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A Low Complexity $16 \times 16$ Butler Matrix Design Using Eight-Port Hybrids

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ABSTRACT Beamforming networks such as Butler Matrices are important for multibeam array antenna applications. The challenge for Butler Matrix design is that their complexity increases with the number of ports. In this paper, a novel approach of designing a $16 \times 16$ Butler Matrix with significant structure simplification is presented. The eight-port hybrids with no crossovers are used to simplify the network. To ensure the network has the same magnitude and phase responses as the standard one, the location and phase shifting value of each fixed phase shifter are derived from the $S$-matrix of each hybrid. A $16 \times 16$ Butler Matrix network operating from 9 GHz–11 GHz is designed to validate this concept. The compensated microstrip 3-dB/90° directional coupler, the phase shifter with a shunt open-and-short stub and the crossover with a resonating patch are used to reduce the transmission loss and enable broadband operation.

INDEX TERMS Butler matrix, directional coupler, eight-port hybrid, multibeam, phase shifter.

I. INTRODUCTION

In recent years, Butler Matrices have been widely used in microwave and millimeter-wave applications such as tracking [1], QAM multiport modulators [2] and multi-port amplifiers [3], etc. They offer the possibility of having multiple passive T/R channels and providing a good compromise between performance and cost [4]–[8]. Recent studies show that Butler Matrices can also be used to generate waves carrying orbital angular momentum (OAM) [9], [10].

In most cases, the $4 \times 4$ and $8 \times 8$ Butler Matrices are used because the complexity of these networks is relatively low [11]–[17]. As shown in Fig. 1, a standard $16 \times 16$ Butler Matrix [18] includes three different types of components, namely 3-dB/90° directional couplers, phase shifters and crossovers. In this figure, #1–#16 are beam ports and $A_1$–$A_{16}$ are array ports. The circled $n \times$ represents a fixed phase shifter in multiples of $p = 180^\circ n/N$, where $N = 16$ in this case. From Fig. 1, it is derived that for different beam port excitations the phase response at each array port varies as shown in Table 1, where $i$ is the sequence number of array port $A_i$. In this standard Butler Matrix, the total number
TABLE 1. Phase response in a standard 16 × 16 Butler Matrix.

<table>
<thead>
<tr>
<th>Feed</th>
<th>Output Phase</th>
<th>Feed</th>
<th>Output Phase</th>
</tr>
</thead>
<tbody>
<tr>
<td>#1</td>
<td>−p(i−1)</td>
<td>#2</td>
<td>−8p + 15p(i−1)</td>
</tr>
<tr>
<td>#3</td>
<td>−7p − 9p(i−1)</td>
<td>#4</td>
<td>−15p + 7p(i−1)</td>
</tr>
<tr>
<td>#5</td>
<td>−6p − 5p(i−1)</td>
<td>#6</td>
<td>14p + 11p(i−1)</td>
</tr>
<tr>
<td>#7</td>
<td>−9p − 13p(i−1)</td>
<td>#8</td>
<td>15p + 3p(i−1)</td>
</tr>
<tr>
<td>#9</td>
<td>−4p − 3p(i−1)</td>
<td>#10</td>
<td>−12p + 13p(i−1)</td>
</tr>
<tr>
<td>#11</td>
<td>−9p − 11p(i−1)</td>
<td>#12</td>
<td>15p + 5p(i−1)</td>
</tr>
<tr>
<td>#13</td>
<td>−6p − 7p(i−1)</td>
<td>#14</td>
<td>−14p + 9p(i−1)</td>
</tr>
<tr>
<td>#15</td>
<td>−7p − 15p(i−1)</td>
<td>#16</td>
<td>−15p + p(i−1)</td>
</tr>
</tbody>
</table>

of these components is 116 (which includes 32 3-dB/90° directional couplers, 24 phase shifters and 60 crossovers). Notably, the percentage of the crossovers in this network is higher than 50%. The extremely high complexity make it very difficulty to design and fabricate a 16 × 16 Butler Matrix.

In this paper, a novel approach to design an equivalent 16 × 16 Butler Matrix is presented. The network is designed based on an eight-port hybrid, which has been reported as the comparator in monopulse antennas or four-way power dividers and combiners [19]–[21]. The structure of an eight-port hybrid consists of four 3-dB/90° directional couplers and two fixed phase shifters, and no crossings are needed. In this proposed network, eight this type of hybrids are required. Hence, the complexity of the proposed network can be greatly reduced with only four crossovers. Detailed synthesis procedures are developed in Section II. To obtain the same transmission magnitude and phase responses as a standard 16 × 16 Butler Matrix, the location and phase shifting value of each fixed phase shifter are determined by the deviations based on the S-matrix of each hybrid.

For the ease of realization, Butler Matrices utilizing microstrip structures are preferred. However, one of disadvantages of a microstrip Butler Matrix is that the operating bandwidth is narrow. In this design, to achieve broadband operation, the microstrip-based compensated 3-dB/90° directional coupler is used. Compared with the multi-section branchline directional couplers, the compensated coupler can provide less transmission loss and lower output amplitude/phase imbalance over the operating bandwidth [22], [23]. The microstrip phase shifters are realized by taking a microstrip line shunt with an open- and short-stub as a reference. Compared with the multisection Schiffman phase shifters, the used phase shifters have broader bandwidth and larger phase shift range [24]. In addition, the operation bandwidth of the crossover is improved by the combination of a ring-shaped coupler and a radial resonating patch [25]. Thus, multiple resonances over the operating bandwidth are introduced. The proposed design of the 16 × 16 Butler Matrix has been experimentally validated with a prototype operating from 9 GHz to 11 GHz.

II. METHODOLOGY

Fig. 2 shows the topology of the eight-port network, which consists of four 3-dB/90° directional couplers and two fixed phase shifters. #1–#4 are the feeding ports and #5–#8 are output ports. For any feeding port excitation, the signals output from #5–#8 have the same magnitude but in different phase, and the rest of feeding ports are isolated. In this hybrid, all the directional couplers are oriented to different directions and no crossings are required. Hence, the complexity of the proposed network formed by this type of hybrids is significantly reduced compared with a standard 16 × 16 Butler Matrix. To make a network equivalent to a standard 16 × 16 Butler Matrix, the relationship between the two phase shifters in a hybrid is defined as follows [18], [20]:

\[ p(n_1 + n_2) = \pm 90^\circ \]  

(1)

where \( p = 11.25^\circ \), \( n_1 \) and \( n_2 \) are integers and are satisfied \( n_1n_2 > 0 \). To facilitate the analysis, the eight-port hybrid is transformed into an equivalent module shown in Fig. 2(b). In this module, \( n \) equals \( n_1 \), and \( n_2 \) is substituted by using (1), namely

\[ n_2 = \pm \frac{90^\circ}{p} - n_1 = \pm \frac{90^\circ}{p} - n \]  

(2)

The relationship between excitations and responses are characterized by its S-matrix, which is expressed as:

\[
[S] = \frac{1}{2}
\begin{bmatrix}
O & B^T \\
B & O
\end{bmatrix}
\]  

(3)

where \( O \) is a null matrix and

\[
B = \begin{bmatrix}
-1 & j & -e^{-jnp} & je^{-jnp} \\
je^{jnp} & -1 & j & e^{-jnp} \\
e^{jnp} & -e^{jnp} & -1 & j \\
e^{-jnp} & je^{jnp} & j & -1
\end{bmatrix}
\]  

(4)

As shown in Fig. 3, the proposed network consists of eight hybrids. All the hybrids have the same structure and the only difference comes from their fixed phase shifters having different phase shifts. The eight hybrids include 24 3-dB/90° directional couplers and 16 phase shifters in total. To obtain the same phase responses as the standard 16 × 16 Butler Matrix, the rest of eight phase shifters are positioned outside the hybrids.

The required phase response at each output port is determined by the cascading S-matrices. From Fig. 3, one can...
learn that for each signal flowing from a feeding port to each array port it should travel through two hybrids. Hence, the magnitude and phase responses of the full network can be obtained by their S-matrices from (3). The transmission magnitude and phase responses at the array ports of Hybrid V when port #1 is excited are obtained as follows:

\[ [S_{A51,1}, S_{A52,1}, S_{A53,1}, S_{A54,1}] = \frac{1}{4} \left[ 1, -j, -je^{i\pi p}, -e^{i\pi p} \right] \]  

where \( n_s \) represents the fixed phase shifter in Hybrid V having phase shift of \( n_s \pi \). With the same method, the phase responses at the array ports of Hybrid VI–VIII when the beam port #1 is excited can also be obtained. They are summarized as follows:

\[ [S_{A61,1}, S_{A62,1}, S_{A63,1}, S_{A64,1}] = \frac{1}{4} \left[ -je^{i\pi p}, -e^{i\pi p}, -e^{i\pi (n_6+N_1)p}, je^{i\pi (n_6+N_1)p} \right] \]  

\[ [S_{A71,1}, S_{A72,1}, S_{A73,1}, S_{A74,1}] = \frac{1}{4} \left[ je^{i\pi (n_1+N_1)p}, e^{i\pi (n_1+N_1)p}, -e^{i\pi (n_1+n_7+N_1)p}, je^{i\pi (n_1+n_7+N_1)p} \right] \]  

\[ [S_{A81,1}, S_{A82,1}, S_{A83,1}, S_{A84,1}] = \frac{1}{4} \left[ -e^{i\pi p}, je^{i\pi p}, -je^{i\pi (n_8+N_1)p}, -e^{i\pi (n_8+N_1)p} \right] \]  

From Table 1, one can learn that for #1 excitation in a standard 16 \( \times \) 16 Butler Matrix, the phase response at each array port is \(-p(i - 1)\) \((i = 1, 2, \ldots, 16)\), where \( i \) is the sequence number of the array port \( A_i \). By comparing them with the derived phase values in (5)–(8), one can obtain that only when

\[ n_1 = 1, \quad n_5 = n_6 = 4, \quad n_7 = n_8 = -4, \quad N_1 = 6 \]  

(5)–(8) can be solved at the same time. In addition, it should be noted that the array ports of the hybrids and the array ports in Fig. 1 needs to satisfy the following equivalences:

\[ A_{51} \leftrightarrow A_1, \quad A_{52} \leftrightarrow A_9, \quad A_{53} \leftrightarrow A_5, \quad A_{54} \leftrightarrow A_{13}, \quad A_{61} \leftrightarrow A_3, \quad A_{62} \leftrightarrow A_7, \quad A_{63} \leftrightarrow A_{11}, \quad A_{64} \leftrightarrow A_{15}, \quad A_{71} \leftrightarrow A_8, \quad A_{72} \leftrightarrow A_{16}, \quad A_{73} \leftrightarrow A_4, \quad A_{74} \leftrightarrow A_{12}, \quad A_{81} \leftrightarrow A_6, \quad A_{82} \leftrightarrow A_{14}, \quad A_{83} \leftrightarrow A_2, \quad A_{84} \leftrightarrow A_{10} \]

They indicate that in a standard 16 \( \times \) 16 Butler Matrix the array ports \( A_i, A_{i+4}, A_{i+8}, A_{i+12} \) \((i = 1, \ldots, 4)\) are included in the same eight-port hybrid. The obtained phase shifts in (9) are validated when port #2–#4 is excited separately. Combining the phase responses in Table 1, the rest of unknowns of the network in Fig. 3 are worked out and validated with the same method according to the corresponding phase responses when

![FIGURE 3. Proposed 16 \( \times \) 16 Butler Matrix network composed of eight-port hybrids.](image-url)
the feeding port from Hybrid II–IV is excited. The obtained values of these unknown parameters are

\[
\begin{align*}
    n_2 &= 5,
    n_3 &= -1,
    n_4 &= -5, \\
    N_2 &= N_3 = 2,
    N_4 &= 6
\end{align*}
\] (10)

By comparing the proposed network formed by eight-port hybrids with the standard 16 × 16 Butler Matrix, it is found that they have the same fixed phase shifters. The main difference is that these phase shifters are located in different places. Since these two networks are designed to have the same magnitude and phase responses, they can be considered as two equivalent networks but have different forms. Compared with the standard 16 × 16 Butler Matrix, the developed 16 × 16 Butler Matrix network has three significant advantages:

1) It has much lower complexity than the standard 16 × 16 Butler Matrix. In this designed network, only four crossovers are required and the whole structure is very symmetrical. This helps lower the design complexity and improve the design efficiency. All the crossovers are located outside the hybrids. Thus, the crossover design can be carried out independently without considering the phase shifters. In addition, one can select a transmission line as the reference path to design the phase shifters. In comparison, it takes the crossover as a reference path when designing phase shifters of a conventional Butler Matrix. Since the group delay or phase response of a crossover is quite different from the phase shifter, it is difficult to design a broadband phase shifter with a favourable phase shift.

2) All the hybrids share the same structure. Hence, the modular design with great flexibility can accelerate the overall design.

3) The network is designed in a smaller size and is realized on a single laminate with PCB processing technology.

## III. REALIZATION OF THE PROPOSED NETWORK

Since the complexity of the network is greatly reduced, when comes to its physical realization, the biggest challenge is how to ensure its transmission performances including low loss, good amplitude and phase response. In this network, each input signal travels through seven essential components before flowing out from an array port, as shown in Fig. 4. However, it should be noted that the transmission loss and amplitude/phase errors from each component will be accumulated progressively. For instance, the errors from the directional couplers will be quadrupled at each array port since there are four directional couplers in the signal path. Hence, it is desirable that the 3-dB/90° directional couplers and phase shifters are operated with good amplitude and phase characteristics in a broadband.

The used structures of components are illustrated in Fig. 5. As shown in Fig. 5(a), the 3-dB/90° directional coupler is realized by connecting a series transmission line and a shunt open-stub with electrical lengths \( \theta_1 = \theta_2 = 180° \) to each port of a ring-shaped 3-dB/90° directional coupler.

The characteristic impedance of the \( \lambda/2 \) transmission line is 87Ω. For the shunt \( \lambda/2 \) open stub, this value is 65Ω. The series line and open stub are bended for the purpose of compactness. For this type of directional coupler, the performance at the center frequency is not affected by adding the \( \lambda/2 \) transmission line or branch. However, the impedance off the center frequency is compensated. As a result, the amplitude and phase variation of this 3-dB/90° directional coupler is only \(-3.15 \pm 0.13\) dB and \(90.6 \pm 0.6°\) from 9 GHz to 11 GHz respectively while the return loss and port isolation are higher than 20 dB.

As to the phase shifter design shown in Fig.5(b), its reference path is formed with a microstrip line shunt with an...
open and a short stub. To ensure the phase shifter operated over a broad bandwidth, the phase slope disparity between the microstrip line and reference path should be as small as possible. Since the reference path has a more dispersive phase response, the phase slope between them can be easily manipulated in a broad frequency range by varying \((\theta_6, Z_6)\) and \((\theta_7, Z_7)\). With the aid of a genetic algorithm (GA) code, a reference path for all the phase shifters are optimized, where \((\theta_6, Z_6) = (20^\circ, 66\Omega)\) and \((\theta_7, Z_7) = (58^\circ, 70\Omega)\). The obtained phase shifts for different phase shifters are in the range of \(11.1^\circ \pm 2.3^\circ, 22.9^\circ \pm 1.3^\circ, 34.4^\circ \pm 0.1^\circ, 44.3^\circ \pm 1.1^\circ, 56^\circ \pm 2.4^\circ, 67.3^\circ \pm 0.9^\circ\) and \(78.7^\circ \pm 1.5^\circ\) over the operating bandwidth. In addition, the meandering path of the phase shifters connecting two submatrices is adjusted to meet the requirements of low transmission loss and compactness.

As shown in Fig. 5(c), the crossover is realized by combining a ring-shaped coupler with a radial resonating patch. The electrical lengths between two adjacent ports of this crossover are approximately \(\lambda/2\). The radial resonating patch is designed to provide multi-resonances in the circular cavity. Hence, operation bandwidth of the designed crossover is enhanced accordingly. The simulated port isolation and return loss are higher than 16 dB over the operating bandwidth. The transmission loss at the center frequency 10 GHz is only 0.25 dB, and at 9 GHz and 11 GHz this value reaches 0.43 dB. In addition, as the group delay of this crossover is very different from that of a microstrip line, a broadband crossover reference path is introduced, as shown in Fig. 5(d). The simulated phase deviation between cross transmission of the designed crossover and the reference does not exceed \(-0.3^\circ\) to \(0.9^\circ\) over the operating bandwidth. Thus, it can be taken that the group delay from the crossover is canceled out and a flat phase response is achieved in the operating frequency range.

**IV. EXPERIMENTAL RESULTS**

Fig. 6 shows the fabricated prototype. It is realized on a RO4003C laminate having thickness of 0.508 mm, the relative dielectric constant \(\varepsilon_r = 3.55\), and the loss tangent \(\tan \delta = 0.0027\) at 10 GHz. The dimension of the fabricated 16 \(\times\) 16 Butler Matrix network is 165 mm \(\times\) 165 mm (5.5\(\lambda_0\) \(\times\) 5.5\(\lambda_0\)). The simulated and measured reflection coefficients as well as the coupling between port #1 and all the remaining input ports are shown in Fig. 7 and Fig. 8, respectively. It can be seen that the return loss is higher than 10 dB from 9 GHz to 11 GHz and in the frequency range from 9.2 GHz–10.3 GHz the return loss is higher than 15 dB. The couplings between port 1 and all the other ports are less than \(-15\) dB from 9.25 GHz to 10.5 GHz, and it is less than \(-10\) dB in 9 GHz–11 GHz. The measured results agree well with the simulated ones. Due to the structure symmetry, similar coupling levels are obtained from the remaining beam port measurement.

The transmission coefficient is one of another key parameters in determining the overall performance of the proposed 16 \(\times\) 16 Butler Matrix network. As shown in Fig. 9 (a) and (b), the simulated coefficients at 10 GHz and 9 GHz are \(-14 \pm 0.6\) dB and \(-14.5 \pm 1.5\) dB, while the measured ones are \(-14.5 \pm 1\) dB at 10 GHz and \(-15 \pm 1\) dB at 9 GHz. Considering that each signal from a beam port to any array port must travel through seven components and dispersion is progressively accumulated, the transmission coefficient fluctuations shown in Fig. 9 is in a relatively low level. The measured transmission coefficients are averagely 0.6 dB less than the simulated ones, as shown in Fig. 9 (c) and (d). The calculated dielectric loss for RO4003C laminate with thickness of 0.508 mm is approximately 0.006 dB/mm. The signal traveling length from a beam port to an array port is about 210 mm. Thus, it is estimated that the dielectric
loss is 1.3 dB. In addition, since there are 16 beam ports in the designed network, the port mismatching and mutual couplings between the beam ports also contribute to some transmission loss. As can be seen from Fig. 7 and Fig. 8, the simulated port mismatching and mutual coupling are 0.043 dB on average for each port. Thus, the power dissipation caused by port mismatching and mutual couplings is about 0.7 dB in total. As a result, the total loss which includes dielectric loss, port mismatching and mutual coupling is about 2 dB.

The simulated and measured output phase differences between adjacent array ports are illustrated in Fig. 10. The simulated phase differences for beam port #1–#8 excitation at 10 GHz are 11.5 ± 8°, −168 ± 8°, 101 ± 4°, −78.5 ± 6.5°, 57.4 ± 3°, −123.9 ± 3.2°, 145 ± 4°, −32.5 ± 4.8°, respectively. They are very close to the theoretical values. The phase differences at 9 GHz are slightly different to those at 10 GHz. This is because that errors caused by phase shifters are higher at edge frequencies than those at center frequency. At 9 GHz, the maximum phase deviation comes from beam port #2 excitation, which ranges in −170.7 ± 12.2°. Due to the existence of RF connectors, fabrication and measurement errors, the dispersions of measured phase differences are higher than the simulated ones. For example, the measured phase differences at 10 GHz are 11.3 ± 10.3°, −167.5 ± 11.2°, 102.8 ± 8.2°, −79.7 ± 9.4°, 58.5 ± 7.8°, −124.7 ± 8.5°, 147.4 ± 6.7°, −31.2 ± 7.6°, while the measured maximum phase deviation at 9 GHz for beam port #2 is −169.8 ± 15.8°.

The performance of the designed Butler Matrix in comparison with the results of other reported works [14], [26]–[28] are given in Table 2. Although the Butler Matrix shown in [28] also has the order of 16 × 16, a large number of crossovers are required and they are realized by external connections which result in poor performance and bulky size. The designed network has comparative performance with the 8 × 8 Butler Matrices reported in [14], [26], [27]. It has relatively low insertion loss, imbalance of transmission magnitudes and phase differences. The designed 16 × 16 Butler Matrix can be realized on a single laminate with low cost. In contrast, the reported Butler

<table>
<thead>
<tr>
<th></th>
<th>[14]</th>
<th>[26]</th>
<th>[27]</th>
<th>[28]</th>
<th>This work</th>
</tr>
</thead>
<tbody>
<tr>
<td>Order</td>
<td>8 × 8</td>
<td>8 × 8</td>
<td>8 × 8</td>
<td>16 × 16</td>
<td>16 × 16</td>
</tr>
<tr>
<td>f0 (GHz)</td>
<td>3</td>
<td>2.5</td>
<td>60</td>
<td>5</td>
<td>10</td>
</tr>
<tr>
<td>Size (mm²)</td>
<td>170 × 145</td>
<td>n.a.</td>
<td>1.45 × 0.93</td>
<td>n.a.</td>
<td>165 × 165</td>
</tr>
<tr>
<td>BW (%)</td>
<td>33</td>
<td>16</td>
<td>17</td>
<td>3</td>
<td>20</td>
</tr>
<tr>
<td>Insertion</td>
<td>1</td>
<td>1</td>
<td>3.1</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>Loss (dB)</td>
<td>± 10</td>
<td>± 6.2</td>
<td>± 22</td>
<td>± 24</td>
<td>± 16</td>
</tr>
<tr>
<td>Phase Variation (°)</td>
<td>± 0.5</td>
<td>± 1.76</td>
<td>± 1.26</td>
<td>n.a.</td>
<td>± 1.25</td>
</tr>
</tbody>
</table>

Matrices shown in Table 2 need to be realized on multiple laminate layers and therefore have high complexity.

The designed 16 × 16 Butler Matrix can be used as the feeding network of a linear antenna array. The array factors are validated by applying the measured S-parameters, as shown in Fig. 11. Since the measured phase errors and amplitude imbalance at the edge frequencies are higher than those at the center frequency, the radiation patterns at the edge frequencies are degraded to a certain degree. These effects of the designed 16 × 16 Butler Matrix are caused by the inherent characteristics of microstrip lines, such as narrow bandwidth, very dispersive phase response and high insertion loss. At 9 GHz, the errors of beam pointing angles for different port excitations are increased to 14.8° and the side lobe levels are deteriorated to −5.4 dB. To minimize these side effects, striplines can be considered to realize the network as they have wide bandwidth, less dispersion and lower loss. The designed network can also be used to feed planar antenna arrays. The arrangement of array ports are illustrated in Fig. 12. As shown in Table 3, the maximum
phase difference in $x$- and $y$-direction is $12\rho$ and $-13\rho$, respectively. To ensure that all the beams are located in the real space and the grating lobes are in the imaginary space, the element spacing in $x$- and $y$-direction should be greater than $0.55\lambda_0$ and less than $0.7\lambda_0$ [29]. Therefore the element spacing in $x$- and $y$-direction are selected to be $d_x = d_y = 0.6\lambda_0$. Fig. 13 shows the radiation contours of the planar array calculated with the use of the measured $S$-parameters of the designed Butler Matrix. The beam angles for different beam port excitations are listed in Table. 3. The spatial radiation
The number of crossovers is only four. The proposed structure is reduced significantly, from 116 to 60, where the number of components in the proposed structure is reduced from 116 to 60, where the number of crossovers is only four. The proposed 16 × 16 Butler Matrix network can be applied in the linear or planar multibeam antenna arrays to realize 1D or 2D beam switching.

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