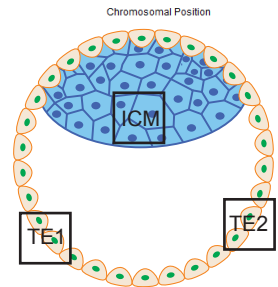
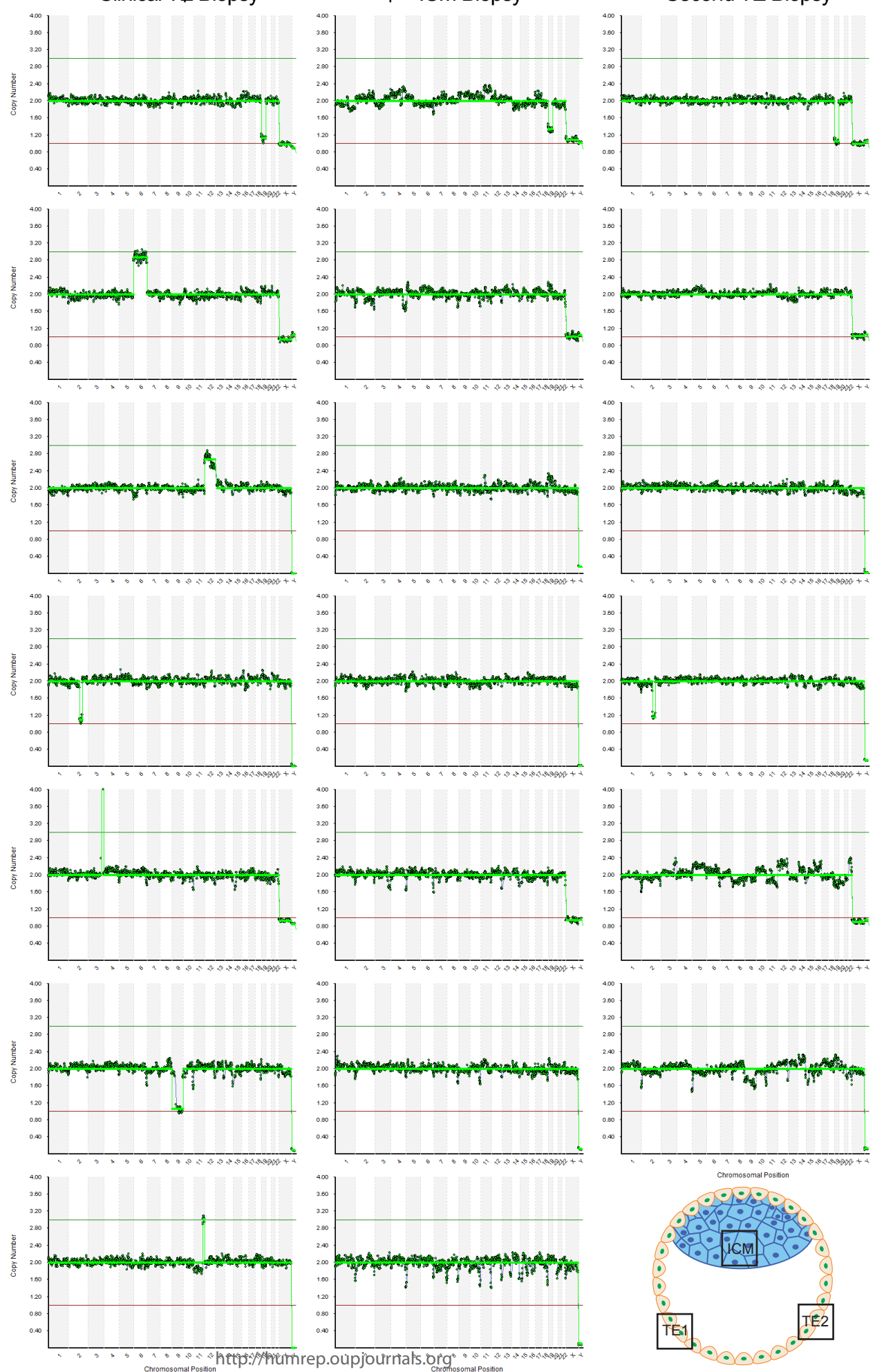


FIG3

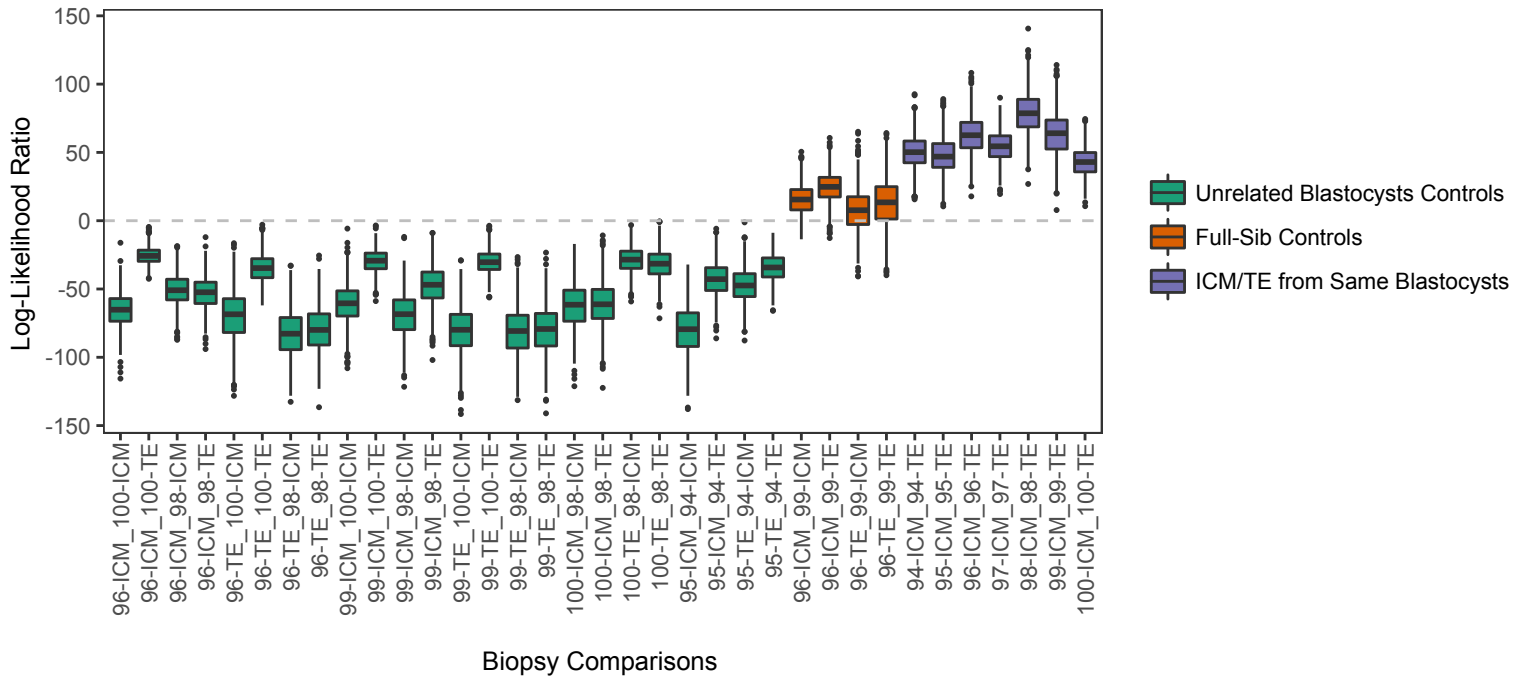
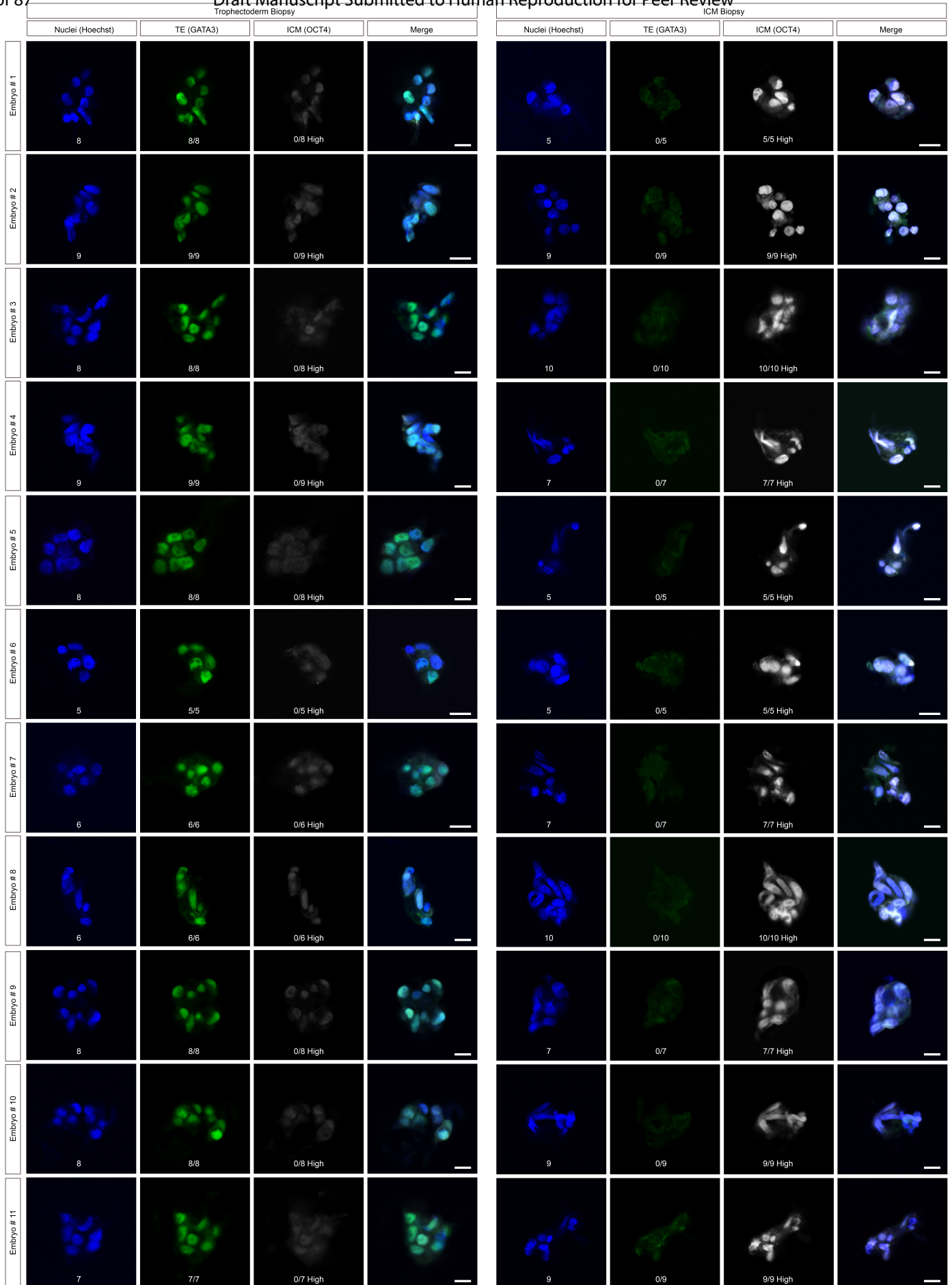
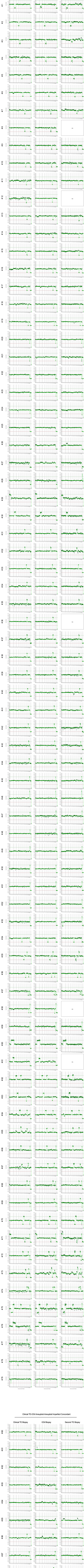


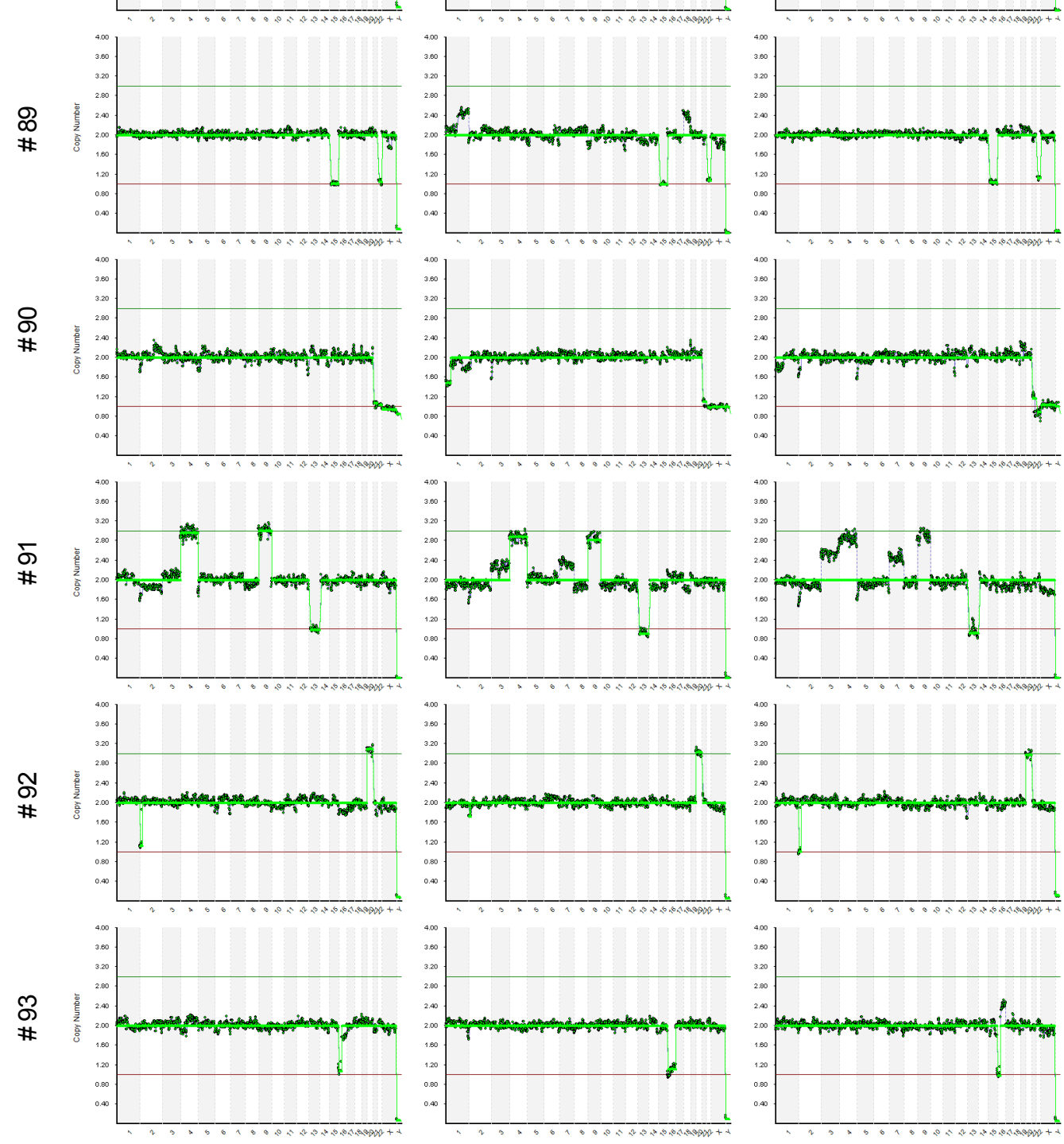
FIG4

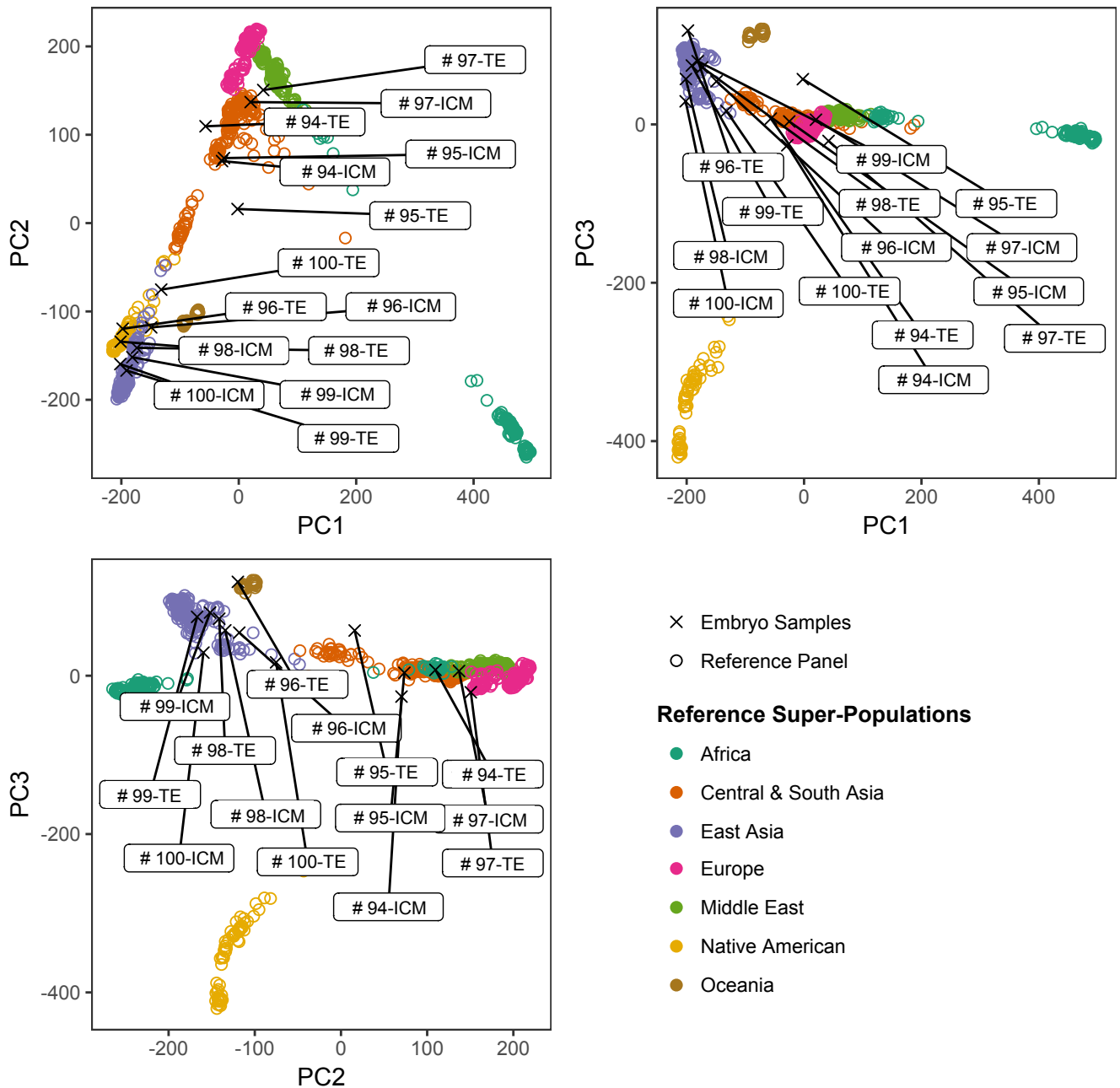


Clinical TE-ICM Aneuploid-Aneuploid Perfect Concordant



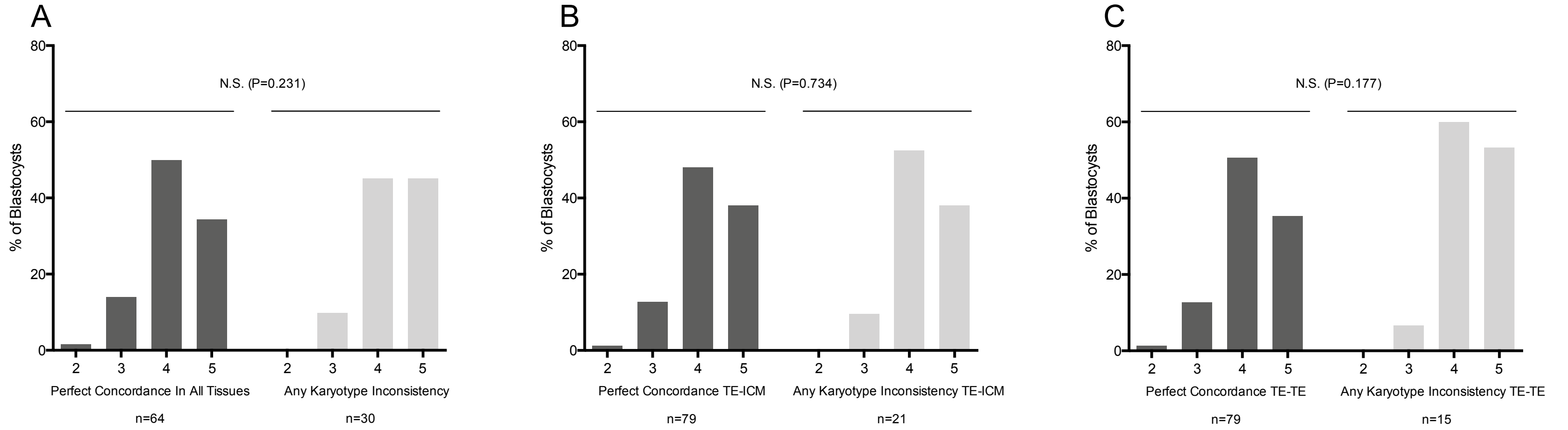
Clinical TE-ICM Aneuploid-Aneuploid Imperfect Concordant



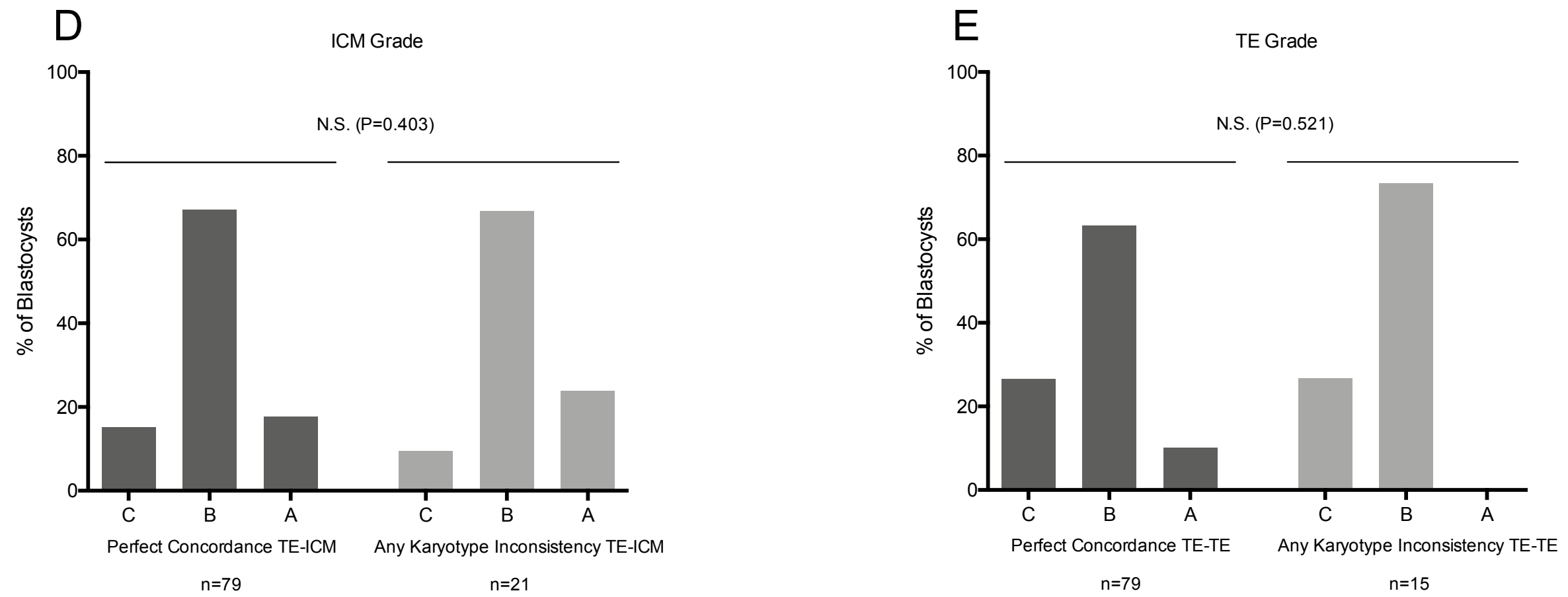


Supplemental FIG3

Blastocyst Stage



Grade



Supplemental Table I.

Blastocyst ID	Primary Ancestry		1000 Genomes Reference Panel Super-Population
	Composition of K = 10 Nearest Neighbors		
	ICM	TE	
#94	C/S Asia	C/S Asia	SAS
#95	C/S Asia	C/S Asia	SAS
#96	East Asia	East Asia	EAS
#97	Europe	Middle East	EUR
#98	East Asia	East Asia	EAS
#99	East Asia	East Asia	EAS
#100	East Asia	East Asia	EAS

Supplemental Data 1

Analysis of tissue relatedness

'Tilde' (Vohr et al., 2015) was used to rule out sample cross-contamination or mislabeling and infer, based on low-coverage sequencing data, whether ICM and TE biopsies were derived from the same blastocyst. This method facilitates indirect comparison of low-coverage samples based on the principle that sparse observed genotypes are informative of genotypes at nearby unobserved markers due to patterns of linkage disequilibrium (LD) in the population.

Reads were mapped to the hg19 reference using the BWA (version 0.7.17) backtrack algorithm with default parameters (Li and Durbin, 2009). We then used the LASER method (version 2.04; (Wang et al., 2015)) to select the appropriate ethnically matched 1000 Genomes Project super-population (Genomes Project et al., 2015) for each blastocyst, as required by tilde. LASER combines genotype imputation with principal components analysis to infer individual ancestry based on low-coverage sequence data. Blastocyst genotypes were visualized in reference ancestry space defined by principal components analysis of the HGDP reference panel (Supplemental Fig. 4; (Li et al., 2008)). Blastocysts were then assigned to corresponding 1000 Genomes super-populations based on ancestries of the K=10 nearest neighbor reference samples in principal components space (Supplemental Table I). In the case of

blastocyst #97, whose ICM and TE biopsies were assigned to European and Middle Eastern reference populations, respectively, we selected the European super-population as the reference panel. We note that these populations fall close to one another in space defined by the top three principal components. Furthermore, Vohr et al. (2015) demonstrated that tilde is relatively robust to misspecification of the reference panel.

Tilde computes a log-likelihood ratio comparing a model in which two samples are derived from the same individual (i.e., same embryo) to a model in which two samples are derived from unrelated individuals (i.e., different embryos). Positive log-likelihood ratios indicate that the data support the former model, while negative log-likelihood ratios indicate that the data support the latter model. Bootstrapping was performed to generate distributions and assess uncertainty in log-likelihood ratio estimates.

As controls, we applied this method to twenty-four comparisons of presumed unrelated embryos as well as four comparisons of full sibling (full-sib) embryos obtained from the same patient. Results from the unrelated negative controls supported the capacity of tilde to distinguish these samples, reflected by negative distributions of log-likelihood ratios (Fig. 4). For all seven embryos producing discordant TE-ICM results, the data supported a model in which the samples were derived from the same corresponding embryo, reflected by positive distributions of log-likelihood ratios. Meanwhile, the full-sib samples from the same patient also produced positive distributions of log-likelihood ratios, but intermediate between the unrelated and same-embryo comparisons, supporting

the power of tilde to distinguish varying levels of relatedness. Together, our data suggest no evidence of cross-contamination or sample mislabeling and substantiate the conclusion that the TE and ICM biopsies of discordant karyotype were derived from the same respective embryos. More generally, our analysis demonstrates that methods such as tilde, which take advantage of patterns of LD in the population, can be useful for research on low-coverage sequencing-based PGT-A datasets.

Supplemental Data 1 Bibliography

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