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Do high carbon stock forests provide co-benefits for tropical biodiversity?

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1 **Standard Paper**

2 **Do high carbon stock forests provide co-benefits for tropical**
3 **biodiversity?**

4 **Running head:** Biodiversity co-benefits of high carbon areas

5

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19

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28 **Summary**

- 29 1. Carbon-based policies provide powerful opportunities to unite tropical forest conservation
30 with climate change mitigation. However, their effectiveness in delivering biodiversity
31 co-benefits is dependent on high levels of biodiversity being found in high carbon areas.
32 Previous studies have focussed solely on the co-benefits associated with Reducing
33 Emissions from Deforestation and forest Degradation (REDD+) over large spatial scales,
34 with few empirically testing carbon-biodiversity correlations at management unit scales
35 appropriate to decision-makers. Yet, in development frontiers, where most biodiversity
36 and carbon loss occurs, carbon-based policies are increasingly driven by commodity
37 certification schemes, which are applied at the concession-level.
- 38 2. Working in a typical human-modified landscape in Southeast Asia, we examined the
39 biodiversity value of land prioritised via application of REDD+ or the High Carbon Stock
40 (HCS) Approach, the emerging land-use planning tool for oil palm certification. Carbon
41 stocks were estimated via low- and high-resolution datasets derived from global or local-
42 level biomass. Mammalian species richness was predicted using hierarchical Bayesian
43 multi-species occupancy models of camera-trap data from forest and oil palm habitats.
- 44 3. At the community level, HCS forest supported comparable mammal diversity to control
45 sites in continuous forest, while lower carbon strata exhibited reduced species occupancy.
- 46 4. No association was found between species richness and carbon when the latter was
47 estimated using coarse-resolution data. However, when using high-resolution, field-
48 validated biomass data, diversity demonstrated positive relationships with carbon for
49 threatened and disturbance-sensitive species, suggesting sensitivity of co-benefits to
50 carbon data sources and the species considered.
- 51 5. *Policy implications.* Our work confirms the potential for environmental certification and
52 REDD+ to work in tandem with conservation to mitigate agricultural impacts on tropical

53 forest carbon stocks and biodiversity, especially if this directs development to low carbon,
54 low biodiversity areas.

55 **Keywords:** High Carbon Stock Approach; REDD+; mammals; occupancy modelling; oil
56 palm; mitigation; certification.

57 **Introduction**

58 Agricultural expansion has emerged as a pervasive threat to tropical forests and biodiversity
59 (Wilcove *et al.* 2013), and has been implicated in the loss of ~150 million ha of tropical
60 forest over the last three decades (Gibbs *et al.* 2010; Hansen *et al.* 2013). A key driver of
61 recent deforestation has been rising demand for cheap vegetable oil such as that from oil
62 palm (*Elaeis guineensis*), which now covers 16 million ha across 43 countries, often at the
63 expense of tropical forest (Pirker *et al.* 2016).

64 The potential economic and social benefits associated with oil palm (Potter 2015)
65 contrast with severe and well-documented ecological impacts. Conversion of forest to oil
66 palm plantation results in major biodiversity decline, which disproportionately affects forest
67 specialists and species of conservation concern, resulting in assemblages dominated by
68 disturbance-tolerant generalists (Fitzherbert *et al.* 2008; Yaap *et al.* 2010). With around 19%
69 of land suitable for oil palm coinciding with areas of high biodiversity (Pirker *et al.* 2016),
70 across forested Asia, Africa and South America, the full ecological impact of this commodity
71 crop is yet to be fully realised. Mitigation measures that reconcile environmental
72 sustainability, biodiversity conservation and production of crops such as oil palm are
73 therefore essential in tropical regions.

74 Retaining native habitat in oil palm estates is known to enhance the biological value
75 of plantation landscapes by providing ecological refugia and improved connectivity (Gillies
76 & St Clair 2010; Struebig *et al.* 2011). However, in practice, the designation of conservation
77 set-asides can be hindered by agricultural profitability, with income exceeding US\$11,240 ha⁻¹
78 over a 25 year growing cycle (Fisher *et al.* 2011). Thus, conservation efforts seeking to
79 preserve forest within plantations may be more successful when economic incentives are
80 provided to offset the opportunity costs associated with foregoing development. Amongst

81 several mitigation tools available, two incentive-driven policies based on carbon stocks have
82 gained traction in tropical regions: (1) REDD+ (United Nations Reducing Emissions from
83 Deforestation and forest Degradation) and related carbon credit schemes, and (2) improved
84 land-use planning via commodity certification (Yaap *et al.* 2010).

85 REDD+, a payment for ecosystem services tool to mitigate climate change, aims to
86 compensate stakeholders in developing nations for conservation initiatives and sustainable
87 management practices that protect and restore the carbon sequestered by forests (Venter &
88 Koh 2012). If REDD+ were to achieve its economic potential, payments generated could
89 make forest conservation financially competitive compared to oil palm cultivation (Butler,
90 Koh & Ghazoul 2009). REDD+ is also attractive to conservation because it may deliver co-
91 benefits, whereby safeguarding high carbon areas also protects biodiversity at no additional
92 cost (Gardner *et al.* 2012). However, this assumes spatial congruence between areas of high
93 carbon and biodiversity. In reality it is difficult to generalise on the nature, strength and
94 extent of these co-benefits because outcomes vary both within and between spatial scales
95 (e.g. global: Naidoo *et al.* 2008 vs. Strassburg *et al.* 2010; national: Egoh *et al.* 2009 vs.
96 Murray *et al.* 2015; landscape: Ruiz-Jean and Potvin, 2010 vs. Kessler *et al.* 2012). The
97 extent to which carbon-biodiversity co-benefit assumptions hold at management unit scales
98 appropriate to decision-makers remains an open question.

99 REDD+ is largely implemented at sub-national levels. While an increasing number of
100 studies are recognising the importance of fine-scale assessments (e.g. Magnago *et al.* 2015;
101 Beaudrot *et al.* 2016; Sollmann *et al.* 2017), most information on biodiversity co-benefits is
102 derived from global- and national-scale studies that demonstrate overreliance on coarse-
103 grained, secondary data sources. Carbon data are typically derived from global maps (e.g.
104 Baccini *et al.* 2012; Avitabile *et al.* 2016), which have limited application at local-scales
105 pertinent to management (Mitchard *et al.* 2014). Furthermore, field-based species data are

106 widely underrepresented in the co-benefits literature due to the costs associated with
107 biodiversity surveys in the tropics (Gardner *et al.* 2008). Researchers predominantly rely on
108 coarse species range delineations (e.g. Murray *et al.* 2015), which are fraught with
109 uncertainty (Rodríguez-Castañeda *et al.* 2012) and may not account for localised extirpation
110 due to anthropogenic pressure (Harrison *et al.* 2016). Despite statistical advances that account
111 for imperfect detection in biodiversity indices (Royle & Dorazio 2008), these methods have
112 received relatively limited application in a co-benefits context (but see Gilroy *et al.* 2014;
113 Sollmann *et al.* 2017), resulting in possible underestimates of species assemblages.
114 Consequently, biodiversity co-benefits assessments at local-scales, using primary, fine-
115 grained data would provide valuable policy insights.

116 While the potential importance of REDD+ cannot be overstated, agricultural
117 certification schemes show promise to ensure sustainable practices as companies benefit from
118 greater access to environmentally conscious markets and increased price premiums of
119 certified products (Yaap *et al.* 2010). The Roundtable on Sustainable Palm Oil (RSPO) is
120 often seen as an exemplar scheme within the agricultural sector, currently certifying 21% of
121 the global palm oil market across 2.48 million ha of land (RSPO 2017). RSPO certification
122 prohibits the conversion of high conservation value habitat in oil palm estates. However,
123 associated assessment procedures have attracted criticism, raising concerns that current
124 methodologies do not afford adequate biodiversity protection (Yaap *et al.* 2010; Edwards,
125 Fisher & Wilcove 2012).

126 The High Carbon Stock (HCS) Approach has emerged as a land-use planning tool to
127 demarcate conservation priority areas based on carbon value, and is being explored within the
128 RSPO architecture and that of other certification schemes. The HCS methodology seeks to
129 conserve biodiverse and ecologically functional forest networks within agricultural
130 concessions by directing conversion towards heavily degraded land of low carbon value

131 (Rosoman *et al.* 2017). This is achieved by stratifying land into discrete classes according to
132 vegetation density and structure, which are then adopted as proxies for above-ground carbon
133 stocks and assumed to support varying levels of biodiversity. These strata are subsequently
134 validated using ground-based above-ground carbon estimates, before land parcels are
135 prioritised for conversion based on area and connectivity (Rosoman *et al.* 2017).

136 The HCS Approach has attracted widespread interest amongst agricultural industries
137 with 10 million ha of land being evaluated across five oil palm producing countries
138 (Rosoman, unpublished data). As a model scheme, the successful integration of the HCS
139 Approach within the RSPO framework may encourage uptake across other certifiable
140 agricultural commodities, such as rubber and soya. Nevertheless, the extent to which HCS
141 strata correspond to areas of high biodiversity value is dependent on the accurate partitioning
142 of vegetation classes according to their carbon value, as well as the underlying association
143 between carbon and biodiversity. Before the HCS Approach is formally adopted within
144 certification standards, these assumptions should be tested to understand the conservation
145 merit of the tool.

146 Here, we determine the effectiveness of incentive-driven carbon-based mechanisms to
147 safeguard biodiversity, and provide the first validation of both the carbon and biodiversity
148 credentials of the HCS land-use planning tool. Our appraisal focuses on a landscape
149 undergoing conversion from forest to oil palm in Borneo, a region characterised by high
150 deforestation and forest degradation (Gaveau *et al.* 2014; Struebig *et al.* 2015) that is typical
151 of most HCS applications. First, we validate the accuracy of the HCS classification procedure
152 and quantify the biodiversity value of the vegetation strata. We then assess the potential for
153 REDD+ to deliver biodiversity co-benefits using primary and high-resolution data sources.
154 To assess the influence of spatial grain on the nature of co-benefit relationships, we compare
155 global- and local-scale measures of carbon. Throughout, we employ biodiversity indices that

156 explicitly account for imperfect detection to provide a more accurate representation of species
157 assemblages than simple species counts. Our work evaluates the extent to which policy
158 options that attach greater economic significance to conservation protect vulnerable tropical
159 forests and safeguard biodiversity.

160 **Materials and Methods**

161 *Study system*

162 The study was conducted over a 13,153 ha development area comprising the Stability of
163 Altered Forest Ecosystems project (SAFE; www.safeproject.net) and surrounding plantations
164 in Kalabakan Forest Reserve, Sabah, Malaysian Borneo (4° 46'N, 116° 57' E; Fig. 1). SAFE
165 is a landscape-scale forest modification experiment (Ewers *et al.* 2011) comprising highly
166 disturbed lowland and hill dipterocarp forest that was logged multiple times between 1978
167 and 2008. The wider landscape includes forest in Brantian-Tatulit Virgin Jungle Reserve,
168 twice-logged forest in Ulu Segama Forest Reserve, and plantations (primarily oil palm).

169

170 *HCS classification and validation*

171 The HCS Approach uses high-resolution remotely-sensed images to stratify concessions into
172 six vegetation classes, each with unique structural characteristics (in descending order of
173 carbon value): (1) 'High Density Forest'; (2) 'Medium Density Forest'; (3) 'Low Density
174 Forest'; (4) 'Young Regenerating Forest'; (5) 'Scrub'; and, (6) 'Open Land' (see Appendix
175 S1 in Supporting Information). In practice, the High, Medium and Low Density Forest strata
176 are aggregated as 'Dense Forest' and earmarked for conservation. Young Regenerating Forest
177 can also comprise valuable carbon stocks and is also spared from development. The threshold
178 for allocating land for production rests on distinguishing these strata from heavily degraded
179 Scrub and Open Land. Therefore, we mapped Dense Forest, Young Regenerating Forest,
180 Scrub and Open Land as separate classes.

181 All spatial data processing was implemented in ArcGIS 10.2.1 (ESRI). We used
182 Landsat 8 and SPOT5 satellite imagery (15 m and 2.5 m resolution respectively; temporal

183 range: 2012-2014) to stratify forest habitat using HCS assessment protocols (see Appendix
184 S1). Multiple data sources were chosen to minimise classification difficulties associated with
185 cloud cover and haze. We undertook a supervised classification of satellite images,
186 supplemented with visual interpretation techniques to correct for the potentially confounding
187 effects of topographic shadow (Wulder *et al.* 2004). The resulting classes were then
188 calibrated using above-ground carbon values derived from forest inventory data ($N=139$),
189 collected as part of the core SAFE monitoring programme. These data conform to
190 standardised forest inventory protocols (<http://www.rainfor.org>), calculating carbon as a
191 function of above-ground biomass (trees >10 cm DBH) using an established pantropical
192 algorithm (Chave *et al.* 2014). Resulting HCS classes were validated using independently
193 derived carbon estimates (Pfeifer *et al.* 2016; see Appendix S2).

194

195 *Camera-trap sampling of medium-large mammals*

196 We delineated terrestrial mammal diversity as these taxa are consistently prioritised in policy,
197 land-use planning and certification schemes. Remotely-operated digital cameras (HC500
198 Hyperfire, Reconyx, Wisconsin, U.S.A.) were deployed at 130 locations across the landscape
199 between May and September 2015 (Fig. 1). These locations were separated by a mean
200 distance of 1.4 km and distributed across an elevational gradient (mean=376 m.a.s.l.;
201 range=64-735 m.a.s.l.). Accounting for theft, vandalism and malfunction, data were retrieved
202 from 121 locations. We stratified our sampling according to HCS strata, while capturing the
203 broader heterogeneity of the landscape using reference classes (protected ‘Continuous
204 Logged Forest’ and well-established ‘Oil Palm Plantation’) for comparative purposes. As the
205 extent of Scrub and Open Land was relatively low compared to the other classes, these strata
206 were pooled into a single class, ‘Developed Land’, for biodiversity analyses: Continuous

207 Logged Forest, $N=27$; Dense Forest, $N=23$; Young Regenerating Forest, $N=16$; Developed
208 Land, $N=26$; and, Oil Palm Plantation, $N=23$.

209 Due to the number of cameras available, data collection was completed over two
210 rotations, each comprising 65 locations. Single units were deployed for 42 consecutive nights
211 per location, yielding a total survey effort of 4,669 camera nights. Cameras were positioned
212 at a standardised height of 30cm, on low resistance travel routes (e.g. riparian areas, logging
213 roads, skid trails) and off-trail to account for inter- and intra-specific differences in habitat
214 use.

215 Prior to analyses, all images that could not be identified to species level were
216 discarded (blurred images and photos of non-target species, equating to 17.6% of 142,294
217 images). Species encounters were considered independent events if they contained different
218 individuals or were separated by a period of >60 minutes. A detection matrix was developed
219 for each species, whereby 42-day sampling periods were divided into six, seven-day temporal
220 replicates. Any camera site active for fewer than seven days was excluded from analysis,
221 leaving 115 analytical units each with 2-6 replicates.

222

223 *Modelling framework*

224 We employed hierarchical Bayesian multi-species occupancy modelling (Dorazio & Royle
225 2005) to estimate species diversity from camera data. Hierarchical models permit the
226 separation of ecological and sampling processes that may influence the data (Gelman & Hill
227 2007). In the context of occupancy, this means that true absences can be differentiated from
228 non-detection by explicitly defining models for occurrence and detection.

229 Multi-species occupancy models take single-species occupancy detection models as
230 building units (Guillera-Aroita 2016). Following Zipkin *et al.* (2010), we denote the
231 occurrence of species i at site j by the binary variable $z_{i,j}$ (1=species presence; 0=species not
232 detected). The occurrence state is described as the outcome of a Bernoulli process, $z_{i,j} \sim$
233 $Bern(\psi_{i,j})$, where $\psi_{i,j}$ denotes the occurrence probability. The true occurrence state is
234 imperfectly observed, so the model includes a second Bernoulli process, $x_{ijk} \sim Bern(p_{i,j,k} * z_{i,j})$,
235 where $x_{i,j,k}$ is the observed detection/non-detection data, k is the survey replicate and $p_{i,j,k}$
236 represents the corresponding detection probability conditional to species presence. The
237 product $p_{i,j,k} * z_{i,j}$ reflects that detection at sites where the species is present ($z_{i,j}=1$) happens
238 with detection probability $p_{i,j,k}$, and that detection is not possible at sites where the species is
239 absent ($z_{i,j}=0$). We assume that variation in the abundance of a species across sampling sites
240 does not affect species detection probabilities $p_{i,j,k}$ (Royle & Dorazio 2008).

241 Occurrence and detection models for individual species were linked via a hierarchical
242 component that modelled regression coefficients as realisations from a common community-
243 level distribution with (hyper)parameters. Under this approach, species are assumed to
244 respond to environmental conditions in a similar, but not identical, manner. Derived species
245 estimates are, therefore, a compromise between individual response and the average response
246 of the community. This results in shrinkage (the borrowing of information by individuals
247 across the community), which has been shown to improve estimation precision, particularly
248 for rare or elusive species that are infrequently detected during surveys (Pacifi *et al.* 2014).
249 We report (hyper)parameters to provide an indication of community-level responses to
250 covariates.

251

252 *Spatial concordance between HCS classes, carbon and biodiversity*

253 To assess how mammal community representation could vary according to policy relevant
 254 carbon variables, we described occupancy and detectability using three models:

Model 1: $\text{logit}(\psi_{i,j}) = \mu_{(i)HCS\ Class(j)}$

$$\text{logit}(p_{i,j,k}) = v_{(i)HCS\ Class(j)}$$

Model 2: $\text{logit}(\psi_{i,j}) = \mu_i + \alpha_{1i}CC1000_j + \alpha_{2i}CC1000_j^2$

$$\text{logit}(p_{i,j,k}) = v_{(i)HCS\ Class(j)}$$

Model 3: $\text{logit}(\psi_{i,j}) = \mu_i + \alpha_{1i}CC25_j + \alpha_{2i}CC25_j^2$

$$\text{logit}(p_{i,j,k}) = v_{(i)HCS\ Class(j)}$$

255

256 Occupancy and detection probabilities were modelled with intercepts on the logit
 257 scale specific for each species and HCS class (Model 1). Continuous measures of carbon,
 258 including quadratic terms, were incorporated into occurrence models alongside species-
 259 specific intercepts to determine the potential for REDD+ to deliver biodiversity co-benefits
 260 (Models 2 and 3). These carbon data were from two sources: coarse-grained 1 km resolution
 261 global maps ('CC1000'; Avitabile *et al.* 2016), and 25 m resolution maps derived from
 262 biomass estimates from the study site linked to RapideyeTM satellite imagery ('CC25'; Pfeifer
 263 *et al.* 2016; for a subset of sites not obscured by cloud cover, N=66). HCS-specific intercepts
 264 were retained in the detection components of Models 2 and 3 as they broadly describe the
 265 influence of habitat type. We chose to model HCS, CC1000 and CC25 separately due to
 266 strong evidence of collinearity between these variables ($|r| \geq 0.7$). Continuous carbon and HCS
 267 covariates were calculated as average values extracted from a 100 m buffer (ca. 3.1 ha area)
 268 around each camera location. Covariates were centred and standardised prior to analysis. We
 269 found no evidence of spatial autocorrelation in the detection dataset (Moran's
 270 $I = 0.08 \leq P \leq 0.92$), indicating that assumptions of independence in occupancy modelling were
 271 met (Royle & Dorazio 2008).

272 The models were fitted to include inference about the number of potential species not
273 observed during sampling (Dorazio & Royle 2005). To achieve this, detection data were
274 augmented with 50 hypothetical species, with all-zero encounter histories, following Royle *et*
275 *al.* (2007). Predicted species richness was calculated for each camera location allowing for
276 post-hoc comparison between HCS classes.

277 We compared mammal richness between HCS classes using a Bayesian linear model.
278 We follow a two-stage analytical approach described by Kéry & Royle (2015), whereby
279 estimation uncertainty associated with predicted species richness is propagated by the
280 inclusion of an additional residual component into the model (standard deviation of richness
281 estimates from the hierarchical Bayesian multispecies occupancy models). In principle,
282 parameter estimates could be derived directly from a single model, but this resulted in much
283 lower precision. Since land-use change disproportionately affects species of conservation
284 concern and disturbance-sensitive forest specialists, we report our findings for: (1) all
285 species; (2) threatened species (IUCN red-listed as vulnerable, endangered or critically
286 endangered); (3) non-threatened species (IUCN least concern); (4) disturbance-sensitive
287 species (listed as medium-high sensitivity according to Wilson *et al.* 2010), and; (5)
288 disturbance- tolerant species (low sensitivity; see Appendix S3 for species-specific group
289 assignment).

290

291 *Biodiversity co-benefits of REDD+*

292 To assess the potential biodiversity co-benefits of REDD+, we extracted predicted species
293 richness values from the hierarchical occurrence model and explored their association with
294 carbon. To determine if these relationships were grain-dependent, we derived carbon data
295 from coarse- (CC1000, 1 km) and fine-grained (CC25, 25m) satellite-derived datasets.

296 Associations between levels of mammal species richness and carbon at the two different
297 spatial resolutions, and for *a priori* groupings, were assessed via Bayesian two-stage linear
298 models incorporating quadratic terms.

299 All analyses were conducted in WinBUGS version 1.4.3 through R version 3.3.0
300 using the package “R2WinBUGS” (Sturtz, Ligges & Gelman 2005); see Appendix S4 for
301 further information on model specification and predictive performance checks.

302 **Results**

303 Camera-trapping yielded 3,237 independent capture events of 28 species, comprising 24
304 genera distributed across 16 families. In contrast, our models predicted 30.6 species across
305 the landscape (95% Bayesian Credible Interval, BCI=28.0-37.0), suggesting that few
306 mammal species were missed by our sampling. The effect of imperfect detection was more
307 apparent at the camera-trap level, where predicted richness was consistently greater than
308 observed richness (mean=4.35, range=0.02-12.26).

309

310 *Spatial concordance between biodiversity and HCS classes*

311 Hierarchical Bayesian multi-species models indicated reduced mammalian occupancy in the
312 low carbon strata (Fig 2). Community (hyper)parameters revealed comparable estimates of
313 mean occupancy between Continuous Logged Forest (mean=0.49, BCI=0.32-0.63), Dense
314 Forest (0.36, 0.17-0.60) and Developed Land (0.32, 0.12-0.56). However, community
315 occupancy was low in Young Regenerating Forest (0.23, 0.11-0.45) and Oil Palm plantation
316 (0.05, 0.01-0.31).

317 Our models demonstrated species-specific associations with HCS classes (Fig. 2). For
318 example, occupancy estimates indicate that *Sus barbatus* Müller (bearded pig) and *Macaca*
319 *nemestrina* Linnaeus (southern pig-tailed macaque) were common in Continuous Logged
320 Forest (*S. barbatus*: 0.71, 0.53-0.85; *M. nemestrina*: 0.71, 0.53-0.86) and Dense Forest (*S.*
321 *barbatus*: 0.74, 0.53-0.90; *M. nemestrina*: 0.74, 0.52-0.92), with occupancy of *M. nemestrina*
322 also high in Developed Land (0.71, 0.51-0.87). Conversely, species such as *Tragulus kanchil*
323 Raffles (lesser mouse-deer: 0.20, 0.08-0.40) and *Helarctos malayanus* Raffles (sun bear:
324 0.21, 0.08-0.44) were rare in Dense Forest. In the Oil Palm plantation five species

325 demonstrated low occupancy, four of which were threatened taxa (Fig. 2e). Species-specific
326 detection summaries for the HCS model are available in Appendix S5.3.

327 Extremes in predicted species richness were identified between the reference habitat
328 classes (Fig. 3); Continuous Logged Forest was found to have the highest richness (14.12,
329 13.20-15.07), while Oil Palm plantation supported the most depauperate community (4.54,
330 3.58-5.52). Estimates of total richness were similar between the Dense Forest (11.38, 10.30-
331 12.51) and Developed Land (10.63, 9.52-11.02), while the number of species found in Young
332 Regenerating Forest was significantly lower (8.15, 7.13-9.27). These patterns were consistent
333 across groupings.

334

335 *Biodiversity co-benefits of REDD+*

336 The global- versus local-scale carbon values at camera locations were inconsistent. The 1 km
337 resolution global data tended to produce much higher carbon estimates compared to those
338 derived from higher resolution imagery (global mean=152.23 t C ha⁻¹, range=50.39-236.53;
339 local mean=22.95t C ha⁻¹, range= 0.31-94.98). Carbon values from the global- and local-scale
340 maps corresponded broadly with biomass values derived from field inventories ($N=164$;
341 $r_s=0.55$ global; $r_s=0.51$; local-scale). However, local-scale carbon estimates were found to be
342 much more precise (RMSE: local=29.05 t C ha⁻¹; global=130.94 t C ha⁻¹). We found no
343 influence of continuous measures of carbon on mammalian occupancy using either global- or
344 local-scale carbon data (see Appendix S5.4/S5.6). Species-specific detection summaries for
345 the continuous carbon models are available in Appendix S5.5/S5.7.

346 Grain-dependency between the association of carbon and mammal richness was
347 evident. Using global carbon data no relationship between the two variables was apparent,

348 regardless of the species grouping (Figs. 4a,c,e). However, at the local-scale, positive
349 associations with carbon were identified for threatened and disturbance-sensitive species
350 (Figs. 4d,f). This trend was not consistent across groupings with all species, non-threatened
351 and disturbance-tolerant taxa demonstrating no relationship with carbon (Figs. 4b).

352

353 **Discussion**

354 The extent to which biodiversity and carbon spatially align is fundamental to our
355 understanding of whether carbon-based policies can deliver positive results for conservation
356 in human-modified tropical landscapes. Among the few studies that assess biodiversity and
357 carbon covariance using primary and/or high-resolution data (Magnago *et al.* 2015; Sollmann
358 *et al.* 2017), ours is the first to verify an association within a tropical landscape mosaic
359 undergoing certification. We show that the strength, nature and extent of biodiversity co-
360 benefits are dependent on how carbon stocks are characterised (i.e. categorical or
361 continuous), the spatial resolution of the carbon data employed, and the species considered.

362

363 *Contribution of the HCS approach to biodiversity conservation*

364 When evaluating community-level responses to HCS classes, we found comparable levels of
365 mammalian occupancy between Continuous Logged Forest, Developed Land and Dense
366 Forest, while occupancy was reduced in Young Regenerating Forest and Oil Palm.
367 Occupancy can be a viable surrogate for abundance under certain conditions (Efford &
368 Dawson 2012). Our results could therefore suggest the persistence of certain mammal species
369 at lower densities within carbon-poor classes, which confirms previous reports of reduced
370 mammalian abundance in impoverished forest habitats (Bicknell *et al.* 2014).

371 Occupancy and species richness estimates for the total mammal community highlight
372 comparable levels of biodiversity between the Dense Forest and Developed Land classes,
373 supporting previous studies that demonstrate the conservation value of heavily degraded
374 forest for a range of taxonomic groups (Struebig *et al.* 2013; Edwards *et al.* 2014; Wearn *et*
375 *al.* 2016). However, we advise caution when interpreting the biodiversity value of Developed

376 Land, which may not be fully realised for long-lived mammal species until extinction debts,
377 owed to a legacy of disturbance, are repaid (Rosa *et al.* 2016). The biodiversity value of
378 Developed Land that we found is also crucially dependent on the low levels of hunting at our
379 study site. Hunting has been shown to have substantial impacts on mammal communities
380 elsewhere in the region (Harrison *et al.* 2016). Our study adds to the growing body of
381 evidence that shows oil palm plantations to have depauperate mammalian communities,
382 comprised of few generalist species occurring at low densities (Yue *et al.* 2015; Wearn *et al.*
383 2016). While our data generally conform to the pattern of declining biodiversity relative to
384 structural complexity, Young Regenerating Forest demonstrated comparably lower
385 biodiversity value regardless of the metric examined. Given the difficulties in differentiating
386 between the Young Regenerating Forest and Scrub strata (see Appendix S2), we believe this
387 finding reflects ambiguities in the HCS classification process.

388 While our analyses demonstrate differences in conservation value between the HCS
389 strata, the ability of land parcels to support biodiversity will also be limited by habitat
390 fragmentation effects. This process is pervasive in human-modified landscapes, and has
391 contributed to species richness declines of up to 75% (Haddad *et al.* 2015). While efforts to
392 account for habitat fragmentation in the HCS prioritisation process are underway, a definitive
393 toolkit is still in development. Therefore, while we have not explicitly accounted for the
394 independent and interactive effects of fragmentation metrics (e.g. patch size, isolation and
395 connectivity) on biodiversity in our analyses, it warrants further consideration as the HCS
396 Approach gains traction across the agricultural sector.

397

398 *Contribution of REDD+ to biodiversity conservation*

399 Our results indicate that spatial concordance between biodiversity and carbon can be
400 overlooked if the latter is calculated via low-resolution data. Using carbon information from a
401 commonly utilised global dataset, no association with mammal diversity was identified,
402 suggesting that REDD+ initiatives would not provide biodiversity co-benefits in heavily
403 degraded landscapes. However, when high-resolution carbon maps were employed, a positive
404 relationship with species richness was found for threatened and disturbance-sensitive taxa,
405 demonstrating the value of REDD+ to those species most vulnerable to land-use change.
406 When all species were considered these relationships were obscured by non-threatened,
407 generalist species that are resilient to disturbance. Our findings provide further support for
408 biodiversity co-benefits in agricultural land-use mosaics, as previously demonstrated for a
409 range of taxonomic groups (birds and dung beetles: Gilroy *et al.* 2014; amphibians: Basham
410 *et al.* 2016), while highlighting important nuances in the carbon-biodiversity relationship. We
411 advocate the use of fine-grained, field-validated carbon data when determining the extent and
412 nature of biodiversity co-benefits and suggest an emphasis on species of conservation
413 concern.

414 Our detailed landscape appraisal is the first to identify biodiversity co-benefits for
415 mammals, a taxonomic group that occupies key trophic positions in tropical forest
416 ecosystems and is frequently prioritised by conservation initiatives. Previous studies have
417 proved less convincing. Across a pantropical network of sites, Beaudrot *et al.* (2016) found
418 no association between forest carbon and three measures of mammalian diversity. However,
419 by aggregating fine-scale biomass data at the site level, the authors compromised the
420 resolution of their data, potentially obscuring intra-site relationships that would be more
421 representative of a REDD+ management unit. Similarly, Sollmann *et al.* (2017) found little
422 correspondence between above-ground biomass and mammal occupancy in a certified forest

423 reserve in Malaysian Borneo, despite adopting a comparable methodology to the present
424 study. Contrasting findings may be attributed to spatial variability in hunting pressure.

425

426 *Implications for HCS implementation*

427 Given that RSPO members have little obligation to protect highly disturbed forest of
428 uncertain conservation value, the HCS Approach is a useful tool to designate high carbon,
429 high biodiversity land in areas that would otherwise be converted to plantation. High Carbon
430 Stock areas can also contribute to national and regional spatial planning initiatives that
431 mitigate the effects of environmental change on tropical biodiversity by promoting
432 connectivity in human-modified landscapes (Struebig *et al.* 2015).

433 Under current HCS guidelines, 62% (8,150 ha) of the remaining forest in the study
434 system would qualify for protection from agricultural conversion, equating to a net gain of
435 15.72 t C ha⁻¹ (see Appendix S2) at an annual opportunity cost of US\$3.7 million (based on
436 Fisher *et al.* 2011). The success of certification depends on financial returns from sustainable
437 production offsetting the economic losses associated with sustainable practices. While the
438 zero deforestation principle of the HCS Approach aligns with consumer goods forum calls to
439 eliminate deforestation from global commodity supply chains, reducing reputational risks, it
440 has been considered economically restrictive for nations with extensive pristine forests
441 (Senior *et al.* 2015), indicating that current guidelines may be too stringent. Strata such as
442 Young Regenerating Forest might, therefore, end up being earmarked for conversion rather
443 than conservation in some circumstances. However, with the conservation value of this
444 stratum likely to increase as forests regenerate, the impact of such a policy change needs to
445 be fully evaluated. Carbon neutral conversion represents an alternative to the current
446 emphasis on zero deforestation. While the specific carbon threshold for delineating forest has

447 proved contentious, Pirker et al. (2016) for example, demonstrated that protecting areas
448 exceeding 100 t C ha⁻¹ would safeguard 73% of the climatically suitable area for oil palm
449 expansion. Ultimately, compromise begets progression, and while the industry should still
450 strive for zero deforestation, carbon neutral conversion may be more viable in specific
451 countries and circumstances, if agricultural expansion, economic development and forest
452 conservation are to be reconciled.

453

454 *Implications for REDD+ implementation*

455 The considerable enthusiasm for biodiversity co-benefits often obscures the fact that REDD+
456 is fundamentally a carbon-orientated mechanism with limited scope for increasing
457 biodiversity conservation (Venter *et al.* 2013). While we provide further evidence to verify
458 biodiversity co-benefits in human-modified landscapes it is unlikely that REDD+ will be
459 economically viable in carbon-poor environments. Given current economic pressures and
460 weak carbon markets, REDD+ projects currently prioritize carbon gains at low operating
461 costs. Acting optimally for carbon will therefore place increasing agricultural pressure on
462 secondary or degraded forests that are comparatively low in carbon value but retain
463 appreciable levels of biodiversity (Edwards *et al.* 2014). Conservationists must ensure that
464 safeguards are in place to support vulnerable species in disturbed habitats that fall beyond the
465 remit of carbon-financing mechanisms.

466 The viability of REDD+ in human-modified landscapes is further hindered by the
467 profitability of oil palm. Under current voluntary markets avoided deforestation through
468 REDD+ was found to have an opportunity cost of \$3221–8636 ha⁻¹ over a 30 year period
469 when compared to potential profits generated from oil palm (Butler, Koh & Ghazoul 2009).
470 For REDD+ to be an economically competitive alternative to oil palm cultivation, climate

471 change policies must legitimise REDD+ carbon credits to facilitate their trade on financially
472 lucrative compliance markets (Butler, Koh & Ghazoul 2009).

473

474 *Conclusions*

475 Our work highlights the potential for environmental certification and REDD+ financing
476 mechanisms to work in tandem with conservation to mitigate the effects of agricultural
477 expansion on tropical forest carbon stocks and biodiversity. REDD+ is well placed if it
478 prioritises large tracts of contiguous forest, especially if commitments to carbon stock
479 enhancement safeguard degraded forest of biological value. Certification schemes, coupled
480 with land-use planning tools such as HCS, can help secure sizeable forest patches of high
481 conservation value in agricultural estates, and offer a further safeguard to minimise
482 encroachment. Conservationists should capitalise on both types of carbon-based policy to
483 maximise the potential for developed lands to provide ecological stepping stones for
484 threatened wildlife between a network of high-carbon, high-biodiversity areas.

485 **Author Contributions**

486 NJD, MJS and ZGD conceived the ideas and designed the methodology; NJD and ELB
487 collected and processed data, adapting scripts developed by ORW; MP and NJD provided
488 carbon data; NJD and GGA undertook analyses; NJD, MJS and ZGD led the writing of the
489 manuscript. All authors contributed critically to the drafts and gave final approval for
490 publication.

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500 Sapta Ananda (both Greenpeace) for training on HCS techniques.

501 **Data Accessibility**

502 In accordance with NJD's studentship, data collected in fulfilment of this manuscript (forest
503 inventory data and detection histories for 28 mammal species) will be uploaded to the NERC
504 Environmental Information Data Centre.

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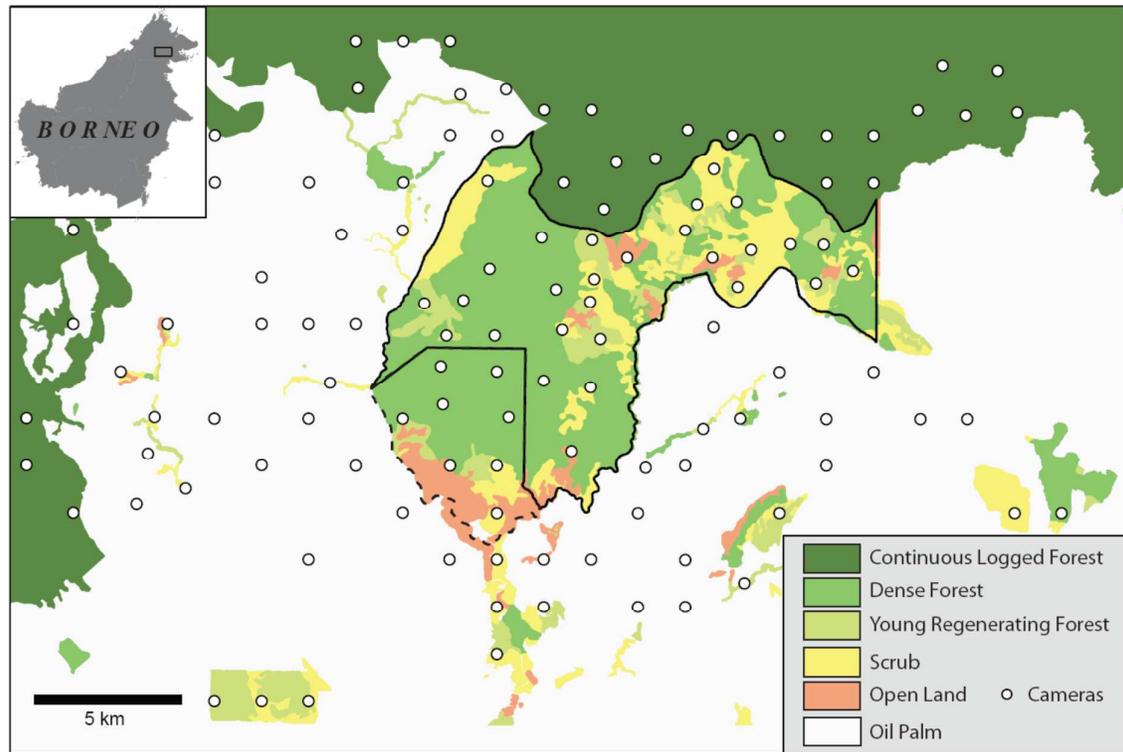
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663 **Data Sources**

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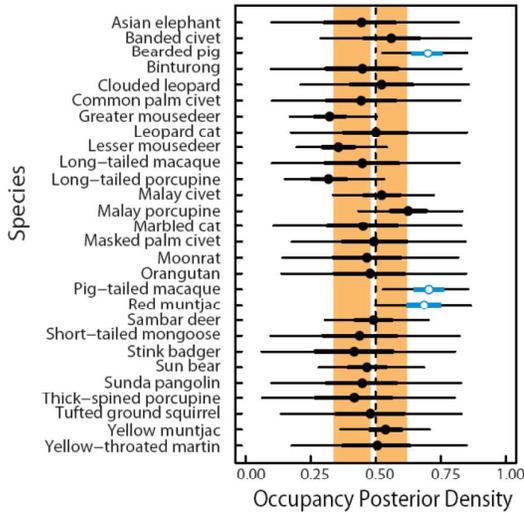
671 **Figures**

672

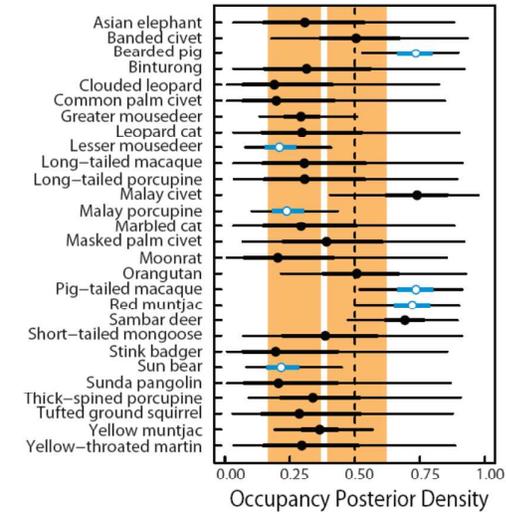
673 **Figure 1:** HCS classification of the study landscape in Sabah, Borneo. Forest cover was
 674 delineated into four strata on the basis of vegetation density (Dense Forest, Young
 675 Regenerating Forest, Scrub, Open Land) and supplemented with two reference classes
 676 (Continuous Logged Forest, Oil Palm) to act as forest and agricultural controls. Points
 677 indicate camera-trap locations ($N=115$).

678

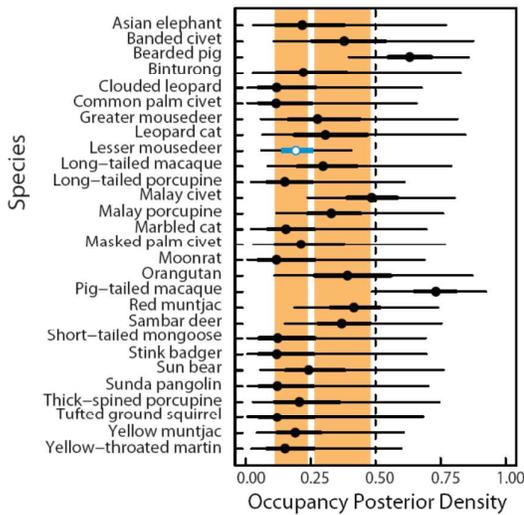
(a) Continuous Logged Forest



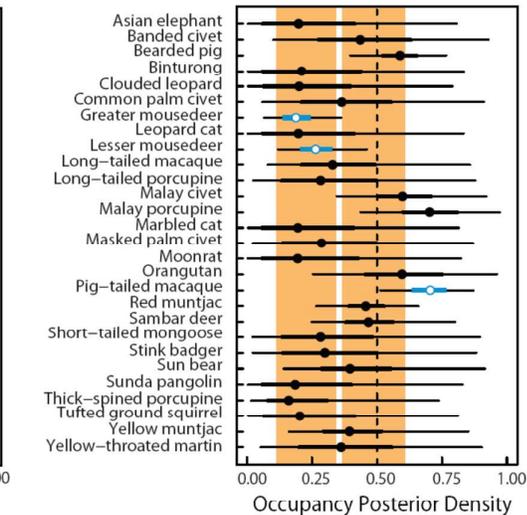
(b) Dense Forest



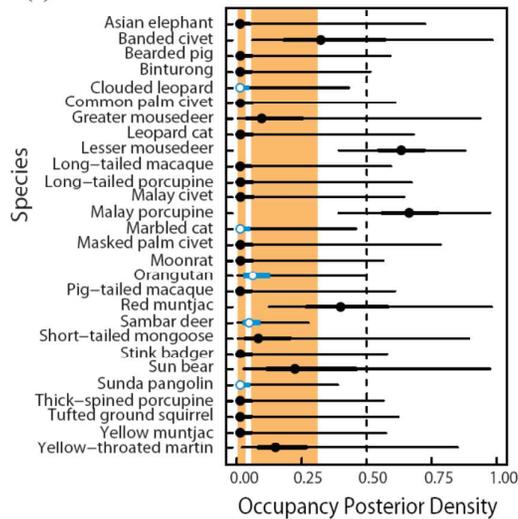
(c) Young Regenerating Forest



(d) Developed Land

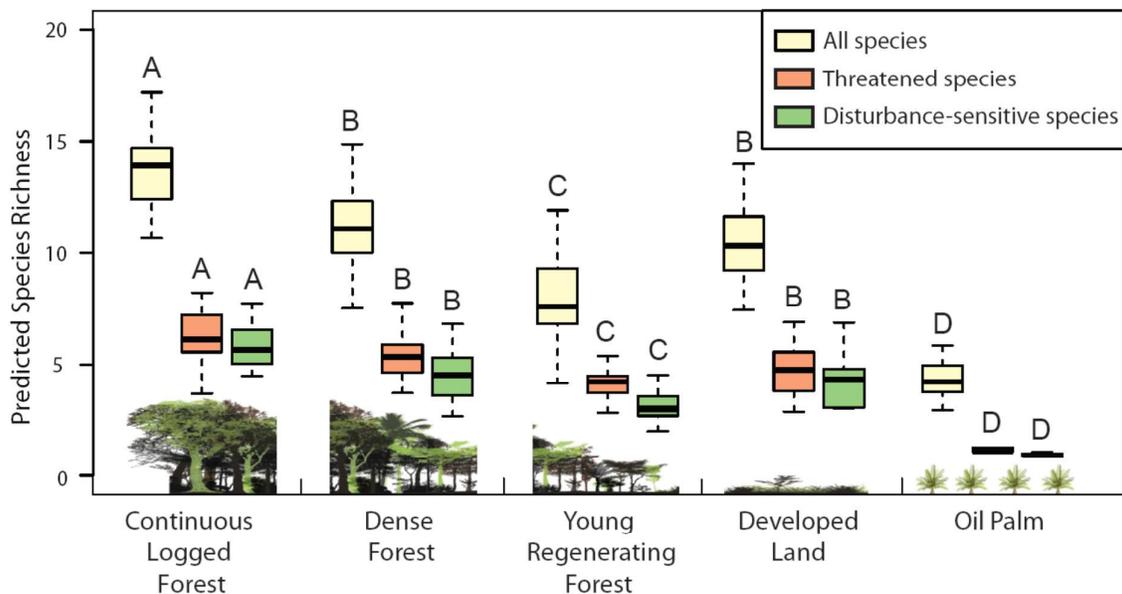


(e) Oil Palm



680 **Figure 2:** Caterpillar plots of outputs from the hierarchical Bayesian multi-species occupancy
 681 model. Graphs show species-specific baseline occupancy estimates (including 95% Bayesian
 682 Credible Interval) relative to habitat class (a-e). Mean community (hyper)parameter
 683 occupancy values and their associated credible intervals are represented in the shaded
 684 (orange) background to each plot. Species exhibiting deviations from a baseline occupancy of
 685 0.5 are shown with shaded (blue) bars.

686

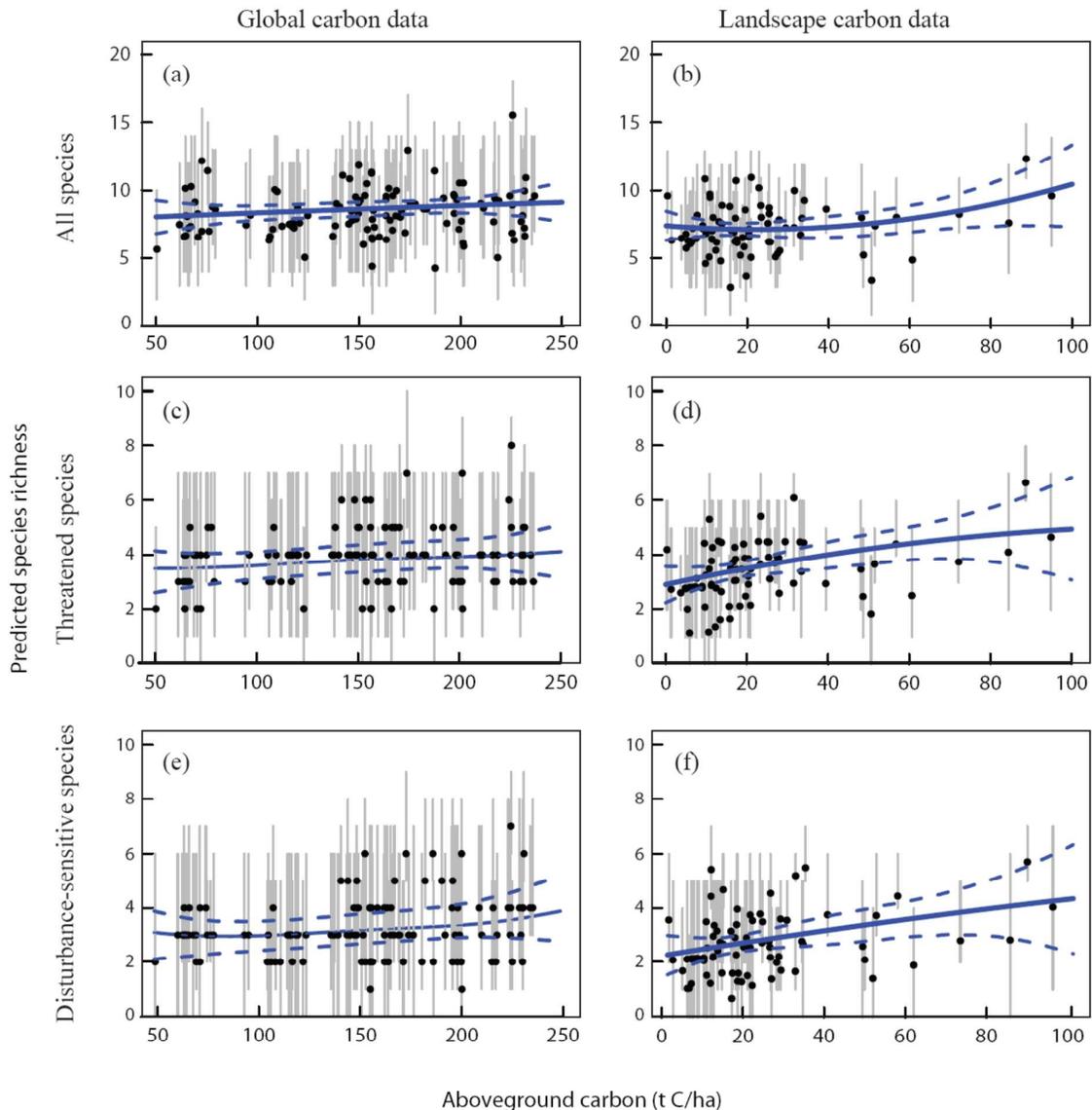


687

688 **Figure 3:** Boxplots demonstrating species richness in relation to habitat class for: (1) all
 689 species; (2) threatened species (IUCN red-listed as vulnerable, endangered or critically
 690 endangered); (3) disturbance sensitive species. Colour coded capitalised letters indicate
 691 significant differences between habitat classes within broader species groupings (different
 692 letters suggest significance while identical letters indicate non-significance).

693

694

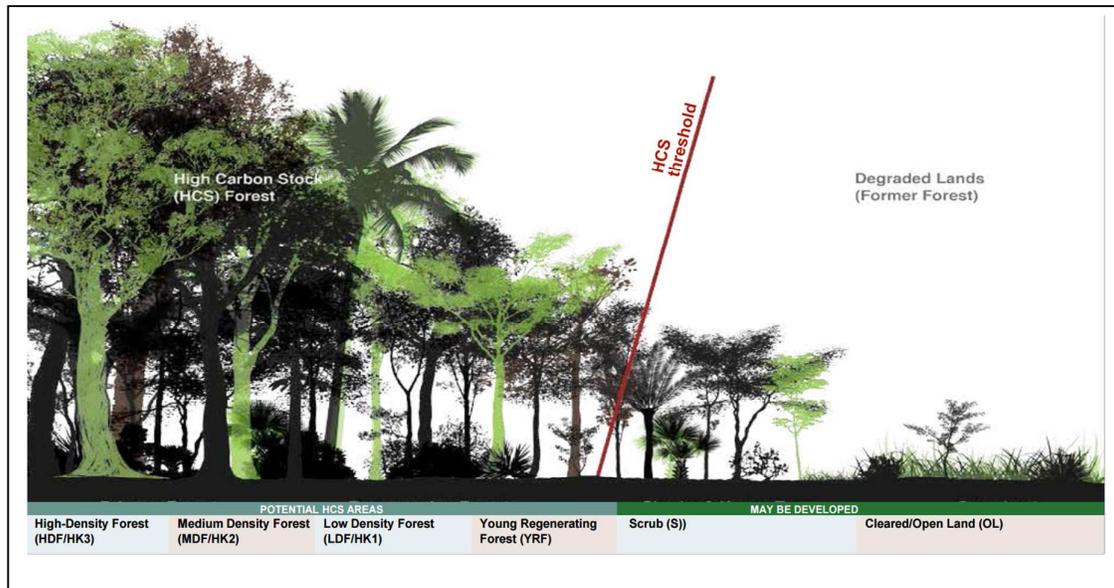


695

Aboveground carbon (t C/ha)

696 **Figure 4:** Bayesian linear model outputs demonstrating significant positive relationships
 697 between predicted species richness and carbon stock estimates derived from a 25 m
 698 resolution local dataset (d: threatened species; f: disturbance-sensitive species). All other
 699 associations presented were found to be non-significant. Blue lines indicate predicted mean
 700 posterior distribution values, dashed lines refer to predicted 95% Bayesian Credible Intervals
 701 and vertical grey lines highlight the error associated with each estimated species richness
 702 value.

Supporting Information S1: Definitions of strata delineated by the High Carbon Stock (HCS) Approach (Rosoman *et al.* 2017)



The High Carbon Stock (HCS) methodology seeks to conserve biodiverse and ecologically functional forest networks within agricultural concessions by directing conversion towards heavily degraded land of low carbon value (Greenpeace International, 2013). This is achieved by using high resolution satellite imagery to stratify the landscape into discrete strata according to vegetation density and structure, which are then adopted as proxies for above-ground carbon stocks and assumed to support varying levels of biodiversity. The HCS Approach recognises six distinct vegetation classes (detailed below), each with unique structural characteristics.

Habitat Classes		Analytical Classification
	<p>Continuous Logged Forest contains similar structural properties to Dense Forest. This class is not considered for development due to its protected status.</p> <p>This class was incorporated as a control against which carbon and biodiversity of other strata could be compared.</p>	<p>Continuous Logged Forest</p>
	<p>Dense Forest comprises three classes, high/medium/low density forest, and refers to closed-canopy natural forest characterised by:</p> <ul style="list-style-type: none"> • >50% canopy cover; • Significant proportion of trees >30cm dbh; • Dominated by climax community trees. <p>Development status: Conserved</p>	<p>Dense Forest</p>
	<p>Young Regenerating Forest is highly disturbed remnant forest characterised by:</p> <ul style="list-style-type: none"> • 30-40% canopy cover; • Significant proportion of trees between 10 and 30cm dbh; • Dominated by pioneer tree species. 	<p>Young Regenerating Forest</p>

	Development Status: Conserved	
	<p>Scrub refers to land that has previously been cleared but experienced some regeneration. It is characterised by:</p> <ul style="list-style-type: none"> • <25% canopy cover; • Dominated by tall grasses and ferns but containing some pioneer species. <p>Development status: Converted</p>	Developed Land
	<p>Open Land represents a post-clearance habitat dominated by grass or crops with few woody plants.</p> <p>Development status: Converted</p>	
	<p>Oil palm (<i>Elaeis guineensis</i>) represents the final phase of land-use change in the study system when natural forest habitat has been displaced by commodity agriculture.</p> <p>This class was incorporated as a control against which carbon and biodiversity of other strata could be compared.</p>	Oil Palm Plantation

References

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Available at:

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Rosoman, G., Sheun, S.S., Opal, C., Anderson, P. & Trapshah, R., editors (2017) *The HCS*

Approach Toolkit. Singapore: HCS Steering Group.

Supplementary Information S2: Validating the carbon credentials of the High Carbon Stock (HCS) Approach

Rationale

The High Carbon Stock (HCS) land-use planning tool is gaining traction in oil palm certification as companies seek to honour their commitments to sustainable production. However, there is a paucity of information in the scientific literature to validate the accuracy of the HCS stratification process to delineate high carbon conservation priority areas. Here, we provide an assessment of the carbon credentials of the HCS toolkit.

Methods and Materials

HCS classes were delineated across the Stability of Altered Forest Ecosystems (SAFE) Project study site using standardised protocols (HCS Steering Group 2015). These strata were validated using independent high-resolution satellite data of above-ground biomass (RapidEye, 5 m resolution; temporal coverage 2012-2013; Pfeifer *et al.* 2016), across cloud-free areas of the study landscape. Carbon stocks were calculated using a conversion factor of 0.47 (Martin & Thomas 2011), and values were extracted from 200 random points per HCS class ($N=800$) separated by a minimum distance of 50 m. A Bayesian linear model was employed to determine the distribution of carbon values across classes, thus testing the accuracy of the classification process.

Results

Following our land-cover stratification, 62 % of the remaining forest in the study landscape, comprising 6,031 ha of High Carbon Stock forest and 2,120 ha of Young Regenerating Forest, would qualify for conservation under current HCS guidelines (Fig. 1). The classes appropriately reflected modelled carbon content, which was greatest for Dense Forest (45.86t C ha⁻¹, 95% BCI=42.32-49.44) and significantly lower in the other classes (Young Regenerating Forest: 31.30t C ha⁻¹, 27.85-34.80; Scrub: 29.62 t C ha⁻¹, 26.19-33.05; Open Land: 16.09t C ha⁻¹, 12.59-19.62). Pairwise comparisons of carbon content revealed significant differences between all habitat classes with the exception of Young Regenerating Forest and Scrub. On average, land earmarked for conservation contained 41% more carbon than that designated for development (i.e. Scrub and Open Land strata combined) under the HCS Approach, equating to a net value of 15.72 t C ha⁻¹ across the landscape.

Discussion

There is a general consensus in the scientific literature that field-derived carbon estimates are laborious, costly and time consuming to implement over large spatial scales (Gibbs *et al.* 2007; Petrokofsky *et al.* 2012). Consequently, there is a need for cost-effective, efficient protocols that can be followed to delineate high carbon stock areas. Our results provide empirical support for the prioritisation of high carbon stock areas for conservation in the humid tropics via the HCS Approach. High Carbon Stock Forest (Dense Forest and Young Regenerating Forest combined) was estimated to store 45.86 t C ha⁻¹, which falls within the range of estimates for highly degraded forest habitat in Borneo (40-100 t C ha⁻¹; Lucey *et al.* 2014), but is considerably lower than pristine lowland tropical forest (477 t C ha⁻¹; Budiharta *et al.* 2014).

Our analyses demonstrate that carbon stocks are similar between land classified as Young Regenerating Forest and Scrub, suggesting that the HCS toolkit cannot reliably distinguish between these strata. This has direct implications for conservation, as Young Regenerating Forest should be retained within the landscape, yet Scrub can be developed. This reflects the concerns of Annisa (2014), who stressed the difficulties associated with partitioning heterogeneous vegetation into categorical carbon classes, as habitat transitions are gradual and not discrete. While the initial version of the HCS toolkit offered a clear carbon threshold value (35 t C ha^{-1}) with which to differentiate between strata suitable for development and conservation, it has been omitted in subsequent revisions, introducing subjectivity and misinterpretation into the classification process. We recommend the adoption of explicit carbon threshold values defining each HCS stratum, to improve calibration and thus classification accuracy. Threshold values would make the HCS methodology more transparent, objective and comparable across concessions. In practice, the thresholds should be regionally-specific, accounting for the recognised geographic variation in standing carbon stock across tropical forests globally (Banin *et al.* 2014; Avitabile *et al.* 2016).

References

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Supplementary Information S3: Species assignment to grouping categories

Species	Threat Status	Disturbance Response
Asian Elephant	Threatened	Sensitive
Banded Civet	Threatened	Sensitive
Bearded Pig	Threatened	Tolerant
Binturong	Threatened	Sensitive
Clouded Leopard	Threatened	Sensitive
Common Palm Civet	Non-threatened	Tolerant
Greater Mousedeer	Non-threatened	Sensitive
Leopard Cat	Non-threatened	Tolerant
Lesser Mousedeer	Non-threatened	Sensitive
Long tailed Macaque	Non-threatened	Tolerant
Long tailed Porcupine	Non-threatened	Tolerant
Malay Civet	Non-threatened	Tolerant
Malay Porcupine	Non-threatened	Tolerant
Marbled Cat	Threatened	Sensitive
Masked Palm Civet	Non-threatened	Tolerant
Moonrat	Non-threatened	Sensitive
Orangutan	Threatened	Sensitive
Pig tailed Macaque	Threatened	Tolerant
Red Muntjac	Non-threatened	Tolerant
Sambar Deer	Threatened	Sensitive
Short tailed Mongoose	Non-threatened	Tolerant
Stink Badger	Non-threatened	Tolerant
Sun Bear	Threatened	Sensitive
Sunda Pangolin	Threatened	Tolerant
Thick spined Porcupine	Non-threatened	Tolerant
Tufted Ground Squirrel	Threatened	Sensitive
Yellow Muntjac	Non-threatened	Sensitive
Yellow throated Marten	Non-threatened	Tolerant

Supplementary Information S4: Model Specification and predictive performance checks

All analyses were conducted in WinBUGS version 1.4.3 called through R version 3.3.0 using the package “R2WinBUGS” (Sturtz, Ligges & Gelman 2005). All statistical models were constructed using uninformative priors. Unless stated otherwise, parameter estimates are presented as means alongside 95% Bayesian Credible Intervals (BCIs) and considered statistically significant if their 95% BCIs did not overlap zero. For the hierarchical Bayesian multispecies occupancy model, three parallel chains were run for 75,000 iterations, 25,000 of which were discarded during the burn-in; posterior chains were thinned by 10. For all Bayesian two-stage linear models, three parallel chains were run for 12,000 iterations, following a burn-in of 2,000; posterior chains were thinned by 5. Convergence was assessed using visual inspection of trace plots and the Gelman-Rubin statistic, values ≥ 1.1 indicate failure to converge (Gelman & Hill 2007). Model fit was assessed statistically using a posterior predictive check, which compares model fit for the actual data against a simulated, idealised dataset (Gelman, Meng & Stern 1996). Bayesian p -values were extracted as a numerical summary of the posterior predictive distribution, with quantities close to 0.5 indicating adequate model fit. We identified quantitative and visual support for convergence in all models presented, while obtained Bayesian p -values did not provide evidence of lack of fit ($0.43 \leq p \leq 0.52$).

Model code

WinBUGS code for hierarchical Bayesian community occupancy model used to assess spatial concordance between biodiversity and HCS classes.

```
model{

#Define prior distributions for community-level model parameters

omega ~ dunif(0,1)

CLF.mean ~ dunif(0,1)
mu.uCLF <- log(CLF.mean) - log(1-CLF.mean)

HCS.mean ~ dunif(0,1)
mu.uHCS <- log(HCS.mean) - log(1-HCS.mean)

YRF.mean ~ dunif(0,1)
mu.uYRF <- log(YRF.mean) - log(1-YRF.mean)

DEV.mean ~ dunif(0,1)
mu.uDEV <- log(DEV.mean) - log(1-DEV.mean)

OP.mean ~ dunif(0,1)
mu.uOP <- log(OP.mean) - log(1-OP.mean)

CLF2.mean ~ dunif(0,1)
mu.vCLF <- log(CLF2.mean) - log(1-CLF2.mean)

HCS2.mean ~ dunif(0,1)
mu.vHCS <- log(HCS2.mean) - log(1-HCS2.mean)
```

```
YRF2.mean ~ dunif(0,1)
mu.vYRF <- log(YRF2.mean) - log(1-YRF2.mean)
DEV2.mean ~ dunif(0,1)
mu.vDEV <- log(DEV2.mean) - log(1-DEV2.mean)
OP2.mean ~ dunif(0,1)
mu.vOP <- log(OP2.mean) - log(1-OP2.mean)
```

```
tau.uCLF ~ dgamma(0.1,0.1)
tau.uHCS ~ dgamma(0.1,0.1)
tau.uYRF ~ dgamma(0.1,0.1)
tau.uDEV ~ dgamma(0.1,0.1)
tau.uOP ~ dgamma(0.1,0.1)
tau.vCLF ~ dgamma(0.1,0.1)
tau.vHCS ~ dgamma(0.1,0.1)
tau.vYRF ~ dgamma(0.1,0.1)
tau.vDEV ~ dgamma(0.1,0.1)
tau.vOP ~ dgamma(0.1,0.1)
```

```
sigma.uCLF <- 1/sqrt(tau.uCLF)
sigma.uHCS <- 1/sqrt(tau.uHCS)
sigma.uYRF <- 1/sqrt(tau.uYRF)
sigma.uDEV <- 1/sqrt(tau.uDEV)
sigma.uOP <- 1/sqrt(tau.uOP)
sigma.vCLF <- 1/sqrt(tau.vCLF)
sigma.vHCS <- 1/sqrt(tau.vHCS)
```

```

sigma.vYRF <- 1/sqrt(tau.vYRF)

sigma.vDEV <- 1/sqrt(tau.vDEV)

sigma.vOP <- 1/sqrt(tau.vOP)

for (i in 1:(n+nzeroes)) {

#Create priors for species i from the community level prior distributions

w[i] ~ dbern(omega)

u.CLF[i] ~ dnorm(mu.uCLF, tau.uCLF)

u.HCS[i] ~ dnorm(mu.uHCS, tau.uHCS)

u.YRF[i] ~ dnorm(mu.uYRF, tau.uYRF)

u.DEV[i] ~ dnorm(mu.uDEV, tau.uDEV)

u.OP[i] ~ dnorm(mu.uOP, tau.uOP)

v.CLF[i] ~ dnorm(mu.vCLF, tau.vCLF)

v.HCS[i] ~ dnorm(mu.vHCS, tau.vHCS)

v.YRF[i] ~ dnorm(mu.vYRF, tau.vYRF)

v.DEV[i] ~ dnorm(mu.vDEV, tau.vDEV)

v.OP[i] ~ dnorm(mu.vOP, tau.vOP)

#Create a loop to estimate the Z matrix (true occurrence for species i
#at point j).

for (j in 1:J) {

logit(psi[j,i]) <- u.CLF[i]*Ind1[j] + u.HCS[i]*Ind2[j] + u.YRF[i]*Ind3[j] +
u.DEV[i]*Ind4[j] + u.OP[i]*Ind5[j]

```

```

mu.psi[j,i] <- psi[j,i]*w[i]
Z[j,i] ~ dbern(mu.psi[j,i])

#Create a loop to estimate detection for species i at point j during
#sampling period k.
for (k in 1:K[j]) {
logit(p[j,k,i]) <- v.CLF[i]*Ind1[j] + v.HCS[i]*Ind2[j] + v.YRF[i]*Ind3[j] +
v.DEV[i]*Ind4[j] + v.OP[i]*Ind5[j]

mu.p[j,k,i] <- p[j,k,i]*Z[j,i]
X[j,k,i] ~ dbern(mu.p[j,k,i])

# Create simulated dataset to calculate Bayesian p value
Xnew[j,k,i] ~ dbern(mu.p[j,k,i])
d[j,k,i]<- abs(X[j,k,i] - mu.p[j,k,i])
dnew[j,k,i]<- abs(Xnew[j,k,i] - mu.p[j,k,i])
d2[j,k,i]<- pow(d[j,k,i],2)
dnew2[j,k,i]<- pow(dnew[j,k,i],2)
}

dsum[j,i]<- sum(d2[j,1:K[j],i])
dnewsum[j,i]<- sum(dnew2[j,1:K[j],i])
}}

```

```
# Calculate discrepancy measure, which is then defined as mean(p.fit > p.fitnew) in R
p.fit<-sum(dsum[1:J,1:n])
p.fitnew<-sum(dnewsum[1:J,1:n])
}

#Sum all species observed (n) and unobserved species (n0) to find the
#total estimated richness
n0 <- sum(w[(n+1):(n+nzeroes)])
N <- n + n0

#Create a loop to determine point level richness estimates for the
#whole community and for subsets or assemblages of interest.
for(j in 1:J){
  Nsite[j]<- inprod(Z[j,1:(n+nzeroes)],w[1:(n+nzeroes)])
  Nleast[j]<- inprod(Z[j,1:n],least.concern[1:n])
  Nthreat[j]<- inprod(Z[j,1:n],threatened[1:n])
  Ntolerant[j]<- inprod(Z[j,1:n],tolerant[1:n])
  Nsensitive[j]<- inprod(Z[j,1:n],sensitive[1:n])
}
}
```

WinBUGS code for hierarchical Bayesian community occupancy model used to assess the potential for biodiversity cobenefits under REDD+.

```
model{  
  
  #Define prior distributions for community-level model parameters  
  
  omega ~ dunif(0,1)  
  
  
  psi.mean ~ dunif(0,1)  
  a <- log(psi.mean) - log(1-psi.mean)  
  
  mu.alpha1 ~ dnorm(0,0.001)  
  mu.alpha2 ~ dnorm(0,0.001)  
  
  tau1 ~ dgamma(0.1,0.1)  
  tau.alpha1 ~ dgamma(0.1,0.1)  
  tau.alpha2 ~ dgamma(0.1,0.1)  
  
  
  CLF2.mean ~ dunif(0,1)  
  mu.vCLF <- log(CLF2.mean) - log(1-CLF2.mean)  
  
  HCS2.mean ~ dunif(0,1)  
  mu.vHCS <- log(HCS2.mean) - log(1-HCS2.mean)  
  
  YRF2.mean ~ dunif(0,1)  
  mu.vYRF <- log(YRF2.mean) - log(1-YRF2.mean)  
  
  DEV2.mean ~ dunif(0,1)  
  mu.vDEV <- log(DEV2.mean) - log(1-DEV2.mean)  
  
  OP2.mean ~ dunif(0,1)  
  mu.vOP <- log(OP2.mean) - log(1-OP2.mean)
```

tau.uCLF ~ dgamma(0.1,0.1)

tau.uHCS ~ dgamma(0.1,0.1)

tau.uYRF ~ dgamma(0.1,0.1)

tau.uDEV ~ dgamma(0.1,0.1)

tau.uOP ~ dgamma(0.1,0.1)

tau.vCLF ~ dgamma(0.1,0.1)

tau.vHCS ~ dgamma(0.1,0.1)

tau.vYRF ~ dgamma(0.1,0.1)

tau.vDEV ~ dgamma(0.1,0.1)

tau.vOP ~ dgamma(0.1,0.1)

sigma.tau1 <- 1/sqrt(tau1)

sigma.alpha1 <- 1/sqrt(tau.alpha1)

sigma.alpha2 <- 1/sqrt(tau.alpha2)

sigma.vCLF <- 1/sqrt(tau.vCLF)

sigma.vHCS <- 1/sqrt(tau.vHCS)

sigma.vYRF <- 1/sqrt(tau.vYRF)

sigma.vDEV <- 1/sqrt(tau.vDEV)

sigma.vOP <- 1/sqrt(tau.vOP)

for (i in 1:(n+nzeroes)) {

#Create priors for species i from the community level prior distributions

$w[i] \sim \text{dbern}(\text{omega})$

$u[i] \sim \text{dnorm}(a, \text{tau}1)$

$\text{alpha}1[i] \sim \text{dnorm}(\text{mu.alpha}1, \text{tau.alpha}1)$

$\text{alpha}2[i] \sim \text{dnorm}(\text{mu.alpha}2, \text{tau.alpha}2)$

$v.\text{CLF}[i] \sim \text{dnorm}(\text{mu.vCLF}, \text{tau.vCLF})$

$v.\text{HCS}[i] \sim \text{dnorm}(\text{mu.vHCS}, \text{tau.vHCS})$

$v.\text{YRF}[i] \sim \text{dnorm}(\text{mu.vYRF}, \text{tau.vYRF})$

$v.\text{DEV}[i] \sim \text{dnorm}(\text{mu.vDEV}, \text{tau.vDEV})$

$v.\text{OP}[i] \sim \text{dnorm}(\text{mu.vOP}, \text{tau.vOP})$

#Create a loop to estimate the Z matrix (true occurrence for species i

#at point j.

for (j in 1:J) {

$\text{logit}(\text{psi}[j,i]) \leftarrow u[i] + \text{alpha}1[i] * \text{carbon}1[j] + \text{alpha}2[i] * \text{carbon}2[j]$

$\text{mu.psi}[j,i] \leftarrow \text{psi}[j,i] * w[i]$

$Z[j,i] \sim \text{dbern}(\text{mu.psi}[j,i])$

#Create a loop to estimate detection for species i at point j during

#sampling period k.

for (k in 1:K[j]) {

$\text{logit}(p[j,k,i]) \leftarrow v.\text{CLF}[i] * \text{Ind}1[j] + v.\text{HCS}[i] * \text{Ind}2[j] + v.\text{YRF}[i] * \text{Ind}3[j] +$

$v.\text{DEV}[i] * \text{Ind}4[j] + v.\text{OP}[i] * \text{Ind}5[j]$

```

mu.p[j,k,i] <- p[j,k,i]*Z[j,i]
X[j,k,i] ~ dbern(mu.p[j,k,i])

# Create simulated dataset to calculate Bayesian p value
Xnew[j,k,i] ~ dbern(mu.p[j,k,i])
d[j,k,i]<- abs(X[j,k,i] - mu.p[j,k,i])
dnew[j,k,i]<- abs(Xnew[j,k,i] - mu.p[j,k,i])
d2[j,k,i]<- pow(d[j,k,i],2)
dnew2[j,k,i]<- pow(dnew[j,k,i],2)
}

dsum[j,i]<- sum(d2[j,1:K[j],i])
dnewsum[j,i]<- sum(dnew2[j,1:K[j],i])
}}

# Calculate discrepancy measure, which is then defined as mean(p.fit > p.fitnew)
p.fit<-sum(dsum[1:J,1:n])
p.fitnew<-sum(dnewsum[1:J,1:n])
}

#Sum all species observed (n) and unobserved species (n0) to find the
#total estimated richness
n0 <- sum(w[(n+1):(n+nzeroes)])
N <- n + n0

```

```
#Create a loop to determine point level richness estimates for the
#whole community and for subsets or assemblages of interest.

for(j in 1:J){
  Nsite[j]<- inprod(Z[j,1:(n+nzeroes)],w[1:(n+nzeroes)])
  Nleast[j]<- inprod(Z[j,1:n],least.concern[1:n])
  Nthreat[j]<- inprod(Z[j,1:n],threatened[1:n])
  Ntolerant[j]<- inprod(Z[j,1:n],tolerant[1:n])
  Nsensitive[j]<- inprod(Z[j,1:n],sensitive[1:n])
}

#Finish writing the text file into a document we call covarmodel.txt
}
```

References

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Supporting Information S5: Model description and parameter estimates for hierarchical Bayesian multispecies occupancy models

Table S5.1: Model description, where μ and ν refer to the intercept terms for the occupancy and detection probability models respectively, $\alpha 1$ and $\alpha 2$ represent parameters describing carbon standard and quadratic covariates at 5m- ('CC5') and 1km resolution ('CC1000') and 'HCS class' refers to coarse delineations of carbon designated by the High Carbon Stock (HCS) Approach.

Model 1:	$\text{logit}(\psi_{i,j}) = \mu_{(i)HCS\ Class(j)}$ $\text{logit}(p_{i,j,k}) = \nu_{(i)HCS\ Class(j)}$
Model 2:	$\text{logit}(\psi_{i,j}) = \mu_{(i,j)} + \alpha 1_i CC1000_j + \alpha 2_i CC1000_j^2$ $\text{logit}(p_{i,j,k}) = \nu_{(i)HCS\ Class(j)}$
Model 3:	$\text{logit}(\psi_{i,j}) = \mu_{(i,j)} + \alpha 1_i CC5_j + \alpha 2_i CC5_j^2$ $\text{logit}(p_{i,j,k}) = \nu_{(i)HCS\ Class(j)}$

Table S5.2: Model 1: Species-specific posterior summaries for occupancy as a function of HCS class (Continuous Logged Forest: CLF; Dense Forest: DF; Young Regenerating Forest: YRF; Developed Land: DEV; Oil Palm: OP). We visualise mean predicted posterior distribution values (horizontal lines), accompanied by 95% Bayesian Credible Intervals (vertical lines).

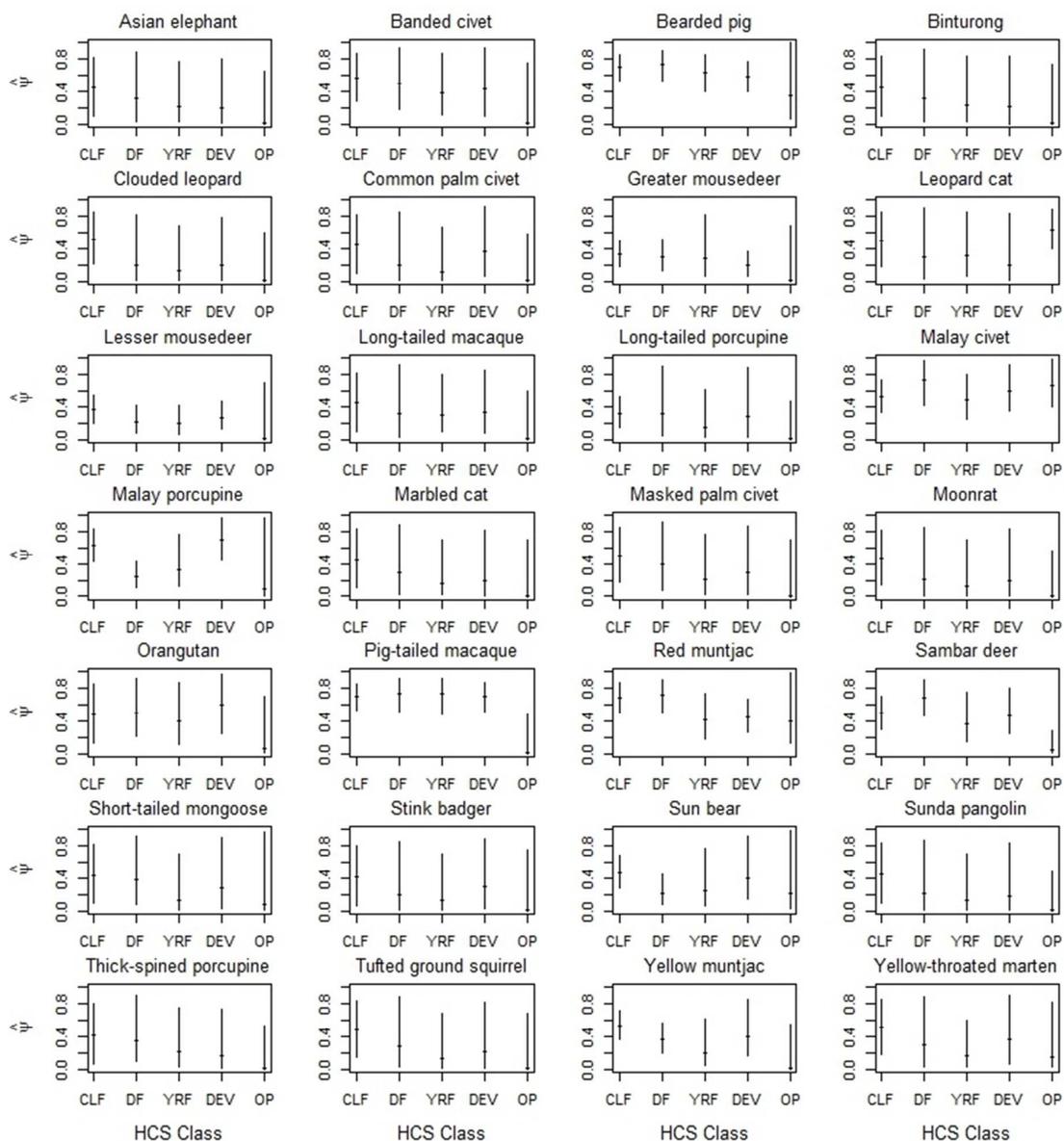


Table S5.3: Model 1: Species-specific posterior summaries of detection probability as a function of HCS class (Continuous Logged Forest: CLF; Dense Forest: DF; Young Regenerating Forest: YRF; Developed Land: DEV; Oil Palm: OP). We visualise mean predicted posterior distribution values (horizontal lines), accompanied by 95% Bayesian Credible Intervals (vertical lines).

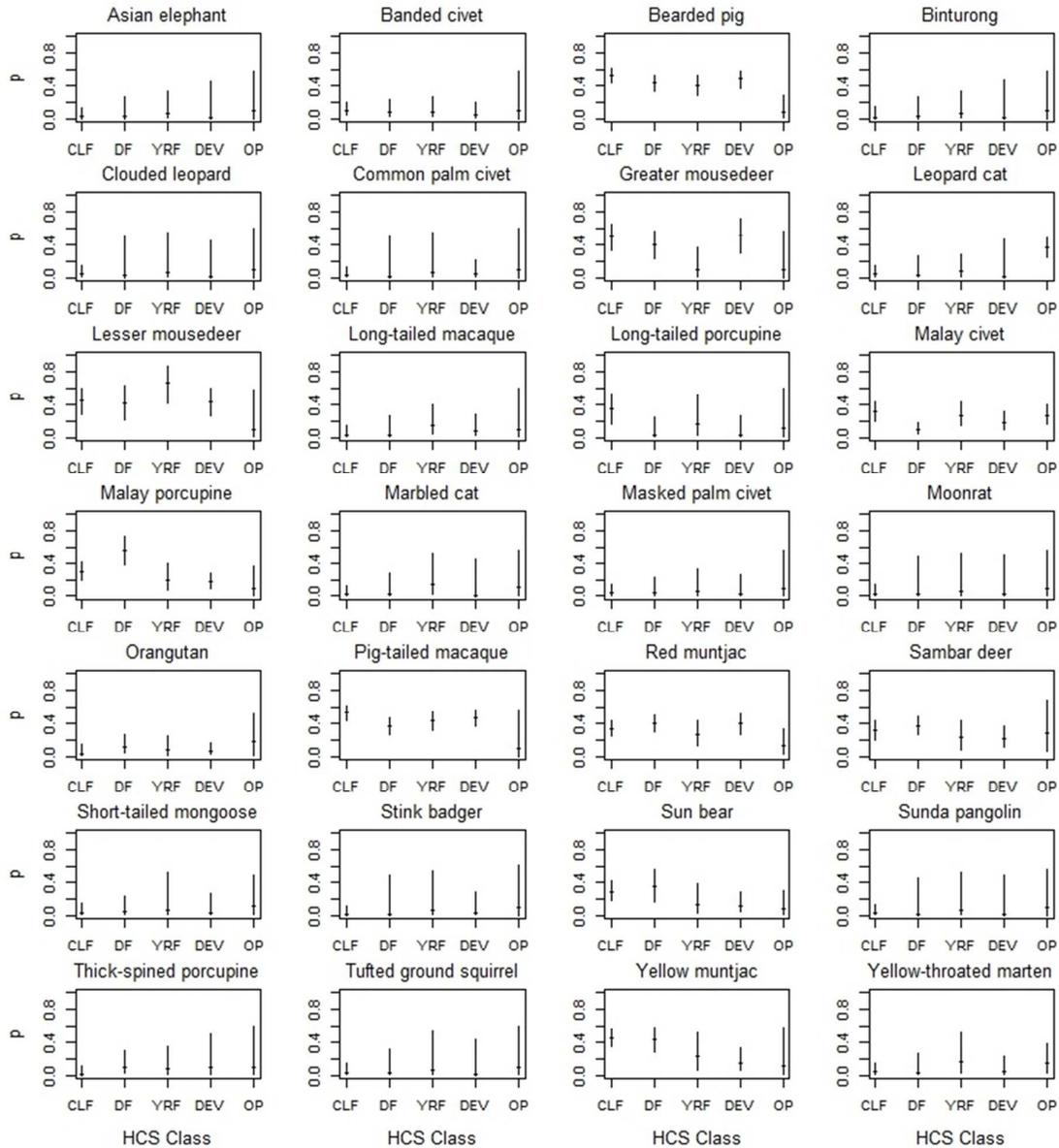


Table S5.4: Model 2: Species-specific posterior summaries of occupancy as a function of continuous carbon derived from a 1 km resolution dataset (Avitabile *et al.* 2016). We present predicted mean posterior distribution values (blue line) and 95% Bayesian credible intervals (blue shaded region).

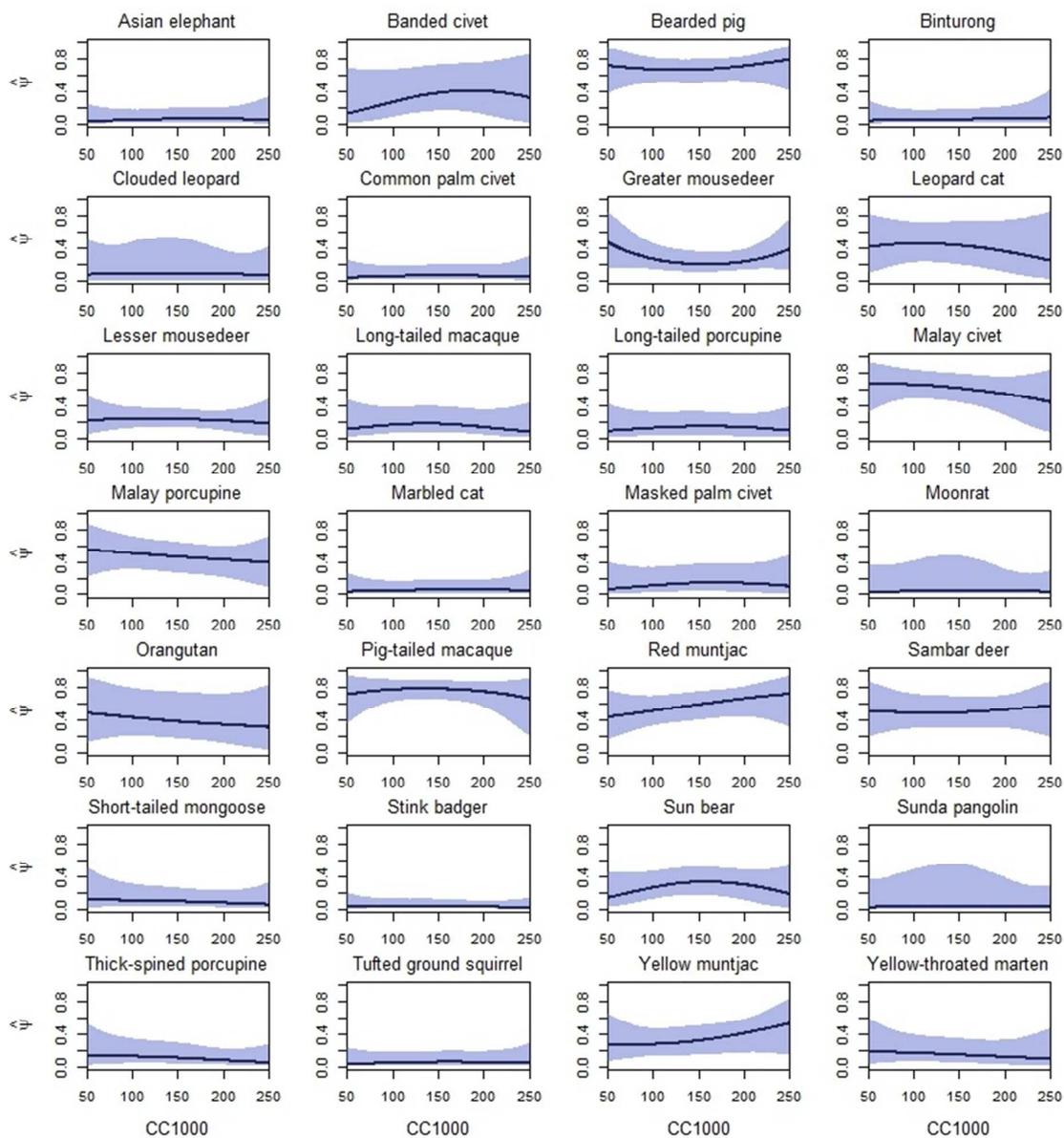


Table S5.5: Model 2: Species-specific posterior summaries of detection probability as a function of HCS class (Continuous Logged Forest: CLF; Dense Forest: DF; Young Regenerating Forest: YRF; Developed Land: DEV; Oil Palm: OP), broadly representing the influence of habitat type. We visualise mean predicted posterior distribution values (horizontal lines), accompanied by 95% Bayesian Credible Intervals (vertical lines).

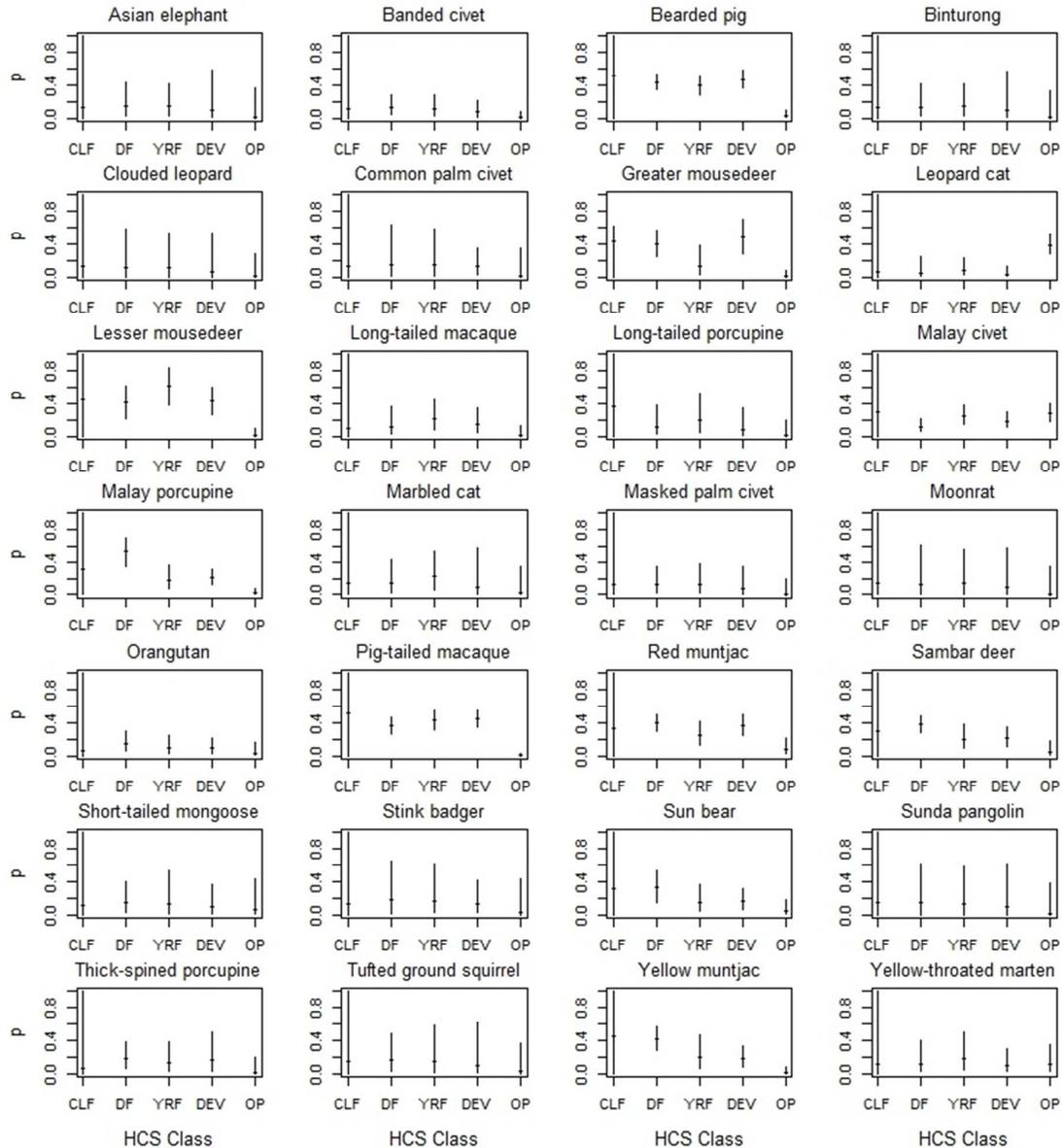


Table S5.6: Model 3: Species-specific posterior summaries of occupancy as a function of continuous carbon derived from a 25 m resolution dataset (Pfeifer *et al.* 2016). We present predicted mean posterior distribution values (blue line) and 95% Bayesian credible intervals (blue shaded region).

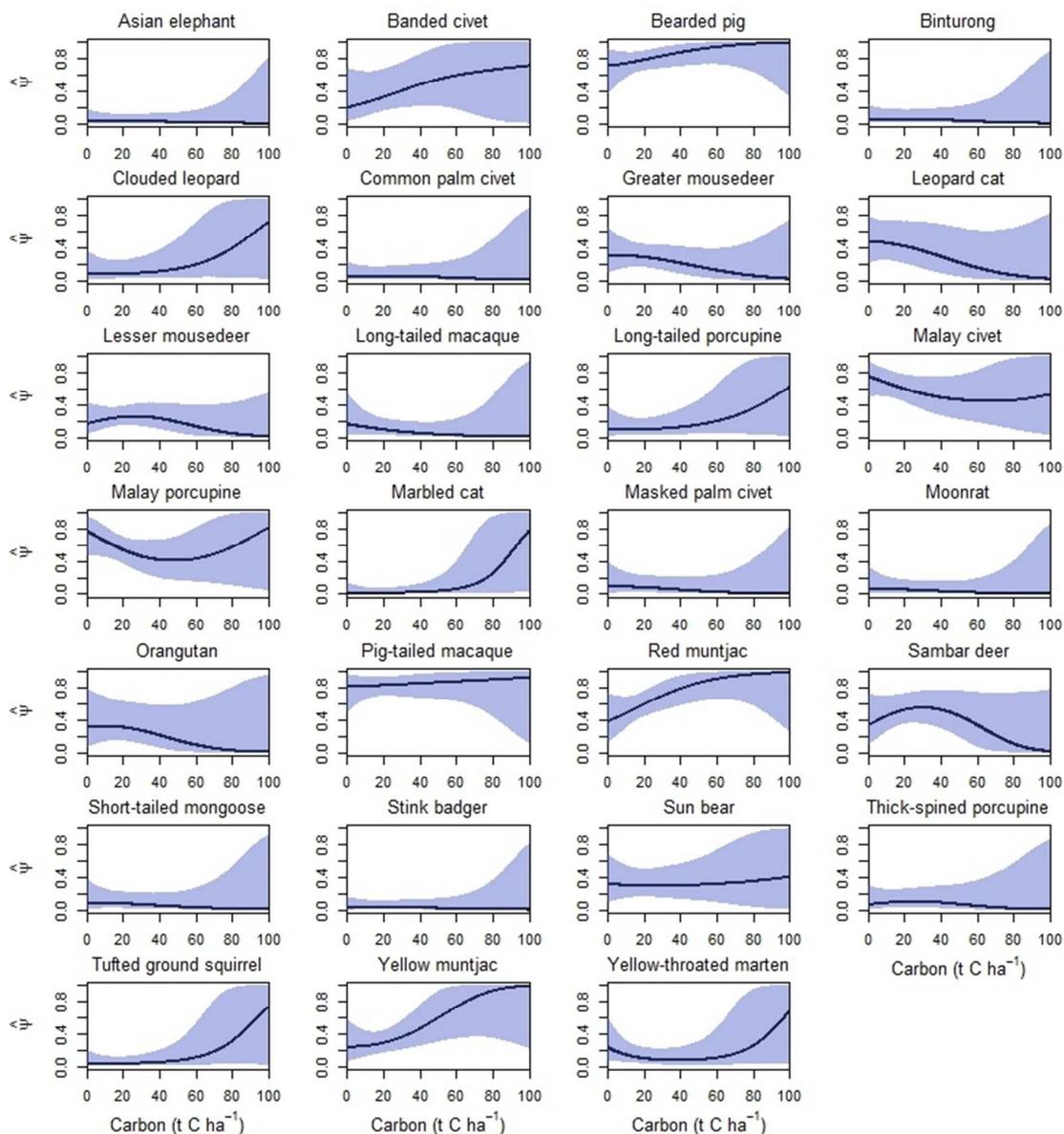
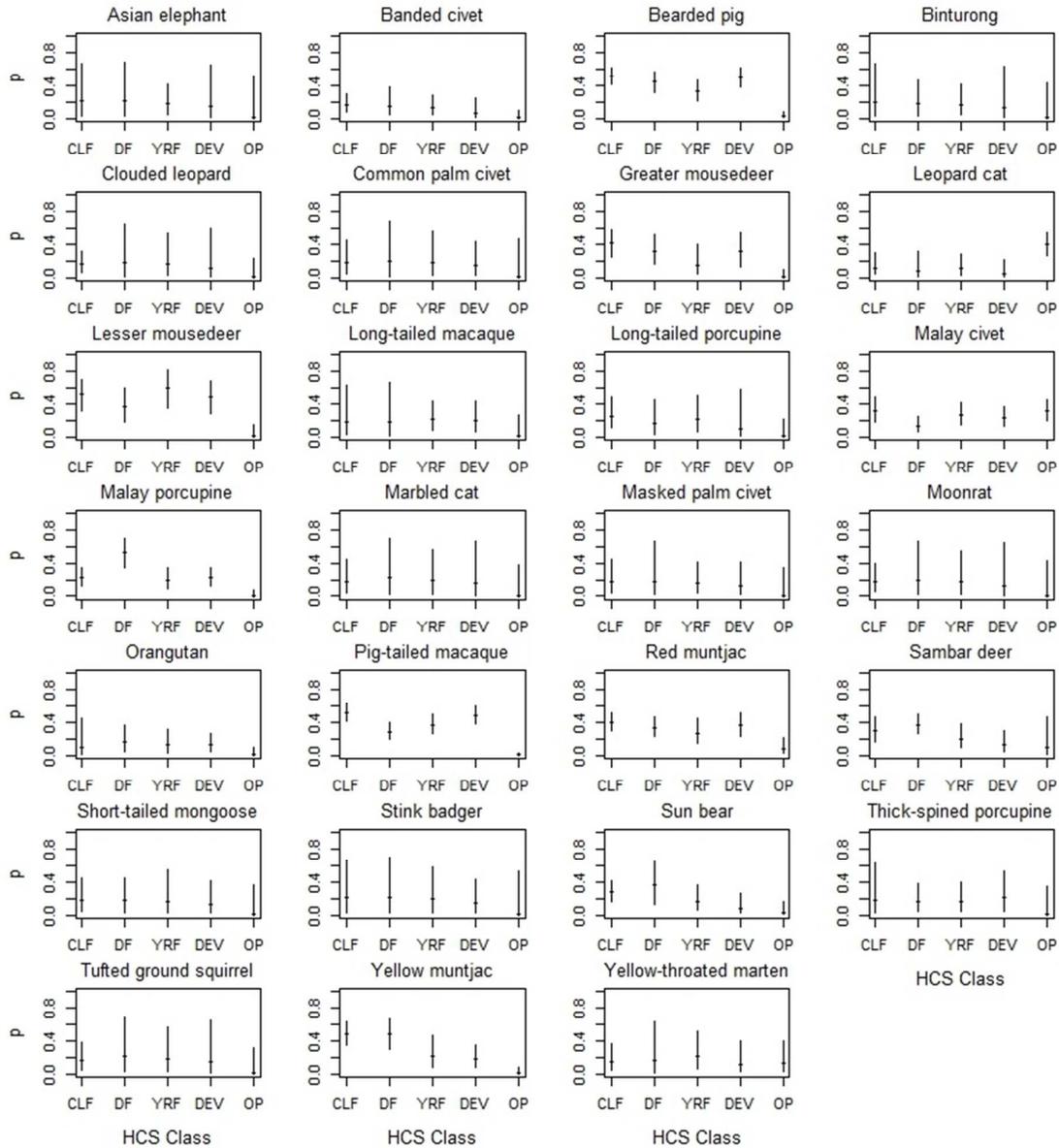


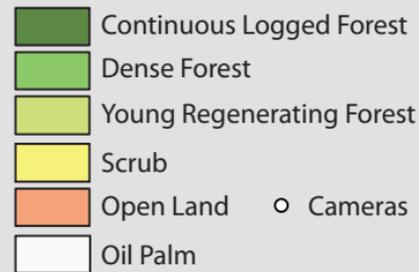
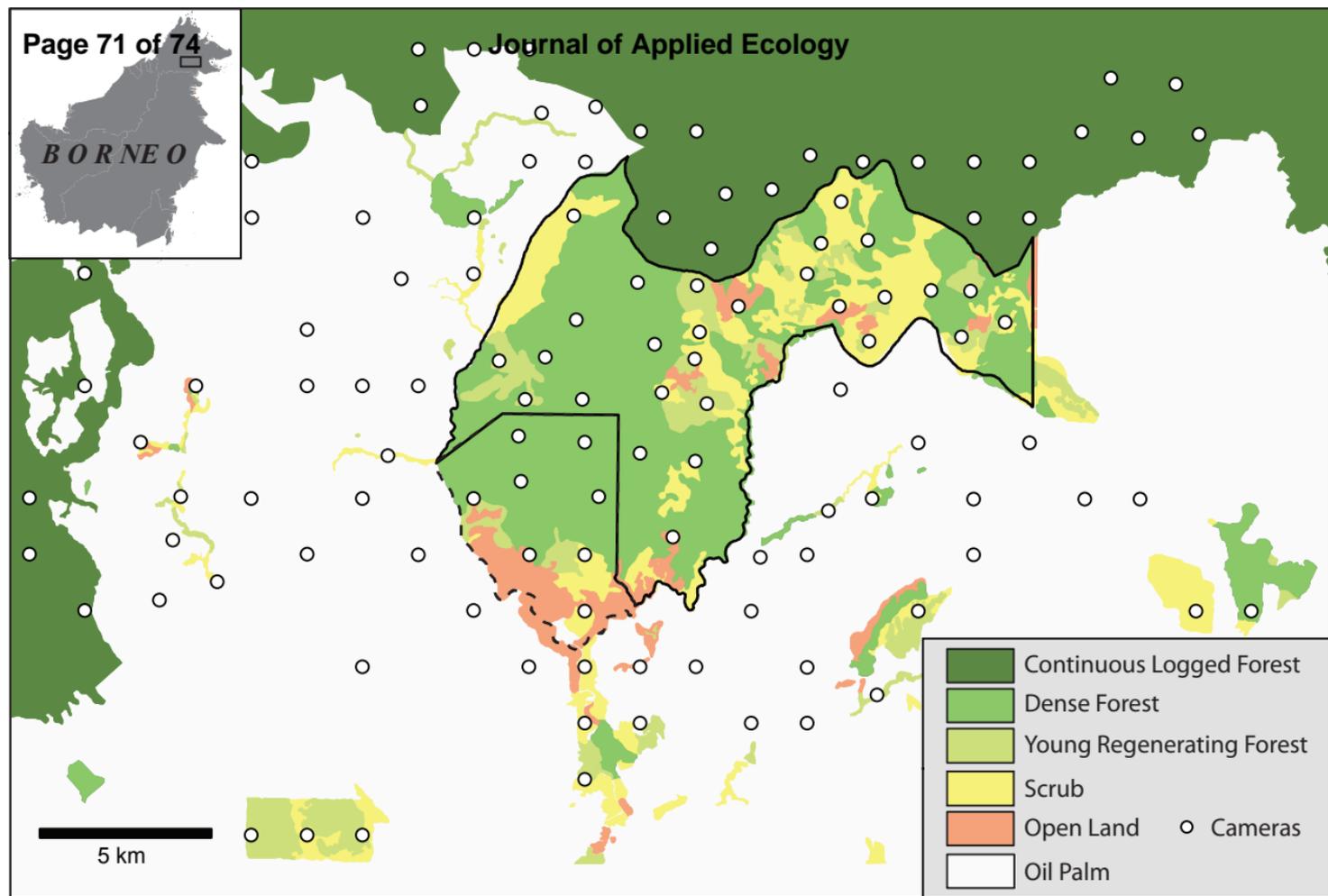
Table S5.7: Model 3: Species-specific posterior summaries of detection probability as a function of HCS class (Continuous Logged Forest: CLF; Dense Forest: DF; Young Regenerating Forest: YRF; Developed Land: DEV; Oil Palm: OP), broadly representing the influence of habitat type. We visualise mean predicted posterior distribution values (horizontal lines), accompanied by 95% Bayesian Credible Intervals (vertical lines).



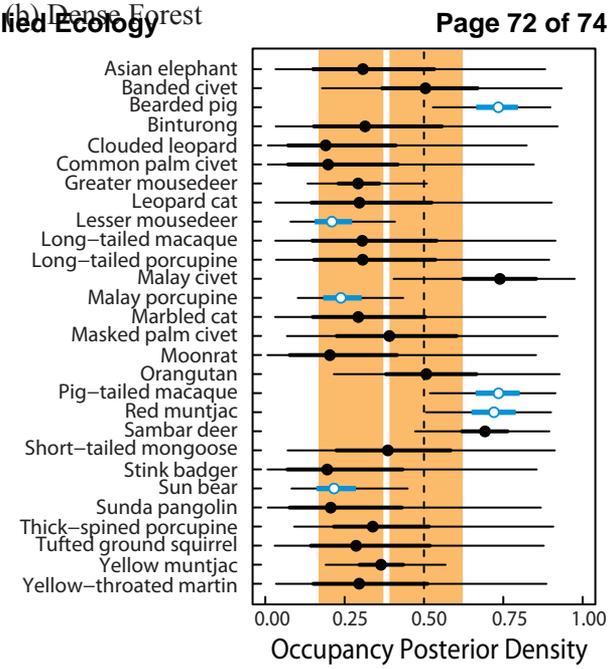
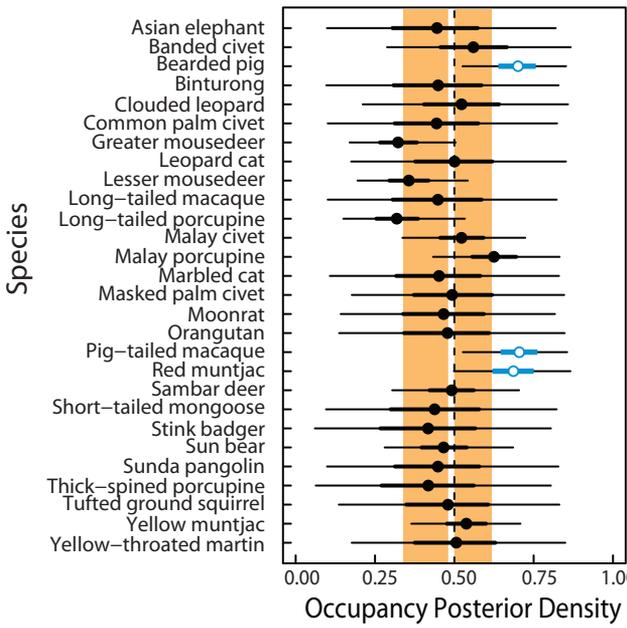
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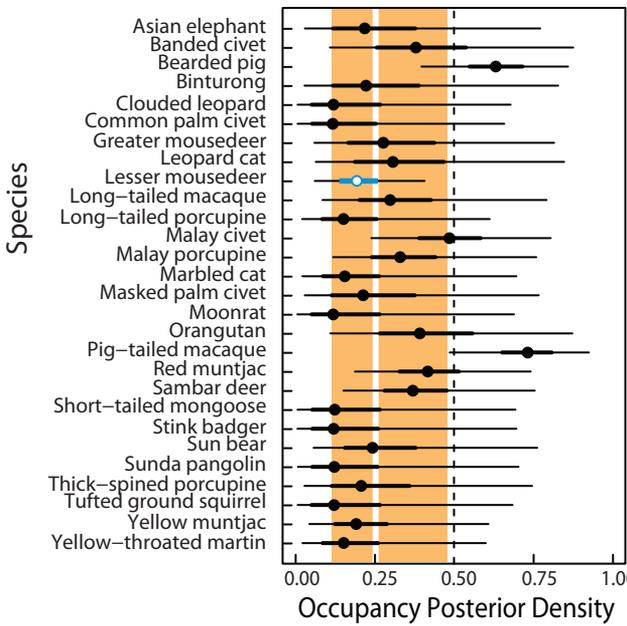
BORNEO



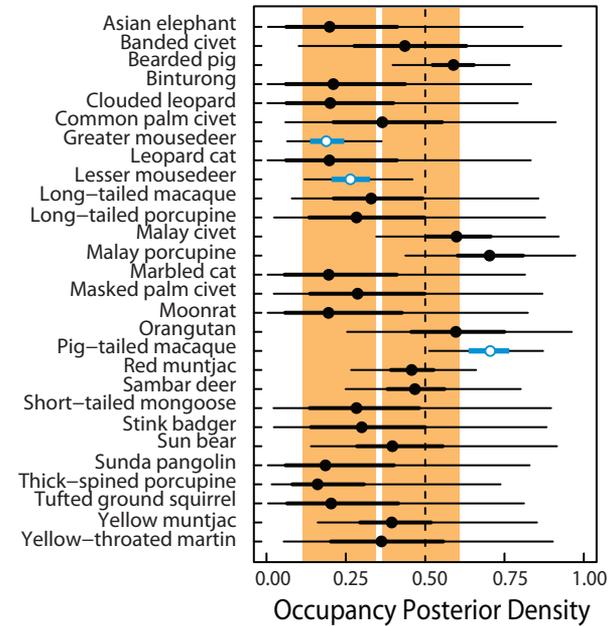
5 km



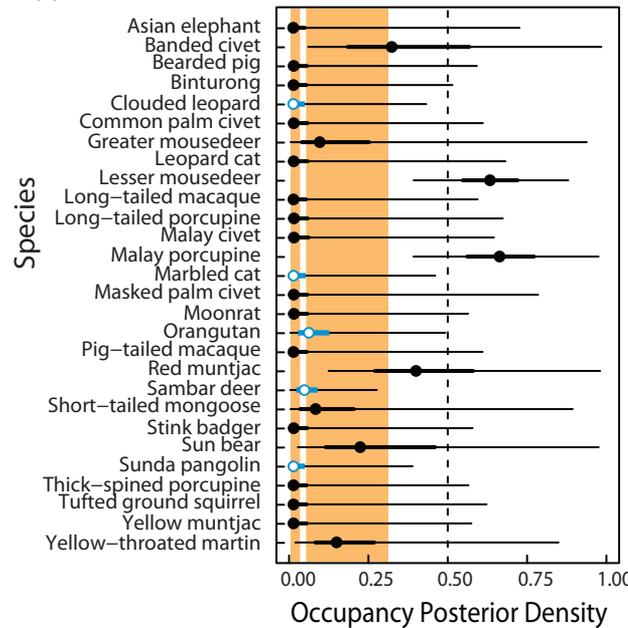
(c) Young Regenerating Forest

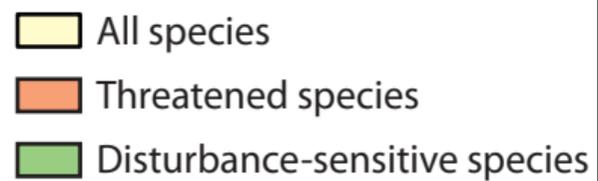


(d) Developed Land



(e) Oil Palm





Predicted Species Richness

