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Initial Upper Paleolithic bone technology and personal ornaments at Bacho Kiro Cave (Bulgaria)

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3 Abstract

4 The expansion of Homo sapiens and our interaction with local environments, including the 5 replacement or absorption of local populations, is a key component in understanding the 6 evolution of our species. Of special interest are artifacts made from hard animal tissues from 7 layers at Bacho Kiro Cave (Bulgaria) that have been attributed to the Initial Upper Paleolithic. 8 The Initial Upper Paleolithic is characterized by Levallois-like blade technologies that can co-9 occur with bone tools and ornaments and likely represents the dispersal of Homo sapiens into 10 several regions throughout Eurasia starting by 45 ka or possibly earlier. Osseous artifacts from 11 the Initial Upper Paleolithic are important components of this record and have the potential to 12 contribute to our understanding of group interactions and population movements. Here, we 13 present a zooarchaeological, technological, and functional analysis of the diverse and sizable 14 osseous artifact collection from Bacho Kiro Cave. Animal raw material sources are consistent 15 with taxa found within the faunal assemblage including cervids, large bovids, and cave bear. A 16 variety of bone tool morphologies, both formal and informal, indicate a diverse technological 17 approach for conducting various on-site activities, many of which were focused on the 18 processing of animal skins, likely for cold weather clothing. Technological flexibility is also 19 evident in the manufacture of personal ornaments, which were made primarily from carnivore 20 teeth, especially cave bear, though herbivore teeth and small beads are also represented. The 21 osseous artifacts from Bacho Kiro Cave provide a series of insights into the bone technology and 22 indirectly on the social aspects of these humans in southeast Europe, and when placed within the 23 broader Initial Upper Paleolithic context, both regional and shared behaviors are evident

indicating widespread innovation and complexity. This is especially significant given the
location and chronology of the site in the context of *Homo sapiens* dispersals.

26

Keywords: Southeast Europe; Late Pleistocene; *Homo sapiens*; tooth pendants; osseous
artifacts; use-wear

29

30 1. Introduction

31 *1.1. Background*

32 The Eurasian archaeological record shows a shift from Middle Paleolithic (MP) to Upper 33 Paleolithic (UP) stone tool technologies that varies regionally in its details (Bar-Yosef, 2002; 34 Mellars, 2005; Teyssandier, 2008; Zilhão, 2013; Hublin, 2015; Slimak et al., 2022). Generally, 35 however, lithic production shifted from Levallois and other techniques to those primarily based 36 on (volumetric) blade extraction. At the same time, osseous technologies, objects created from 37 hard animal tissues like bone, antler, or ivory, became more abundant and varied in the types 38 manufactured and materials used. This proliferation in the exploitation of animal-derived raw 39 materials stands in contrast to the typical informal bone artifacts most often reported from MP or 40 earlier contexts (e.g., Vincent, 1993; Radmilli and Boschian, 1996; Mania and Mania, 2005; Hardy et al., 2014; Julien et al., 2015). During the so-called MP–UP transition period, a variety 41 42 of new technologies or adaptations to previous technologies became widespread across Eurasia. 43 Some of these, such as those of the Châtelperronian in western Europe, were arguably produced 44 by Neanderthals (Welker et al., 2016), while other distinct technological features designated as 45 Initial Upper Paleolithic (IUP) are thought to represent a series of dispersal events by *Homo* sapiens, albeit not excluding potential technological convergence in certain regions (Kuhn and 46

47 Zwyns, 2014; Hublin, 2015; Zwyns et al., 2019). The varied assemblages of this period often 48 share certain features such as formal bone tools, but also pendants and beads made from a variety 49 of materials including animal teeth or shells, usually reflecting the respective local resources 50 (Stiner, 2014). These are regularly interpreted to be ornaments used for signaling or identifying 51 group affiliation and reflecting social challenges related to growing populations and broadening 52 social networks (Gamble, 1998; Kuhn, 2014), processes that were likely at play when Homo 53 sapiens and other local populations such as Neanderthals inhabited the same regions. However, 54 our understanding of the relation and interactions of these groups and the technological and 55 sociocultural developments of our species is currently at a coarse scale of resolution. This is 56 mainly due to the current state of research of the IUP record, which is very much in its incipient 57 stage. Studying the archaeological material from newly excavated and well-preserved deposits, 58 such as those in Bacho Kiro Cave, therefore, will provide critical data for understanding these 59 processes during the IUP, particularly in southeast Europe. 60 Even though the IUP has been defined based on stone tools, bone tools and ornaments are a 61 common feature of IUP deposits when organic preservation conditions are good (Newcomer, 62 1974; Newcomer and Watson, 1984; Derevianko and Rybin, 2003; Kuhn et al., 2009; Kuhn and 63 Zwyns, 2014; Hublin et al., 2020; Shunkov et al., 2020). Early occurrences of the IUP are found 64 in southwest Asia starting from around 50 ka (Marks and Volkman, 1983; Boaretto et al., 2021), 65 and then spread into regions such as central and north Asia and in Europe by roughly 48–45 ka 66 or perhaps earlier (Richter et al., 2009; Kuhn and Zwyns, 2014; Zwyns et al., 2019; Slimak et al., 2022). To address questions about interregional group interactions and population movements, 67 68 studies of the IUP should include discussions on the production and use of bone tools and

69 ornaments, which are all too often left out of the conversation (Kuhn, 2019). Several sites in

70	southwest Asia and southeast Europe have the potential to bring osseous artifacts into the
71	discussion. Notably, Ksâr 'Akil in Lebanon and Üçağızlı Cave I in Turkey have been intensively
72	investigated and have preserved both bone tools and a great number of marine shell ornaments
73	(Newcomer, 1974; Newcomer and Watson, 1984; Kuhn et al., 2009; Bosch et al., 2019). In the
74	southeast European Balkans, three sites in Bulgaria, Bacho Kiro Cave and the caves of Temnata
75	and Kozarnika, also have bone tools, personal ornaments, and a number of other osseous artifacts
76	in deposits that correspond chronostratigraphically to the IUP (Guadelli et al., 2005; Tsanova,
77	2008; Fewlass et al., 2020; Hublin et al., 2020; Tsanova et al., 2021).
78	Osseous artifacts found within IUP sites are generally formal tools, made with techniques
79	specific to working hard animal tissues such as scraping, grinding, and grooving (Mellars, 1973;
80	Klein, 2009; d'Errico et al., 2012), though informal bone artifacts are also found in some deposits
81	(Kozlikin et al., 2020). Similar informal or expedient bone tools including knapped bones have
82	been documented from African Early Stone Age sites as well as in later Eurasian assemblages
83	(e.g., Vincent, 1993; Radmilli and Boschian, 1996; Mania and Mania, 2005; Daujeard et al.,
84	2014; Julien et al., 2015; Zutovski and Barkai, 2016; Pante et al., 2020; Sano et al., 2020; Villa et
85	al., 2021). While formal bone tools are sometimes recognized within later MP deposits
86	(Gaudzinski, 1999; Soressi et al., 2013; Stepanchuk et al., 2017), formal bone working appears to
87	have a deeper history in Africa beginning from around 120–90 ka in northwest Africa (El
88	Hajraoui and Debénath, 2012; Jacobs et al., 2012; Bouzouggar et al., 2018; Hallett et al., 2021)
89	and shortly after in other regions (Yellen et al., 1995; d'Errico and Henshilwood, 2007; d'Errico
90	et al., 2012). After 50 ka, formal bone tool working is more frequently recognized in various
91	regions outside of Africa, such as within the IUP deposits of southeastern Europe and central and
92	north Asia, and later in other UP contexts (Newcomer, 1974; Kozłowski, 1982; Derevianko and

Rybin, 2003; Kuhn et al., 2009; Guadelli, 2011; Hublin, 2015; Zwyns and Lbova, 2019; Hublin
et al., 2020; Shunkov et al., 2020; Lbova, 2021).

95 The earliest known potential personal ornaments are naturally perforated and potentially 96 modified shells of gastropods and bivalves found in deposits dated to Marine Isotope Stages 5 97 and 6 in north Africa and southwest Asia (Bouzouggar et al., 2007; d'Errico et al., 2009; Dibble 98 et al., 2012; Steele et al., 2019; Bar-Yosef Mayer et al., 2020; Sehasseh et al., 2021). Similar 99 marine shell ornaments, including those that are clearly anthropogenically perforated, later 100 become more ubiquitous in various parts of Africa (Steele et al., 2019). Around 45 ka, southwest 101 Asian IUP populations utilized a great number of both anthropogenically and naturally 102 perforated marine gastropod shells as beads (Kuhn et al., 2009; Stiner et al., 2013; Stiner, 2014; 103 Bosch et al., 2019), while those from other regions used ornaments made from a wider variety of 104 materials. In central and northern Asia, IUP assemblages contain beads and pendants made from 105 soft stone, bone, teeth, ostrich eggshell, and ivory (Derevianko and Rybin, 2003; Shunkov et al., 106 2020; Lbova, 2021), while assemblages from about the same time in southeast Europe similarly 107 include a combination of diverse beads and animal teeth pendants (Guadelli, 2011; Hublin et al., 108 2020). Similar artifacts made from various local raw materials are found across Eurasia in 109 subsequent time periods (Vanhaeren and d'Errico, 2006; Stiner, 2014; Lbova, 2021). 110 The assemblage of osseous artifacts preserved within the IUP deposits of Bacho Kiro Cave is 111 among the earliest known at the onset of the European UP and includes a wide variety of artifact 112 types, both formal and informal bone tools, animal teeth pendants, and beads (Kozłowski, 1982; Guadelli, 2011; Hublin et al., 2020). Characterizing the technologies that these humans were 113 114 using will provide a baseline for understanding behaviors that may have been brought to Europe 115 from other regions, as well as emergent behaviors from local social interactions adapted to

specific materials found in the local environment. Understanding the production and use of these
artifacts contextualizes other IUP bone tools and ornaments in Europe and in nearby regions
(e.g., Newcomer, 1974; Derevianko and Rybin, 2003; Kuhn et al., 2009; Lbova, 2010; Guadelli,
2011; Shunkov et al., 2020).

120

121 *1.2. Archaeological context*

122 Situated in northcentral Bulgaria, Bacho Kiro Cave is part of a large karst system several 123 kilometers in length comprising a complex labyrinth of galleries and corridors (Fig. 1). In 1938, 124 R. Popov (Bulgarian Academy of Sciences) and D. Garrod (American School of Prehistoric 125 Research) conducted one of the first excavations at the cave (Garrod et al., 1939) followed by 126 J.K. Kozlowski and B. Ginter in 1971–1976 (Kozłowski, 1982). New excavations beginning in 127 2015 by the National Archaeological Institute with Museum of the Bulgarian Academy of 128 Sciences in Sofia and the Max Planck Institute for Evolutionary Anthropology focused on two 129 areas in the cave adjacent to the 1970s excavation (Main Sector and Niche 1; Fig. 1). The 130 archaeological sequence in the Main Sector confirms the previously reported stratigraphy (Ginter 131 and Kozlowski, 1982), while the Niche 1 only preserves the lower part of the sequence including 132 MP and significant IUP deposits (Fig. 1).

The lithic assemblages from Layers 11 and 11a of the previous excavations, known originally as the Bachokirian, were considered to be the earliest appearance of the UP in Europe based on the presence of blade technology and retouched tools typical for the subsequent Aurignacian (Kozłowski, 1982; Kozlowski and Otte, 2000). Re-examination of the Layer 11 lithic assemblage showed characteristics that differ from the Aurignacian and are more consistent with the 139 and Bordes, 2003; Teyssandier, 2008; Tsanova, 2008). This assemblage is characterized by 140 imported fine-grained raw material, Levallois-derived blade technology, and the production of 141 generally elongated and convergent blanks. Tools are mostly UP-types, but the material also 142 includes retouched forms typical for the MP such as sidescrapers, small Levallois flakes, and 143 portions of robust retouched points reminiscent of MP Mousterian points (Tsanova, 2008). The 144 lithic assemblages from the previous and recent excavations are highly fragmented and exhibit 145 intense reduction of the blanks and tools by bipolar knapping (on anvil; Tsanova, 2008; Hublin et 146 al., 2020).

147 The new stratigraphic nomenclature is used here where Layer 11 from previous excavations 148 corresponds to Layer I from the new excavations, and Layer 11a similarly corresponds to Layer 149 J. The IUP Layer I is the richest in lithic and faunal remains of all layers and represents the 150 densest anthropogenic input at the site (Smith et al., 2021). Layer I is easily recognizable by its 151 dark color (Fig. 1c) that resulted from a large portion of organic remains including charcoal and 152 burned bone (Hublin et al., 2020). While the upper portion of Layer J within the Niche 1 deposits 153 contains lithic artifacts that are techno-typologically consistent with those in the overlying Layer 154 I, the bottom portion of Layer J preserves artifacts that are more similar to the underlying MP 155 Layer K, making the precise start of the IUP occupations unclear. Nonetheless, human remains 156 found within Layers I and J in both sectors of the site associate the deposits with Homo sapiens 157 of recent Neanderthal ancestry (Hublin et al., 2020; Hajdinjak et al., 2021). A new high-precision 158 radiocarbon chronology from the recent excavations, using IntCal20 (Reimer et al., 2020) in 159 OxCal v.4.4 (Ramsey, 2009) places the start of the upper part of Layer J from around 45,990 cal 160 BP and Layer I into the period from 45,040 to 43,280 cal BP (Fewlass et al., 2020; Smith et al., 161 2021).

162	The Layers I and J faunal record at Bacho Kiro Cave includes a diversity of taxa characteristic of
163	Marine Isotope Stage 3 within southeast Europe (Guérin, 1982; van der Made, 2018; Hublin et
164	al., 2020). The assemblage has a high percentage of taxa identified to species with major taxa
165	including large bovids (Bos primigenius or Bison priscus), cervids (especially Cervus elaphus),
166	and cave bear (Ursus spelaeus; Smith et al., 2021). Other herbivore taxa are also present
167	including caprines (especially Capra ibex) and equids (Equus ferus and Equus hydruntinus), and
168	carnivores such as canids (Canis lupus, Cuon alpinus, Vulpes vulpes), felids (Panthera leo
169	spelaea, Panthera pardus), and cave hyaena (Crocuta crocuta spelaea). Layers I and J also
170	contain species from the Mammuthus-Coelodonta Faunal Complex including woolly mammoth
171	(Mammuthus primigenius), giant deer (Megaloceros giganteus), and reindeer (Rangifer
172	tarandus). This distribution of species suggests a mix of cold and temperate environments during
173	the IUP occupations (Hublin et al., 2020; Smith et al., 2021).
174	The fauna from the IUP layers is remarkably well-preserved with minimal weathering and
175	surface abrasion resulting in a high degree of bone surface readability that permits the
176	identification of both human and carnivore bone surface modifications (Smith et al., 2021).
177	Despite a significant number of carnivore remains, especially those of cave bear, carnivore
178	modifications on the bone surfaces are minimal, especially within Layer I. Anthropogenic
179	surface modifications including cutmarks and impact fractures are found on both herbivores and
180	carnivores, which suggests that both taxa were processed for subsistence purposes, though
181	cutmarks on cave bear foot bones and crania suggest they may have been specifically targeted
182	for their pelts (Smith et al., 2021). In addition, a large number of osseous materials preserve
183	modifications indicative of their use as tools or for other purposes. Here, we describe and
184	characterize the osseous artifacts from the recent excavations (Hublin et al., 2020), which adds to

185 the collection of similar artifacts previously found in the cave (Kozłowski, 1982; Guadelli,

186 2011).

187

188 **2. Materials and methods**

189 All finds larger than 2.0 cm from the recent excavations at Bacho Kiro Cave were piece-

190 provenienced and all sediments were wet-sieved through 6- and 1.2-mm meshes. See Hublin et

al. (2020) for more information on the excavation methods. We assessed all faunal specimens for

192 anthropogenic modifications recovered thus far (temporally curated at the National Museum of

193 Natural History in Sofia), both piece-plotted (> 2.0 cm) and those found in the screened materials

194 from Layers I and J and their contact zones. Some of the artifacts presented here are assigned to

195 Layers H/I or I/J, because they were found at the layer boundaries and could not reliably be

196 placed in either of the relevant layers. We set aside over 200 potential osseous artifacts from

197 Layers H/I, I, I/J, and J for more detailed analyses, which included an examination for baseline

198 preservation state (Behrensmeyer, 1978), burning (Stiner et al., 1995), anthropogenic and natural

traces (Binford, 1981; Shipman and Rose, 1988; Bonnichsen and Sorg, 1989; Hannus et al.,

200 1993; Fisher, 1995; Blumenschine et al., 1996; Backwell and d'Errico, 2001; Villa and d'Errico,

201 2001; Backwell and d'Errico, 2004; Fernandez-Jalvo and Andrews, 2016), and fracture patterns

202 (Villa and Mahieu, 1991) of the faunal remains (Smith et al., 2021).

203 We assessed the raw material of the osseous artifacts through traditional zooarchaeological

204 methods. Whenever possible, we recorded each bone specimen to species, skeletal element, and

205 bone portion, and assigned specimens not identifiable to species to a body size class. We

assessed a small subset of the available morphologically unidentifiable assemblage (n = 24),

207 mostly ornaments or formally modified tools, for species determination using previously

208 described non-destructive zooarchaeology by mass spectrometry (ZooMS) methods (McGrath et 209 al., 2019; Martisius et al., 2020a). See Hublin et al. (2020) and Fewlass et al. (2020) for 210 additional details on the ZooMS screening at Bacho Kiro Cave. Specimens were either stored in 211 separate plastic curation boxes, suspended between two flexible polyurethane membranes 212 (membrane boxes), or in plastic storage bags (Supplementary Online Material [SOM] Table S1) 213 most often for several months prior to sampling. Each box or bag had not been used to store 214 other specimens prior to those in the current study. We removed each specimen from their 215 storage container before sampling. We heated a 50 mM ammonium bicarbonate solution 216 (NH₃CO₃, AmBic; 200 µl for bag sampling and 1 ml for membrane box sampling) to 65°C for 217 one hour and dragged it across the plastic polymer surfaces with a standard pipette to catch any 218 microparticles and/or collagen molecules adhering to the surfaces. For easier access, we used 219 sterilized scissors to cut the plastic bags in half. We processed each sample following standard 220 ZooMS protocols (Welker et al., 2016; Buckley et al., 2009). Briefly, we heated each sample 221 incubated in the AmBic buffer for one hour at 65°C and added 1 μ l of trypsin (0.5 μ g/ μ l, 222 Promega) for overnight digestion at 37°C. Next, we acidified each sample using 10% TFA and 223 cleaned them on C18 ZipTips (Thermo Scientific). We spotted the eluted peptides in triplicate on 224 a MALDI Bruker plate with the addition of a matrix solution (CHCA). Finally, we conducted 225 MALDI-TOF MS analysis at the IZI Fraunhofer in Leipzig (Germany) and identified spectra in 226 comparison to a database containing peptide marker masses for all known medium- to large-227 sized mammalian genera in Europe during the Pleistocene (Welker et al., 2016). To assess any 228 potential contamination by non-endogenous peptides, we performed laboratory blanks alongside 229 the artifact samples. These remained empty of collagenous peptides excluding the possibility of 230 modern laboratory or storage contamination.

231 For all potential osseous artifacts, we used digital calipers to generate morphometric data 232 including, when possible, length, width, and thickness metrics of the artifacts and ornament 233 perforations (to the nearest 0.1 mm). We compared common morphologies with established 234 osseous artifact types from prehistoric contexts and assigned typologies (e.g., Camps-Fabrer et 235 al., 1990; Camps-Fabrer and Barge-Mahieu, 1991; Knecht, 1993; d'Errico et al., 2003; d'Errico 236 and Henshilwood, 2007; d'Errico et al., 2012; Tartar, 2012; Baumann et al., 2020). Typological 237 classification of formal osseous artifacts is based on the morphology of the distal or working end 238 of the objects and their cross-sectional shape. Classification of the informal tools is dependent on 239 either the type of damage or alterations to the tools due to use or intentional shaping of the tool 240 from knapping. The large variety of informal tools along with their tendency to have been used 241 for multiple purposes meant that typological classification was arbitrary in some cases. Given the 242 diversity of morphologies and raw material animal sources used for the pendants, we developed 243 a typological classification based on taxa, tooth type, and modifications to the tooth root. First, 244 we separated teeth by taxa, noting any differences in their modifications. We further separated 245 the pendants by tooth type followed by manufacturing technique used. Five manufacturing 246 methods and/or stages during the process served as the basis for the main pendant classification 247 types.

248 We employed traditional functional analyses (Newcomer, 1974; d'Errico et al., 1984; Olsen,

249 1984; Bergman, 1987; Shipman and Rose, 1988; Campana, 1989; Sidéra, 1993; Christidou,

250 1999; Choyke et al., 2001; Backwell and d'Errico, 2005; Legrand, 2007; Falci et al., 2019;

Haddow et al., 2019; Mateo-Lomba et al., 2020; Osipowicz et al., 2020) for studying various

aspects of each artifact using a Nikon SMZ 1000 stereomicroscope with a magnification range of

253 8× to 80×. We used a Nikon D7100 for micrographs that captured images at an optical

254	magnification range of 16× to 160×. We studied a portion of the formal artifacts including the
255	pendants and beads ($n = 33$) at a higher magnification using one of two different microscopes: a
256	confocal disc-scanning microscope (Nanofocus AG) using an optical 20× objective (numerical
257	aperture = 0.4, field of view = 0.8 mm^2) provided by the Max Planck Institute for Evolutionary
258	Anthropology in Leipzig, Germany and a metallurgical microscope (Olympus BX) with an
259	optical magnification range of $5 \times$ to $50 \times$ provided by the New Bulgarian University in Sofia,
260	Bulgaria. We recorded type, direction, and location of manufacturing traces, and additional
261	alterations to the bone surface including shape of active end, state and extent of use,
262	development of use, surface portion used, volume deformation, asymmetry, flaking, crushing,
263	and discard and reuse (d'Errico et al., 1984; Olsen, 1984; Sidéra, 1993; Christidou, 1999). If
264	microscopic striations and topographic reliefs were visible, we also recorded striation direction,
265	morphology, and organization and surface topographic smoothing (Christidou and Legrand,
266	2005; Buc and Loponte, 2007; Legrand, 2007; Buc, 2011; Stone, 2011).

267

3. Results

269 We retained 74 osseous objects from the Layers I and J and their contact zones after the initial 270 assessment. These objects include both formal and informal osseous tools as well as ornaments 271 (Table 1). Most occur on bone (n = 41), but a portion of these objects is on animal teeth (n = 27), 272 and a small number are on antler (n = 5) and ivory (n = 1; Table 1). These objects were found in 273 both sectors of the site, in nearly every excavation square, and primarily within Layer I (n = 51; 274 69%) and the contact zone with Layer J (n = 9; 12%) and Layer H (n = 7; 9%) above (Fig. 2; 275 SOM Fig. S1; SOM Table S2). A small portion of the artifacts come from the upper part of Layer J (n = 7; 9%). Due to slow accumulation, low density of artifacts, and the presence of 276

13

277 carnivores, it is not yet clear exactly where in the lower part of Layer J the IUP began. However, 278 one pendant found deeper in Layer J and associated with lithics that are techno-typologically 279 consistent with those of the overlying layers indicate the probable beginning of ephemeral IUP 280 occupations (Fig. 2a). Thus far, the only clearly identified bone tools from MP contexts (Layer 281 K) at Bacho Kiro Cave are retouchers, i.e. bones used to resharpen lithic implements (SOM 282 Table S3). Retouchers (n = 44) were also found in the IUP layers but these and the MP 283 retouchers have yet to be studied in detail. Here, we focus on the 74 osseous objects from the 284 IUP layers that have purposeful modifications or a well-defined working end or edge (Table 1). 285

286 *3.1. Bone and antler artifacts*

287 While bone and antler artifacts are preserved within all IUP layers in both sectors, the majority 288 are from Layer I (n = 32; Table 2). The osseous artifacts within these IUP layers are highly 289 varied with several objects made using formal techniques such as scraping and grinding. These 290 include artifacts typologically categorized as awls, smoothers, beveled objects, and indeterminate 291 items (n = 16). Many artifacts appear to be informal and expedient in nature and include bones 292 with utilized tips, unworked intermediate tools, and knapped tools (n = 16; SOM Table S4). 293 Many of these artifacts have traces indicating they were intensively used in multiple ways, so 294 typological classification may be arbitrary in some cases (SOM Table S5). In addition, there are 295 several linearly marked bones with simple cuts, well-defined incisions, and deep notches (n =296 13). Some of these pieces appear to have been used during a specific task while the function of 297 others is less clear. Though the bone and antler artifacts were found distributed throughout most 298 of the excavation area, most of the bones with subparallel incisions and notches were located 299 within a roughly 50 cm² area in Niche 1 (Fig. 2b). A patterned artifact distribution may be

300 related to site use, maintenance, activity partitioning, or caching behaviors (e.g., Binford, 1979;

301 Speth et al., 2012; White et al., 2017). Spatial patterning reported from the previous excavation

302 indicates the presence of a hearth adjacent to the recently excavated Niche 1, which may have

303 influenced the distribution of artifacts (Kozłowski, 1982; e.g., White et al., 2017). However, it is

304 difficult to resolve comparisons between the old and new excavations at this level of detail.

Further research combining other lines of evidence from the newly excavated and well-preserved
Bacho Kiro Cave deposits may reveal site specific activities.

The bone and antler artifacts derive from taxa that are abundant in the faunal assemblage and are dominated by large bovids, cervids, and cave bear (Smith et al., 2021; Table 3; SOM Table S4).

309 Because these objects are modified and fragmented, many morphological identifications could

310 only be assigned to a body size class. Proteomic ZooMS results on a portion of the unidentifiable

311 artifacts were consistent with those taxa found at the site (Table 3; SOM Table S1), including

312 *Bos/Bison* sp. and *Ursus* sp. Similarly, the osseous tools could only be identified to a specific

313 skeletal element in some cases and are most often on long bones and ribs. A smaller portion were

314 made on antler and other elements (Table 3; SOM Table S4). The majority of informal tools are

315 on long bones. Formal tools and incised bones show more diversity in the skeletal elements

316 selected with ribs being the most frequently utilized skeletal material (Fig. 3).

317 Overall, artifact bone surfaces exhibit minimal subaerial weathering (stages 0–2; Behrensmeyer,

318 1978) and abrasion (0–30%), which indicates that bone surfaces exhibit high visibility (75–

319 100%; SOM Table S6). Further, there are minimal pre- and post-depositional surface

320 modifications unrelated to anthropogenic manufacture and use (2–7% with carnivore gnawing,

321 burning, root etching, and sediment concretions; SOM Table S6), which allows for detailed

322 descriptions of the bone and antler artifacts including technological and functional traces.

323 Awls Six bones classified as awl fragments, two of which refit, were found in the Niche 1 within 324 Layer I (Fig. 4; Table 3). The bone awls are most often made from rib fragments of unknown 325 mammals, though many of the awls are too modified or fragmented to make a more specific 326 identification. Longitudinal scraping, deep subparallel marks accompanied by finer striations, is 327 preserved on all these tools (Fig. 4d, g), while oblique grinding traces, irregular overlapping 328 marks, are observed on BB8-1705 (Fig. 4q). Of the two fragments that retain the proximal 329 portion (Fig. 4b, e), manufacturing traces appear on nearly the entire length of the artifacts, but 330 distribution is irregular and minimally affects the shape of the proximal part. For example, 331 scraping traces end at roughly 3 cm from the distal break on the right face of CC8-1091 (Fig. 4b), while the left face exhibits scraping traces that extend to the proximal break. All bone awls 332 333 exhibit use alterations including breaks at the distal or mesial parts of the tools. Of the two awl 334 fragments that refit, the proximal part, CC8-1091, exhibits a dry break, which suggests the bone 335 was not fresh or used after this artifact broke (Fig. 4d). The distal fragment, CC8-1047.3, 336 preserves a crushed awl tip (Fig. 4c) that could indicate the bone tool was used by indirect 337 percussion to punch holes through a hide (Christidou and Legrand, 2005). Forceful application of 338 pressure on the object may have caused it to break during use. There is some variation in 339 microwear patterns across the awls (SOM Table S5), but the distal portions most often exhibit 340 progressive smoothing that obliterates the manufacturing traces left from shaping the objects 341 (Fig. 4i, m). In some cases, elongated longitudinal striations are observed on the distal end and 342 short, transversal striations are present along margins or partially encircle the distal parts (Fig. 4j, 343 l, p, r). Three of the fragments do not exhibit micro-striations at the magnification observed (Fig. 344 4a, b, e). The alterations on the awls at Bacho Kiro Cave are consistent with use as a perforator 345 for a soft material such as animal skin (Campana, 1989; Lemoine, 1994; Griffits and Bonsall,

2001; Christidou and Legrand, 2005; Buc and Loponte, 2007; Legrand and Radi, 2008). In
addition, several of the awls, as well as many other objects within this assemblage, exhibit
patches of red discoloration (SOM Table S5). Further research will confirm whether this staining
is due to iron-rich sediments or related to pigment use, though one awl preserves small flecks of
ochre affixed to the surface in a number of places (Fig. 4e).

351 Smoothers Three artifacts classified as smoothers (lissoirs) have convex and polished distal ends 352 and are made from medium to large herbivore ribs (Fig. 5; Table 3). The most complete object is 353 made from a large bovid rib and comes from the Main Sector Layer J (Bos/Bison sp. based on 354 ZooMS; Fig. 51; SOM Table S1). The two others are distal fragments from Layer I in the Niche 355 1. The complete artifact was fashioned from a partially split rib with the dorsal end removed to 356 form the working end (Fig. 51). The rest of the rib was left unsplit and minimally modified aside 357 from scraping and grinding traces on multiple faces (Fig. 5m), especially the concave surface, to 358 shape the working end. The two distal fragments are too fractured to indicate exactly how they 359 were manufactured, but also exhibit scraping and grinding traces on the edges and faces of the 360 bones (Fig. 5c, d). Similar use signatures are exhibited across the artifacts including progressive 361 smoothing on all bone faces (Fig. 5c, f). Multidirectional striations and micro-pitting are also 362 common to the artifacts (Fig. 5b, c, h, n). Proximal to the working end along the left edge and 363 concave surface, the complete object, F6-622, is highly polished with smoothing of the upper 364 reliefs including long transversal striations (Fig. 5m, o). The two smaller fragments exhibit 365 longitudinal breaks, while AA8-1434 preserves traces of grinding overlain with additional 366 smoothing and polish, which indicates that the artifact was reworked and reused after initial 367 breakage (Fig. 5d). AA8-1434 and F6-622 both have large flake damage at the very tip of their 368 working ends (Fig. 5c, n). The similarity of surface alterations at the distal part of all three

369 smoothers is consistent with use on a soft material such as animal skin (Christidou and Legrand,

371 used more intensely, and possibly in multiple ways, as evidenced by the intensive smoothing and

flake damage to the working end (Tartar, 2009, 2012). In addition, the angular left edge of the

373 complete tool (F6-622) also preserves traces consistent with the working of a soft material, likely

374 fresh animal skin (Christidou and Legrand, 2003; Martisius et al., 2018).

375 <u>Beveled objects (formal intermediate tools)</u> Five artifacts typologically classed as beveled

376 objects were found within the Niche 1 in Layers H/I, I, and J (Table 3). These tools either have a

377 unifacially or bifacially beveled distal part and are most often made from cervid antler (Fig. 6a,

d, g, j), though one artifact, BB8-881, comes from a Megaloceros giganteus (giant deer) tibia

379 (Fig. 6m). Only two of these objects are complete (Fig. 6j, m), while three are fragmented and

380 only preserve the distal part (Fig. 6a, d, g). Many of the objects exhibit oblique marks left from

381 wedging during the antler splitting process (Tejero et al., 2012) but minimal longitudinal and

382 oblique scraping marks to refine the distal parts of the tools (Fig. 6h, i, k). The outer antler

383 surface is minimally altered in most cases. No clear manufacturing traces are visible on the

beveled portion of BB8-881 to indicate how the cortical bone was modified, but multidirectional

385 scraping and grinding traces on the superior face may be related to manufacturing (Fig. 60). All

386 objects exhibit evidence consistent with use as intermediate tools (e.g., wedges, chisels) such as

387 splintering and crushing (Fig. 6b, c, i), sometimes at both distal and proximal extremities (Fig.

388 61; Tartar, 2009, 2012; Tejero et al., 2012). In addition, some degree of smoothing is observed on

all objects (Fig. 6a, d, g), while a few exhibit striations from use (Fig. 6b; SOM Table S5). Two

390 of the antler objects, DD8-512 and DD8-327.3, have compacted and facetted distal extremities

391 likely produced through use, which are both associated with red staining (Fig. 6e, h). The bone

392 object, BB8-881, also exhibits red staining. In addition, there are deep transversal marks on the 393 superior face near the working end likely produced during use as a wedge (Fig. 6n). A variety of 394 functions have been proposed for this tool type including wood or antler working, wedging for 395 splitting, hide processing, among other uses (Semenov, 1964; Stordeur, 1980; Camps-Fabrer et 396 al., 1998; Rigaud, 2007; Tartar, 2009, 2012; Tejero et al., 2012). The degree of damage along 397 with three fragmented artifacts indicates that these beveled objects were utilized through indirect 398 percussion on intermediate to hard materials with a substantial amount of force. 399 Unworked intermediate tools Seven unworked intermediate tools, similar to 'pièces esquillées' 400 or splintered pieces (Hayden, 1980; Demars and Laurent, 1989; Villa et al., 2005; d'Errico et al., 401 2012), also come from Layer I and its contact layers within the Niche 1 (Fig. 7; Table 3). These 402 are not formally modified tools but simple long bone diaphyseal fragments of medium to large 403 mammals and are extremely variable and only recognizable by their use alterations at their 404 opposing extremities (Tartar, 2012; Baumann et al., 2020). Fracturing is common among this 405 tool type due to the forceful impact sustained during indirect percussion, so most of the identified 406 intermediate tools at Bacho Kiro Cave are fragments that originated from a larger tool. 407 Indications of use on these objects are abundant and include splintering and crushing, repeatedly 408 on both extremities (Fig. 7b, c, h, k, l, n, q). Some degree of smoothing is often exhibited at both 409 ends and is regularly associated with longitudinal and oblique striations of varying sizes (Fig. 7c, 410 e, f, h, i, q). In addition, many of these objects exhibit a variety of alterations, which suggests 411 they were used in multiple ways. For example, three bones in this category (BB7-439, BB8-207, 412 AA7-158) preserve marks indicative of use as retouchers (Fig. 7d, m; SOM Table S5). One of 413 these (BB8-207) plus an additional object (A8-543) have modifications such as smoothing, 414 compression, and short transversal striations along the tool margins indicating their sharp edges

415 were also used, likely for scraping (Fig. 7j; Mateo-Lomba et al., 2020). A8-543 even has a 416 retouched right edge to facilitate this additional task (Fig. 7j). AA7-158 also exhibits some 417 similarities along its long, straight left edge, and includes flaking, possibly from both shaping 418 and use, which is overlain with smoothing and polish (Fig. 7o). It is possible this edge was used 419 for cutting or sawing (Mateo-Lomba et al., 2020). Lastly, BB8-1896.2 exhibits a cluster of deep, 420 transversal linear marks that likely originated from scoring the bone surface repeatedly (Fig. 7r), 421 though there is no clear function for these marks. The unworked intermediate tools at Bacho Kiro 422 Cave are extremely variable, often indicating they were used for up to three different functions, 423 but all have damage at their opposing extremities as a result of indirect percussion on 424 intermediate to hard materials, similar to the beveled objects (Tartar, 2012; Baumann et al.,

425 2020).

426 Utilized tips Five bones have modifications to one extremity that is often rounded or smoothed, 427 though the commonality between these artifacts ends there. Some of the artifacts have minimal 428 manufacturing modifications to the surface or edges but are generally made on simple long bone 429 diaphyseal fragments of medium to large mammals. Most of the artifacts in this group come 430 from Layer I, while one is from J, and were found within the Niche 1 (Table 3). All objects 431 exhibit smoothing and/or polish that is often associated with micro-striations, but the way they 432 are exhibited on each artifact is quite different (SOM Table S5). CC7-2458, an extremely thin, 433 elongated spatula-shaped bone flake exhibits a highly polished, localized area at the margin of 434 the distal extremity with long, longitudinal striations, micro-pits, and a flat surface relief (Fig. 435 8b, c). Such a wear pattern is consistent with contact against a soft, but somewhat abrasive and 436 rigid object, possibly vegetal material (Buc, 2011; Stone, 2011). A8-1135 and A8-715.5 (not 437 pictured) exhibit a few similarities including longitudinal microwear striations and transversal

438 depressions on the edges (Fig. 8h, i). On A8-1135, the depressions are associated with long, 439 transversal striations emanating from the edge, where a flexible material may have been wrapped 440 around the bone (Fig. 8i, j). BB7-1223 has a wear facet at the tip of the distal part with long, 441 deep oblique striations, which likely resulted from grinding or use against an abrasive and gritty 442 material (Fig. 8l; d'Errico and Backwell, 2003). This object also has marginal smoothing on both 443 faces (Fig. 8l, m). DD8-1236 exhibits short, organized transversal striations associated with 444 micro-pits on the right edge of its distal part (Fig. 8e, f). In addition, these two artifacts (BB7-445 1223 and DD8-1236) have knapped edges, which are overlain with smoothing and polish 446 consistent with their sharp edges having been also used for cutting or other possible activities 447 (Fig. 8d, k; Mateo-Lomba et al., 2020). These objects are similar in that a naturally pointed or 448 slightly rounded extremity was utilized for some purpose, but the vastly different microwear 449 traces on these objects indicates that they were used on different materials and in varying ways. 450 Knapped tools Four tools with knapped and, in some cases, retouched extremities and edges with 451 few other modifications come from Layers I and J and their contact layers in the Niche 1 (Table 452 3). The objects in this category are simple long bone diaphyseal fragments that exhibit knapped 453 distal ends and/or edges to shape the objects and produce sharp edges likely for cutting or 454 scraping (SOM Table S5). This type of modification is found in other artifacts previous 455 described (Figs. 7j, m and 8d, k). In some cases, the knapping is precise and resulted in a 456 retouched active end (Fig. 9a, h). For example, the triangular pointed distal part of BB8-193 was 457 shaped by retouch on the superior tip (Fig. 9i). Similarly, the distinct size and shape of DD7-1397 is reminiscent of a lithic end- or sidescraper including the retouched working end and 458 459 beveled profile (Fig. 9b, c). It is unclear whether the large flake removals at the rectilinear distal 460 extremity of DD7-1086 resulted from shaping to thin the bone or from use (Fig. 9f), but the

461 elongated mesial section was deliberately modified through percussion to produce straight edges. 462 Both DD7-1397 and DD7-1086 exhibit polish, smoothing, and striations consistent with repeated 463 use for scraping a soft material such as animal skin most often using a transversal motion (SOM 464 Table S5; Mateo-Lomba et al., 2020). DD7-1397 made use of the denticulate distal extremity 465 and was used at a low angle, while DD7-1086 was intensively used along the edges, especially 466 the right edge that exhibits distinct discoloring compared to other surfaces (Fig. 9d, g). BB8-193 467 is a unique artifact with unknown function, but exhibits moderate smoothing and long, 468 longitudinal and oblique fine microwear striations on both faces of the distal part (Fig. 9j). It also 469 preserves distinct features related to splitting and shaping the bone including an area along the 470 proximal right edge with a cluster of oblique incisions that likely resulted from wedging to split 471 this bear femur (Fig. 9k; Table 3). These objects are similar in that their ends and/or edges were 472 shaped through retouch to produce sharp edges, which seem to have been used for scraping in 473 addition to other possible activities (Mateo-Lomba et al., 2020). 474 Indeterminate worked items Two indeterminate items come from the Niche 1. An antler tip 475 fragment (CC6-445.1, not pictured) from Layer I/J preserves oblique marks from a wedge used 476 to split the antler tine (Tejero et al., 2012). This object may be a waste fragment from the 477 production of a tool. CC7-180 is a medium to large herbivore rib bone object from Layer I that 478 exhibits an irregular convex extremity (Fig. 5i; Table 3). Manufacturing traces such as 479 longitudinal and oblique scraping are on both faces. Scraping on the inferior face completely 480 flattens the bone surface and abruptly ends at about 5 mm from the end forming a slight 481 transversal shelf with long, transversal markings, similar to faint grinding traces (Fig. 5k). On the 482 opposite face at about 5 mm from end, depressions are associated with transversal markings and 483 striations. The surface near the oblique break has the most intense polish and smoothing,

484 suggesting that this object was larger, broke at or after discard, and may not preserve the 485 identifying features needed to ascertain the object's function. Nonetheless, the preserved features 486 are consistent with a flexible material having been wrapped around this part of the artifact, which 487 may indicate that this object was the proximal end of a tool and/or a part of a composite tool 488 (Cristiani et al., 2016; Pedergnana et al., 2021). 489 Notched bones Four notched bones, two of which refit, come from Layer I in the Niche 1 (Table 490 3; SOM Table S7). One is from a rib, while the skeletal elements of the other artifacts are more 491 difficult to determine. One of these objects is highly fragmented and only preserves two notches 492 (Fig. 10m), while the other artifacts display longer sequences. DD7-979.8 (Fig. 10a) and DD8-493 1616 (Fig. 10c) refit to form an elongated bone object with 13 total notches on two separate 494 faces. The object exhibits longitudinal scraping striations that are overlain by notches (Fig. 10b, 495 d). In addition, small nodules of black residue are present on the endosteal surface. 496 Unfortunately, the object is too fragmented to indicate a type of use. DD7-203 is an elongated 497 flat bone with seven notches along the slightly concave edge (Fig. 10i). Four of these notches 498 were deepened and widened after the notches were produced. The widening of the notches is 499 asymmetrical and resulted in one roughened, damaged rim that is consistently on the same side 500 of the notches (Fig. 10k). This asymmetrical wear indicates that a flexible material was most 501 likely either secured within the notches to hang the object or was pulled repeatedly in one 502 direction during the process of string making or some other repetitive tasks that required twine or 503 cordage. Both unique notched objects exhibit smoothing and polish, which could indicate 504 prolonged use and/or handling during curation (d'Errico, 1993). 505 Incised bones Nine bones with subparallel incisions come from Layers I, I/J, and J, both in the 506 Main Sector and the Niche 1 (Table 3; SOM Table S7). Five are on ribs, two from long bones,

507 and one on a cranio-frontal fragment of a large herbivore. The incised bone artifacts are quite 508 variable and exhibit a mixture of simple cuts and well-defined incisions (Fig. 10p, v), sometimes 509 repeated to deepen the markings. Most incisions on the individual artifacts are regular, exhibiting 510 marks of similar size, shape, and angle and were likely incised consecutively with the same lithic 511 implement (Fig. 10o, w; d'Errico, 1995). However, DD8-726 exhibits a sequence of incisions 512 with three different groups of marks clustered together: deep, faint and long, and short and 513 distinct (Fig. 10q). The different sizes and shapes of these marks could indicate that they were 514 produced at different times (d'Errico, 1995). Most of the incised objects are on flat bone 515 fragments, often ribs that were intentionally split (e.g., Fig. 10r), though few other traces are 516 observed to indicate these objects were used beyond these markings. An exception is AA8-1951, 517 which is a highly polished rib fragment with a tapered but blunted proximal extremity (Fig. 10g). 518 Longitudinal and oblique scraping covers all faces to regularize the surface. There are two 519 clusters of faint transversal and oblique incisions on opposing faces near the dry break that may 520 have been produced to add surface texture and facilitate grip of the tool (Fig. 10f, h; 521 Henshilwood et al., 2001). Because the artifact is transversely broken, it is unclear how the 522 object functioned. Polish covers the entire object, possibly the result of prolonged curation 523 (d'Errico, 1993), but no clear surface alterations related to use are present. Another unique 524 artifact (DD8-1124) is a tubular rib fragment of a medium sized carnivore with six oblique 525 incisions (Fig. 10s). One end of the artifact exhibits pitting due to carnivore gnawing, while the 526 other extremity of the tube preserves an oblique ancient break. The surface of the rib preserves longitudinal and oblique scraping on all faces overlain by the incisions. Parts of the object are 527 528 smoothed and polished including the fractured surface of the oblique break (Fig. 10t), which 529 could suggest that the rib was intentionally broken to create a tubular object. In addition,

transversal striations and red staining are present on the surface near the oblique break (Fig. 10t).
The use alterations at one end of the 'tube' may indicate that this bone object was used as an
ornament and the regular subparallel incisions were for decoration. The variable nature of the
incised bone fragments indicates that the humans at Bacho Kiro Cave utilized incisions for
various purposes, some possibly functional while others were likely decorative.

535

536 *3.2. Ornaments (beads and pendants)*

A significant component of the recent Bacho Kiro Cave excavations is the numerous ornaments. Pendants or pendant fragments (n = 27) made from both carnivore and herbivore teeth and three broken beads made on ivory, bone, and stone have been found within the Niche 1 (Fig. 11; SOM Tables S8 and S9). The teeth pendants and beads that have secure context all come from layers attributed to the IUP. Two additional objects, a pendant and a bead, were found out of stratigraphic context, but their morphological attributes suggest affinity with the ornaments from the IUP layers (SOM Tables S8 and S9).

544 <u>Beads</u> Three disk-shaped beads, all of which were broken in half, were recovered from Layers

545 H/I and I (Table 4; SOM Table S8). One bead (DD7-719.6; Figs. 11 and 12a) is bone from an

unknown mammal, the second (AA8-222; Figs. 11 and 12e) is made of elephantine ivory, and

547 the last bead (AA8-1630.1; Figs. 11 and 12h) is made from sandstone. The ivory and bone beads

have perforation diameters that are roughly the same size (~4 mm), while the diameter of the

sandstone bead perforation is much smaller (2 mm; Table 4). No manufacturing traces are

550 present on the ivory bead, but the regularity of the rounded perforation could suggest it was

drilled (Fig. 12e). This method seems to have been used on the sandstone bead, which features a

552 biconical perforation with a moderately defined circular rim and concentric striations inside the

553 perforation (Fig. 12i). The bone bead preserves pronounced grinding traces on both flattened 554 faces and on the facetted edges (Fig. 12b, c, d), which indicates that this bead was ground against 555 coarse sandstone to flatten both surfaces and regularize the edges. The perforation of the bead is 556 irregular due to exposed spongy bone, which may indicate that the artifact was manufactured 557 from a long bone. All of these artifacts exhibit smoothing and other signs of use (SOM Table 558 S8). The ivory bead is highly polished and preserves many multidirectional striations of varying 559 sizes on most surfaces. The central portion of the longest edge features a depressed area with a 560 completely smoothed surface topography and fine, short micro-striations transversal to the edge, 561 which suggests that the bead may have been fastened at this location (Fig. 12f, g). Further, traces 562 of pigment such as dark black and brown staining on the ivory bead (Fig. 12e) and reddish 563 residues on the bone bead (Fig. 12a) indicate that these items were either colored prior to use or 564 the colorants rubbed off onto the objects while in use. Alternatively, the pigments could have 565 been used as abrasive additives to facilitate perforation of the beads (Tejero et al., 2021a; Tejero 566 et al., 2021b).

567 Pendants Twenty-seven tooth pendants and pendant fragments are preserved in all IUP layers 568 within the Niche 1, most often in the richest Layer I (n = 18; Table 5). The teeth show signs of 569 weathering (stages 0–2; Behrensmeyer, 1978; SOM Table S6) with twelve exhibiting different 570 degrees of surface flaking where the cementum has or partly peeled away from the dentin layer 571 (e.g., Fig. 13a, d). Eleven teeth exhibit cracks in the crown and/or root (e.g., Fig. 13n). Despite 572 this, tooth surface preservation is good with most surfaces entirely (n = 10; 37%) or largely (n = 10; 37%)573 14; 52%) visible (SOM Table S10). Only one has sediment concretions over a large portion of 574 the surface.

575 Sixteen of the pendants were made from carnivore teeth based on morphological characteristics 576 of the crown and root shape. One pendant is a wolf (Canis lupis) incisor, while the other 15 577 derive from cave bear (Ursus spelaeus) incisors (n = 9), premolars (P; n = 2), and molars (M; n =578 4) from both the maxilla and mandible (Fig. 11; Table 5). Given the diversity of teeth pendants 579 preserved, the minimum number of cave bears contributing to the pendants is two based on three 580 different types of teeth (lower left first and second incisors and fourth premolars) represented 581 twice in the pendants (Table 5). All other identified cave bear teeth are unique. Due to 582 preservation issues or anthropogenic modifications, 11 of the 27 teeth could not be identified 583 based on morphological characteristics alone but are most often single-rooted. Three of the 584 artifacts were identified using ZooMS: two as Bos/Bison sp. and one as Cervidae/Saiga sp. 585 (Table 5; SOM Table S1). The remaining eight are from unknown mammals, but based on the 586 size, shape, and similarity of modifications to several of the pendants identified through ZooMS, 587 six of these appear to be produced on herbivore teeth, possibly incisors or vestigial canines 588 (Table 5). Given that large bovids do not form vestigial canines, the teeth identified as *Bos/Bison* 589 sp. most likely derive from incisors. Notably, one of the Bos/Bison sp. artifacts (AA7-1635.1), 590 and two others without ZooMS identifications (A7-219.1 and AA8-1644), preserve scraping 591 traces on and/or adjacent to the crowns of the teeth indicating deliberate alteration of this area 592 (e.g., Fig. 13n, u, v). In addition, it appears that the enamel surfaces of many of the herbivore 593 crowns have been modified through intentional abrasion, a feature observed elsewhere in 594 subsequent UP assemblages (White and Normand, 2015). Modification to the tooth crown is likely unrelated to the method of suspension but rather to the intended shape of the objects. This 595 596 feature is not observed on any of the carnivore teeth.

597 Both carnivore (C) and herbivore (Hb) tooth pendants preserve a variety of evidence for hanging 598 and use as ornaments including differing manufacturing methods that served as the basis for a 599 pendant classification system (Figs. 11 and 14; Table 5). Sixteen pendants are completely 600 pierced bidirectionally (BD), three are scraped to form a shelf-like feature towards the root apex 601 (SS), two are exclusively grooved (GV), one is pierced through gouging (GO), and one is 602 partially pierced (PP). Some of these types may represent unfinished pendants at an early 603 manufacturing stage. An addition four teeth are fragments that preserve evidence of scraping and 604 are either waste fragments or broken pendants (Fig. 15; Table 5). Most pendant types with a 605 variety of animal raw material sources are found within Layer I, while a few examples are found 606 within the other layers. No observable change in the representation of pendant types or raw 607 materials utilized are evident across the IUP layers, albeit a small sample in some layers may 608 obscure any patterns (Fig. 15).

609 The largest pendant type, those scraped and biconically drilled, are made on herbivore (Hb-BD) 610 and carnivore incisors (C-BD) and cave bear molars (CM-BD), and come from Layers H/I, I, and 611 J (Figs. 13, 15, 16m, and 17a; Table 5). Incisor roots preserve scraping traces longitudinally and 612 obliquely most often on the wider mesial and distal faces (e.g., Fig. 16m). The molars have had 613 at least one root removed, and their main modified root is thoroughly scraped similar to the 614 single rooted pendants along the widest faces so that they are regularized flattened surfaces 615 resulting in distinct v-shaped profiles (Figs. 13n, s, 14, and 17a). This modification is so 616 extensive that the dentin is exposed on roughly two-thirds of the distal portion of the root (e.g., Fig. 13). The scraped root apices feature biconically drilled perforations that pierce the roots 617 618 mesiodistally, or buccolingually in the case of the molars (e.g., Figs. 13 and 17a). Perforation 619 rims are most often circular with well-defined edges and concentric striations inside the

620	perforation (e.g., Fig. 13f, k, l, p, w). Perforation rims often exhibit polish and rounding (e.g.,
621	Fig. 13i, q), while interior concentric striations are partially smoothed or entirely smoothed from
622	use (SOM Table S9). In addition, three pendants (AA8-1393.1, A8-1138.7, and AA7-1194)
623	feature wear facets or similar deformations widening the rim of the holes laterally (e.g., Fig.
624	16n). Most pendants ($n = 14$) preserve modifications including polish and smoothing on the root
625	of the surface near the perforation. A subset of these $(n = 9)$ feature directionally oriented
626	transversal modifications (perpendicular to the axis of the root), or oblique in one case (CC8-
627	1496.1), such as striations and furrows adjacent to the perforations (e.g., Figs. 13c, t and 16o;
628	SOM Table S9). Most of the pendants preserve polish and smoothing in other locations of the
629	root surface that often overlay the manufacturing traces (e.g., Figs. 13i, q and 16p). All of these
630	features are consistent with the pendants having been either tightly strung together on a cord or
631	twine so that the pendants contacted one another or fastened to a material such as clothing
632	(d'Errico, 1993; Cristiani and Borić, 2012; Osipowicz et al., 2020).
633	The majority of the biconically drilled pendants have been broken at the perforation ($n = 11$),
634	likely due to sustained use (Table 5). Two of these indicate that they were reworked by grooving
635	after they broke to suspend the artifacts using a string or cord fixed in the grooves (Fig. 13g,
636	CC8-1047.1; Fig. 13m, A7-219.1). The fracture of the larger projecting remnant of the broken
637	perforation on A7-219.1 is rounded and smoothed, consistent with being used after the
638	perforation broke (Fig. 13p, q). The smaller remnant preserves a small groove and the bases of
639	adjoining grooves on multiple faces (Fig. 130, p). The root is broken along these grooves, which
640	demonstrates that the pendant was broken a second time after grooving and further use.
641	Similarly, CC8-1047.1 has grooving on one of the projecting remnants of the broken perforation
642	(Fig. 13h, i). There are three distinct grooves on the faces that are not part of the perforation and

two of these cut across the drilled hole indicating that the pendant was grooved after theperforation was made and likely broke.

645 Two pendants primarily modified by incising a groove are found in Layer I (Fig. 15; Table 5). A 646 cave bear incisor (C-GV, BB7-1074) and premolar (CP-GV, BB8-2302) have well-defined 647 grooves that encircle the roots with indications of smaller incisions likely related to slips or false 648 starts (Figs. 11 and 17i, j). Incisions within the grooves are mostly obliterated from use, due to a 649 string or cord fixed in the groove, while the outer rims exhibit polish and rounding. Both 650 pendants preserve other indications of use including smooth greyish impressions encircling the 651 root of BB7-1074 and the smoothed and polished broken mesial root of BB8-2302 (Fig. 17k). 652 This broken root was likely removed during manufacture of the pendant to allow for the 653 complete grooving of the distal root. 654 One pendant (CC7-314) perforated by bifacial gouging comes from Layer I (Fig. 16a; Table 5). 655 The cave bear incisor (C-GO) is extensively scraped and gouged on both the mesial and distal 656 root surfaces so that an oblong perforation with irregular edges was formed (Fig. 16b, c). Long 657 transversal striations are preserved on both sides of the perforation on the distal face of the root 658 (Fig. 16d), and the apex on the same surface shows smoothing of the upper reliefs of the surface 659 microtopography with invasive polish, pitting, and multidirectional fine striations. These features 660 are consistent with the pendant having been fastened to soft material such as leather using a cord 661 or twine (Cristiani and Borić, 2012; Osipowicz et al., 2020).

662 A cave bear incisor from Layer J (C-PP, CC7-2858) is partially pierced exposing a hollow

663 interior (Fig. 16e; Table 5), but it is not clear how the perforation was made given the state of

664 preservation though perforation through pressure or indirect percussion seems the most plausible

665 (White, 2007; White and Normand, 2015). The perforation edges that are better preserved lack

666 clear manufacturing traces, but fine striations and scratches emanate from the large hole (Fig. 667 16g, h). One edge preserves an ancient chip, initiated from inside the hole, which occurred after 668 the striations were produced (Fig. 16g). The root surface is highly polished with transversal 669 striations of various sizes and lengths on much of the root. Towards the apex on the mesial face, 670 transversal indentations co-occur with large flat grey marks that partially circumscribe the object 671 and appear to be impressions from a material that was wrapped around the root of the tooth (Fig. 672 16f). Similar grey transversal impressions are also observed on one of the grooved pendants 673 (BB7-1074; Fig. 11; SOM Table S9). Given its irregular features, this object may be an 674 unfinished pendant. 675 Three teeth from Layers I and I/J preserve scraping traces that form a shelf or knob at the distal 676 end, and they are made on an herbivore incisor, (Hb-SS), a carnivore incisor (C-SS), and a cave 677 bear molar (CM-SS; Fig. 15; Table 5). The roots of these teeth (Figs. 11, 16i, and 17d) were 678 repeatedly scraped, most often on the concave face, to form a flat surface that ends abruptly 679 before the root apex to form a shelf-like border (e.g., Fig. 16j, k). A similar modification is 680 observed on one of the indeterminate worked bone items (Fig. 5i). This feature is distinct from 681 the shape of the root apices that are biconically drilled, which do not have the sharp border but 682 tend to have uniformly scraped apices (e.g., Fig. 14). Of the three pendants with shelves/knobs, 683 no additional manufacturing modifications are present aside from scraping (e.g., Figs. 16j and 684 17e, f). CC8-1571 is perforated on both sides of the root, but the edges of these holes are 685 irregular and flaky indicating recent breakage resulting from thinned, weakened root walls 686 damaged by post-depositional processes (Fig. 161). Given their minimally modified features, it is 687 possible that these objects are unfinished and represent an early stage in the manufacture of 688 perforated pendants (White, 2007). Interestingly, all three teeth have additional traces that could

689	indicate use or provide further insight into the pendant manufacturing or storage process. For
690	example, all three demonstrate crushing of the lacunae of the cellular cementum along the shelf-
691	like feature (Fig. 16k), and in some cases long transversal striations are present in the same or
692	adjacent regions. The lateral edges of the shelf-like feature are altered in a way that could have
693	been caused by something wrapped around the root at this location to suspend or fasten the
694	objects. The clearest example of this is DD8-782 where deep depressions can be followed around
695	the root surface from the shelf-like feature (Figs. 17f). In addition, long fine subparallel
696	transversal striations that overlay the longitudinal scrapes are also in the vicinity of the deeper
697	depressions (Figs. 17g). Extensive smoothing and polish of the upper surface reliefs of the
698	surface is also observed, especially at the very apex of the root. All of these surface alterations
699	are consistent with the teeth having been secured at the shelf-like features, possibly for use or for
700	other purposes in the early stages of the pendant manufacturing process.
700 701	other purposes in the early stages of the pendant manufacturing process. The remaining items are found in Layers I and I/J and preserve scraping traces along their roots,
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701702703704	The remaining items are found in Layers I and I/J and preserve scraping traces along their roots, but do not share other characteristics (Table 5). These include a thoroughly scraped molar fragment (A8-1471; Fig. 11), a fragmented premolar with scraping on both root faces (AA7- 1465.1; Fig. 11), a tooth root fragment with a large groove and several smaller incisions (BB8-
 701 702 703 704 705 	The remaining items are found in Layers I and I/J and preserve scraping traces along their roots, but do not share other characteristics (Table 5). These include a thoroughly scraped molar fragment (A8-1471; Fig. 11), a fragmented premolar with scraping on both root faces (AA7- 1465.1; Fig. 11), a tooth root fragment with a large groove and several smaller incisions (BB8- 510; Fig. 11), and an incisor with scraping and grinding along multiple faces of the root
 701 702 703 704 705 706 	The remaining items are found in Layers I and I/J and preserve scraping traces along their roots, but do not share other characteristics (Table 5). These include a thoroughly scraped molar fragment (A8-1471; Fig. 11), a fragmented premolar with scraping on both root faces (AA7- 1465.1; Fig. 11), a tooth root fragment with a large groove and several smaller incisions (BB8- 510; Fig. 11), and an incisor with scraping and grinding along multiple faces of the root including across the break of the root (DD7-472.7; Fig. 11). Some of these exhibit smoothing,
 701 702 703 704 705 706 707 	The remaining items are found in Layers I and I/J and preserve scraping traces along their roots, but do not share other characteristics (Table 5). These include a thoroughly scraped molar fragment (A8-1471; Fig. 11), a fragmented premolar with scraping on both root faces (AA7- 1465.1; Fig. 11), a tooth root fragment with a large groove and several smaller incisions (BB8- 510; Fig. 11), and an incisor with scraping and grinding along multiple faces of the root including across the break of the root (DD7-472.7; Fig. 11). Some of these exhibit smoothing, which may indicate that they had been used (Table 5; SOM Table S9), though A8-1471 and

711 trend in pendant type or animals utilized across the IUP layers (Fig. 15). Biconically drilled

712 pendants are found throughout the layers, while the other pendant types with only one or a few 713 examples each are too rare to discern a pattern. The diversity in pendants indicates that these 714 humans utilized various methods for modifying, suspending, and fastening these artifacts, 715 methods common in other transition period assemblages and in later European UP contexts 716 (Granger and Lévêque, 1997; Vanhaeren and d'Errico, 2006; White, 2007; White and Normand, 717 2015; Vanhaeren et al., 2019; Arrighi et al., 2020). Many of the pendants from these differing 718 manufacturing types feature evidence of use including staining or pigmentatious residues, which 719 could support their interpretation as used pendants, albeit the pigments may have been added 720 during initial manufacturing stages to facilitate root modifications (Tejero et al., 2021a; Tejero et 721 al., 2021b). In particular, 12 teeth feature small fragments of ochre that are often trapped in 722 surface depressions like cracks, perforations, grooves, or roughened features of surface breaks, 723 while a few have more widespread residues on the surface (e.g., Fig. 13); SOM Table S9). Many 724 of the pendants have extensive alterations including two exhibiting two distinct manufacturing 725 events indicating that these artifacts were curated and sometimes restrung after breaking.

726

727 **4. Discussion**

The large assemblage of osseous artifacts presented here (n = 74) provides the opportunity for assessing behavioral patterns of some of the earliest *Homo sapiens* in Europe. Though timing and intensity is often debated, IUP assemblages with combinations of Levallois blanks, blade technologies, and UP tool types as well as a variety of shaped bone tools and ornaments appear in central and eastern Europe, southwest Asia, and in north and central Asia from 50–45 ka (Kuhn, 2019; Zwyns et al., 2019). The presence of a variety of formally modified hard animal tissues within these assemblages is significant given the paucity of similar objects preserved 735 within earlier MP deposits (e.g., Gaudzinski, 1999; Soressi et al., 2013), while both late MP and 736 transition period assemblages, contemporary or subsequent to the IUP, attributed to Neanderthals preserve osseous objects with similar features to those found in the IUP (e.g., d'Errico et al., 737 738 2003; Peresani et al., 2016; Majkić et al., 2017; Stepanchuk et al., 2017; Julien et al., 2019; 739 Vanhaeren et al., 2019; Arrighi et al., 2020). The proliferation of such technologies around 45 ka 740 or possibly earlier (Richter et al., 2009; Slimak et al., 2022) is likely the result of global human 741 dispersal events and broadening cultural networks. Osseous objects have been the focus of several recent studies within the north and central Asian 742 743 IUP (Derevianko and Rybin, 2003; Rybin, 2014; Zwyns and Lbova, 2019; Kozlikin et al., 2020; 744 Shunkov et al., 2020; Lbova, 2021). Many of the IUP sites in the regions surrounding 745 southeastern Europe lack well-preserved faunal remains, and thus technological and cultural 746 objects made of these materials (Kuhn, 2019; Smith et al., 2021). However, the regional 747 landmark Paleolithic sites within southeastern Europe (Temnata, Kozarnika) and southwest Asia 748 (Ksâr 'Akil, Üçağızlı Cave I), including material from previous excavations at Bacho Kiro Cave, 749 preserve osseous artifacts allowing for a comparison with the newly excavated materials 750 presented here and a more nuanced understanding of IUP technological innovations (Table 6). 751 Within southeast European and southwest Asian IUP contexts (Table 6), Bacho Kiro Cave 752 preserves a particularly diverse assemblage. The osseous artifacts recovered from the recent 753 excavations of this cave significantly increase the previous collection (Table 6; Kozłowski, 1982; 754 Guadelli, 2011). The material from the new collection is distributed across the IUP deposits. 755 Almost every artifact type is found across the layers, except awls, which are exclusive to the 756 Layer I. All of this is consistent with previous observations and interpretations that these deposits 757 contain a coherent lithic assemblage (Tsanova, 2008), borne out by consistent 14C dating results

758 and accompanying records from interdisciplinary research (Fewlass et al., 2020; Hublin et al., 759 2020; Smith et al., 2021). The IUP occupation of the cave began around 46 ka, with intensified 760 use of the cave from 45–43 ka, as indicated by the substantially increased anthropogenic input of 761 organic matter in Layer I (Fewlass et al., 2020; Hublin et al., 2020; Smith et al., 2021). A 762 substantial portion of this organic matter is millimeter- and submillimeter-sized bone fragments, 763 though it is not clear how much if any of these bone fragments belong to bone waste produced 764 during bone tool manufacture at the site. Even among the formal tools, large portions of the 765 objects remain unaltered, so technological waste was likely minimal. Despite this, the presence 766 of a handful of osseous waste materials including an antler tine fragment and two modified tooth 767 roots suggests that manufacture occurred on site. 768 The faunal assemblages from the IUP layers are characteristic of taxa found during the Marine 769 Isotope Stage 3 in southeast Europe and are dominated by large bovids (*Bos primigenius*, 770 Bison priscus, and Bos/Bison sp.; I = 26%; J = 10%), cervids (Cervus elaphus and Cervidae sp.; I 771 = 26%; J = 8%), and cave bear (Ursus spelaeus and Ursidae sp.; I = 24%; J = 63%; Smith et al., 772 2021). The modified osseous objects that could be identified to species most often belong to one 773 of these three well-represented taxa. Notable exceptions are the wolf incisor pendant and the 774 elephantine ivory bead, which likely derives from woolly mammoth (*Mammuthus primigenius*). 775 Whereas teeth seem to have been used exclusively for ornamentation, the other osseous artifacts 776 are made from long bones and ribs, and a small portion come from antler. 777 Nearly all informal bone tools, those either not shaped prior to use (e.g., unworked intermediate tools) or shaped using percussive techniques (e.g., knapped tools), are from long bones. Within 778 779 the overall faunal assemblage, limb bones are most common (Smith et al., 2021) so the 780 utilization of these bone fragments as expedient tools is not surprising. Some of these fragments

781 were likely by-products of marrow extraction, which is directly observed on about 4% of the 782 faunal remains in the form of hard hammer percussion notches (Smith et al., 2021). Some of 783 these same impact fractures are found on the informal bone tools and indicates that the bone 784 blanks were the result of marrow extraction and later minimally or not altered prior to their use 785 as tools. However, thick cortical bone and elongated fragments (> 50 mm) are common features 786 of these tools, and would be beneficial for some tasks (e.g., strength and resisting compression 787 during use as an intermediate tool, long blanks to facilitate grip). Such features could indicate 788 that some blanks were selected and extracted during the butchery process (Costamagno et al., 789 2018), regardless if these features are the product of the dominant faunal species found at the 790 cave (Smith et al., 2021).

791 Unlike the informal tools, the formal bone tools and the incised and notched bones were made on 792 a wider variety of osseous materials likely reflecting the different raw material requirements for 793 shaping and using these bone objects. A few of these artifacts are made from long bones but tend to be elements with thin cortical bone that would have taken minimal effort to shape. Ribs, which 794 795 also have thin cortical bone, are used in many cases, and includes the awls, smoothers, an 796 indeterminate worked artifact, as well as several incised and notched objects. The choice of ribs 797 for such a wide variety of purposes is likely reflective of the ease of using ribs as a preferred raw 798 material (Martisius, 2019). In some cases, the rib shape would have been an asset. For example, 799 smoothers all tend to be elongated flat objects with a convex distal extremity (Camps-Fabrer, 800 1966; Averbouh, 2000; Tartar, 2009; Soressi et al., 2013; Martisius et al., 2020a; Martisius et al., 801 2020b). To achieve this shape, a rib requires little modification. For many of the subparallel 802 incised bone objects, a flat surface, a feature of herbivore ribs, may have been the desired 803 attribute. While the purpose of many of the incised artifacts is unclear, the shape of flat ribs is

804 beneficial for several reasons, not least of which is ease of bundling and storage, which could be 805 supported by their clustered spatial pattern in the Niche 1. Further, a flat rib surface lends itself 806 to easily displayed markings. In the case of the tubular incised artifact, the naturally rounded 807 carnivore rib could have provided a useful shape that similarly required minimal modifications. 808 Interestingly, axial skeletal elements such as ribs (Layer I minimum animal units [MAU] large 809 bovid = 0.38; MAU cervid = 0.27) are under-represented in the Bacho Kiro Cave faunal 810 assemblage when compared with forelimbs (Layer I MAU large bovid humerus = 8 and radius = 811 7.5; MAU cervid humerus = 6.5 and radius = 7) and hindlimbs (Layer I MAU large bovid femur 812 = 8.5 and tibia = 10; MAU cervid femur = 9.5 and tibia = 9.5), a pattern that has been interpreted 813 to have occurred due to selective transport of skeletal elements into the cave as part of 814 subsistence practices (Smith et al., 2021). The 14 worked rib fragments (Layers I, I/J, and J) 815 likely derive from at least 8 different ribs based on size, curvature, provenience, and taxa, many 816 of which could have easily come from the same animal. With this in mind, and given the overall 817 skeletal part pattern observed at Bacho Kiro Cave, it is possible that ribs were selected during 818 butchery and brought back to the cave for bone working. However, it should be noted that using 819 ribs in calculations of relative abundance is problematic due to their tendency to fragment as well 820 as a dearth of diagnostic features. Further, the modifications on worked ribs may result in 821 entirely unidentifiable fragments, making inferences difficult. 822 Five artifacts, four of which are beveled objects, are made from antler, an osseous material with 823 a higher fracture toughness than long bone. This material property is the result of its low mineral content and more irregular microcrack propagation pattern compared to long bones making 824

antler more resistant to fracture during flexion (Currey, 2002; Chen et al., 2009). Further, antler

826 working requires a more complex process beginning with beam segmentation followed by

825

827	longitudinal splitting of the segmented blocks, whereas bone fragments produced during
828	butchery can easily be modified into efficient tools (Tejero et al., 2012; Tejero and Grimaldi,
829	2015; Tejero et al., 2016). This difference is likely the reason why worked antler is rarely
830	documented prior to and within the Early Upper Paleolithic and only became more regularly
831	exploited after 40 ka during later UP phases, most often for highly modified projectile points
832	(Tejero, 2014). A modified antler fragment was found in the IUP Layer XXII at Ksâr 'Akil
833	(Newcomer and Watson, 1984; Table 6), but there is no indication of antler working within other
834	contemporary or more recent early Eurasia UP assemblages (e.g., Gilead, 1991; Coinman, 1996;
835	Kuhn et al., 2009; d'Errico et al., 2012; Tejero, 2014; Tejero and Grimaldi, 2015; Arrighi et al.,
836	2020). While neither the bone or antler tools at Bacho Kiro Cave exhibit complete
837	transformation of the tool blanks (i.e., large portions of the objects remain un- or minimally
838	modified), the antler artifacts would have required more time and technological investment even
839	for relatively simple beveled objects, a common tool type in later UP assemblages (Provenzano,
840	1998; Tejero et al., 2012). We can argue that the choice of antler as a raw material was deliberate
841	given its mechanical properties such as fracture resistance during stress and sustained use
842	(MacGregor and Currey, 1983; Currey, 2002; Chen et al., 2009). In fact, worked antler makes up
843	a substantial portion (38%) of total antler fragments ($n = 13$) in the IUP layers at Bacho Kiro
844	Cave, which further supports the choice of antler as a desirable raw material even if antler is
845	under-represented in the overall faunal assemblage (Smith et al., 2021). The origins of antler
846	working are vague but the objects preserved at Bacho Kiro Cave indicate that these humans had
847	already expanded their use of raw material types. Because the antler tools were all used
848	similarly, this suggests that the selection of different osseous raw materials was tied to function.

849 The osseous assemblage at Bacho Kiro Cave exhibits a high degree of variation in the types of 850 objects made, and in the techniques used to fashion them. Some of the informal methods are also 851 seen in earlier time periods and are likely adapted from techniques used to exploit marrow for 852 subsistence purposes or for flaking stone (Gürbüz and Lycett, 2021; Villa et al., 2021). A 853 significant portion of the assemblage exhibits methods specific to working hard animal tissues, 854 including scraping, grinding, and grooving, and the repetition of some of these items at the site 855 suggests that there was some degree of standardization in the production process, as well as a 856 need for conducting specific tasks with similar tools repeatedly. 857 Percussive methods were used to shape bone into tools throughout the Paleolithic. In these cases, 858 impact fractures occur on various surfaces of a bone and not only within the medullary cavity as 859 is common for marrow extraction. Knapped or flaked bone tools of this type have a long history 860 in the African Early Stone Age (Zutovski and Barkai, 2016; Pante et al., 2020; Sano et al., 2020) 861 and have been preserved in Middle and Late Pleistocene assemblages (e.g., Vincent, 1993; 862 Radmilli and Boschian, 1996; Mania and Mania, 2005; Julien et al., 2015; Baumann et al., 2020; 863 Kozlikin et al., 2020; Doyon et al., 2021; Villa et al., 2021), including those within the MP and 864 UP in southeast Europe (Guadelli, 2011). Both direct and indirect percussive techniques were 865 used on the Bacho Kiro Cave bone tools to produce and shape the bone tool blanks, but also to 866 refine their ends and edges for cutting or other tasks that required a sharp edge. A small number 867 of the knapped bone objects exhibit precise retouch to sharpen and alter their working ends into 868 specific shapes, as is the case for the bone object that appears to mimic lithic end- or sidescrapers. This scraper was fashioned from a long bone, and the method used to shape it was 869 870 adapted from stone tool manufacture. Further, this bone object and many of the Bacho Kiro Cave 871 IUP lithic artifacts, mainly blade sidescrapers and retouched blades, exhibit microwear traces

872 consistent with hide working (Marreiros et al., 2019). Replication of a tool that substituted bone 873 for lithic as the raw material is likely related to the costs of procuring fine-grained flint from 874 different sources 80–150 km away from the site (Hublin et al., 2020). Most of the lithic artifacts 875 at Bacho Kiro Cave are reshaped and highly fragmented (Tsanova et al., 2020), and show 876 intensive development of microwear, including items used for wood and hide working 877 (Marreiros et al., 2019), reflecting thorough and complete use and reuse of these materials. 878 Choosing a bone, which would dull much more quickly than a stone, as an alternative material 879 indicates that these humans were ready to exploit a variety of raw material resources and 880 supplement them altogether when needed. Alternatively, both lithic and bone tools could have 881 been preferred for hide working, perhaps representing different phases of the process. 882 Indirect percussion on different materials is also evident at Bacho Kiro Cave, as recognizable 883 from a number of worked and unworked bone and antler intermediate tools along with splintered 884 lithic pieces (Kozłowski, 1982; Tsanova, 2008, 2012). Unworked intermediate bone tools have 885 not been commonly reported from Paleolithic sites, especially from old collections. This may be 886 due to their informal nature making them difficult to recognize as tools. If recognized, these 887 objects may be difficult to distinguish from intentionally flaked or knapped bones. Because they 888 are not formally modified tools but simple long bone diaphyseal fragments, these objects are 889 extremely variable and only recognizable by their use alterations such as splinter removals and 890 crushing at their opposing extremities (Tartar, 2012; Tejero et al., 2012; Baumann et al., 2020). 891 Intermediate bone tools have been identified in the Middle and Late Pleistocene (Burke and d'Errico, 2008; Mozota Holgueras, 2012; Tartar, 2012; Tejero et al., 2012; Julien et al., 2015; 892 893 Baumann et al., 2020; Villa et al., 2021), and have been found in the IUP (Kozlikin et al., 2020), 894 including in the previously excavated material at Bacho Kiro Cave (Guadelli, 2011). A variety of

895 uses have been proposed for this bone tool type, including wood or antler working, wedging for 896 splitting, and hide processing (Semenov, 1964; Stordeur, 1980; Camps-Fabrer et al., 1998; 897 Rigaud, 2007; Tartar, 2009, 2012; Tejero et al., 2012). Interestingly, many of the osseous 898 intermediate tools at Bacho Kiro Cave, both formal and informal, have been modified through 899 indirect percussion, often with lithic (i.e., splintered pieces or 'pièces esquillées') or possibly 900 other osseous intermediate tools. Experimental work has demonstrated the efficiency of using 901 antler intermediate tools to work other antler blocks. Like intermediate lithic pieces, antler 902 wedges also produce small distinct fractures, notches, and compressed areas along the lateral 903 fracture plane of the worked osseous pieces (Tejero et al., 2012). The Bacho Kiro Cave 904 assemblage includes a number of bone and antler artifacts with small notches associated with 905 transversal or oblique striations across the bone or antler fracture plane demonstrating the use of 906 intermediate pieces on these objects. Lithic splintered pieces have also been identified in the 907 same layers as these bone objects. Preliminary use-wear analysis of these stone tools has shown 908 macro- and microwear traces of hard material working such as bone (Marreiros et al., 2019). 909 These include bifacial and bidirectional superimposed negatives and microwear polish 910 characterized by a compact mesh and homogeneous smooth surface texture, located at the 911 termination of the edge fracture scars. Using indirect percussion to fracture hard animal tissues 912 allows for more control during the fracturing process and is a crucial method in the development 913 of osseous technologies (Tejero et al., 2012; Horta et al., 2019). While these humans utilized 914 grooving techniques for pendants and notched pieces, there is little evidence that they grooved 915 bone prior to inserting a wedge for splitting. The use of this technique on and with varying 916 materials at Bacho Kiro Cave indicates that this was an important component of their

917 technological repertoire. Further research is necessary to assess whether these similar tools made 918 on bone, antler, and stone were used for processing similar materials or for differing purposes. 919 Formal bone working at Bacho Kiro Cave is demonstrated by several objects of varying forms. 920 One of these types is the smoothers, which are made from ribs using formal techniques such as 921 scraping. The three objects are interpreted as being used for working animal skins based on 922 features such as invasive polish as well as micro-pitting and multidirectional striations 923 (Christidou and Legrand, 2005; Legrand, 2007). Smoothers, or lissoirs, are one of the oldest 924 formally worked bone types recognized in Europe (Soressi et al., 2013), and have been found in 925 a number of assemblages associated with Neanderthals (Martin, 1909; Mozota Holgueras, 2012; 926 Stepanchuk et al., 2017; Julien et al., 2019; Baumann et al., 2020; Martisius et al., 2020a; 927 Martisius et al., 2020b). Similar to the specimens from the IUP of Bacho Kiro Cave, the majority 928 of the MP artifacts that could be identified to taxa are made on large herbivore ribs (Martin, 929 1909; Martisius et al., 2020a). Though the Neanderthal-made tools are highly fragmented, at 930 least two large fragments from Abri Peyrony and Axlor and a complete example from La Quina, 931 were made from unsplit ribs (Martin, 1909; Mozota Holgueras, 2012; Soressi et al., 2013). This 932 differs from the complete object from Bacho Kiro Cave, which is partially split and extensively 933 modified at the working end. This trend continued later into the UP where the majority of these 934 tool types were manufactured on highly modified and often entirely split ribs (Tartar, 2009; 935 Martisius, 2019). 936 Awls are one of the more clearly recognizable osseous artifact types in the Bacho Kiro Cave

Awis are one of the more clearly recognizable osseous artifact types in the Bacho Kiro Cave
assemblage. These were made by scraping the bone surface until an acute, pointed distal part was
created, while minimally modifying the proximal part. Wear traces indicate that these objects
were likely used for piercing animal skins (Campana, 1989; Lemoine, 1994; Griffits and Bonsall,

940 2001; Christidou and Legrand, 2005; Buc and Loponte, 2007; Legrand and Radi, 2008). Within 941 the IUP of southwest Asia, awls and other small, pointed objects are the only clearly recognized 942 osseous tool type (Table 6). At Ksâr 'Akil, an awl with regular incisions comes from the IUP 943 Layer XXIII (Newcomer, 1974). Further north at Üçağızlı Cave I in southern Turkey, eight bone 944 tools from the IUP layers F-H date to 45–39 cal bp (Table 6). These objects include awls and 945 small bone points and preserve clear longitudinal scraping traces from the manufacturing process 946 (Kuhn et al., 2009; Stiner et al., 2013), similar to what is found at Bacho Kiro Cave in both the 947 recent and older excavations (Kozłowski, 1982; Guadelli, 2011). Pointed objects are also present 948 within the contemporary Ahmarian Wadi Al-Hasa sites in Jordan (Coinman, 1996). In southeast 949 Europe, an awl was located in Layer 4 of trench I in Temnata Cave (Table 6; Guadelli, 2011). An 950 additional four were found in level VIII and the contact zone of levels VII and VIII 951 (corresponding to the IUP chronology) at Kozarnika Cave (Table 6; Guadelli, 2011). Further, 952 fifteen awls have been located within the subsequent Early Kozarnikian level VII (Guadelli, 953 2011). Awls are also found in the IUP of north and central Asia (Shunkov et al., 2020), and are 954 ubiquitous among other Eurasian UP and transition period assemblages (e.g., d'Errico et al., 955 2003; d'Errico et al., 2012; Arrighi et al., 2020). The seemingly sudden appearance of this formal 956 bone tool type from 45 ka in different regions and using similar manufacturing methods could 957 indicate cultural transmission either by population movement and/or stimulus diffusion, albeit a 958 simple technology such as awls could have been invented by differing human groups with 959 similar needs through technological convergence. 960 The presence of awls together with smoothers, several knapped bone objects likely used as 961 scrapers, and a large portion of objects from the lithic assemblage make up a toolkit for

962 processing animal skins for clothing or other items. Together with the lithic blade sidescrapers,

963 on which microwear consistent with hide working has been identified, a number of retouched 964 blades with unilateral notches at the distal tip are also associated with similar traces. The 965 presence of micro-polish located on the ventral surface of the notched edge indicates that this 966 feature was also most likely used on animal skins (Marreiros et al., 2019). The different artifacts 967 in the combined bone and lithic skin processing toolkit may have been used during different 968 phases of the process. Lithic tools are sharper and would have been more suitable and efficient 969 for removing the remains of flesh and grease from hide, while bone tools would have been useful 970 at a later phase to finish the tanning process and shape the skin. The bone and lithic items 971 represent an early example of a specialized toolkit where the systematic use of specific objects 972 was employed to produce what likely were durable, protective, and form fitting clothing 973 specifically designed for cold weather (Collard et al., 2016). 974 Specialized clothing would have been needed for the cold climate and environment around 975 Bacho Kiro Cave as evidenced by the cold-adapted animal taxa found at the site and confirmed 976 by isotopic studies (Pederzani et al., 2021; Smith et al., 2021). Further, this is supported by 977 evidence that these humans sought out and butchered specific animals for their skins. Over the 978 course of the IUP occupation(s), increasing varieties of carnivore species are found in the faunal 979 assemblage, yet carnivore chewing and other modifications are extremely rare (Smith et al., 980 2021). It is possible that these carnivore remains were brought to the site by humans for their 981 resources including their pelts, while cave bears were likely found or hunted in and around the 982 cave for the same reason, as evidenced by cutmarks on cave bear foot bones and crania. While 983 herbivore skins may have also been processed, the fur of carnivore taxa would have provided 984 additional thermal protection in the cold environment (Collard et al., 2016).

985 Carnivore, especially cave bear, and herbivore teeth were also used as pendants suspended using 986 different methods possibly fastened to leather clothing. Some of the manufacturing methods used 987 to modify the bone artifacts are also evident on the pendants and beads (e.g., scraping, grinding, 988 and grooving), but drilling is unique to the latter. The manufacturing methods recorded for these 989 pendants are consistent with those documented from previous excavations at Bacho Kiro Cave, 990 which include both pierced and grooved examples (Kozłowski, 1982; Kozłowski, 1992; 991 Guadelli, 2011). In the same region within Layer 6/7-level VIII (corresponding to the IUP 992 chronology) at Kozarnika cave (Table 6), grooved pendants made from fox teeth are found 993 dating to between 49-44 ka (Guadelli et al., 2005; Sirakov et al., 2010; Guadelli, 2011; Tsanova 994 et al., 2021). It is worth mentioning that a modified red deer canine was found at Grotte Mandrin 995 in France in a layer reported to be IUP and dating to older than 52 ka, but the tooth is not a 996 pendant and requires further study (Slimak et al., 2022). Other IUP assemblages in nearby 997 regions also preserve ornaments, but those in southwest Asia are almost entirely made from 998 marine shell. At Ksâr 'Akil, a large number of both anthropogenically and naturally perforated 999 marine gastropod shells appear to be beads (Bosch et al., 2019), and similar shells at Üçağızlı 1000 Cave I in addition to a vulture talon were also interpreted as ornaments (Kuhn et al., 2009; Stiner 1001 et al., 2013; Table 6). Within north and central Asian IUP sites, various forms of ornaments 1002 made from a variety of materials are common (Lbova, 2021). The diversity of ornaments 1003 preserved across the IUP in different regions is likely reflective of the locally available raw 1004 materials but potentially may have been used to convey similar social information (Kuhn, 2014; 1005 Stiner, 2014).

1006 Technological flexibility is evident in the wide variety of incised and grooved bone and teeth 1007 objects, some of which are undoubtedly functional while others are likely decorative or for other 1008 purposes. At Bacho Kiro Cave, grooving and notching were often used for securing a string or 1009 cord as is apparent by the grooved pendants. Similarly, at least one of the notched bones 1010 preserves wear traces indicating that a flexible material such as a string was used within the 1011 notches. The use of many of the other incised and grooved bones is unclear. Bones with linear 1012 markings have been reported from a number of Lower and Middle Paleolithic assemblages (e.g., 1013 Mania and Mania, 1988; Sirakov et al., 2010; Majkić et al., 2017; d'Errico et al., 2018; Majkić et 1014 al., 2018; Prévost et al., 2021) and other IUP and early UP assemblages including a notched bone 1015 at Kozarnika Cave (Guadelli, 2011), the possible incised awl from Ksâr 'Akil (Newcomer, 1016 1974), a notched artifact from Ahmarian contexts in Jordan (Coinman, 1996), or the many 1017 artifacts found in north and central Asia (Lbova, 2021). A variety of hypotheses have been 1018 proposed for linearly marked objects including as a notation device, tally marks, musical meter, 1019 decoration, rasps or scrapers, or as proto-aesthetic behavior, among others (Marshak, 1972; Otte 1020 et al., 1982; Huyge, 1990; d'Errico et al., 2018; Hodgson, 2019). The variability of material and 1021 technological approach observed on the Bacho Kiro Cave objects suggests that an attempt to 1022 assign a single explanation for the phenomenon could be an oversimplification. The presence of 1023 a wide variety of incised and notched objects, including those from the previous excavations at 1024 Bacho Kiro Cave (Kozłowski, 1982; Guadelli, 2011), demonstrates how these humans adopted 1025 one method of bone modification for a number of different utility and/or symbolic purposes 1026 (d'Errico and Colagè, 2018). Further, the Bacho Kiro Cave osseous artifact assemblage overall 1027 displays a flexibility of technological approaches in osseous material working, a hallmark of the 1028 broader UP record (White, 2007), and our study demonstrates that this behavior is well-1029 documented starting with the IUP.

1030

1031 **5. Conclusions**

1032 The large assemblage of osseous artifacts at Bacho Kiro Cave along with the variety of types and 1033 methods used to make these objects provides us with an ideal example of technologies and 1034 inferred behavioral patterns during this phase of *Homo sapiens*' dispersals. This assemblage 1035 indicates that these humans repeated tasks for which a standardized tool type was preferred, but 1036 were also flexible, often reshaping and reusing broken objects, and creating expedient bone tools 1037 that were used similarly to lithic tools. Many of the expedient or informal objects are on 1038 minimally modified long bone diaphyseal fragments, which makes them easy to overlook, 1039 especially among formally modified bone, antler, and tooth artifacts. Similar informal tools have 1040 been located in earlier Paleolithic assemblages (e.g., Vincent, 1993; Julien et al., 2015; Villa et 1041 al., 2021). With continued research on well-preserved and well-documented sites and with an eye 1042 towards recognizing these artifacts, it is likely that additional informal bone objects will be 1043 shown to be more widespread throughout the Paleolithic. The utilization of informal tools 1044 demonstrates the continued importance of expedient tools to conduct quick or simple tasks 1045 alongside formally worked bones that appear to function in more specific ways. Interestingly, 1046 both unworked and formal artifacts, specifically the intermediate tools, appear to have been used 1047 as wedges but it is not clear if they were used on the same materials. Experimental work has 1048 demonstrated the efficiency of intermediate tool use for woodworking and splitting antler blocks 1049 (Tartar, 2012; Tejero et al., 2012), so these remain distinct possibilities for the Bacho Kiro Cave 1050 artifacts. But given that the antler tools would have required additional technological investment 1051 including acquisition, extraction, partitioning, and further shaping compared with the bone 1052 objects that were likely extracted first for subsistence purposes and then later used as bone tools, 1053 it is plausible that the antler objects were more specialized in their usage.

1054 The diversity of materials and techniques represented at Bacho Kiro Cave show that these 1055 humans manipulated different resources to conduct a wide variety of tasks, some of which 1056 appear to be part of broader technological systems. By combining different lines of evidence 1057 including functional inferences, we can propose an array of complex behaviors centered on 1058 working animal skin for transformation into what was likely cold weather clothing (Collard et 1059 al., 2016). Animal materials including their bones and skins were extracted, transformed, and 1060 used in different ways. Due to the variable nature of the objects, both formal and informal, it may 1061 not be possible to propose one clear systematic process. Both lithic and several of the informal 1062 bone artifacts appear to be multifunctional and intensively used, often in similar ways. Even one 1063 formal tool, the complete smoother, exhibits use-wear traces along the edge and end that indicate 1064 this tool was used for multiple purposes. The overall pattern combined with material properties 1065 differences indicates that the Bacho Kiro Cave inhabitants likely utilized lithic tools in the early 1066 stages of skin working and bone tools throughout the process employing a flexible approach that 1067 exploited different raw materials and supplemented them when needed. 1068 While animal remains were often used for subsistence and technological purposes (as utility 1069 items), the presence of osseous objects with a symbolic purpose at Bacho Kiro Cave illustrates a 1070 more complex human-animal relationship. Pendants were made from both herbivore and 1071 carnivore teeth, with nearly every type of cave bear tooth represented, yet raw material only had 1072 a minor influence on the pendant manufacturing techniques (e.g., root removal for premolars and 1073 molars, alteration of herbivore tooth crowns). Various manufacturing methods are observed across tooth type with little evidence of standardization and might reflect individual preferences. 1074 1075 This speaks to the flexibility of the makers' technological approaches, a behavioral pattern found 1076 later and throughout the UP (White, 2007).

1077 When considering the Bacho Kiro Cave osseous artifact assemblage within broader IUP 1078 contexts, similarities are evident including the presence of and the technological traces preserved on formal artifacts such as awls and ornaments made of varying materials. There is a clear divide 1079 1080 in the hard animal tissues used for making ornaments with animal teeth most abundant in 1081 southeast Europe and marine shell in southwest Asia (Kuhn et al., 2009; Guadelli, 2011; Bosch 1082 et al., 2019), a distinction that mirrors the diversity of animal resources found in the differing 1083 environmental and climatic regions. If the humans who inhabited different regions shared a 1084 cultural background, the difference in raw materials used for ornaments could indicate how these 1085 groups adapted a shared cultural trait to the raw material resources found within their local 1086 environment. Such flexible behavior would have been beneficial to *Homo sapiens* as they 1087 expanded into diverse environments and interacted with other human groups. 1088 The ancestors of the Bacho Kiro Cave individuals had already come in contact with 1089 Neanderthals, which is evident from their genetic makeup (Hajdinjak et al., 2021). It is possible 1090 that some of the cultural or technological traits used by this IUP group were the result of this 1091 interaction. Some MP Neanderthals and Homo sapiens share a subset of osseous technologies 1092 such as the smoothers or informal bone tools (e.g., Soressi et al., 2013; Baumann et al., 2020), 1093 which could provide evidence for shared technological knowledge, though convergence is also 1094 possible. Likewise, IUP populations likely influenced the local groups with whom they 1095 interacted. Shortly after this migration into Europe, various forms of osseous material culture 1096 developed in different regions such as the awls and personal ornaments found further west in 1097 Europe, including animal teeth pendants from Châtelperronian contexts with strikingly similar 1098 manufacturing methods (e.g., d'Errico et al., 2003; Peresani et al., 2016; Julien et al., 2019; 1099 Vanhaeren et al., 2019; Arrighi et al., 2020). The widespread complexity of this time period,

1100 including that exhibited not just by formal tools and ornaments but by informal tools, reflects the 1101 pattern of diversified technological behaviors integrated into an increasingly complex and 1102 dynamic world of human populations encountering one another. Even more intriguing is the 1103 genetic evidence that suggests the Bacho Kiro Cave humans did not leave lasting descendants in 1104 Europe (Hajdinjak et al., 2021). Rather, an entirely different group of *Homo sapiens* spread 1105 across the European continent using bladelet and blade technologies such as those in 1106 Protoaurignacian and, later, Aurignacian contexts, some of which were very similar to the tools 1107 made by the IUP groups. The Bacho Kiro Cave humans do have a genetic connection with later 1108 Asian populations (Hajdinjak et al., 2021), possibly the result of the expansion of the population 1109 bearing IUP technology into different parts of Eurasia. The reconstruction of these cultural and 1110 demographic processes is at too coarse a scale, but comprehensive analyses of other IUP sites 1111 like Bacho Kiro Cave, as well as integration of osseous artifact, faunal, lithic, and genetic data, 1112 should help to assess the role of technology and environment in shaping human behavioral 1113 evolution and inter-regional biogeography immediately prior to the UP.

1114

1115 Acknowledgments

This research was funded by the Max Planck Society with additional support to N.L.M. from
the Fulbright U.S. Scholar Grant (19-11-04) and the Bulgarian-American Commission for
Educational Exchange as well as the NSF-SBE (Award ID: 2004818). G.M.S. received funding
from the European Union's Horizon Europe research and innovation programme under the Marie
Skłodowska-Curie grant agreement No 101027850. V.A. is funded by FCT grant (PTDC/HARARQ/29606/2017). Recent excavations at Bacho Kiro Cave were conducted by the National
Archaeological Institute with Museum, Sofia and the Max Planck Institute for Evolutionary

1123	Anthropology, Leipzig (MPI-EVA). Equipment and facilities provided by the Department of
1124	Human Evolution at the MPI-EVA, the Department of Anthropology at the University of
1125	California Davis, the Archaeology Department at the New Bulgarian University (Sofia), and the
1126	National Museum of Natural History (Sofia). Thank you to the Regional Museum of History in
1127	Gabrovo and the History Museum in Dryanovo for their assistance in this project. Special thanks
1128	to Pepa Nedelcheva, Rada Kaneva, and Angela Rodel for supporting this research. N.L.M. is
1129	grateful to Anna E. Goldfield, Teresa E. Steele, and the UC Davis Steele Lab members for their
1130	feedback and discussions of this manuscript.
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1706 Figure caption	IS
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1707

1708	Figure 1. Site plan with location of 1970–1975 excavations and recent excavations (2015–2019),
1709	Main Sector (top) and Niche 1 (lower left; a). Photograph of cave entrance taken by N. Zahariev
1710	(b). Stratigraphic sections of the Niche 1 (c) and Main Sector (d). Location of Initial Upper
1711	Paleolithic sites with osseous artifacts in southeast Europe and southwest Asia (e). Figure
1712	modified from Hublin et al. (2020).
1713	
1714	Figure 2. Niche 1 longitudinal East profile (a) and plan view of Layer I (b) with plotted fauna
1715	and osseous artifacts distinguished by type. Artifact context information in SOM Table S2.
1716	Squares are 1×1 meter. Orthophoto of Niche 1 profile is from the 2021 season.
1717	
1718	Figure 3. Number of osseous artifacts from the Bacho Kiro Cave Initial Upper Paleolithic layers
1719	plotted by general artifact type and skeletal element.
1720	
1721	Figure 4. Awls from Bacho Kiro Cave, Initial Upper Paleolithic Layer I and micrographs
1722	showing details of the traces. CC8-1047.3 (a) refits with CC8-1091 (b) and has a smoothed and
1723	crushed distal end (c), while the mesial portion has minimal smoothing (d). The distal part of
1724	BB7-820 (e) is broken, while the mesial portion exhibits longitudinal scraping (f, g). CC7-381
1725	(h) has a pointed distal extremity with longitudinal scraping (i) and transversal striations
1726	overlaying the scraping (j). CC8-1765.1 (k) has transversal striations (l) and a broken distal end
1727	showing progressive smoothing overlaying longitudinal scraping (m). BB8-1705 (n) has a
1728	pointed distal end with minimal crushing and progressive smoothing (o), transversal striations

1729 overlaying longitudinal scraping (p), oblique grinding (q), and transversal striations and

1730 smoothing along edge (r). All artifacts on the same 1 cm scale bar.

1731

1732 Figure 5. Smoothers (a, e, l) and indeterminate worked item (i) from Bacho Kiro Cave, Initial 1733 Upper Paleolithic Layers I (a, e, i) and J (l) with micrographs showing details of the traces. AA8-1734 1434 (a) exhibits polish, smoothing, and fine, longitudinal striations on superior face of distal 1735 end (b), longitudinal and oblique striations, smoothing, polish, and flaking damage on inferior 1736 face of distal part (c), and fine, transversal striations on broken edge (d). DD7-656 (e) exhibits a 1737 rounded distal extremity (f) with a slight bevel (g) and fine striations, polish, and micro-pits (h). 1738 CC7-180 (i) has an irregular and convex extremity with transversal and oblique markings across 1739 the superior face (i) and longitudinal scraping that ends abruptly at about 5 mm from the distal 1740 extremity (k). F6-622 (l) exhibits a concave inferior surface with smoothing, polish, and 1741 transversal striations (m), a distal end with polish, striations, and flaking damage (n), and a left 1742 edge with smoothing, polish, and transversal striations (o). Artifacts on the same 1 cm scale bar 1743 except for the full image of artifact l on a 3 cm scale. 1744

Figure 6. Beveled objects (formal intermediate tools) from Bacho Kiro Cave, Initial Upper Paleolithic Layers H/I (g), I (a, d, m) and J (j), and micrographs showing details of the traces. BB7-1165.8 (a) is splintered (b) and compressed (c) at the working end. DD8-512 (d) exhibits smoothing and faceting at working end (e, f). DD8-327.3 (g) exhibits crushing and flaking damage at distal extremity (h, i). DD7-1361 (j) exhibits manufacturing traces (k) and is crushed with bone fibers frayed at the proximal extremity (l). BB8-881 (m) exhibits deep transversal marks and splintering at the distal part (n) and oblique scrapes on superior face of the proximal part (o). Wide arrows illustrate the axis of use as indicated by the damaged extremities (e.g.,
splinters, crushing). Artifact scale bars, 1 cm.

1754

1755 Figure 7. Unworked intermediate tools from Bacho Kiro Cave, Initial Upper Paleolithic Layers 1756 H/I (a), I (d, g, j, m), and I/J (p) with micrographs showing details of the traces. AA7-31 (a) 1757 exhibits crushing at the distal extremity (b) and striations (indicated by arrows) and polish at the 1758 proximal end (c). BB7-439 (d) has polish, smoothing, and irregular splintering on the distal part 1759 (e) including oblique striations (indicated by arrows; f). CC6-258.2 (g) exhibits polish, 1760 splintering, and crushing at distal extremity (h) with oblique striations and polish (i). A8-543 (j) 1761 has splintering and crushing on the inferior (k) and superior faces (l) of the distal extremity. 1762 AA7-158 (m), with C14 sample damage on the inferior face, has crushing and fraying of bone 1763 fibers at the distal extremity associated with splinters (n) and micro-flaking associated with 1764 smoothing and polish on left edge (o). BB8-1896.2 (p) exhibits splinters and striations (indicated 1765 by arrows) at the distal end (q) and scored linear markings (r). Wide arrows illustrate the axis of 1766 use as indicated by the damaged extremities (e.g., splinters, crushing). Large dots indicate impact 1767 scars from direct or indirect percussion. Line of dashes indicates used edge. Artifact scale bars, 1 1768 cm.

1769

1770 Figure 8. Utilized tips from Bacho Kiro Cave, Initial Upper Paleolithic Layers I (d, g, k) and J

1771 (a) including micrographs showing details of the traces. CC7-2458 (a) exhibits marginal

1772 striations (indicated by arrows) and polish at distal extremity (b, c). DD8-1236 (d) has smoothing

1773 at right edge of distal part (e) and transversal striations (indicated by arrows) on edge (f). A8-

1774 1135 (g) exhibits polish, smoothing, and striations (indicated by arrow) at the distal extremity (h)

1775 and a depression across the broken edge (i) with transversal striations (indicated by arrows) in 1776 association (j). BB7-1223 (k) exhibits a wear facet at distal extremity with long, oblique 1777 striations (indicated by arrows; 1) with smoothing and polish on inferior face (m). Large dots 1778 indicate impact scars from direct or indirect percussion. All artifacts on the same 1 cm scale bar. 1779 1780 Figure 9. Knapped bone tools from Bacho Kiro Cave, Initial Upper Paleolithic Layers I (h), I/J 1781 (e), and J (a) including micrographs showing details of the traces. DD7-1397 (a) exhibits 1782 smoothed over flake negatives at left edge of distal part (b, c) with smoothing and polish at 1783 inferior side (d). DD7-1086 (e) has flaking, polish, and striations (indicated by arrows) at distal 1784 extremity (f) with striations (indicated by arrows) and polish on right edge (g). BB8-193 (h) has 1785 a retouched, pointed superior distal end (i) with long, oblique traces and smoothing on inferior 1786 face (j) and a cluster of oblique marks on edge of split femur (k). Line of dashes indicates 1787 extensively used end/edge. Large dots indicate impact scars from direct or indirect percussion. 1788 All artifacts on the same 1 cm scale bar at top right of figure. 1789 1790 Figure 10. Bones with subparallel notches and incisions from Bacho Kiro Cave, Initial Upper 1791 Paleolithic Layers I (a, c, e, i, l, n, s), I/J (p), and J (v) with micrographs showing details of the 1792 traces. DD7-979.8 (a) refits with DD8-1616 (c) and exhibits notching (b, d). AA8-1951 (e) 1793 exhibits clustered incisions (f, h) and a proximal part with polish and smoothing (g). DD7-203 (i) 1794 has asymmetrically worn notches (indicated by lines of dashes; j, k). CC7-2222 (1) showing 1795 detail of notches (m). DD8-1066 (n) showing detail of incisions (o). DD8-726 (p) exhibits a 1796 sequence of incisions with varying characteristics (q) and compression and striations on edge

1797 (indicated by arrows) from splitting rib (r). DD8-1124 (s) has smoothing and polish over break

1799 subparallel cuts (x). All artifacts on the same 1 cm scale bar.

1800

1801 **Figure 11.** Pendants, pendant fragments, and beads from Bacho Kiro Cave, Initial Upper

1802 Paleolithic Layers H/I, I, I/J, and J. All artifacts on 1 cm scale bar.

1803

1804 Figure 12. Beads from Bacho Kiro Cave, Initial Upper Paleolithic Layers H/I (e) and I (a, h)

1805 with micrographs showing details of manufacture and use. DD7-719.6 (a) exhibits

1806 manufacturing traces covering the bead face with overlain smoothing on the edges (b, d) and

1807 grinding on edge (c). AA8-222 (e) has transversal striations (indicated by solid line on edge) in

1808 conjunction with deformation of the edge (indicated by dashes and arrow; f, g). AA8-1630.1 (h)

1809 exhibits concentric striations within the perforation (i). All beads on the same 5 mm scale bar.

1810

1811 Figure 13. Bidirectionally drilled herbivore tooth pendants from Bacho Kiro Cave, Initial Upper 1812 Paleolithic Layers H/I (a, m) and I (d, g, j, r) with micrographs showing details of the 1813 manufacturing, use, and reworking traces. BB7-147.1 (a) has transversal striations on the lateral 1814 edge of the perforation (indicated by arrow; b, c). A8-1138.7 (d) exhibits scraping overlain by 1815 drilling of perforation with visible concentric striations (e, f). CC8-1047.1 (g) has grooving on 1816 multiple faces after perforation was drilled (h, i). CC8-1496.1 (j) exhibits drilling with large 1817 concentric striations and red staining inside perforation (k, l). A7-219.1 (m) has scraping on edge 1818 near crown of tooth (n), a groove on lateral face of broken perforation (o), modification to 1819 perforation after breakage (p), and a polished perforation rim (q). AA7-1635.1 (r) exhibits 1820 localized damage at lateral edge of perforation (s, t), longitudinal scraping at crown of tooth (u,

1823

1824	Figure 14. Pendant typology from the Bacho Kiro Cave Initial Upper Paleolithic layers based on
1825	taxa, tooth type, and the differing manufacturing methods. Pendant depictions courtesy of Anna
1826	E. Goldfield. Abbreviations: $Hb = herbivore$; $C = carnivore incisor$; $C(P/M) = carnivore$
1827	premolar or molar; BD = biconically drilled; SS = scraped to form shelf-like feature; GV =
1828	grooved; GO = gouged; PP = partially pierced through unknown method.
1829	
1830	Figure 15. Number of pendants in each Bacho Kiro Cave Initial Upper Paleolithic layer plotted
1831	by pendant and taxa/tooth type. Abbreviations: BD = biconically drilled; SS = scraped to form
1832	shelf-like feature; GV = grooved; GO = gouged; PP = partially pierced through unknown
1833	method.
1834	
1835	Figure 16. Cave bear incisor pendants from Bacho Kiro Cave, Initial Upper Paleolithic Layers I
1836	(a, m), I/J (i), and J (e) with micrographs showing details of the differing manufacturing and use
1837	traces. CC7-314 (a) exhibits a scraped and gouged perforation (b, c) and transversal striations

1838 (indicated by arrows; d). CC7-2858 (e) has a grey transversal indentation (indicated by arrow)

1839 partially circumscribing the root (f) and a partially pierced root with a small chip and striations

1840 (indicated by arrows) emanating from hole (g, h). CC8-1571 (i) exhibits scraping and knob at the

- apex of the root (j, k) and a non-anthropogenic double perforation (l). AA7-1194 (m) has a
- 1842 drilled perforation widened laterally (n), transversal striations (indicated by arrows) lateral to the

1843 perforation (o), and longitudinal scraping overlain by a drilled perforation (p). All artifacts on the1844 same 1 cm scale bar.

1845

1846 Figure 17. Cave bear molar and premolar pendants from Bacho Kiro Cave, Initial Upper 1847 Paleolithic Layers H/I (a) and I (d, h) with micrographs showing details of manufacturing and 1848 use. DD8-187 (a) exhibits oblique grinding overlain by longitudinal scraping (b, c). DD8-782 (d) 1849 exhibits scraping and a slight knob at the apex of the root (note small notch on lateral edge; e) 1850 and a longitudinally scraped root surface with transversal depressions (indicated by arrows) 1851 encircling the root (f) with fine transversal striations (indicated by arrows) near the larger 1852 depressions (g). BB8-2302 (h) has well-defined grooves encircling the root with most internal 1853 striations completely obliterated (i, j) and a flat and regular break of the intentionally removed 1854 root with high polish and smoothing (k). All artifacts on the same 1 cm scale bar.

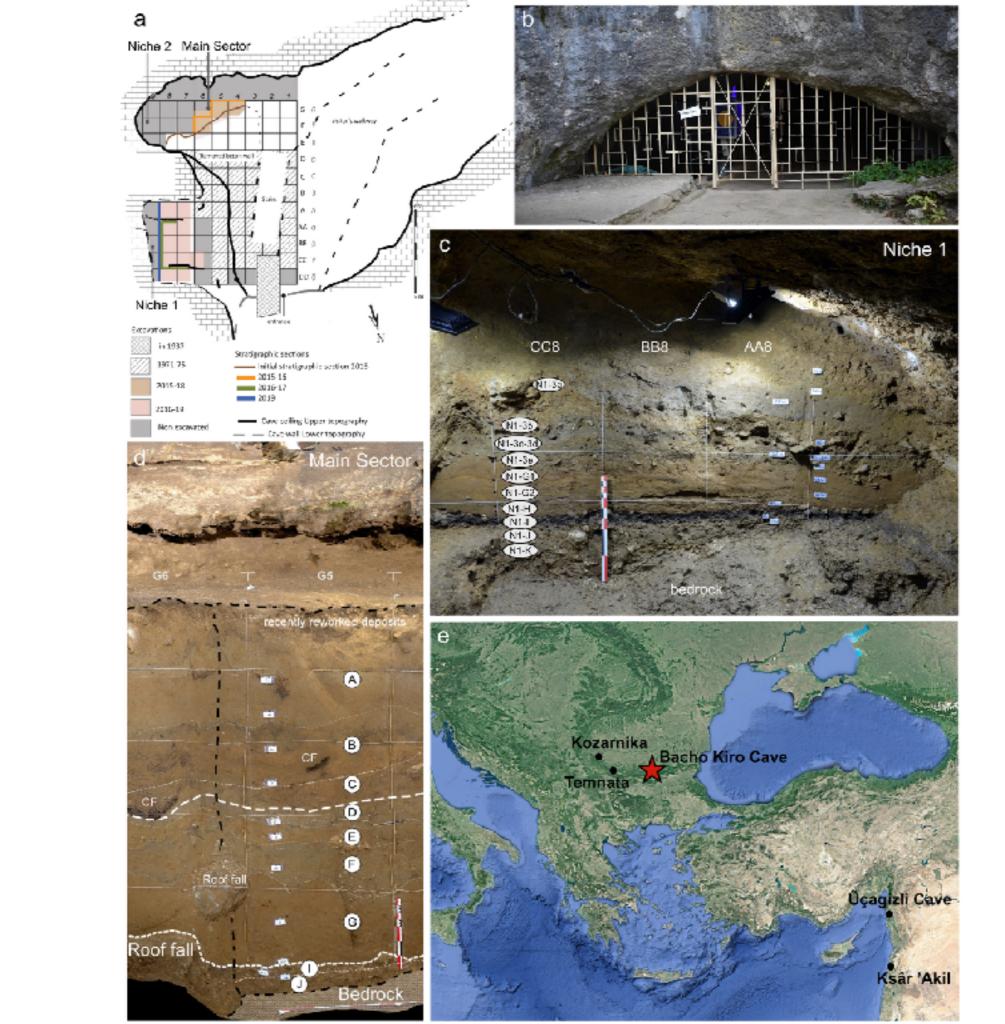
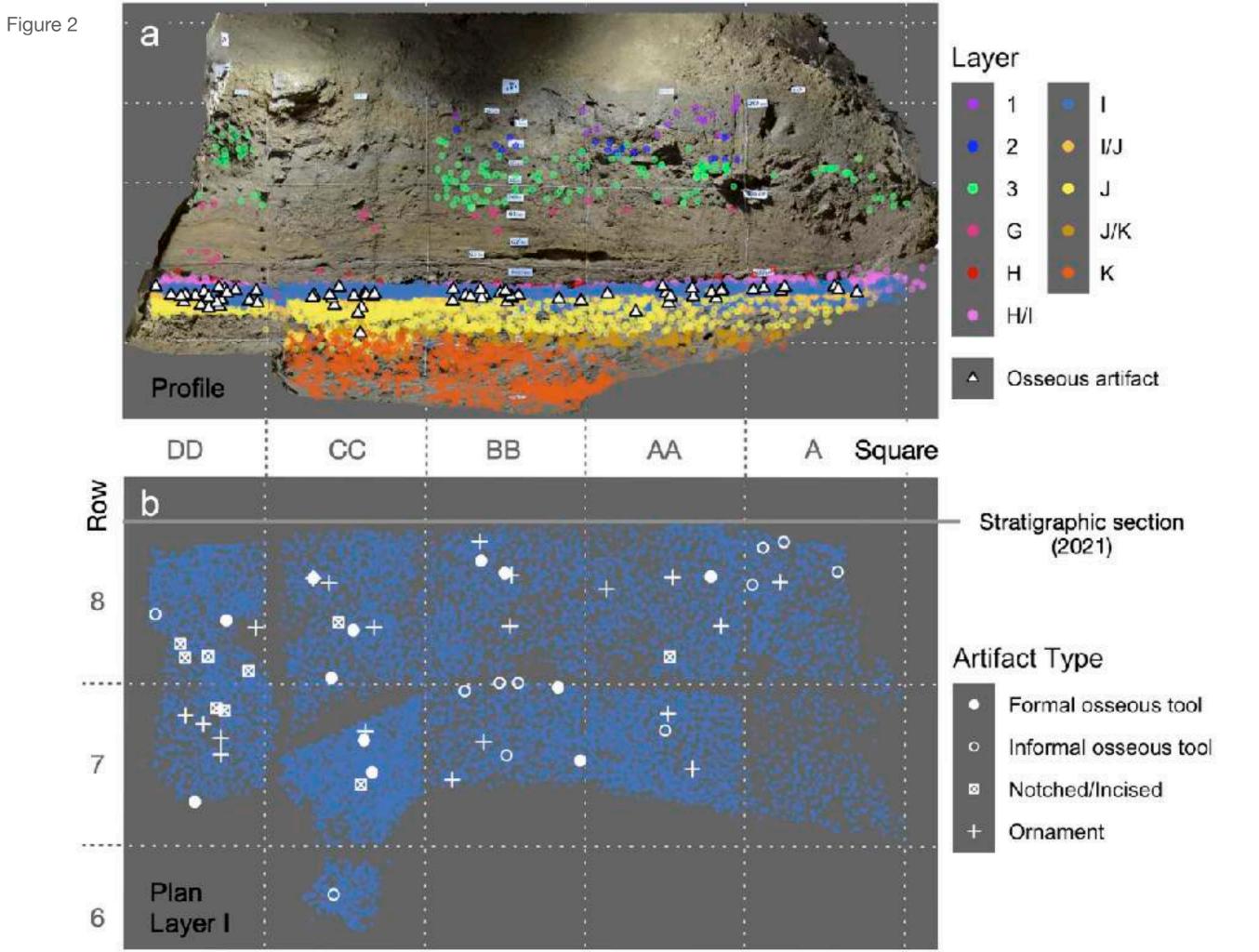


Figure 1



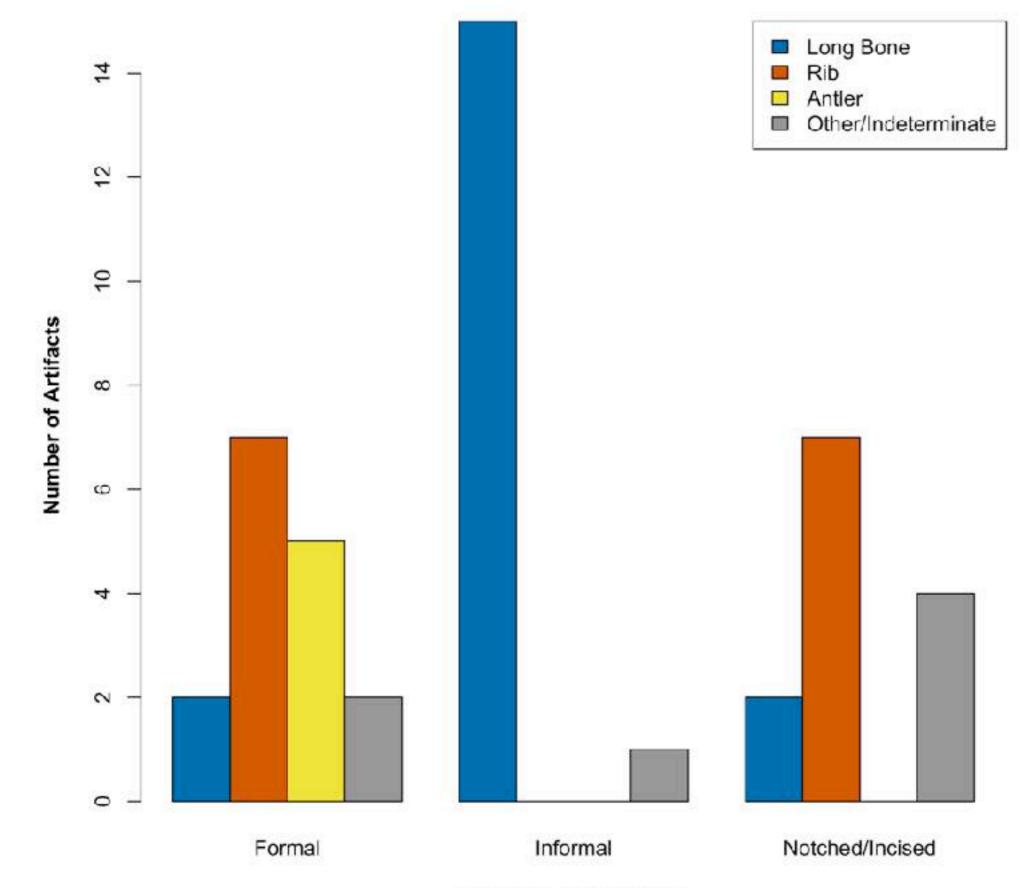




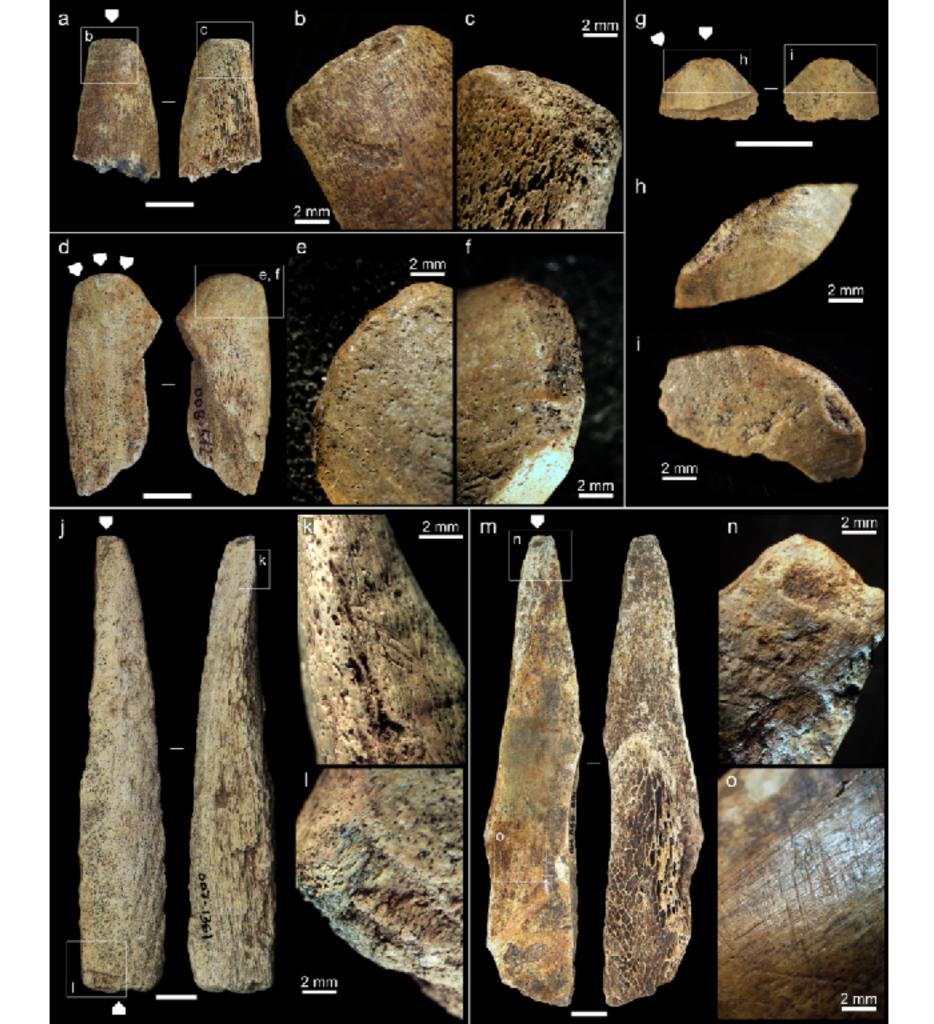




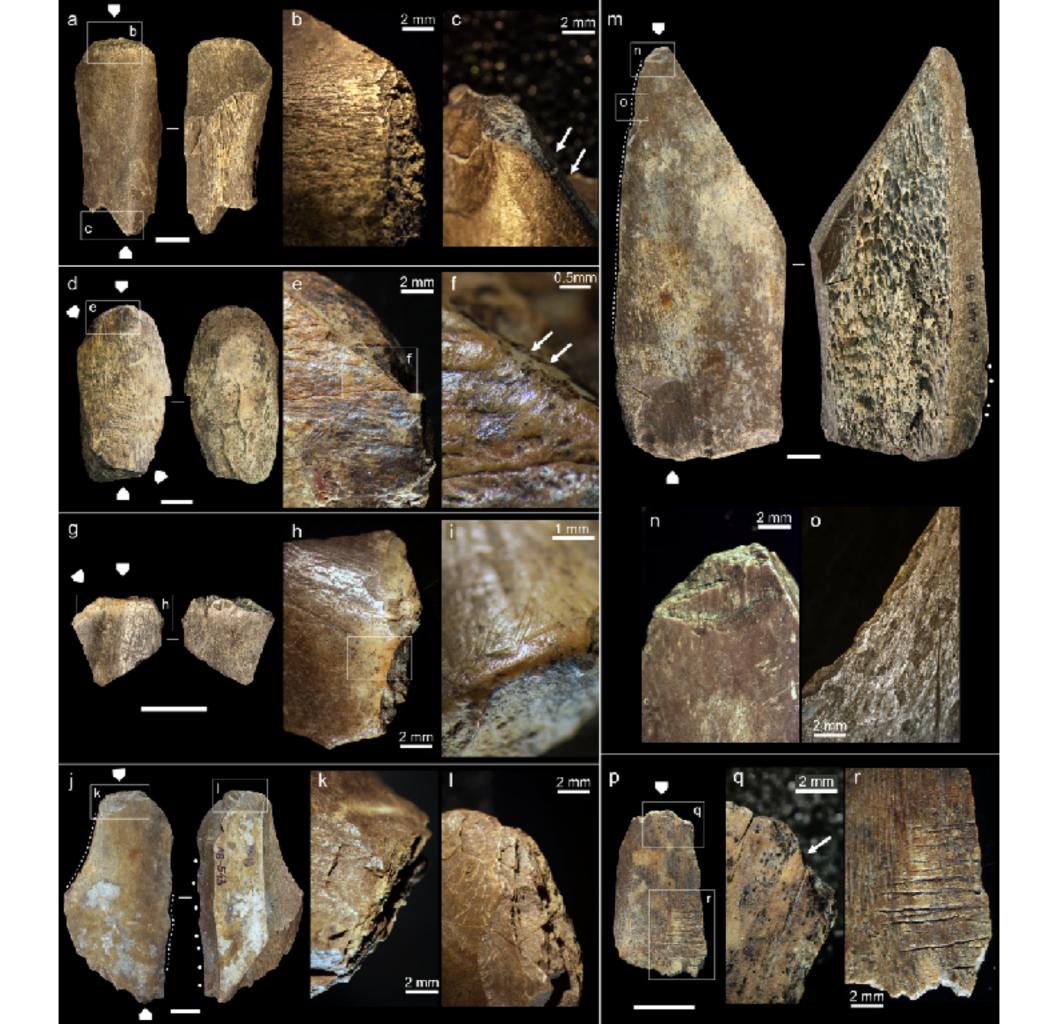


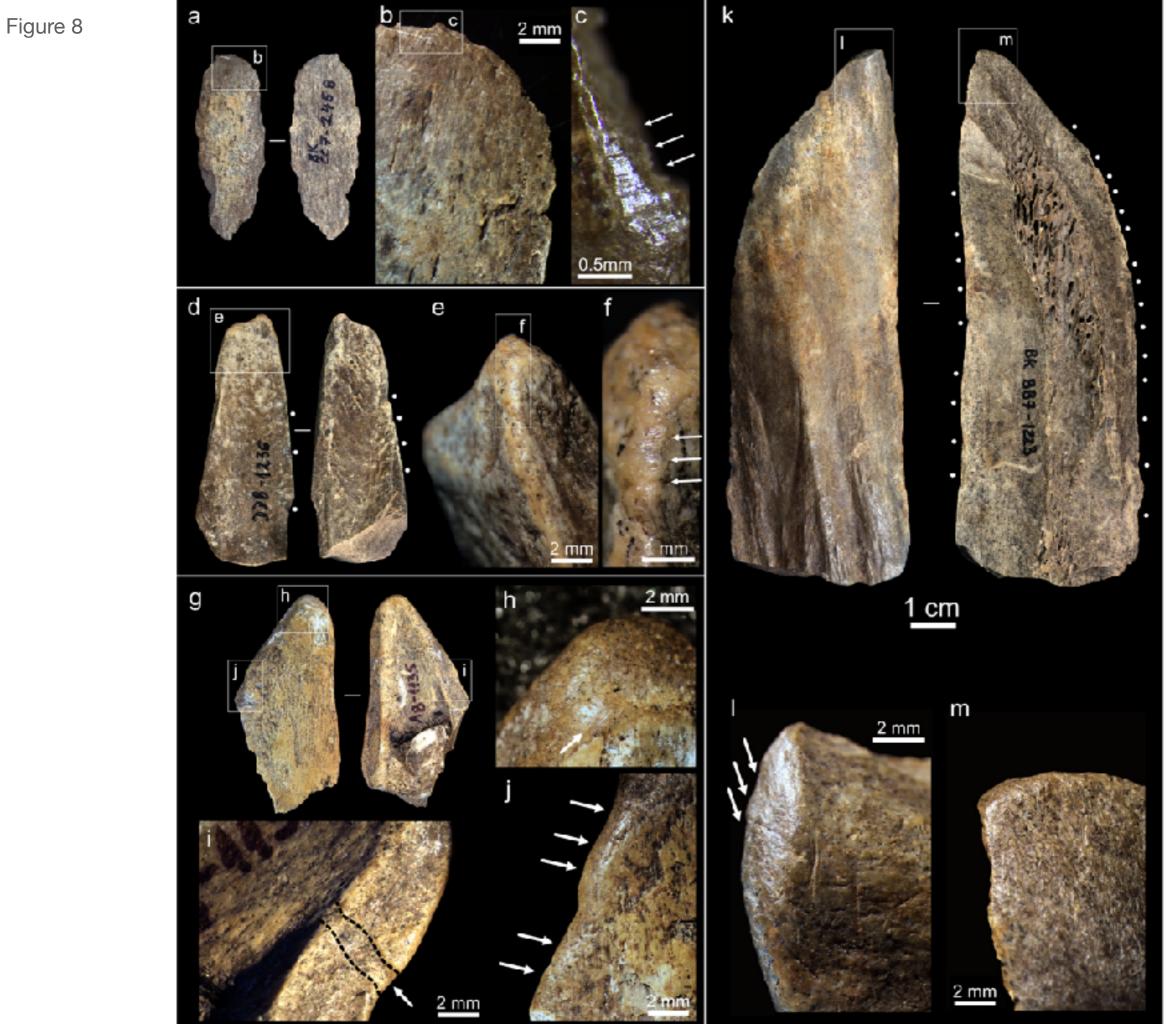


Figure 6







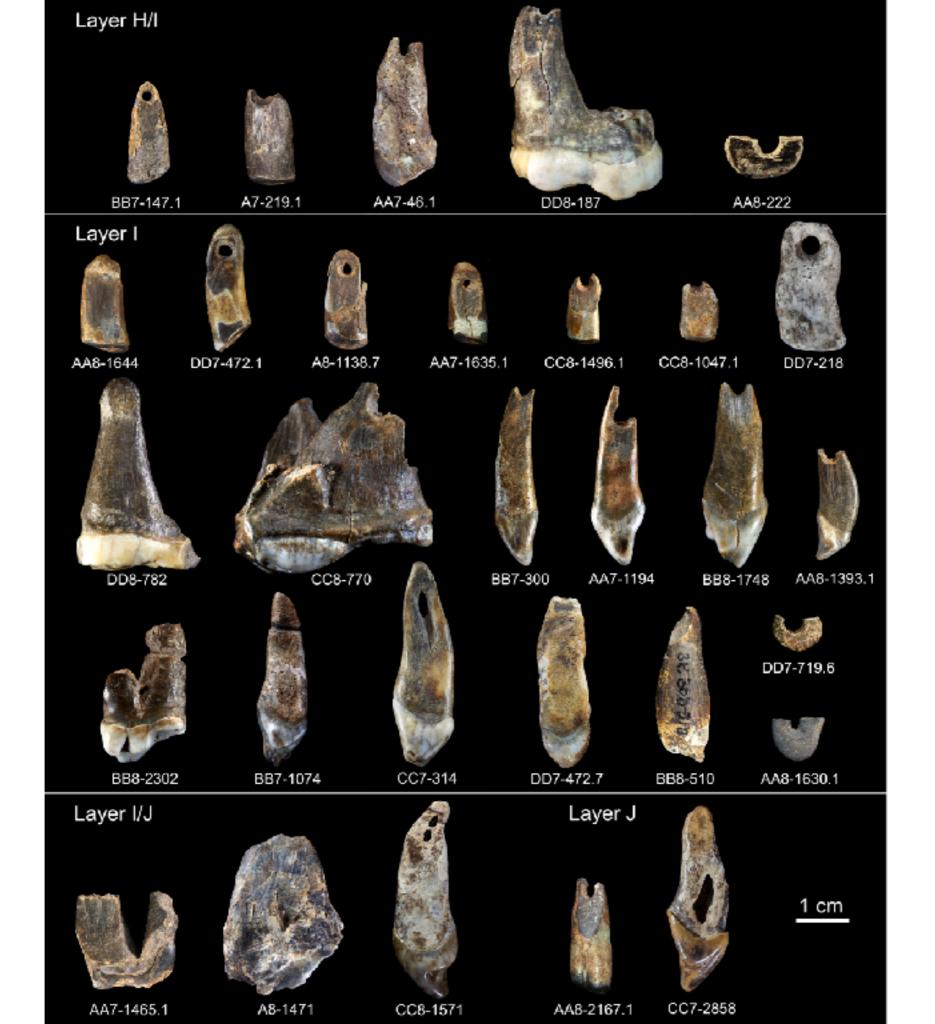


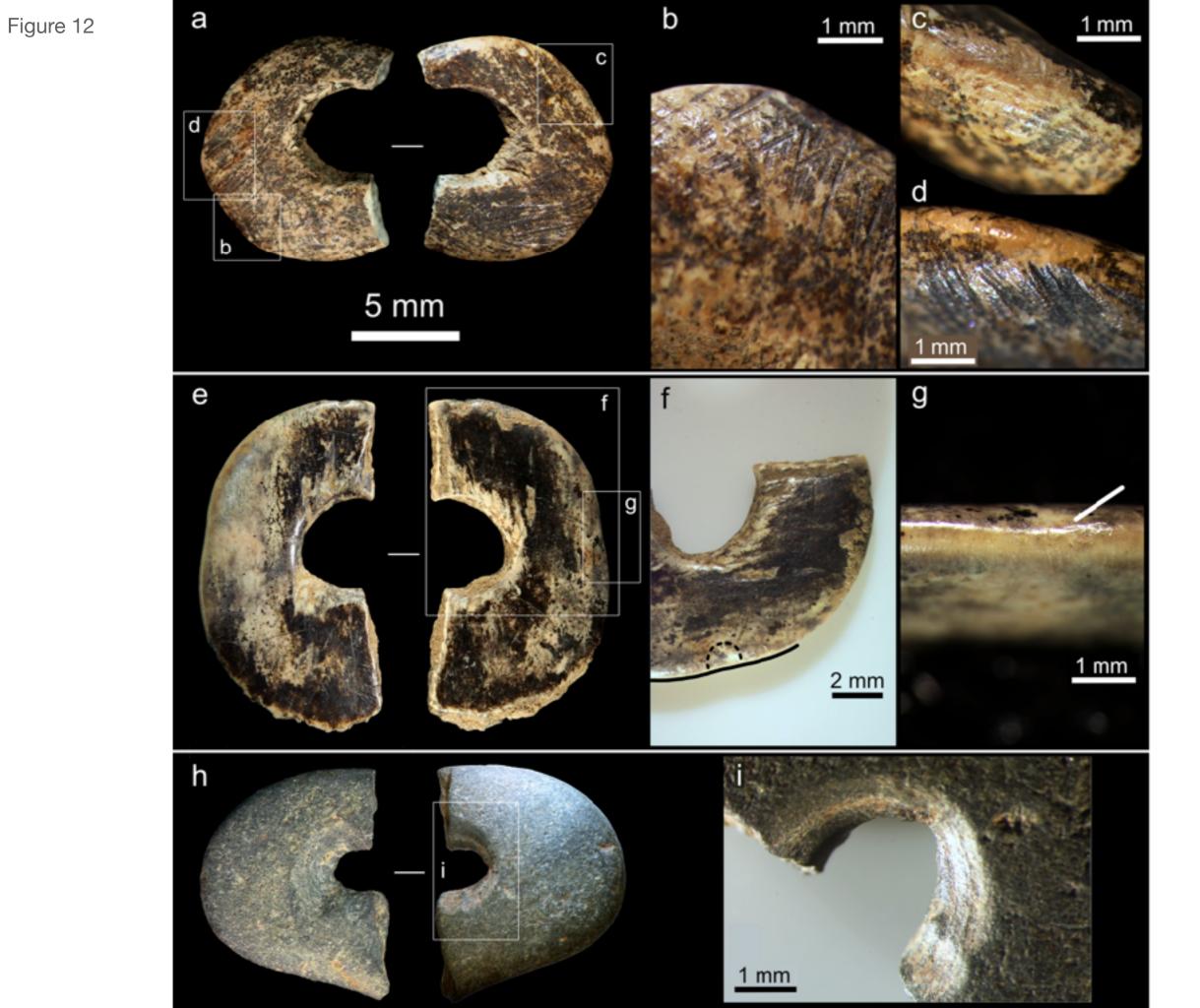






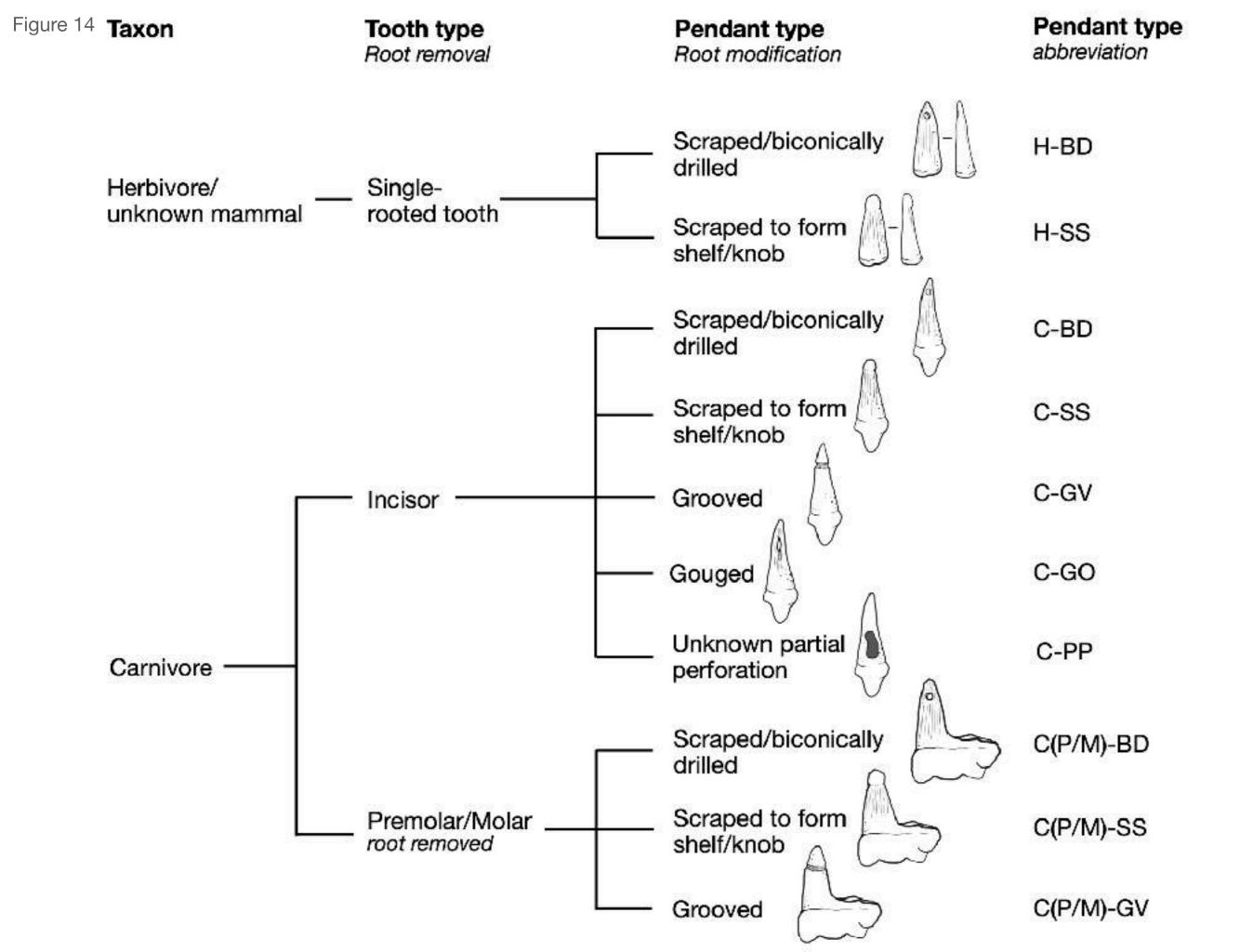












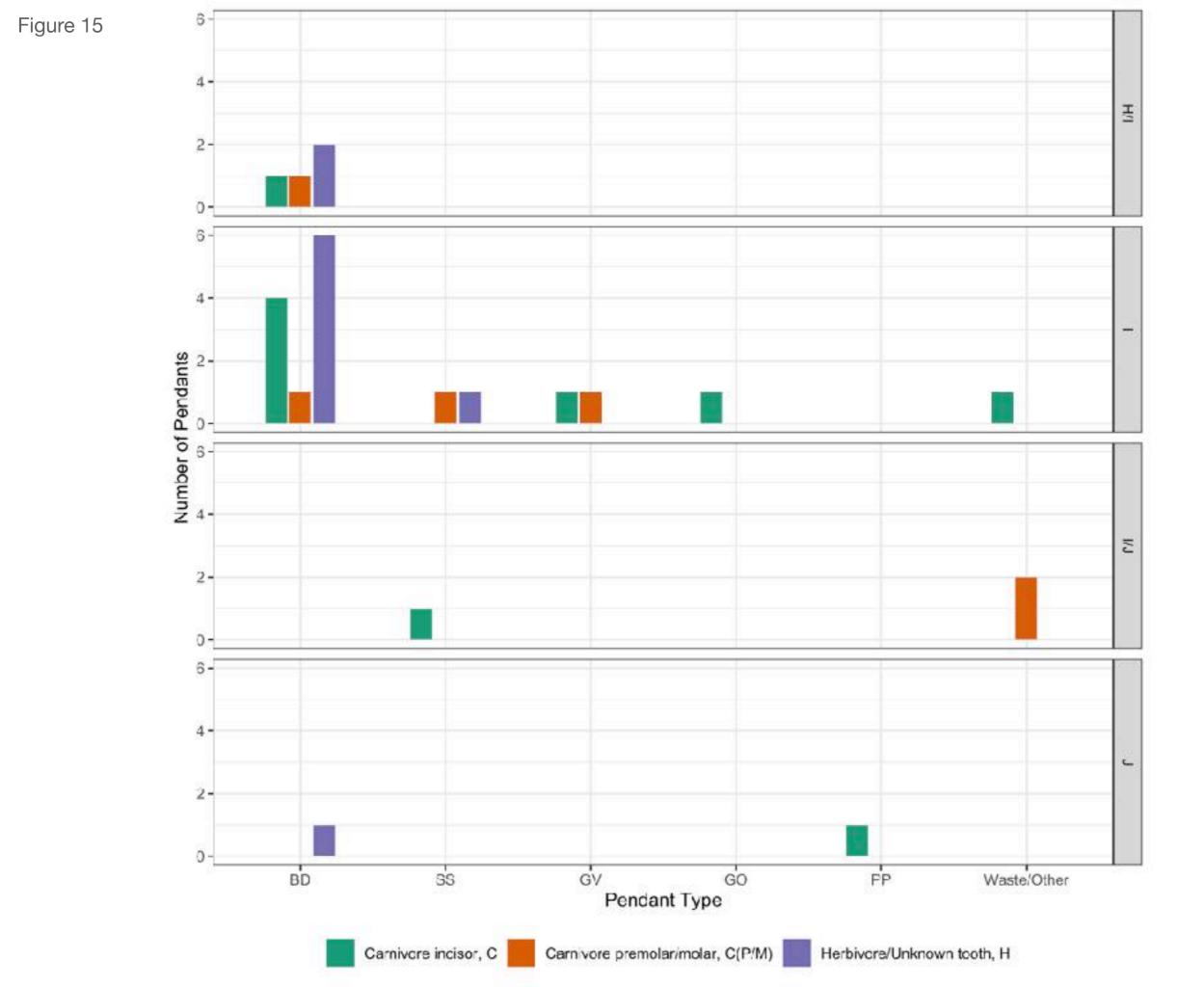
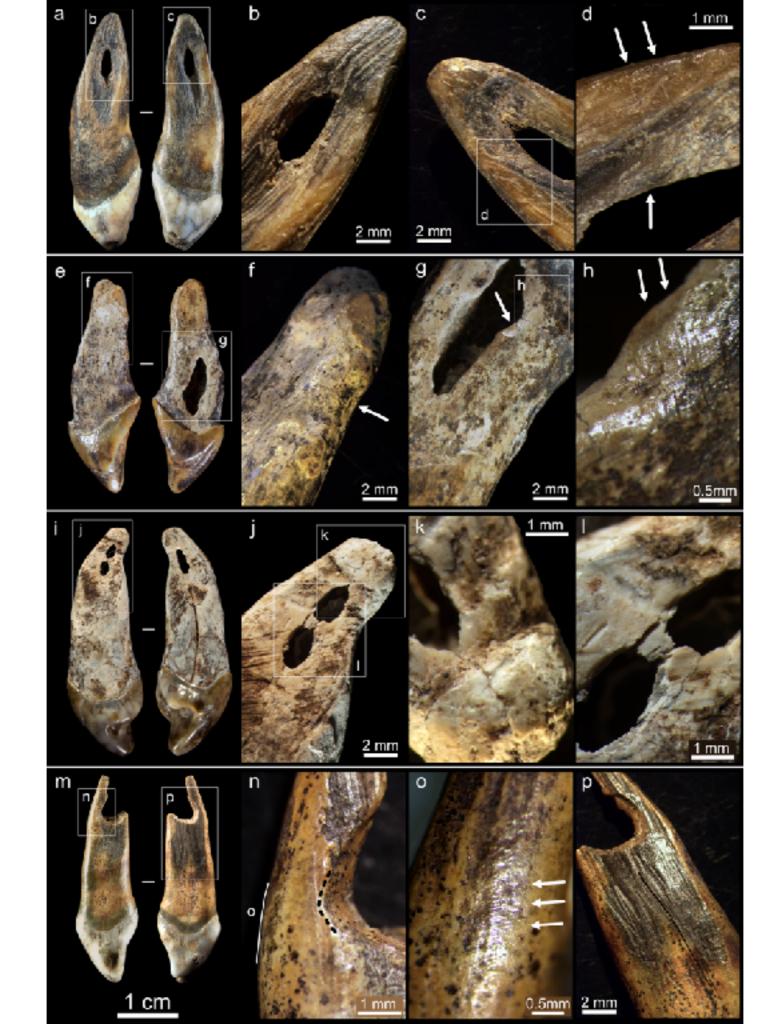
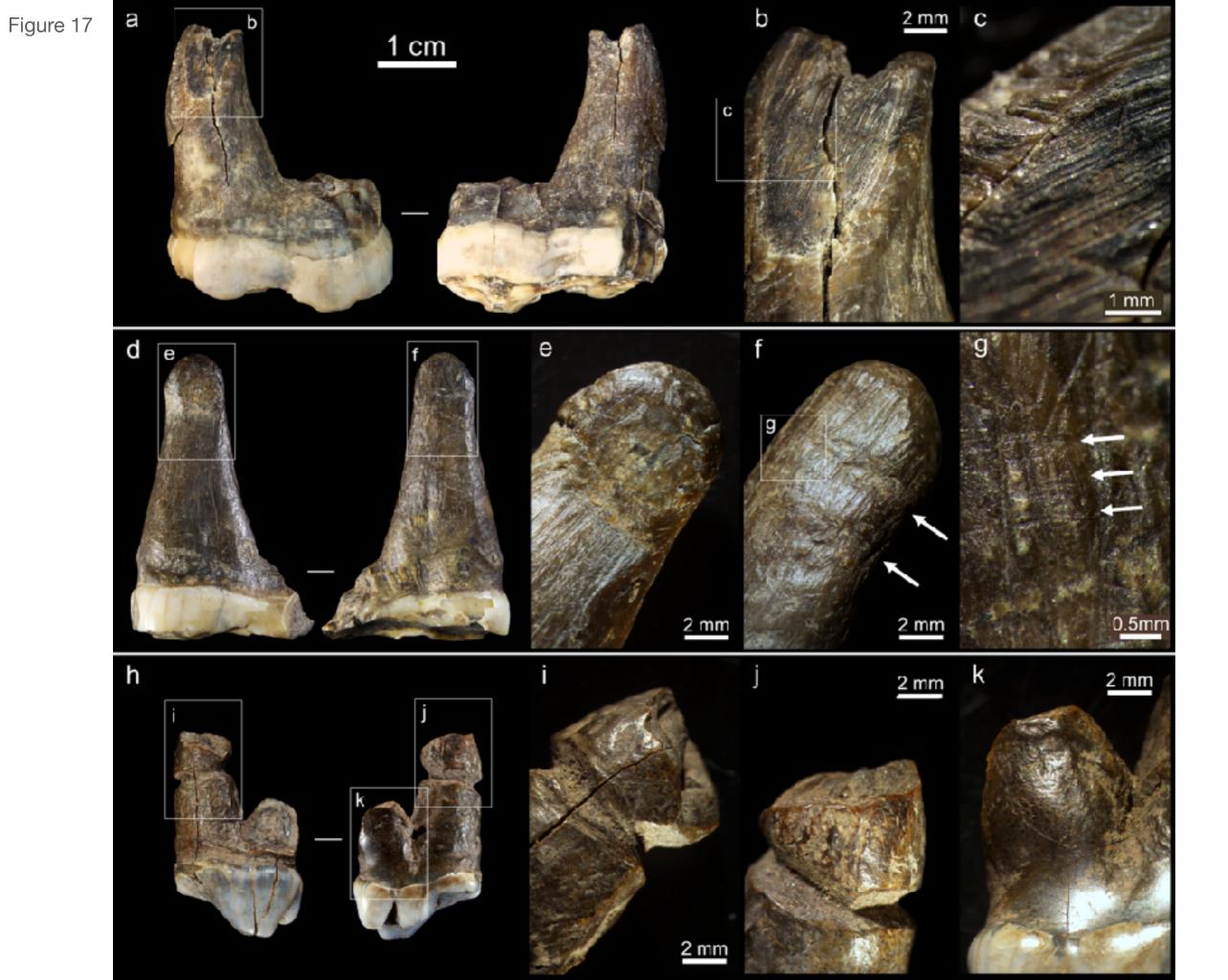


Figure 16





General artifact type	Raw material	J	I/J	Ι	H/I	Total
Formal osseous tools	Antler	1	1	2	1	5
	Bone	1	0	10	0	11
Informal osseous tools	Bone	2	2	11	1	16
Bones with subparallel notches/incisions	Bone	1	3	9	0	13
Ornaments	Bone	0	0	1	0	1
	Ivory	0	0	0	1	1
	Sandstone	0	0	1	0	1 ^a
	Tooth	2	3	18	4	27

General classification of the Bacho Kiro Cave osseous artifacts by layer and raw material.

^a one additional non-osseous bead is included here.

Typology and counts of bone and antler artifacts at Bacho Kiro Cave by the Initial Upper Paleolithic layers.

General type	Artifact type	J	I/J	Ι	H/I	Total
Formal osseous artifacts: those shaped using formal	Awls: elongated objects with an acute, pointed distal part			6 ^a		6
techniques such as scraping, grinding, and grooving	Smoothers (lissoirs): elongated objects with a rounded or ogival distal end that exhibits polish	1		2		3
	Beveled objects (formal intermediate tools): elongated objects with a uni- or bifacially beveled distal part	1		3	1	5
	Indeterminate worked items: fragmented objects with traces of manufacturing		1	1		2
Informal osseous artifacts: those minimally modified, sometimes through	Unworked intermediate tools: simple splinters with damage at their opposing extremities due to indirect percussion		1	5	1	7
percussion, prior to use	Utilized tips: simple splinters with a utilized distal end	1		4		5
	Knapped tools: splinters modified by percussion to produce sharp extremities and/or straight edges	1	1	2		4
Bones with subparallel notches/incisions: those	Notched: object with multiple subparallel linear grooves deepened using a to-and-fro movement			4 ^b		4
intentionally etched with linear markings	Incised: object with multiple subparallel linear marks	1	3	5		9

^{a,b} indicate two sets of refitted bones.

Summary information for individual bone and antler artifacts in the Initial Upper Paleolithic layers at Bacho Kiro Cave.

Layer	Find number	Taxon	Element	Туре	Modification	Figure number
H/I	DD8-327.3	Cervidae sp.	Antler	Beveled object (intermediate tool)	we, po, uf, cr	6g
	AA7-31	Unknown mammal	Long bone	Unworked intermediate tool	po, uf, cr, st	7a
Ι	CC8-1091ª	Unknown mammal	Rib	Awl	sc, we, po	4b
	CC8-1047.3ª	Unknown mammal	Rib	Awl	sc, po, cr	4a
	BB7-820	Sm./Med. herbivore (Cervidae/ <i>Saiga/</i> <i>Capreolus</i> sp.°)	Long bone	Awl	sc, po	4e
	CC7-381	Unknown mammal	Indet. bone	Awl	sc, po, cr, st	4h
	CC8-1765.1	Unknown mammal	Indet. bone	Awl	sc, po, st	4k
	BB8-1705	Unknown mammal	Rib	Awl	sc, gr, po, st	4n
	AA8-1434	Med./Lg. herbivore	Rib	Smoother (lissoir)	sc, gr, po, uf, st	5a
	DD7-656	Lg. herbivore	Rib	Smoother (lissoir)	sc, gr, po, st	5e
	CC7-180	Med./Lg. herbivore	Rib	Indeterminate worked item	sc, po, st, de	5i
	DD8-512	Cervidae sp.	Antler	Beveled object (intermediate tool)	po, cr	6d

BB7-1165.8	Cervidae sp.	Antler	Beveled object (intermediate tool)	sc, po, uf, cr, st	6a
BB8-881	Megaloceros giganteus	Tibia	Beveled object (intermediate tool)	sc, po, uf, cr, st	6m
BB7-439	Med./Lg. herbivore	Long bone	Unworked intermediate tool	po, uf, cr, st	7d
BB8-207	Med./Lg. herbivore	Femur	Unworked intermediate tool	sc, po, uf, cr, st	Not pictured
AA7-158	Bos/Bison sp.°	Long bone	Unworked intermediate tool	po, uf, cr	7m
A8-543	Unknown mammal	Long bone	Unworked intermediate tool	re, po, uf, cr, st	7j
CC6-258.2	Unknown mammal	Long bone	Unworked intermediate tool	po, uf, cr, st	7g
A8-1135	Unknown mammal	Long bone	Utilized tip	po, uf, st, de	8g
A8-715.5	Unknown mammal	Long bone	Utilized tip	sc, po, cr, st, de	Not pictured
BB7-1223	Equus sp.	Tibia	Utilized tip	re, po, cr, st	8k
DD8-1236	Unknown mammal	Long bone	Utilized tip	re, po, uf, cr, st	8d
BB8-193	Ursus sp.°	Femur	Knapped tool	re, we, po, st	9h
A8-550	Unknown mammal	Humerus	Knapped tool	re, po	Not pictured
DD8-1124	Medium carnivore	Rib	Incised bone	inc, sc, po, st	10s

	DD8-848	Unknown mammal	Long bone	Incised bone	inc, po	Not pictured
	DD7-203	Unknown mammal	Flat bone	Notched bone	inc, po	10i
	AA8-1951	Unknown mammal	Rib	Incised bone	sc, inc, po	10e
	DD8-1616 ^b	Unknown mammal	Indet. bone	Notched bone	sc, inc, po, st	10c
	DD7-979.8 ^b	Unknown mammal	Indet. bone	Notched bone	sc, inc, po	10a
	CC7-2222	Unknown mammal	Rib	Notched bone	inc	101
	CC8-266	Lg. herbivore	Cranial- Frontal	Incised bone	inc	Not pictured
	DD8-1066	Unknown mammal	Long bone	Incised bone	inc	10n
I/J	CC6-445.1	Cervidae sp.	Antler	Indeterminate worked item	sc, we	Not pictured
	BB8-1896.2	Unknown mammal	Long bone	Unworked intermediate tool	po, uf, cr, st, inc	7p
	DD7-1086	Unknown mammal	Long bone	Knapped tool	re, po, uf, st	9e
	F5-182	<i>Bos/Bison</i> sp.°	Rib	Incised bone	sc, inc, we, po	Fig. 2c in Fewlass et al. (2020)
	DD8-726	Unknown mammal	Rib	Incised bone	inc, we, po, st	10p
	DD7-1232.8	Unknown mammal	Rib	Incised bone	inc	Not pictured
J	F6-622	Bos/Bison sp.°	Rib	Smoother (<i>lissoir</i>)	sc, gr, po, uf, st	51
	DD7-1361	Cervidae sp.	Antler	Beveled object (intermediate tool)	sc, we, po, uf, cr, st	6j

CC7-2458	Bos/Bison sp.	Indet. bone	Utilized tip	po, st	8a
DD7-1397	Unknown mammal	Long bone	Knapped tool	re, po, st	9a
DD7-1359	Med./Lg. herbivore	Rib	Incised bone	inc, po	10v

Abbreviations: Sm. = small; Med. = medium; Lg. = large; Indet. = indeterminate; cr = crushed; de = depressions; gr = ground; inc = incised; po = polished; re = retouched; sc = scraped; st = striations; uf = usage flaked; we = wedging marks.

^{a,b} indicate two sets of refitted bones.

^c denotes species identification through ZooMS. Cervidae/Saiga sp. refers to: Alces alces, Megaloceros giganteus, Dama dama, Cervus elaphus, Saiga tatarica, and Capreolus capreolus.

Summary information for individual beads from Initial Upper Paleolithic layers at Bacho Kiro Cave.

Layer	Find number	Material	Dimensions L × W × T (mm)	Perforation diameter (mm)	Modification	Figure number
H/I	AA8-222	Ivory	$15.2 \times 6.6 \times 1.8$	4.1	po, sm, de, st	11, 12e
Ι	DD7- 719.6	Bone	$10.1 \times 4.5 \times 2.4$	3.7	gr, po, sm	11, 12a
	AA8- 1630.1	Sandstone	$10.5\times6.2\times1.9$	2.0	dr, sm	11, 12h

Abbreviations: de = depression; dr = drilled; gr = ground; po = polished; sm = smoothed; st = striations.

Summary information for individual pendants in Initial Upper Paleolithic layers at Bacho Kiro Cave. Table ordered following the layout of Fig. 11.

Layer	Find number	Taxon	Tooth	Manufacture	Microwear	Breakage/r eworking	Туре	Figure number
H/I	BB7-147.1	Med./Lg. herbivore	Single-rooted tooth	sc, dr	sm, st	complete	Hb- BD	11, 13a
	A7-219.1	Med./Lg. herbivore	Single-rooted tooth	sc, gr, dr, gv	ро	broken, reworked	Hb- BD	11, 13m
	AA7-46.1	Ursus spelaeus	Left I ²	sc, dr	sm	broken	C- BD	11
	DD8-187	Ursus spelaeus	Right M ₂	sc, gr, dr	sm	broken	CM- BD	11, 17a
Ι	AA8-1644	Med./Lg. herbivore	Single-rooted tooth	SC	st, cr	complete	H-SS	11
	DD7-472.1	Med./Lg. herbivore	Single-rooted tooth	sc, dr	po, sm, st	complete	Hb- BD	11
	A8-1138.7	<i>Bos/Bison</i> sp.ª	Single-rooted tooth	sc, dr	ро	complete	Hb- BD	11, 13d
	AA7- 1635.1	<i>Bos/Bison</i> sp.ª	Single-rooted tooth	sc, dr	sm, po, de	complete	Hb- BD	11, 13r
	CC8-1496.1	Med. herbivore (Cervidae/S <i>aiga sp.</i> ^a)	Single-rooted tooth	sc, dr	sm, st	broken	Hb- BD	11, 13j
	CC8-1047.1	Med./Lg. herbivore	Single-rooted tooth	sc, dr, gv	sm, po	broken, reworked	Hb- BD	11, 13g

DD7-218	Unknown mammal	Single-rooted tooth	sc, dr	st	complete	Hb- BD	11
DD8-782	Ursus spelaeus	Right M ₁	SC	po, st, de, cr	complete	CM- SS	11, 17d
CC8-770	Ursus spelaeus	Right M ²	sc, dr	sm, po, st	broken	CM- BD	11
BB7-300	Ursus spelaeus	Left I ₁	sc, dr	sm, po, st, de	broken	C- BD	11
AA7-1194	Ursus spelaeus	Left I ₁	sc, dr	sm, po, st	broken	C- BD	11, 16m
BB8-1748	Ursus spelaeus	Right I ₃	sc, dr	sm, po, de	broken	C- BD	11
AA8- 1393.1	Canis lupus	Ι	sc, dr	sm, po, st	broken	C- BD	11
BB8-2302	Ursus spelaeus	Left P ₄	gv	sm, po, st	broken	CP- GV	11, 17h
BB7-1074	Ursus spelaeus	Left I ₂	gv	sm, st	complete	C- GV	11
CC7-314	Ursus spelaeus	Left I ₂	sc, go	st	complete	C- GO	11, 16a
DD7-472.7	Ursus spelaeus	Right I ₁	sc, gr	sm	broken	unkn own	11
BB8-510	Unknown mammal	Indet. root	sc, gv		broken	waste frag ment	11

I/J	AA7- 1465.1	Ursus spelaeus	Left P ₄	SC	po, sm	broken	unkn own	11
	A8-1471	Ursus spelaeus	Right M ₃ root	SC	ро	broken	waste frag ment	11
	CC8-1571	Ursus spelaeus	Right I ²	sc	sm, cr	broken	C-SS	11, 16i
J	AA8- 2167.1	Med./Lg. herbivore	Single-rooted tooth	sc, gr, dr	sm, st	broken	Hb- BD	11
	CC7-2858	Ursus spelaeus	Left I ₃	?	po, st	?	C-PP	11, 16e

Abbreviations: Lg. = large; Med. = medium; Indet. = indeterminate; dr = drilled; go = gouged; gr = ground; gv = grooved; sc = scraped; cr = crushed; de = depressions; po = polished; sm = smoothed; st = striations; BD = biconically drilled; SS = scraped to form shelf-like feature; GV = grooved; GO = gouged; PP = partially pierced through unknown method; Hb = herbivore; C = carnivore incisor; C(P/M) = carnivore premolar or molar.

^a denotes species identification through ZooMS. Cervidae/Saiga sp. refers to: Alces alces, Megaloceros giganteus, Dama dama, Cervus elaphus, and Saiga tatarica.

Summary information for Initial Upper Paleolithic sites in southeast Europe and southwest Asia discussed in text.

Site	Country	Layer	Age (ka cal BP)	Bone/antler artifact	Ornament	Reference
Backo Kiro Cave (recent excavations)	Bulgaria	H–J	~ 46-43	Awls, smoothers, intermediate tools (beveled and unworked), utilized tips, knapped bones, incised/notched bones, retouchers	Animal teeth pendants, ivory bead, bone bead, sandstone bead	this study; Hublin et al., Fewlass et al. 2020: Tsanova et al., 2021
Backo Kiro Cave (previous excavations)	Bulgaria	8-11	>43-34ª	Awls, notched bone, utilized bones, retouchers	Animal teeth pendants, beads	Kozłowski, 1982; Kozłowski, 1992; Guadelli, 2011: Hedges et al., 1994
Temnata	Bulgaria	TD–I 4	~48-41	Awl		Ginter et al., 2000; Guadelli, 2011; Tsanova et al., 2021
Kozarnika	Bulgaria	6–7 (level s VIII and VII/V III)	~49_44	Awls, Notched bone	Animal teeth pendants	Guadelli et al., 2005; Guadelli, 2011; Tsanova et al., 2021

Ksâr 'Akil	Lebanon	XXII	>45	Incised awl, worked	Marine shell	Bosch et al.,
		_		antler	beads	2015; Bosch et
		XXIII				al., 2019;
						Newcomer,
						1974; Newcomer
						and Watson 1984
Üçağızlı Cave I	Turkey	F–H	~45–39	Awls, small bone	Marine shell	Kuhn et al.,
				points	beads; talon	2009; Douka,
						2013; Stiner et
						al., 2013
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^a Uncalibrated dates.