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1 The Effect of tDCS Applied to the Dorsolateral Prefrontal Cortex on Cycling Performance and the Modulation
2 of Exercise Induced Pain

3

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1 **Abstract**

2 Transcranial direct current stimulation (tDCS) is a neuromodulatory tool purported to enhance endurance
3 performance through reducing fatigue related perceptions, including exercise-induced pain (EIP). We examined
4 whether tDCS of the left DLPFC (1) can reduce EIP during a fixed intensity cycling trial (FI), (2) can improve
5 cycling time trial (TT) performance, and (3) whether this was affected by a bilateral or an extracephalic
6 montage. This investigation was comprised of two parts (study one and two). In both studies, participants
7 completed a 10-minute FI trial and a 15-minute TT after 10 minutes of 2mA anodal left DLPFC tDCS, SHAM
8 or no stimulation. In study one, 11 participants received tDCS via a bilateral montage. In study two, 20
9 participants received tDCS using an extracephalic montage. Pain was recorded throughout the FI and TT trials,
10 with power output (PO) monitored during the TT. Study one saw no significant changes in pain (tDCS 4.3 ± 2.0 ;
11 SHAM 4.0 ± 1.8 ; control 3.8 ± 1.4) during the FI trial and no significant differences in distance covered, pain or
12 PO in the TT. In study two there were no differences in pain reported in the FI trial, or distance covered ($P =$
13 0.239), pain or PO in the TT. In summary, tDCS of the DLPFC did not induce analgesia and provided no
14 ergogenic effect for TT performance, moreover these observations were consistent across both the extracephalic
15 and bilateral montage. These findings are in line with an increasing number of studies demonstrating the
16 inconsistent effects of tDCS.

17

18 **Keywords:**

19 Transcranial Direct Current Stimulation; Exercise Performance; Exercise-induced Pain; Dorsolateral Prefrontal
20 Cortex.

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27 **Highlights:**

- 28
- Anodal tDCS is proposed to reduce naturally occurring pain associated with exercise.
 - The effects of DLPFC tDCS on the rating of exercise-induced pain have not been examined.
 - Two studies were conducted to assess differences in DLPFC electrode montage.
 - Neither DLPFC electrode montage reduced pain or improved time trial performance.
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1 **Introduction**

2 Transcranial direct current stimulation (tDCS) is often used in the treatment of various neuropsychiatric
3 disorders[1]. In healthy populations, tDCS is also used to investigate cognitive functions [2] and enhance
4 physical performance [3]. Originally it was presumed that tDCS influences neuronal excitability in a polar
5 dependent fashion [4]. However, the response to tDCS is more complex than this due to the intricate
6 relationship between the non-linearity of the dose response and a multitude of inter-and-intra-individual
7 differences [5-7]. Changes in neuronal excitability are presumed to produce an ergogenic effect through
8 enhancing synergistic muscle coupling and attenuation of decline in motor cortex (M1) excitability [8].
9 However, due to inconsistencies within research design and reported outcomes, the exact mechanisms remain
10 inconclusive [3].

11 Tolerance of exercise-induced muscle pain (EIP) influences time trial performance [9], with improvements in
12 performance shown when pain is reduced [10, 11]. As tDCS of the M1 and the dorsolateral prefrontal cortex
13 (DLPFC) in a cephalic montage (anode over left M1 or DLPFC, cathode over right supraorbital area) has been
14 successfully used to treat acute and chronic pain in patient groups [12,13] it is plausible that tDCS induced
15 analgesia could confer an ergogenic effect in exercise with healthy individuals. Applying tDCS to the M1 in an
16 extracephalic montage (Anode: M1, cathode: ipsilateral shoulder) can improve exercise tolerance through a
17 reduction in perceptual stimuli such as the sense of effort [14], but tDCS may be less effective in reducing EIP
18 [15]. Indeed, analgesia during a cold pressor test [15] and enhanced conditioned pain modulation [16] has been
19 observed following conventional [15] and high-definition [16] M1 tDCS, despite no changes in EIP [15,16].
20 However, experimental pain and EIP are different [17] so M1 tDCS may be more effective in moderating pain
21 of type III afferent origin [18]. Indeed, EIP is commonly described as an aching or burning (akin to type IV
22 afferent stimulation) so M1 tDCS may be less effective in reducing EIP [15]. However, stimulating the
23 dorsolateral prefrontal cortex (DLPFC) can induce analgesia [19,20], and has been used to treat chronic pain
24 disorders [20], increase pain tolerance and empathy to experimental pain [19]. The DLPFC is a fundamental
25 structure for nociceptive control, modulation of emotional valences, attention and working memory [18, 21-23]
26 and houses reciprocal connections to the M1 [18]. tDCS applied to the left DLPFC in a cephalic montage has
27 been shown to reduce effort/pain related perceptions during resistance exercise [24], so anodal tDCS applied to
28 the DLPFC may serve as an effective means to reduce EIP and thus enhance endurance performance.

29
30 The direction of current flow is determined by the montage of the tDCS electrodes. Finite Element Method
31 modelling studies have demonstrated that traditional M1 cephalic montages (anode: placed over left M1,
32 cathode: placed over the right supraorbital area) induces greater current densities within the prefrontal cortex
33 [25]. Therefore, the cephalic montage used by Angius et al [15] could have induced unintended reductions in
34 excitability of the right DLPFC, and produced changes in performance unrelated to EIP. Alternatively, using an
35 extracephalic (one electrode placed over the brain region of interest with the other placed on a non-brain area
36 such as the deltoid) and/or bilateral (placement of the electrodes symmetrically across both hemispheres)
37 montage could maintain a localised current flow in the targeted area [25,26]. Indeed, when an extracephalic
38 montage was used, tDCS improved isometric [8, 14] and dynamic [27] exercise time to exhaustion.

39

1 This study tested whether anodal left DLPFC tDCS would enhance performance of a 15 minute cycling time
2 trial. An additional 10 min fixed intensity trial was used to examine whether tDCS would improve EIP
3 tolerance, as time trials do not usually identify perceptual changes arising from ergogenic interventions [11].
4 Finally, this study explored whether a bilateral or extracephalic montage changed the response to these tests.
5

6 **Experimental Procedures**

7 *Participants*

8 This investigation comprised two studies (study 1 and study 2). Study one involved 11 recreationally active
9 volunteers (7 males, 4 females, age: 26 ± 6 yr, height: 177 ± 9 cm, body mass: 72 ± 13 kg), study two included
10 20 recreationally active volunteers (14 males, 6 females, age: 25 ± 5 yr, height: 175 ± 8 cm, body mass: 70 ± 12
11 kg). Nine participants completed both studies. Inclusion criteria were aged 18 - 44 yr and habitually performing
12 a minimum of 180 min/week of aerobic exercise. Exclusion criteria were any mental health (e.g. schizophrenia)
13 or brain disorders (e.g. epilepsy), intracranial implants, or taking any medication at the time of the study. Prior
14 to providing written informed consent, participants read an overview of experimental procedures, but not the
15 aims or hypothesis. Ethical approval was obtained from the local Ethics Committee (approval number:
16 Prop_92_2015_2016).
17

18 *Protocol*

19 In both studies, participants visited the laboratory on four occasions, one preliminary and three experimental
20 visits, separated by a minimum of 48 h. Visits were conducted at a similar time of day in a temperature-
21 controlled room (20°C , relative humidity between 40 and 50%). Participants abstained from consuming
22 caffeine and analgesic substances for a minimum of 6 h preceding each visit, and completing strenuous physical
23 activity and consuming alcohol for 24 hours before each visit. On visit one, participants completed a maximal
24 incremental test (2 minutes at 100 W with 30 W increases every 2 minutes) to exhaustion on an
25 electromagnetically braked cycle ergometer (SRM ergometer, Julich, Germany). After 30 minutes rest
26 participants were familiarised to tDCS, the experimental pain measures (see evaluations of pain threshold and
27 tolerance), the fixed-intensity cycling trial (FI) and the cycling time trial (TT). On visits 2 to 4, participants
28 completed the experimental procedures (shown in figure 1) after receiving tDCS, SHAM or quiet rest (control)
29 in a randomised, counter-balanced, single-blind design. Changes in mood were assessed using the Brunel Mood
30 Scale questionnaire prior to tDCS administration as well at the end of each visit. To assess blinding, participants
31 were asked to verbally report the trial order which they believed they had completed the investigation in.
32

33 *Transcranial Direct Current Stimulation Procedures*

34 Under-activation of the left DLPFC has previously been implicated as a source of depression and chronic pain
35 in clinical populations [28]. Therefore, this brain region has been targeted to investigate the effects of non-
36 invasive brain stimulation techniques on acute pain [19]. Brighina et al [29] identified that rTMS of the left
37 DLPFC ameliorated pain, whilst rTMS of the right DLPFC induced no change. In consistency with previous
38 research, the current study targeted the left DLPFC. tDCS was administered using a battery-driven stimulator
39 (Study 1: TCT research limited, Hong Kong. Study 2: Neuroconn Eldith DC stimulator, Magstim,
40 Camathenshire, and the TCT stimulator. The TCT stimulator was used for the final 11 participants whilst the

1 Neuroconn stimulator was being repaired) through a pair of rubber electrodes (size: 5cm x 7cm, 35cm²) encased
2 in a saline soaked sponge (9 % NaCl). Electrodes were secured in place by elastic straps. Following previous
3 endurance performance tDCS studies [14,27], the stimulation intensity was set at 2 mA (current density 0.057
4 mA/cm²) for 10 minutes in the experimental condition. In the SHAM condition, stimulation lasted for 30 s and
5 was subsequently ramped down. In both conditions, the current intensity was ramped up and down over 10 s.
6 Electrical resistance was maintained between 4-6 kΩ. In the control condition participants rested quietly for 10
7 minutes.

8

9 *Electrode Montage*

10 **Study 1**

11 tDCS was applied in a bilateral montage, with the anodal and cathodal electrodes placed over F3 and F4
12 (according to the 10-20 EEG system) to stimulate the left and right DLPFC respectively. This montage was
13 selected based on previous findings of increased current density within the brain region of interest [26].

14 **Study 2**

15 Study 2 adopted an extracephalic montage, with the anodal electrode placed over the left DLPFC (F3) and the
16 cathodal electrode placed on the ipsilateral shoulder. This montage was selected to minimise undesired effects
17 of the cathodal electrode on other cortical areas.

18

19 *Evaluations of Pain Threshold and Pain Tolerance*

20 Peripheral electrical stimulation was used to assess pain threshold. A cathodal Ag/Cl electrode coated in
21 conductive gel (2 x2 cm; Nessler, Medizintechnik, Innsbruck, Austria) was applied to the right index finger. The
22 anode, a carbon rubber electrode (100 mm x 50 mm; Phoenix Healthcare Products Ltd, Nottingham, UK) was
23 applied to the back of the hand proximal to the knuckles. Current intensity of the electrical stimulator (Pulse
24 duration 100 μs; Digitimer DS7AH, Welwyn Garden City, UK) started at 0.0 mA and increased by 0.1 mA until
25 the participant reported sensation (perception threshold) and then pain (pain threshold). The ischemic pain test
26 assessed pain tolerance. Participants flexed their right elbow to 90°, with the hand elevated and elbow supported
27 by a table. A manual sphygmomanometer (Accoson, Dekamet, UK) was inflated on the upper arm and held at a
28 pressure corresponding to 100 mmHg above resting systolic pressure, measured using a digital
29 sphygmomanometer (Carescape- V100, Dinamap, GE technology, USA). Participants rhythmically contracted
30 their hand to a metronome beat at a rate of one contraction per second to induce ischemia. Pain intensity was
31 verbally reported every 15 seconds on a 10-point scale [30]. Pain tolerance was defined as the number of hand
32 contractions. If the participants had not already disengaged from the trial, the experimenter ended the trial at 3
33 min to avoid potential complications such as tourniquet pain, although participants were blinded to this cut-off
34 point. The cut-off point was reached by 6 participants in study 1, and 8 participants in study 2.

35

36 *Fixed Intensity Cycling Trial*

37 Participants cycled at 75% of their peak power output (PPO) for 10 minutes on a cycle ergometer (Lode
38 Excalibur sport, Lode, Groningen, Netherlands, or Cyclus 2, RBM elektronik-automotion GmbH, Leipzig,
39 Germany - workloads have shown to be transferrable across these two ergometers [31]). During each visit,
40 participants maintained a constant cadence, established from the familiarisation trial. Pain intensity (1-10 scale),

1 RPE (6-20 scale, [32]), and heart rate (Polar FT1, Polar Electro Oy, Kempele, Finland) was recorded at the end
2 of every minute.

3

4 *Time Trial*

5 On a cycle ergometer (SRM ergometer, Julich, Germany) participants cycled as far as they could in 15 min by
6 changing their RPM whilst keeping the same gear selected from the familiarisation trial. Participants were
7 provided with feedback on time elapsed but were blinded to power output (PO), and distance. Participants
8 provided their rating of pain and RPE at the end of every minute, whilst HR and PO were recorded
9 continuously.

10

11 *Statistical Analysis*

12 Statistical analyses was performed using SPSS (Version 24). One-way analysis of variance (ANOVA) with
13 repeated measures (RM) was conducted to analyse pain thresholds and TT distance covered. Friedman's test
14 was conducted to analyse pain tolerance due to a violation in normality. To accommodate for the delay in
15 achieving adequate feedback, the first two minutes of the FI and TT were excluded from the RM ANOVA [33].
16 Therefore, a 3 x 8 and 3 x 13 RM ANOVA assessed tDCS induced physiological and perceptual changes in the
17 FI and TT respectively. A 3 x 6 RM ANOVA compared reported pain within the ischemic pain test. A 3 x 2
18 ANOVA with RM compared mood subsets reported in the BRUMS questionnaires.

19 To account for difference in pain tolerance, a combination of intra-individual iso-times and percentage of time
20 to task disengagement (TD) was used. For this, the shortest time to TD was assigned as 100 % iso-time and
21 compared to the equivalent time in the two other conditions. This 100 % iso-time was further divided by 5 and
22 rounded up to acquire values corresponding to 20-80% isotime. This provided 5 data points for statistical
23 comparison for each subject (20-100%), for each condition.

24 Where appropriate, post-hoc tests using the Bonferroni correction were applied. Effect sizes were estimated to
25 establish the size of the difference between the three conditions and are reported as partial eta-squared (η_p^2).

26

27 **Results**

28 Participants reported a mild itching sensation underneath the electrodes during all tDCS conditions. No other
29 side-effects were reported during or after tDCS administration.

30

31 *Study 1*

32 *Pain thresholds & tolerance*

33 All results of the ANOVA are displayed in supplementary material A. No significant differences between the
34 three experimental conditions were found for pain threshold (figure 2) or pain tolerance ($\chi^2(2) = 3.6, P = 0.163$).
35 No significant interaction effects or main effect of condition were observed in the iso-time data of pain rating
36 throughout the ischemic pain tests. As pain increased throughout the trial, a significant main effect of time was
37 detected.

38

39 *Fixed Intensity Cycling Trial*

1 Outcomes of the RM ANOVA are detailed in supplementary material B. A significant interaction effect of the
2 rating of pain was observed, however post-hoc analysis failed to demonstrate between group differences (P 's \geq
3 0.098, figure 3). No significant interactions or main effect of condition were observed for RPE or HR. A
4 significant main effect of time was detected for all three variables.

5 6 *Time trial*

7 Supplementary material B displays the results of the ANOVA. No significant differences were observed in
8 distance covered between the tDCS (8.00 ± 0.56 km), SHAM (7.98 ± 0.57 km) and the control (7.93 ± 0.59 km)
9 conditions. No significant interaction or condition effects were observed for pain, RPE, HR and PO (Figure 4).
10 A significant main effect of time was observed for pain, RPE, HR and PO.

11 12 *Mood Scales*

13 Results of the RM ANOVA are shown in supplementary material C. A significant interaction effect of fatigue
14 was observed, although post-hoc analysis revealed no significant between group differences ($P = 1.000$). No
15 significant interactions were found for the remaining subsets. A significant main effect of condition was
16 observed for both confusion and tension subsets, however post-hoc analysis revealed no significant differences
17 for both subsets (Confusion (P 's ≥ 0.128) Tension (P 's ≥ 0.088)). A significant main effect of time was
18 observed for the fatigue subset with fatigue higher at the end compared to the start of the visit.

19 20 **Study 2**

21 *Pain threshold & tolerance*

22 Full ANOVA results are shown in supplementary material A. Pain thresholds were significantly different,
23 however post-hoc analysis failed to show a significant between group difference (P 's ≥ 0.087 , figure 2). No
24 significant differences were observed for pain tolerance ($\chi^2(2) = 0.3$, $P = 0.876$). Analysis of iso-time data of
25 the pain reported during the ischemic pain test revealed no significant interaction effects or main effect of
26 condition, however a significant main effect of time was observed.

27 28 *Fixed Intensity Cycling Trial*

29 Supplementary material B presents full results of RM ANOVA. No significant interaction effects were observed
30 for pain intensity, RPE or HR during the FI trial, nor was there a significant main effect of condition (figure 3).
31 A significant main effect of time was found where pain, RPE and HR increased throughout the trial.

32 33 *Time trial*

34 ANOVA's are reported in supplementary material B. There was no significant difference in the distance
35 completed between the tDCS (8.02 ± 0.592 km), SHAM (7.85 ± 1.08 km) and the control condition (7.95 ± 1.03
36 km). No significant interaction effects or main effects of condition existed for pain intensity, RPE, HR or PO
37 during the TT (Figure 4). A significant main effect of time was detected for all of these variables.

38 39 *Mood Scales*

1 Results of the RM ANOVA are shown in supplementary material C. No significant interaction effects were
2 detected for any of the BRUMS subsets. A significant main effect of condition was detected for the tension
3 subset, however post-hoc analysis revealed no significant between group differences (all P 's ≥ 0.58). No
4 significant main effects of condition were detected for the remaining 5 subsets. A significant main effect of time
5 was detected for the depression, tension, anger and fatigue subsets, where the first 3 subsets reduced at the end
6 of the trial, whilst fatigue increased. No significant main effects were detected for the vigour and confusion
7 subsets.

8 9 **Discussion**

10 The present investigation explored whether anodal tDCS of the left DLPFC could reduce EIP during a FI and
11 TT cycling bout, and whether this could enhance TT performance. This is the first study to investigate efficacy
12 of tDCS of the DLPFC on these parameters using both an extracephalic and a bilateral electrode montage. The
13 principal finding was that DLPFC tDCS was unable to induce analgesia. Consequently, no changes in cycling
14 performance occurred. These findings were consistent across both electrode montages.

15
16 In this investigation the experimental pain measures provided a manipulation check to ascertain whether
17 changes in performance were due to an analgesic effect. With no significant differences observed for both pain
18 threshold and tolerance, our results demonstrate that tDCS was insufficient to induce an analgesic effect. In
19 contrast, Boggio et al [19] and Mylius et al [34] reported increased pain tolerance following stimulation of the
20 left and right DLPFC. However, these studies [19,34] assessed pain tolerance during the tDCS period. Previous
21 studies have suggested that the effectiveness of tDCS is enhanced through task-specific modulation [35]. This
22 assumes that tDCS preferentially stimulates active neuronal networks, whilst inactive networks remain
23 unchanged [35]. Therefore, the analgesic effect previously observed [19,34] could be attributed to task-specific
24 mechanisms, which may have been inactive in the current study. However, no analgesic effects of tDCS were
25 observed in the FI trial where EIP was present, which also supports studies showing that tDCS does not
26 moderate EIP [14-16,27]. It is therefore not surprising perhaps, that no differences in power output or total
27 distance completed was shown in the TTs. As exercise activates an endogenous analgesic system which
28 mitigates the intensity of EIP through the release of catecholamines, growth factors and endogenous opioids
29 [36], tDCS may not be able to exert an additive effect [15]. Some previous trials have been able to demonstrate
30 a behavioural change through the manipulation of experimental pain tolerance [15,16] or a reduction in RPE
31 during the physical trials [14,27], but the current study does not corroborate these findings. .

32
33 Recently, the reliability and reproducibility of conventional tDCS has come into question. Wiethoff et al [6]
34 concluded that the polar dependent effects of tDCS are not always present due to variability found following 2
35 mA stimulation of the M1. Of the participants they tested, 50% demonstrated poor or no responses to tDCS. Of
36 those who did respond, 21% showed an 'inverted classical response' where anodal tDCS inhibited and cathodal
37 stimulation increased corticospinal excitability. It is likely that variability in the response to tDCS is due to a
38 variety of inter-individual differences including; anatomy, neurochemistry, baseline neurophysiological states
39 and psychological states, gender and genetics [37]. Due to this inter-individual variability and the growth in the
40 number of studies reporting a null effect of tDCS, the conventional 'plug in and play' vision of tDCS is unlikely

1 to be a viable method of enhancing athletic performance for the majority [38,39]. Further research is needed to
2 examine whether there are optimal stimulation and timing parameters which produce reliable and repeatable
3 ergogenic responses to tDCS. *If* this is achievable it is likely that individualisation of stimulation parameters
4 would be required.

5
6 The present investigation is not without limitations. Firstly, the relatively small sample size may limit the
7 statistical power of the collected data, increasing the likelihood of a type II error [40]. Although the sample size
8 used within this investigation exceeds that of many previous studies employing similar protocols, a post-hoc
9 power analysis on the TT distance covered revealed that both studies had a low statistical power (Study 1 $\eta_p^2 =$
10 0.07 , $1-\beta = 0.44$, $\alpha = 0.05$; Study 2 $\eta_p^2 = 0.07$, $1-\beta = 0.74$, $\alpha = 0.05$). This implies that the effect size of
11 conventional tDCS may have been previously overestimated and is unlikely to elicit a significant benefit to
12 endurance performance [39]. Secondly, an a-priori power analysis was not conducted for this study. However,
13 given the reported publication and reporting bias associated with this field, a similar sample size to the one used
14 within this investigation would have been predicted. Lastly, this investigation did not include measurements to
15 account for neurophysiological effects of tDCS. Future investigations should employ a measurement of cortical
16 activity such as functional magnetic resonance imaging [41] or single-photon-emission tomography [42].

17 18 **Conclusions**

19 This is the first study to examine the effects of tDCS of the left DLPFC on EIP and endurance performance
20 using two different electrode montages. Our results demonstrate that tDCS could not induce analgesia and
21 consequently no improvements in exercise performance were observed.

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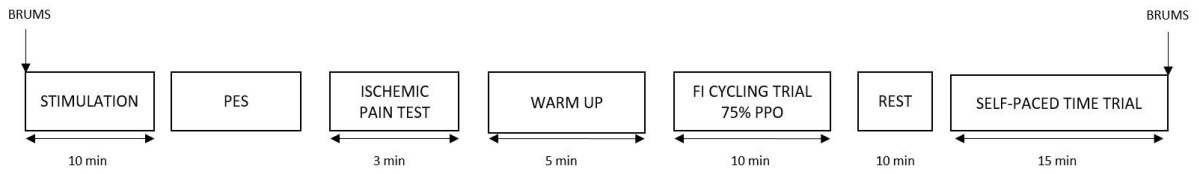
Figure Captions

Figure 1. Schematic of the experimental protocol. After 10 minutes of either tDCS, SHAM or quiet rest (control), participants completed measures of experimental pain threshold and tolerance. After 5-minute warm-up participants completed the 10-minute fixed intensity cycling trial followed by a 15-minute self-paced time trial.

Figure 2. The effects of tDCS on experimental pain thresholds and tolerance in study one (panels A-C) and study two (Panels D-F). TD, task disengagement. Data presented as mean \pm SD, bold line on panels A- E signifies the group mean. * denotes a significant main effect of time ($P > 0.05$).

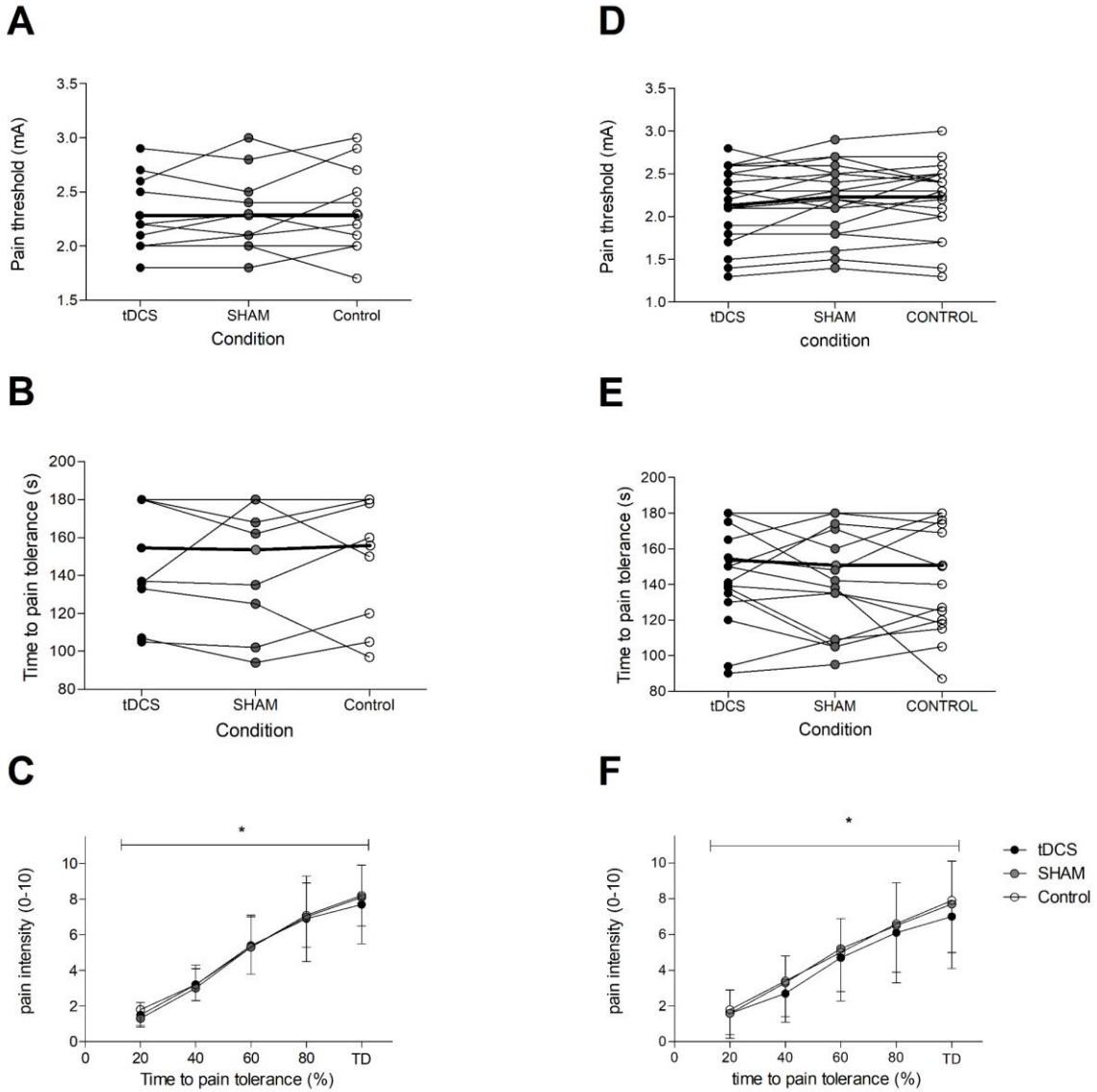
Figure 3. The effects of tDCS on perceptual and physiological responses during the 10-minute fixed intensity cycling trial in study one (Panels A-C) and study two (Panels D-F). Data presented as mean \pm SD. * denotes a significant main effect of time ($P > 0.05$).

Figure 4. The performance and perceptual responses during the 15-minute time trial performed in study one (panels A-D) and study two (Panels E-H). Data presented as mean \pm SD, * denotes a significant main effect of time ($P > 0.05$).



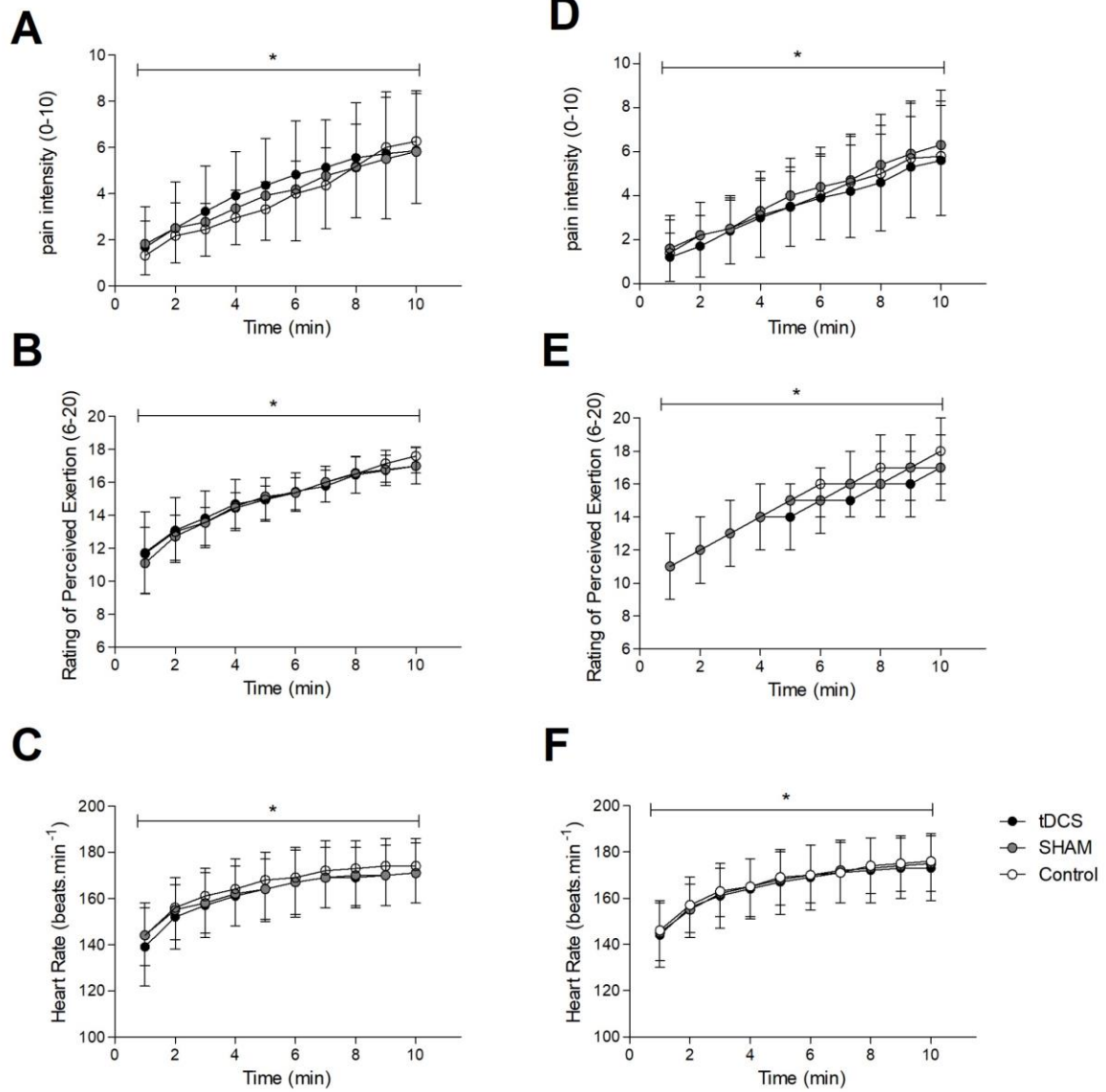
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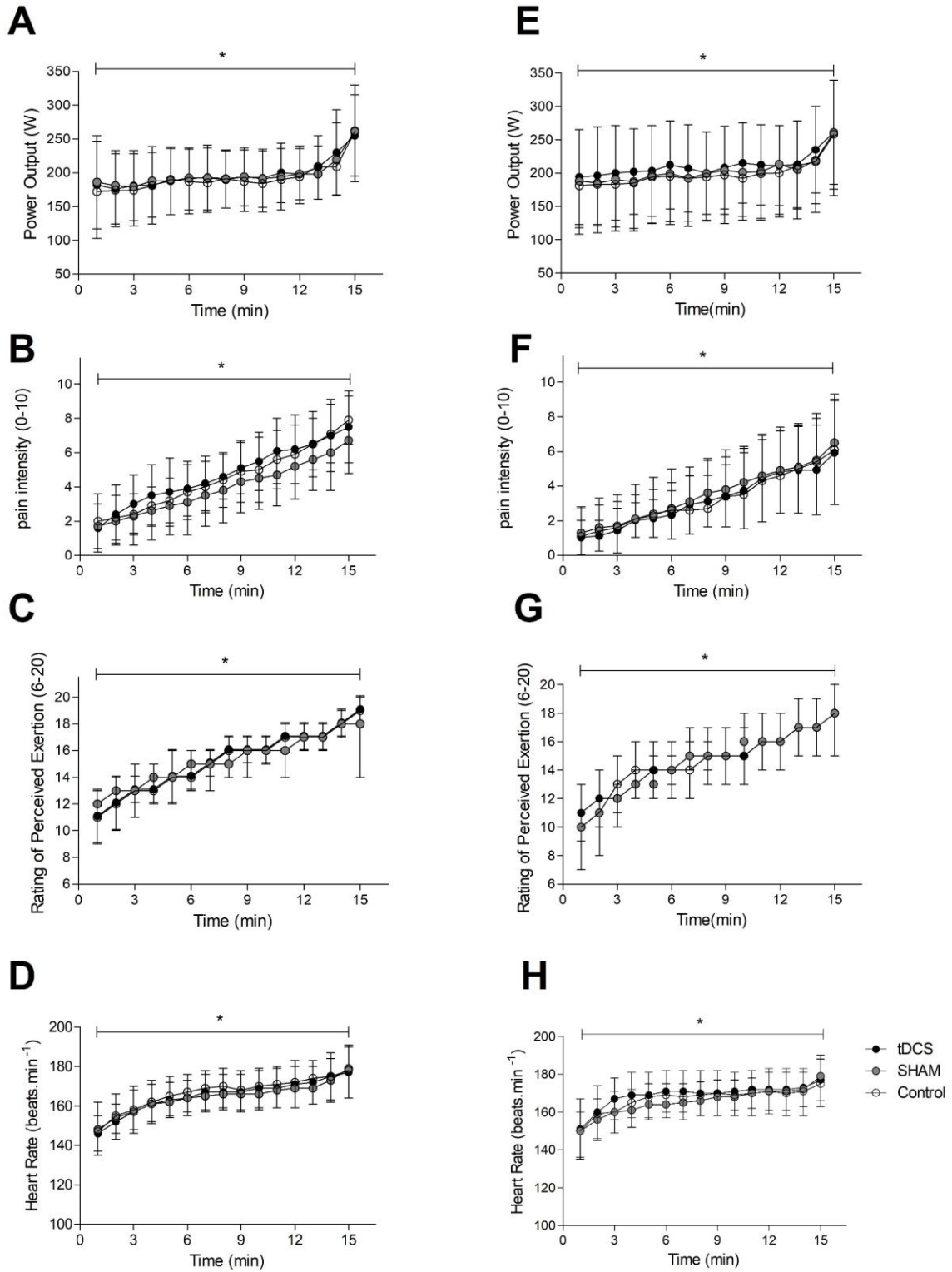


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