

Kent Academic Repository

Wilkinson, David T., Ferguson, Heather J. and Worley, Alan (2012) *Galvanic Vestibular Stimulation Modulates the Electrophysiological Response During Face Processing.* Visual Neuroscience, 29 (4-5). pp. 255-262. ISSN 0952-5238.

Downloaded from

https://kar.kent.ac.uk/29391/ The University of Kent's Academic Repository KAR

The version of record is available from

This document version UNSPECIFIED

DOI for this version

Licence for this version UNSPECIFIED

Additional information

Versions of research works

Versions of Record

If this version is the version of record, it is the same as the published version available on the publisher's web site. Cite as the published version.

Author Accepted Manuscripts

If this document is identified as the Author Accepted Manuscript it is the version after peer review but before type setting, copy editing or publisher branding. Cite as Surname, Initial. (Year) 'Title of article'. To be published in *Title of Journal*, Volume and issue numbers [peer-reviewed accepted version]. Available at: DOI or URL (Accessed: date).

Enquiries

If you have questions about this document contact ResearchSupport@kent.ac.uk. Please include the URL of the record in KAR. If you believe that your, or a third party's rights have been compromised through this document please see our Take Down policy (available from https://www.kent.ac.uk/guides/kar-the-kent-academic-repository#policies).

Galvanic vestibular stimulation modulates the electrophysiological response during face processing

DAVID WILKINSON, 1 HEATHER J. FERGUSON, 1 AND ALAN WORLEY2

¹School of Psychology, Keynes College, University of Kent, Canterbury, Kent, UK

(RECEIVED September 28, 2011; Accepted May 3, 2012; First Published Online June 15, 2012)

Abstract

Although galvanic vestibular stimulation (GVS) is known to affect the speed and accuracy of visual judgments, the underlying electrophysiological response has not been explored. In the present study, we therefore investigated the effect of GVS on the N170 event-related potential, a marker commonly associated with early visual structural encoding. To elicit the waveform, participants distinguished famous from nonfamous faces that were presented in either upright or inverted orientation. Relative to a sham, stimulation increased the amplitude of the N170 and also elevated power spectra within the delta and theta frequency bands, components that have likewise been associated with face processing. This study constitutes the first attempt to model the effects of GVS on the electrophysiological response and, more specifically, indicates that unisensory visual processes linked to object construction are influenced by vestibular information. Given that reductions in the magnitude of both the N170 event-related potential and delta/theta activity accompany certain disease states, GVS may provide hitherto unreported therapeutic benefit.

Keywords: Transcranial stimulation, Event-related potential, Face perception, Multisensory processing

Introduction

The vestibular nerves convey information from the balance organs about the movement and spatial position of the head (see Fitzpatrick & Day, 2004). Artificial stimulation of these nerves via the delivery of galvanic current to the overlying mastoid processes, a procedure known as galvanic vestibular stimulation (GVS) (Coats, 1972), fools the brain into believing that the head has moved, which in turn triggers broad changes in cerebral blood flow. Preliminary evidence indicates that GVS can improve the speed and accuracy of visual performance in both neurologically healthy individuals and those who show impairment following brain injury. In one recent study, GVS speeded the rate at which healthy volunteers recalled the details of unfamiliar faces (Wilkinson et al., 2008), while in another study, GVS dramatically elevated the accuracy with which a brain injured individual could detect differences between simultaneously presented faces (Wilkinson et al., 2005). Other studies indicate that GVS can reduce pathological biases in spatial attention (Rorsman et al., 1999; Saj et al., 2006) and help overcome deficits in configural processing (Wilkinson et al., 2010). Although these results indicate that GVS can provide an effective means of facilitating visual performance, the underlying electrophysiological response has not been investigated.

General insight into how GVS affects the brain's physiological activity has been gleaned from functional magnetic resonance imaging (fMRI) and positron emission tomography (PET), which show a relative deactivation of occipital cortex and activation of visual extrastriate cortex during GVS (Bense et al., 2001). These

Address correspondence and reprint requests to: David Wilkinson, School of Psychology, Keynes College, University of Kent, Canterbury, Kent, CT2 7NP, UK. E-mail: dtw@kent.ac.uk

changes have been taken to reflect an attempt to counter the sensory mismatch between incoming visual and vestibular inputs. At a unisensory level, however, it remains unclear if and how these activation patterns affect the construction of visual representations. That is, whether vestibular information is used by the visual system to form sensory percepts. Other cross-modal studies have already shown that visual representations incorporate coincident information from the auditory and tactile modalities (see Lacey & Sathian, 2011), and it remains to be established if the same holds for vestibular information. One reason for this lack of understanding is that previous imaging studies did not actively engage participants in a visual task. Participants instead lay passively in near darkness with the consequence that it was not possible to associate the observed patterns of brain activity with particular aspects of visual information processing. A second drawback of these previous studies is that participants received supersensory levels of stimulation. This made it difficult to disentangle the bottom-up effects of stimulation from those induced by top-down changes in attentional set. Third, the limited temporal sensitivity of fMRI and PET would have concealed any spontaneous changes in neural activity that occurred during GVS.

In light of the above, the aim of the present study was to investigate the effects of subsensory GVS on the encephalographic activity of healthy volunteers while they performed a visual task. Given that GVS has been shown to affect visual discrimination, we were particularly interested in whether it affected the N170 event-related component, a fine-grained temporal dynamic strongly associated with visual structural encoding (see Bentin et al., 1996). This waveform usually occurs 150–200 ms after stimulus presentation and is produced by early processes that precede identification. The N170 can be

²Department of Clinical Neurophysiology, Great Ormond Street Hospital for Children, London, UK

modulated by object category (i.e., faces *vs.* objects *vs.* words), low-level featural differences (luminance, contrast), and, especially in the case of faces, by spatial orientation (i.e., upright *vs.* inverted) (see Rossion & Jacques, 2008). If it could be shown that GVS affects the amplitude of this time-locked waveform, then we would have moved beyond the findings of previous fMRI/PET experiments to show that GVS can bring about rapid changes in neural activity associated with visual perceptual recovery.

A second less important aim was to document the effects of GVS on more distributed forms of neuronal activity that invoke oscillations within the delta, theta, alpha, and beta frequency bands. The power spectra within these bands can be used to signal certain disease states and are also affected by the current demands of visual behavior (see Dockree & Robertson, 2011). One recent study indicates that the perception and recognition of visual stimuli are particularly associated with changes to delta and theta responses (Başar et al., 2006) so we were especially interested in whether these can be altered by GVS. More generally, imaging studies show that vestibular stimulation upregulates activity within the reticular activating system, the brain's core arousal system (Bense et al., 2001). This subcortical system sends fibers to many regions of cortex (see Purpura & Schiff, 1997) and is believed to coordinate activity across attentional and perceptual systems (Schiff & Pulver, 1999). If vestibular inputs play a significant role in arousal, then during GVS, we might expect to see increased power across those cortical sites strongly linked to attention and awareness.

To test the above hypotheses, we administered GVS to neurologically healthy participants, while electroencephalographic (EEG) recordings were concurrently performed. To elicit the N170, pictures of famous/nonfamous faces were randomly presented in either upright or inverted orientation. Given that changes in the magnitude of the N170 are not usually associated with changes in the speed or accuracy with which famous and nonfamous faces are distinguished, we did not expect GVS to alter the efficiency of behavioral response. At this stage, such overt changes seemed less important than utilizing a manipulation that is known to robustly elicit the waveform (i.e., stimulus inversion) and which in turn would provide a clear brain response for GVS to modulate. If robust electrophysiological changes could be induced, then subsequent studies could look for the behavioral correlates of any N170 modulation.

Participants viewed the faces across four blocks of trials. Blocks 1 and 4 served as pre- and poststimulation baselines and involved the administration of sham stimulation in which participants were falsely informed that they were receiving subsensory (i.e., undetectable) levels of stimulation. In blocks 2 and 3, subsensory and supersensory stimulation was administered (the order of which was counterbalanced). Subsensory intensities provided a simple means of blinding participants to the presence/absence of stimulation and are those most commonly used to facilitate behavioral performance (Wilkinson et al., 2005, 2008, 2010). By administering separate blocks of supersensory stimulation, it was possible to determine whether higher, albeit still tolerable, levels of current invoked stronger electrophysiological responses. To control for any order effects, a separate group of participants received sham stimulation in blocks 2 and 3.

Materials and methods

Participants

Six male and 10 female right-handed (as determined by the Handedness Questionnaire of Briggs & Nebes, 1975) participants (age 19–31 years) were recruited from the University of Kent in return for

payment. At enrollment, participants were randomly assigned in equal number to either the active or sham stimulation group.

Stimuli

Stimuli consisted of 80 famous faces and 80 nonfamous faces, equally balanced for gender. The face images were cropped and sized at 170×213 pixels and displayed in grayscale on a black background, with screen resolution set to 1024×768 pixels. Each face was presented twice during the experiment, once in upright and inverted orientation.

Procedure

Following GVS and EEG electrode application, participants were seated in a comfortable chair in an isolated testing lab. The experiment was controlled using E-prime software. As illustrated in Fig. 1, each trial began with the presentation of a single centrally located white fixation cross on a black background for 1000 ms. After this time, the target face appeared on-screen until a response was made. Participants' task was to indicate as quickly and accurately as possible whether the pictured face depicted a famous or nonfamous person. Responses were made by means of left/right keyboard responses, with the mapping between response type and response key counterbalanced across participants. A blank black screen appeared for 500 ms before the next trial was initiated. Trials were presented in a random order across four blocks, and at least, one block intervened between presentation of the same face at upright and inverted orientation. Thus, each block consisted of 80 face targets, 40 upright and 40 inverted, producing a total number of 320 experimental trials. Each block was separated by a 5-min break.

Participants in the active stimulation group received bipolar binaural direct current to the left (anode) and right (cathode) mastoids during blocks 2 and 3 of the experiment, the order of which was counterbalanced. Stimulation during these blocks occurred at both a subsensory (0.4 mA) and supersensory (1–1.2 mA) level (see Wilkinson et al., 2008 for details of the stepwise procedure of how sensory threshold was established. Stimulation was considered supersensory at the stage that either cutaneous sensation was felt under the GVS electrodes or participants reported vertigo, feeling of self-motion, or light-headedness). For those participants allocated to the active group, sham stimulation, in which participants were falsely informed that they were receiving stimulation, was applied during block 1 to

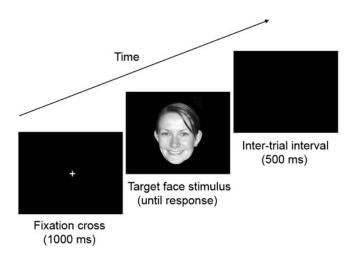


Fig. 1. Schematic diagram of the experimental procedure.

establish a baseline and during block 4 to assess carryover. Participants in the sham stimulation group were informed at the outset that they would receive subsensory stimulation but in fact were not stimulated at all.

Electrophysiological recording and analysis

A QuickAmp amplifier system and Brain Vision recording software were used for continuous recording of EEG activity from 19 Ag/AgCl electrodes over midline electrodes Fz, Cz, and Pz, over the left hemisphere from electrodes Fp1, F3, F7, C3, T7, P3, P7, O1, and from the homologue electrodes over the right hemisphere. Eve movements and blinks were recorded with two additional sets of bipolar Ag/AgCl electrodes placed above and below the participants' left eye (VEOG) and on the outer cathus of each eye (HEOG). During EEG recording, all electrodes were sampled at 500 Hz and electrode impedances were kept below 10 k Ω . Off-line, all EEG channels were recalculated to an average reference¹, corrected for eye movements, and band-pass filtered (0.3-30 Hz, 24 dB/oct). Epochs of 900 ms, starting 100 ms prior to the onset of the target face, were generated from the continuous EEG record. Any trials containing artifacts, e.g., muscle activity or electrooculography (EOG), were excluded from analysis. The resulting event-related potential (ERP) waveforms were aligned to a 100-ms baseline. Finally, the signal at each electrode site was averaged separately for each experimental condition, time-locked to the onset of the target face.

Peak amplitudes were identified on a trial-by-trial basis for P1 (between 90 and 140 ms) and N170 (between 150 and 200 ms) and statistical tests were carried out at electrodes T7, T8, P3, P4, P7, P8, O1, and O2, where these effects have been shown to be maximal. Statistical analyses examined peak amplitudes for each component separately using mixed-design analysis of variance (ANOVA) with stimulation block (block 1 vs. block 2/3 vs. block 4)², face orientation (upright vs. inverted), electrode site (T7/8 vs. P3/4 vs. P7/8 vs. O1/2) and hemisphere as the within-subjects factors, and stimulation group (active vs. sham) as the between-subjects factor. Greenhouse–Geisser correction was used to adjust violations of sphericity for effects with more than two degrees of freedom. Note that for simplicity, the uncorrected degrees of freedom are presented here. Strength of association is reported in terms of partial eta-squared (vp^2).

Power spectral analysis

Raw EEG data during the four stimulation blocks were segmented into nonoverlapping artifact-free epochs, each lasting 3 s. Fast

Fourier transform was then used to calculate the power spectrum in each epoch using a Hahn window. Power spectra data (collapsed across both face orientation conditions) were then averaged across epochs individually for each stimulation block, participant, and electrode channel within four frequency bands: Delta (0.5–3.5 Hz), Theta (3.5–7.5 Hz), Alpha (7.5–12.5 Hz), and Beta (12.5–30 Hz) and normalized by taking their decimal logarithms.

Statistical analyses compared normalized power spectral activity at each frequency band for midline electrodes (Fz, Cz, and Pz) and separately for lateral electrodes (Fp1, Fp2, F3, F4, F7, F8, C3, C4, T7, T8, P3, P4, P7, P8, O1, O2). Thus, for data recorded from midline electrodes, Greenhouse-Geisser corrected mixed-design ANOVAs were performed, with Stimulation group (active vs. sham) as the between-subjects factor and within-subjects variables: Stimulation block (pre vs. sub vs. super vs. post) and electrode (three levels). For the analysis of power at lateral electrode sites, equivalent mixed-design ANOVAs were performed, with Stimulation group (active vs. sham) as the between-subjects factor and within-subjects variables: Stimulation block (pre vs. sub vs. super vs. post), Electrode (eight levels), and Hemisphere (left vs. right). An interaction between stimulation group and block variables would indicate that, as hypothesized, GVS stimulation has modulated oscillatory activity differentially across blocks and groups. Significant differences were compared post hoc using Bonferroni-corrected t-tests. Note that for simplicity, the uncorrected degrees of freedom are presented here.

Results

Behavioral data

Mean correct reaction times and error rates were interrogated using separate 4 (Block) \times 2 (Orientation) \times 2(Group) mixed-effects ANOVAs.

Error rates

The main effect of orientation was significant, [F(1,14) = 60.29, P < 0.001], whereby upright faces were classified with fewer errors than inverted faces (18 vs. 33%). All other effects were non-significant (Fs < 1.8).

Reaction time

The main effects of block [F(3,42) = 5.69, P < 0.01] and orientation [F(1,14) = 5.48, P < 0.03] reached significance, reflecting significantly faster responses on blocks 2, 3, and 4 compared to block 1, and faster responses for upright *versus* inverted faces $(1050 \ vs. \ 1138 \ ms)$. Block also interacted with orientation [F(3,42) = 5.55, P < 0.01]. Bonferroni-corrected pairwise comparisons indicated that judgments were significantly faster for upright compared to inverted faces in blocks 1 and 2/3 (Sub) but did not reach significance in blocks 2/3 (Super) and 4. All other F ratios are less than 1.0. (See Fig. 2.)

ERP analysis

Grand average ERP waveforms are presented for the six conditions in Fig. 3, separately for each stimulation group.

Р1

In the P1 interval (90–140 ms), the ANOVA showed a main effect of electrode [F(3,42) = 21.25, P < 0.001, $p\eta^2 = 0.6$],

¹An average reference was deemed most appropriate here, despite previous recommendations to use a minimum of 32 scalp electrodes (Junghoefer et al., 1999). Several reasons underpinned this decision: (1) Joyce and Rossion (2005) recommend using an average reference for recording face-sensitive ERP components with minimal distortion, (2) a mastoid reference was not possible since the vestibular electrodes were positioned over the mastoid processes, and (3) our ERP analyses were focused on the N170 component, which is known to elicit dipolar fields within the scalp electrode area, i.e., vertex positive potential (VPP).

²Note that for ease of presentation, only the block that used subsensory stimulation is reported here since no significant differences in ERP effects were found between sub- and supersensory stimulation blocks. Recall that the order of stimulation blocks was counterbalanced across participants, thus for half the participants, this data correspond to block 2 and the other half to block 3. Please contact the authors directly for data from the additional block.

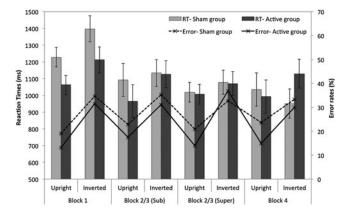


Fig. 2. Mean correct reaction times (RT) (with standard error bars) and error rates (ER) elicited by upright and inverted face stimuli within each stimulation block and stimulation group.

reflecting the standard P1 topography; larger positivity over occipital electrodes (O1/2 = 4.24 μ V) compared to temporal (T7/8 = 1.39 μ V) or parietal electrodes (P3/4 = 0.46 μ V; P7/8 = 2.86 μ V). Inversion also emerged as a main effect [F(1,14) = 12.17, P < 0.004, $_p\eta^2 = 0.46$], with inverted faces eliciting more positive P1 deflections compared to upright faces (2.45 vs. 2.03 μ V). Electrode and inversion also appeared in a three-way interaction with stimulation group [F(3,42) = 3.83, P < 0.04, $_p\eta^2 = 0.49$].

N170

Analysis of the N170 component (150–200 ms) showed the predicted main effect of face orientation $[F(1,15) = 44.74, P < 0.001, p\eta^2 = 0.76]$, where inverted faces led to a more negativegoing wave compared to upright faces ($-2.12 \ vs. -1.51 \ \mu V$). Further, this inversion effect interacted with hemisphere $[F(1,15) = 4.48, P < 0.05, p\eta^2 = 0.24]$, such that the inversion effect was largest over the right hemisphere. Inversion also appeared in a three-way interaction with electrode and hemisphere $[F(3,42) = 4.33, P < 0.02, p\eta^2 = 0.24]$ and a four-way interaction with electrode, hemisphere, and group $[F(3,42) = 5.36, P < 0.01, p\eta^2 = 0.28]$. Additionally, electrode, hemisphere, block, and group emerged in a four-way significant interaction $[F(6,84) = 2.78, P < 0.02, p\eta^2 = 0.17]$. To scrutinize the contributing effects of stimulation block and group at their maximal topographies, we ran follow-up analyses looking at electrodes P8 and O2 in isolation.

Analyses revealed significant stimulation group \times block interactions at electrodes P8 [F(2,28)=4.13, P<0.04, $_{p}\eta^{2}=0.18$] and O2 [F(2,28)=4.3, P<0.03, $_{p}\eta^{2}=0.24$], suggesting that GVS stimulation has influenced the amplitude of the N170 component. Simple main effects analyses showed that the N170 differed across stimulation blocks in the active stimulation group [P8: F(2,14)=3.71, P<0.05, $_{p}\eta^{2}=0.33$; O2: F(2,14)=4.19, P<0.05, $_{p}\eta^{2}=0.38$], with a more negative amplitude in the subsensory stimulation block 2/3 (P8: $-2.14~\mu V$, s.e. = 1.27; O2: $-2.21~\mu V$, s.e. = 0.56) compared to prestimulation block 1 (P8: $-0.66~\mu V$, s.e. = 1.08, P<0.02; O2: $-1.08~\mu V$, s.e. = 0.56, P<0.02). Comparisons of block 4 (P8: $-1.19~\mu V$, s.e. = 0.88; O2: $-1.29~\mu V$, s.e. = 0.47) with block 1 or block 2/3 did not reveal any significant differences (Ps > 0.2). Importantly, no significant effects involving stimulation block were found in the sham stimulation group at either electrode (Ps > 0.3).

Power spectral analysis

Normalized EEG power spectrum is presented for delta band activity in Fig. 4, for each stimulation group and block.

Delta (0.5-3.5 Hz)

The ANOVA over midline sites revealed a main effect of electrode [F(2,28) = 8.47, P < 0.004, $_p\eta^2 = 0.38$], which reflected increased delta wave activity in posterior Pz (1.32 μ V², s.e. = 0.08) compared to frontal Fz (1.18 μ V², s.e. = 0.08) or central Cz (1.06 μ V², s.e. = 0.08) sites. No other main effects or interactions were significant (Ps > 0.3).

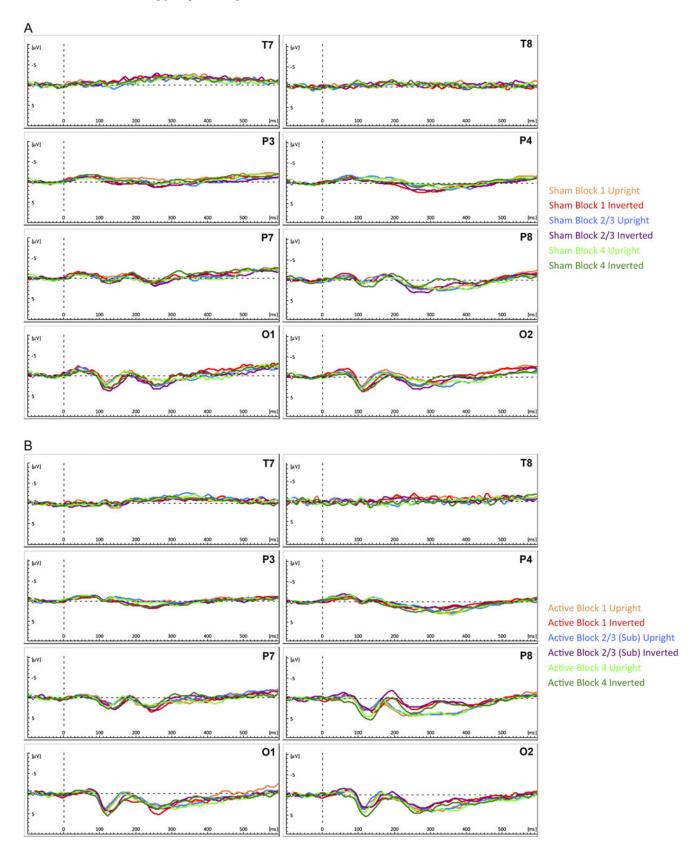
Importantly, the ANOVA over lateral sites found a significant difference between stimulation groups [F(1,14) = 18.14, P <0.001, $_{\rm p}\eta^2 = 0.56$], reflecting a general increase in activity in the active stimulation group (1.84 μ V², s.e. = 0.1) compared to the sham stimulation group (1.26 μV^2 , s.e. = 0.1). Analyses also revealed a main effect of stimulation block [F(3,42) = 14.26, P <0.001, $_{\rm p}\eta^2 = 0.51$, which interacted with stimulation group $[F(3,42) = 5.16, P < 0.01, p\eta^2 = 0.27]$. Simple main effects analyses showed that while there was no significant difference across blocks in the sham stimulation group [F(3,21) = 1.1,P > 0.35], effects did differ between blocks in the active stimulation group $[F(3,21) = 20.86, P < 0.001, p\eta^2 = 0.75]$. This difference reflected significantly increased delta band activity during the supersensory stimulation block (2.16 μ V², s.e. = 0.1) compared to all other blocks (pre: 1.65 μ V², s.e. = 0.09, P < 0.002; sub: 1.8 μ V², s.e. = 0.1, P < 0.02; post: 1.76 μ V², s.e. = 0.1, P < 0.02) and increased activity in the subsensory stimulation block compared to prestimulation baseline (P < 0.05) (but not poststimulation). Finally, a main effect of electrode [F(7.98) = 54.5, P < 0.001, $_{\rm p}\eta^2=0.8$] and interactions between group \times electrode [F(7,98) = 12.49, P < 0.001, $_{\rm p}\eta^2 = 0.66$], block × electrode [F(21,294) = 3.93, P < 0.001, $_{\rm p}\eta^2 = 0.22$], and group × block × electrode $[F(21,294) = 4.56, P < 0.001, p\eta^2 = 0.25]$ reflected larger delta band modulations over central (C3, C4), temporal (T7, T8), and parietal (P7, P8) electrodes, which was further enhanced in the active stimulation group during blocks 2 and 3.

Theta (3.5–7.5 Hz)

A main effect of electrode emerged across midline sites $[F(2,28) = 5.39, P < 0.01, p\eta^2 = 0.28]$, with increased theta activity in frontal Fz (0.54 μ V², s.e. = 0.12) compared to posterior Pz (0.23 μ V², s.e. = 0.1, P < 0.01). Analysis of lateral electrodes showed no significant difference between stimulation groups either as a main effect [F(1,14) = 1.14, P > 0.3] or as an interaction with stimulation block [F(3,42) = 0.46, P > 0.6]. There was however a main effect of electrode $[F(7,98) = 19.26, P < 0.001, p\eta^2 = 0.79]$ that also interacted with group $[F(7.98) = 11.1, P < 0.001, p\eta^2 =$ 0.44]. Similar to delta band activity, this effect reflected increased theta band activity over temporal (T7, T8) and posterior (P7, P8) electrodes, which was further amplified in the active stimulation group. Finally, a main effect of hemisphere was revealed [F(1,14) =4.72, P < 0.05, $p\eta^2 = 0.25$], showing increased activity in the right hemisphere (0.44 μ V², s.e. = 0.09) compared to the left hemisphere $(0.51 \ \mu\text{V}^2, \text{ s.e.} = 0.1).$

Alpha (7.5–12.5 Hz)

A main effect of electrode emerged over midline $[F(2,28) = 5.76, P < 0.01, p\eta^2 = 0.29]$ and lateral electrode sites [F(7,98) = 46.92, P = 0.29]



 $\textbf{Fig. 3.} \ \, \textbf{Grand average ERPs elicited by the face stimuli at electrode P8, plotted separately for sham (a) and active (b) stimulation groups.}$

Delta (0.5-3.5 Hz) frequency band

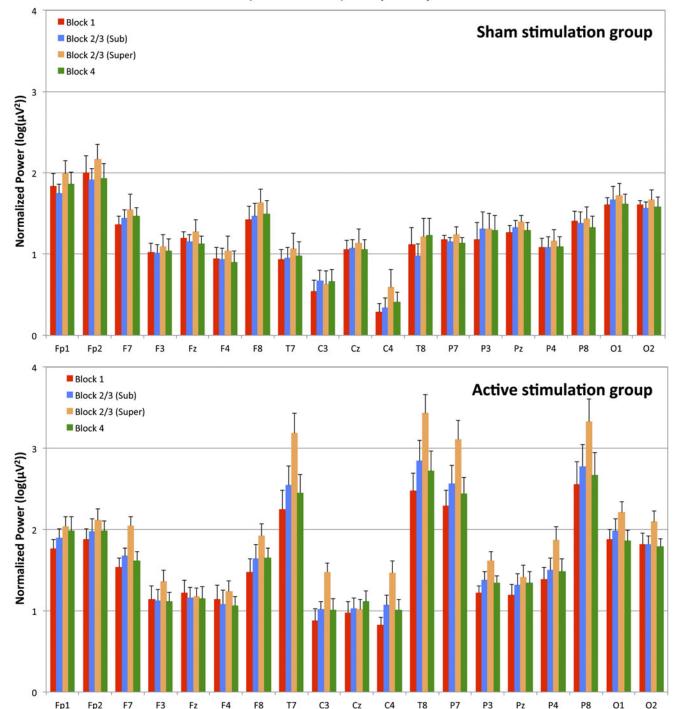


Fig. 4. EEG power spectra in delta frequency band, showing differences across stimulation groups and stimulation blocks at each electrode site, as labeled.

 $P<0.001,\,_{\rm p}\eta^2=0.77].$ These effects reflected elevated alpha activity at posterior Pz (0.16 $\mu\rm V^2,\,s.e.=0.16)$ compared to central or frontal sites (Cz: $-0.18~\mu\rm V^2,\,s.e.=0.14,\,P<0.05;\,Fz:-0.06~\mu\rm V^2,\,s.e.=0.14,\,P<0.04)$ and increased alpha in temporal (T7, T8), posterior (P7, P8), and occipital (O1, O2) electrodes sites. None of the interactions involving stimulation block or group were significant ($P\rm s>0.2$).

Beta (12.5–30 Hz)

Once again, a main effect of electrode emerged over midline $[F(2,28) = 5.61, P < 0.01, p\eta^2 = 0.29]$ and lateral electrode sites $[F(7,98) = 29.82, P < 0.001, p\eta^2 = 0.68]$. These effects were driven by increased beta activity in frontal (Fz, Fp1, Fp2), temporal (T7, T8), posterior (P7, P8), and occipital (O1, O2) electrodes sites. No

other significant main effects or interactions involving block or group were found (Ps > 0.3).

General discussion

GVS has been shown to improve the speed and accuracy of visual judgments in both brain injured and neurologically healthy individuals (Wilkinson et al., 2005, 2008); however, the underlying electrophysiological response has yet to be investigated. Here, we examined the effect of GVS on the N170, an aspect of the event-related component that is associated with early levels of visual coding. Compared to the sham, both sub- and supersensory GVS increased the amplitude of the N170. We also examined whether GVS altered power spectra within four main frequency bands alpha, beta, delta, and theta. Stepwise increases in delta and theta responses occurred as the level of stimulation increased, a pattern suggestive of broader-scale cortical modulation. Together these data provide clues to why GVS influences visual judgments and, more generally, constitute the first attempt to model the effects of GVS on the human electrophysiological response.

N170 amplification

The N170 event-related component has been associated with a variety of early stimulus encoding processes that precede identification (Bentin & Deouell, 2000; Eimer, 2000), including those that support face detection and emotional categorization as well as the processing of both words and common nonface objects (see Rossion et al., 2003; Kim & Lai, 2012). This has led to the idea that the N170 reflects a variety of visual perceptual processes distributed across the occipital-temporal pathway (Rossion et al., 2003). The observation that GVS modulates the N170 is important because it forces a reconsideration of why GVS changes blood flow across visual cortex. At one level, these changes are likely to reflect an attempt by the brain to counteract the vestibular-visual conflict induced by GVS (see Brandt et al., 2002). But at another level, these changes may also reflect a more specific effect of GVS on unisensory visual processing. Signals from the vestibular system cannot provide information about the visual properties of faces, and yet, these signals somehow modulate how faces are coded. This multisensory interplay is known to occur between vision and other sensory modalities. For example, coincident sensations of touch or sound can enhance judgments of nearby color (see Driver & Noesselt, 2008). Despite a burgeoning literature on cross-modal perception, none have addressed if and when visual structural encoding is affected by vestibular information. This oversight is perhaps all the more surprising given that both modalities provide information about spatial location and head movement. Although we can only speculate on why vestibular information impacts visual encoding, the current data are nevertheless important because they provide the first evidence that this interplay exists. In showing that subsensory GVS selectively modulates early components of the event-related visual response, we have also gathered evidence against the idea that the behavioral improvements reported in other vestibular stimulation studies reflect nonspecific attentional arousal. If that were the case, then we might only have expected ERP modulation during supersensory stimulation where the participant could feel that he/she was being stimulated and, in consequence, changed his/her attentional strategy.

Focusing more directly on the source of N170 modulation observed here, we were able to confirm other reports that face inversion increases N170 amplitude (see Eimer, 2000). This effect

is believed by some to reflect a shift from global to more part-based perceptual analyses (Searcy & Bartlett, 1996). Interestingly, physical rotations of the head (and body) within the roll plane reduce the inversion effect (Lobmaier & Mast, 2007). This reduction points towards a greater local processing bias and has been attributed to the misalignment of retinal and gravitational reference frames, which makes it harder to discern the orientation of stimuli. This result is relevant to the current data because bipolar binaural GVS (i.e., the type of stimulation applied here) simulates head rotation within the roll plane (with a small yaw component) towards the cathodal electrode (Fitzpatrick & Day, 2004). The implication is that GVS may modulate the N170 because, in signaling head roll, it encourages the brain to adopt a more part-based visual coding strategy.

In support of the above idea, we note that two of the experiments in which GVS has been shown to enhance visual perception both involved the direct matching of local information; in Wilkinson et al. (2005), the task was to compare the orientations of the eyes and mouth in two adjacent face images, while in Wilkinson et al. (2008), the task was to compare the size or shape of other facial features. Unfortunately, the behavioral measure used in the current study (famous/nonfamous face judgments) tapped different processes to those examined in the ERP waveforms (upright/inverted faces), making it difficult to assess the relationship between behavioral response and N170. As such, the priority for future study must be to administer a task that, unlike the present one, is both relatively hard and yields a clear measure of local processing bias. If our interpretation is correct then under such conditions, concurrent GVS will lead to an enhanced N170 and behavioral improvement. Such an outcome would be especially relevant to individuals who suffer from face-blindness (i.e., prosopagnosia) and who show a depressed or absent N170 (Kress & Daum, 2003; Minnebusch et al., 2007).

Spectral power amplification

The largest modulations of power were produced in the delta band frequency window, with a clear interaction between stimulation block and stimulation group. Specifically, increases in delta band activity were largest during supersensory stimulation and relatively increased during subsensory stimulation compared to baseline. Increased delta responses have been observed when faces must simply be detected as opposed to identified (Başar et al., 2007), and when Thatcherized, compared to non-Thatcherized, faces are viewed (Gersenowies et al., 2010). This has led to the idea that delta activity partly reflects the recruitment of networks underlying structural face encoding as opposed to higher-level semantic processing (Başar et al., 2008). Since the increased delta band activity in the current study occurred over posterior and temporal, rather than frontal sites, it is highly unlikely that this effect simply reflects eye blink artifacts. The coincident, albeit more modest, increase in temporal-occipital theta activity has also been linked with global face detection, although there is evidence that elevated theta also occurs when familiar and unfamiliar faces must be distinguished (Başar et al., 2007). On a cautionary note, although elevated delta and theta oscillatory responses have been strongly linked with face processing, one must not lose sight of the fact that the strong vestibular pertubations invoked by GVS affect a variety of brain systems associated with sensory integration and motor control (e.g., Fitzpatrick & Day, 2004).

The widespread increases in spectral power also fit with the idea that vestibular stimulation increases cortical arousal (see Schiff & Pulver, 1999). The vestibular nerves project to subcortical nuclei that share connections with the reticular activating formation,

thalamus, and anterior corpus callosum—key elements of the brain's core arousal system. When these structures are stimulated by microelectrode, they desynchronize slow large-amplitude cortical EEG waves associated with sleep and produce global disturbances of consciousness when focally ablated (see Schiff & Pulver (1999). The remediation of several neuropsychological impairments, including hemispatial neglect (Rorsman et al., 1999), anosagnosia (Cappa et al., 1987), asomatognosia (Schiff & Pulver, 1999), and hemianesthesia (Bottini et al., 2005) during vestibular stimulation have been attributed to increased global arousal, and the current changes in power spectra during GVS support this interpretation.

In summary, we have demonstrated that GVS modulates specific aspects of the electrophysiological response. Given that the modulation occurred at subsensory levels, it is possible to discount the role of nonspecific factors invoked by attentional set. Previous studies have indicated that subsensory GVS can enhance the speed and accuracy of certain visual judgments (e.g., Wilkinson et al., 2005, 2008), and here, we show that allied components of the brain's oscillatory response associated with visual encoding are likewise elevated. These data imply that GVS constitutes a novel tool with which to manipulate processes associated with specific components of the event-related response, and from a clinical perspective, raise the hypothesis that patient groups who show either a depressed N170 (such as some prosopagnosics—Kress & Daum, 2003) or low cortical arousal (following severe brain injury) will benefit from this simple procedure.

Acknowledgments

This study was partly funded by a British Academy grant (SG102500) awarded to D.W. and H.J.F. We thank Teri-Rose Johns for assisting with data collection.

References

- BAŞAR, E., GÜNTEKIN, B. & ONIZ, A. (2006). Principles of oscillatory brain dynamics and a treatise of recognition of faces and facial expressions. *Progress in Brain Research* 159, 43–62.
- BAŞAR, E., ÖZGÖREN, M., ÖNIZ, A., SCHMIEDT, C. & BAŞAR-EROĞLU, C. (2007). Brain oscillations differentiate the picture of one's own grandmother. *International Journal of Psychophysiology* 64, 81–90.
- BAŞAR, E., SCHMIEDT-FEHR, C., ÖNIZ, A. & BAŞAR-EROĞLU, C. (2008). Brain oscillations evoked by the face of a loved one. *Brain Research* 1214, 105–115.
- BENSE, S., STEPHAN, T., YOUSRY, T., BRANDT, T. & DIETERICH, M. (2001). Multisensory cortical signal increases and decreases during vestibular galvanic stimulation (fMRI). *Journal of Neurophysiology* 85, 886–899.
- BENTIN, S., ALLISON, T., PUCE, A., PEREZ, E. & McCARTHY, G. (1996). Electrophysiological studies of face perception in humans. *Cognitive Neuropsychology* 8, 551–565.
- Bentin, S. & Deouell, L.Y. (2000). Structural encoding and identification in face processing: ERP evidence for separate mechanisms. *Cognitive Neuropsychology* 17, 35–54.
- BOTTINI, G., PAULESU, E., GANDOLA, M., LOFFREDO, S., SCARPA, P., STERZI, P., SANTILLI, L., DEFANTI, C., SCIALFA, G., FAZIO, F. & VALLAR, G. (2005). Left caloric vestibular stimulation ameliorates right hemianesthesia. *Neurology* 65, 1278–1283.
- Brandt, T., Glausauer, S., Stephan, T., Bense, S., Yousry, T., Deutschländer, A. & Dieterich, M. (2002). Visual-vestibular and visuovisual cortical interaction: New insights from fMRI and PET. *Annals of the New York Academy of Sciences* **956**, 230–234.
- BRIGGS, G. & NEBES, R. (1975). Patterns of hand preference in a student population. *Cortex* 11, 230–238.

CAPPA, S., STERZI, R., VALLAR, G. & BISIACH, E. (1987). Remission of hemineglect and anosognosia during vestibular stimulation. *Neuro*psychologia 25, 775–782.

- COATS, A. (1972). Limit of normal of the galvanic body-sway test. The Annals of Otology, Rhinology, and Laryngology 81, 410–416.
- DOCKREE, P. & ROBERTSON, I. (2011). Electrophysiological markers of cognitive deficits in traumatic brain injury: A review. *International Journal of Psychophysiology* **82**, 53–60.
- DRIVER, J. & NOESSELT, T. (2008). Multisensory interplay reveals crossmodal influences on 'sensory specific' brain regions, neural responses and judgments. *Neuron* 57, 11–23.
- EIMER, M. (2000). Event-related brain potentials distinguish processing stages involved in face perception and recognition. *Clinical Neurophys*iology 111, 694–705.
- FITZPATRICK, R. & DAY, B. (2004). Probing the human vestibular system with galvanic stimulation. *Journal of Applied Physiology* **96**, 2301–2316
- GERSENOWIES, J., MAROSI, E., CANSINO, S. & RODRIGUEZ, M. (2010). EEG power spectral measurements comparing normal and "thatcherized" faces. *The International Journal of Neuroscience* 120, 570–579.
- JOYCE, C.A. & ROSSION, B. (2005). The face-sensitive N170 and VPP components manifest the same brain processes: The effect of reference electrode site. *Clinical Neurophysiology* 116, 2613–2631.
- JUNGHOEFER, M., ELBERT, T., TUCKER, D. & BRAUN, C. (1999). The polar effect of the average reference: A bias in estimating the head surface integral in EEG recording. Supplements to Clinical Neurophysiology 110, 1149–1155.
- KIM, A. & LAI, V. (2012). Rapid interactions between lexical semantic and word form analysis during word recognition in context: Evidence from ERPs. *Journal of Cognitive Neuroscience* 24, 1104–1112.
- KRESS, T. & DAUM, I. (2003). Event-related potentials reflect impaired face recognition in patients with congenital prosopagnosia. *Neuroscience Letters* 352, 133–136.
- LACEY, S. & SATHIAN, K. (2011). Multisensory object representation: Insights from studies of vision and touch. *Progress in Brain Research* 191, 165–176.
- LOBMAIER, J.S. & Mast, F.W. (2007). The Thatcher Illusion: Rotating the viewer instead of the picture. *Perception* **36**, 537–546.
- MINNEBUSCH, D., SUCHAN, B., RAMON, M. & DAUM, I. (2007). Event-related potentials reflect heterogeneity of developmental prosopagnosia. The European Journal of Neuroscience 25, 2234–2247.
- PURPURA, K.P. & SCHIFF, N.D. (1997). The thalamic intralaminar nuclei: Role in visual awareness. *Neuroscientist* 3, 8–14.
- RORSMAN, I., MAGNUSSON, M. & JOHANSSON, B.B. (1999). Reduction of visuo-spatial neglect with vestibular galvanic stimulation. Scandinavian Journal of Rehabilitation Medicine 31, 117–124.
- ROSSION, B., JOYCE, C., COTTRELL, G. & TARR, M. (2003). Early lateralization and orientation tuning for face, word and object processing in the visual cortex. *NeuroImage* 20, 1609–1624.
- ROSSION, B. & JACQUES, C. (2008). Does physical interstimulus variance account for early electrophysiological face sensitive responses in the human brain? Ten lessons on the N170. NeuroImage 39, 1959–1979.
- SAJ, A., HONORÉ, J. & ROUSSEAUX, M. (2006). Perception of the vertical in patients with right hemispheric lesion: Effect of galvanic vestibular stimulation. *Neuropsychologia* 44, 1509–1512.
- SCHIFF, N. & PULVER, M. (1999). Does vestibular stimulation activate thalamocortical mechanisms that reintegrate impaired cortical regions? Proceedings of the Royal Society, Biological Series 266, 421–423.
- SEARCY, J.H. & BARTLETT, J.C. (1996). Inversion and processing of component and spatial-relational information in faces. *Journal of Experimental Psychology. Human Perception and Performance* 22, 904–915.
- WILKINSON, D.T., KO, P., KILDUFF, P., McGLINCHEY, R. & MILBERG, W. (2005). Improvement of a face perception deficit via sub-sensory galvanic vestibular stimulation. *Journal of the International Neuro*psychological Society 11, 925–929.
- WILKINSON, D.T., NICHOLLS, S., PATTENDEN, C., KILDUFF, P. & MILBERG, W. (2008). Galvanic vestibular stimulation speeds visual memory recall. Experimental Brain Research 189, 243–248.
- WILKINSON, D.T., ZUBKO, O., DEGUTIS, J., MILBERG, W. & POTTER, J. (2010). Improvement of a figure copying deficit during subsensory galvanic vestibular stimulation. *Journal of Neuropsychology* 4, 107–118.