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1 **Illness Beliefs Predict Mortality in Patients with Diabetic Foot Ulcers**

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26

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30 Structured Abstract

31 **Background:** Patients' illness beliefs have been associated with glycaemic control in diabetes and  
32 survival in other conditions.

33 **Objective:** We examined whether illness beliefs independently predicted survival in patients with  
34 diabetes and foot ulceration.

35 **Methods:** Patients (n=169) were recruited between 2002 and 2007. Data on illness beliefs were  
36 collected at baseline. Data on survival were extracted and used to calculate number of days survived  
37 from date of recruitment to the census point (1<sup>st</sup> November 2011).

38 **Results:** Logistic regressions revealed that mortality was predicted by ischemia and illness beliefs,  
39 specifically beliefs regarding symptoms (identity beliefs): patients with less ischemia and who believed  
40 their foot ulcer was associated with greater symptoms were more likely to die. Cox regressions  
41 examined the predictors of time to death and again identified ischemia and identity beliefs as significant  
42 predictors of time to death.

43 **Conclusions:** These data indicate that illness beliefs have a significant independent effect on survival  
44 in patients with diabetes and foot ulceration.

45

46 **Keywords:** illness beliefs; mortality; diabetes; diabetic foot ulcers; self-regulatory model

47

## 48 INTRODUCTION

49 The psychological functioning of patients with diabetes has been shown to be of clinical importance.  
50 For example, indices of psychological functioning have been associated with poorer metabolic  
51 control(1); greater treatment non-adherence(2) and an increased risk of diabetic complications.(3)  
52 Research with patients with diabetic foot ulcers has also been suggestive of a role for psychological  
53 factors in predicting clinical outcomes. For example, in patients with, or at risk, from foot ulceration,  
54 depression has been associated with an increased risk of ulceration,(4) delays in the rate of ulcer  
55 healing(5) and a 2 fold greater risk of mortality.(6)

56 The evidence regarding the relationship between psychological functioning and outcomes in patients  
57 with foot ulcers has, however, largely focussed on depression and remains equivocal. For example,  
58 contrary to the studies cited above, data also exist to suggest that depression is not related to ulcer  
59 recurrence(4, 6) or amputation.(7) Similarly, the effect of depression on ulcer healing has been shown  
60 not to withstand adjustment for clinical predictors.(8) These observations lead us to speculate that a  
61 focus on depression alone may be limiting our understanding of the ways in which psychological  
62 functioning can influence clinical outcomes in diabetic foot ulceration; and that it may be necessary to  
63 examine the role of other psychological processes.(5, 9)

64 If we are to extend our assessment of psychological factors beyond depression, which factors are worthy  
65 of further enquiry? The influential self-regulatory model of illness(10) can inform this question. The  
66 model asserts that patients form illness beliefs when contending with a health threat and that these  
67 beliefs play a central role in determining patients' emotional and behavioural responses to their illness.  
68 In this way, illness beliefs are 'upstream' from emotional responses, such as depression, to illness. A  
69 recent systematic review provides evidence in support of illness beliefs being associated with glycaemic  
70 control in diabetes.(11) Furthermore, a study comparing the effects of depression versus illness beliefs  
71 in predicting dietary, quality of life and glycaemic control outcomes in diabetes, showed that illness

72 beliefs were more consistent and stronger determinants of these outcomes than depression.(12) Of  
73 particular relevance, however, is recent work with patients with other patient groups which has shown  
74 that illness beliefs predict mortality. For example, van Dijk and colleagues(13) reported in a cohort of  
75 patients with end stage renal disease that beliefs regarding treatment control predicted mortality: with  
76 death being more likely in patients who believed their treatment to be less effective. Similar findings  
77 were subsequently reported by Chilcot, Wellstead and Farrington (2011) who also found negative  
78 beliefs about the effectiveness of treatment predicted mortality in patients with end stage renal  
79 disease.(14) More recently, Crawshaw, Rimington, Weinman and Chilcot (2015) reported that changes  
80 in illness perceptions, specifically a change from positive to negative beliefs, predicted mortality in  
81 patients who had undergone cardiac valve replacement.(15)

82 Taken together, the evidence suggests that illness beliefs may predict clinical outcomes, including  
83 mortality, in patients with diabetes including those with diabetic foot ulcers. We report on findings from  
84 a prospective cohort study in which we examined the effects of illness beliefs on mortality in patients  
85 with active ulceration. In line with previous research we hypothesised that the risk of death would be  
86 greater in patients with negative beliefs. Our predictive models were constructed to examine whether  
87 illness beliefs predicted mortality after examining the role of potential demographic and clinical  
88 determinants, as well as depression and coping. Depression was included in view of its prominence in  
89 the literature as a determinant of mortality in diabetes.(6, 7, 16) Confrontational coping was also  
90 considered a plausible determinant in light of evidence that ulcer history predicts mortality in patients  
91 with diabetic foot ulcers(16) and earlier work with this cohort has shown that confrontational coping  
92 predicts ulcer healing.(5)

## 93 **RESEARCH DESIGN AND METHODS**

### 94 **Patients**

95 A convenience sample of patients with diabetes mellitus and a foot ulcer was recruited from outpatient  
96 podiatry clinics in secondary care in the UK between January 2002 and January 2007. Patients were  
97 recruited into a longitudinal research programme examining psychological and behavioural aspects of  
98 diabetic foot ulceration. This study was approved by the North Somerset & South Bristol Research  
99 Ethics Committee and all participating patients provided written informed consent.

100 All clinics subscribed to a standard regimen of foot care, i.e., aggressive debridement at each visit,  
101 treatment of infections with antibiotics and the use of removable Scotch-casts and other  
102 footwear/devices for offloading ulcers on weight-bearing areas, minimising the likelihood of between-  
103 centre variations in treatment outcomes. Inclusion/exclusion criteria ensured the population consisted  
104 of patients with neuropathic or neuroischaemic ulcers. Patients were not eligible if they had: no palpable  
105 pulses on the affected foot; a history of major amputation (i.e., any lower limb amputation greater than  
106 a single digit); known large vessel peripheral vascular disease (e.g., previous bypass surgery,  
107 angioplasty); advanced diabetic retinopathy with severe visual impairment; advanced nephropathy (e.g.,  
108 on dialysis); other severe disabling medical conditions (e.g., stroke); or were being treated with platelet-  
109 derived growth factor, tissue engineered skin or total contact casts.

110 One hundred and sixty-nine patients were recruited. In November 2011, survival data (i.e., deceased  
111 versus alive at 1/11/11; and, if deceased, date of death) were requested from General Practitioners. Data  
112 were available for 160 patients (n=104 alive at census point; n=32 deceased and date of death known;  
113 n=24 deceased and date of death not known). No data were available for 9 patients. These patients were  
114 excluded from the analyses. Analyses were conducted to compare patients with and without survival  
115 data on all the predictor variables. No differences were evident between the groups on any variable (data  
116 not shown), with the exception of age which approached significance ( $p=0.056$ ): patients with missing  
117 survival data were older (mean=65 years) compared with patients with complete data (mean=60 years).

## 118 **Measures**

119 **Illness beliefs:** Participants completed the Brief Illness Perceptions Questionnaire (BIPQ)(17) derived  
120 from the self-regulatory model of illness.(10) This instrument is recommended in studies involving  
121 older participants and/or ill participants and so was selected for the present study. The instrument  
122 captured patients' beliefs regarding their foot ulcer in the following domains: identity ('How much do  
123 you experience symptoms?'); consequences ('How much does your ulcer affect your life?'); timeline (How  
124 long do you think your ulcer will continue?); personal control ('How much control do you feel you have over  
125 your ulcer?'); treatment control ('How much do you think your treatment can help your ulcer?'); coherence  
126 ('How well do you feel you understand your ulcer?') and emotional response ('How much does your ulcer  
127 affect you emotionally?'). The range of scores for each subscale was 0-10, with higher scores indicating  
128 a stronger belief in the relevant domain. The reliability, concurrent and predictive validity of the  
129 instrument has been reported elsewhere.(17, 18)

130 **Depression:** Depression was measured using the depression subscale of the Hospital Anxiety and  
131 Depression Scale (HADS).(19) The range of scores for this subscale was 0-21, with higher scores  
132 reflecting higher levels of depression. The Cronbach's alpha reliability coefficient for the subscale in  
133 the present study was 0.849.

134 **Confrontational coping:** Confrontation coping was measured using the confrontation subscale of the  
135 Medical Coping Modes Questionnaire.(20) Range of scores for this subscale was 1-26, with higher  
136 scores indicating a greater propensity towards confrontational coping. Confrontational styles are  
137 characterised as being more controlling, competitive and extroverted. The Cronbach's alpha reliability  
138 coefficient for the subscale in the present study was 0.709.

139 **Glucose control:** Glycated haemoglobin (HbA1c) was measured to provide a surrogate marker of  
140 disease control 2-3 months prior to study entry. HbA1c was measured by cation exchange high  
141 performance liquid chromatography using a Menarini HA-8140 analyser and associated reagents (A.  
142 Menarini Diagnostics, Wokingham, UK). The assay was maintained in alignment with the Diabetes



143 Control and Complications Trial method,(21) with no significant assay drift and a between-batch  
144 imprecision (CV) of 1.8% (at mean HbA1c 5.5% [37 mmol/mol]). All assays were performed on the  
145 same instrument.

146 **Neuropathy and ischaemia assessments:** Neuropathy was assessed by applying a 10g nylon  
147 monofilament to a number of sites on the affected foot and patients reporting the presence/absence of  
148 sensation. Level of neuropathy was based upon the number of tested sites with sensory loss. Percentage  
149 rather than absolute values were used as the number of sites assessed varied between podiatrists.  
150 Ischaemia was assessed by measuring number of palpable pulses at the dorsalis pedis and posterior  
151 tibial areas of the affected foot. All assessments were conducted by the treating podiatrist at each centre.

152 **Ulcer assessments:** Data were collected from clinical records on all patients regarding the number of  
153 previous ulcers the size of the presenting ulcer and the presence/absence of infection in the presenting  
154 ulcer. The assessment of ulcer size involved placing a disposable transparent film over the ulcer and  
155 tracing the topical area of the ulcer. The tracing was then placed on a digital tablet (Visitrack: Smith  
156 and Nephew, London, UK) and the area of the ulcer was re-traced with a stylus to produce a  
157 measurement of absolute ulcer area (in mm<sup>2</sup>). These assessments were conducted by the treating  
158 podiatrist at each centre.

## 159 **Statistical methods**

160 One way analysis of variance and chi-square analysis were conducted to compare patients with and  
161 without missing survival data on all predictor variables. After checking that assumptions were satisfied,  
162 survival analysis was undertaken using both logistic regression (to examine the predictors of whether  
163 or not patients died over the observation period) and Cox regression models (to examine the predictors  
164 of time to death). For the latter the survival outcome was number of days survived from the date of  
165 recruitment to the census point (1/11/11) or death from any cause. Both survival analyses involved two  
166 stages. In the first, all potential clinical and demographic predictors and the measures of depression and

167 coping were examined in univariate analyses to identify significant predictors. In the second step, all  
168 seven belief measures were added to only those covariates identified as being significant in the first  
169 step. Although this resulted in our models having up to ten predictors, this approach is in keeping with  
170 contexts in which it is appropriate to relax the rule of ten predictors per number of outcomes(22); and  
171 the self-regulatory model(10) which argues that a patients' understanding of their illness, and  
172 subsequent behavioural and emotional responses, are influenced by all of the belief domains represented  
173 in the model.

174 As both the predictor and outcome variables contained missing values, imputation methods were used  
175 to maximise the available data for the survival analysis. The independent variables appeared to be  
176 missing completely at random: Little's test(23) returned a p-value of 0.74. As only 79 out of the 160  
177 patients contained no missing values, we imputed the missing predictor values using k-nearest  
178 neighbours, with k=5, to ensure there was sufficient power.(24) For the outcome measures, survival  
179 status was known for 160 patients. Of these, 24 were known to have died, but their date of death was  
180 unknown. Thus, we performed multiple imputations to estimate the survival time for these patients. Five  
181 imputation techniques were used, the first considered the patients to survive midway between their  
182 inclusion into the study and study end date. The second identified the average proportion of time  
183 between patients' start dates and the study end date for all the patients who died with a known date of  
184 death and estimated the patient's death to be the same ratio between their start date and the study end  
185 date. The third imputed survival time was based on the survival time of the patient with the closest start  
186 date and the fourth survival time was based on the survival time of the four patients with the closest  
187 start date. The fifth survival time was based on the average of the previous four survival times.

188 Using these datasets we then performed the logistic regression and Cox survival analysis. As the logistic  
189 regression does not rely on survival time, we performed the logistic regression without survival  
190 imputation. The Cox survival analysis was performed using all five predicted survivals.

191 Missing imputation methods were implemented using R, a free software environment for computing  
192 and graphics.(25) All other analyses used SPSS, Version 19.

### 193 **Procedure**

194 Patients participated in a prospective observational study. At baseline, the following clinical and  
195 demographic data were collected on all participants: age, gender, glycosylated haemoglobin (HbA1c),  
196 number of previous ulcers, presence/absence of infection in ulcer, diabetes type, neuropathy and  
197 ischemia and ulcer size. Participants also completed self-report measures of illness beliefs,(17)  
198 depression(19) and confrontation coping(20) at baseline. Data on survival were collected after the  
199 survival census point (1<sup>st</sup> November 2011).

## 200 **RESULTS**

### 201 **Cohort Characteristics**

202 Table 1 shows that the average period patients survived in this study was 6 years (range 57-3534 days);  
203 the average age of participants was 60 years and, in keeping with the known prevalence of these ulcers,  
204 two-thirds of our participants were male. The clinical data indicated moderately high levels of  
205 neuropathy and ischemia and average HbA1c levels suggested poor glucose control. Most patients had  
206 had an ulcer previously and for approximately one-third of patients the index ulcer was infected at study  
207 entry. The psychological data revealed, on average, low levels of depression and modest levels of  
208 confrontation coping. The illness beliefs measure indicated that patients reported that they experienced  
209 few physical symptoms associated with their ulcers (identity beliefs); believed their ulcers had  
210 significant consequences for them (consequence beliefs); and were likely to last a moderately long time  
211 (timeline beliefs). Patients also reported moderate levels of personal control over their ulcers (personal  
212 control beliefs), but had a greater belief in the effectiveness of treatment (treatment control beliefs).

213 Coherence beliefs suggested that patients' perceived they had a moderately good understanding of their  
 214 ulcers and also believed that their ulcers affected their emotional well-being.

215 **Table 1: Clinical, demographic and psychological characteristics of the cohort**

	Mean (standard deviation) / Frequency	Available data (N)
Survival (days)	2233 (+/-912)	136
Gender	100 male / 36 female	136
Age	60.25 (+/-11.89)	136
HbA1c % [mmol/mol]	8.70 (+/-1.82); [72 +/-19.9]	129
Number of previous ulcers	1 (+/-3)	120
Ulcer infected at baseline	50 yes / 85 no	135
Diabetes type 1/2	Type 1=39/Type 2=94	133
Ulcer area at baseline (mm <sup>2</sup> )	18.02 (34.68)	125
Neuropathy score (%)	72 (+/-33)	130
Ischemia score (%)	73 (+/-34)	131
Depression	5.78 (+/-4.28)	111
Confrontation coping	17.95 (+/-3.7)	109
Identity beliefs	2.99 (+/-2.86)	102
Consequence beliefs	6.53 (+/-2.07)	100
Timeline beliefs	5.97 (+/-1.85)	102
Personal control beliefs	6.03 (+/-2.46)	99
Treatment control beliefs	8.17 (+/-1.36)	101
Coherence beliefs	6.05 (+/-2.15)	102
Emotional response beliefs	5.61 (+/-2.66)	102

216

### 217 **Examining predictors of mortality**

218 The results from univariate logistic regression analyses examining the role of potential clinical and  
 219 demographic predictors, and depression and coping, on mortality revealed that age (OR 1.035,  
 220 p=0.022), diabetes type (1/2) (OR .419, p=0.033) and ischemia (OR .975, p <0.0001) were significant  
 221 independent predictors of whether or not a patient had died by the census point (see Table 2). Neither

222 depression nor coping emerged as significant predictors. In the multivariate model, the inclusion of the  
223 illness belief measures revealed that, although ischemia and diabetes type (1/2) continued to be  
224 significant predictors of mortality, age was no longer significant. In addition, coherence beliefs emerged  
225 as a significant predictor of mortality (OR .765,  $p=0.027$ ); and identity beliefs approached significance  
226 (OR 1.215,  $p=0.092$ ). The direction of these associations suggested that patients were more likely to die  
227 if they had less ischemia; type 1 diabetes; a poorer understanding of their condition and perceived they  
228 had more symptoms. These analyses were repeated following imputation of missing data for the  
229 predictor variables, as described above, and the results remained largely unchanged. Specifically, age,  
230 diabetes type and ischemia emerged as the only significant predictors in the univariate analysis. The  
231 inclusion of illness beliefs in the multivariate model identified only ischemia (OR 0.347  $p < 0.0001$ ) and  
232 identity beliefs (OR 1.871,  $p=0.054$ ) as significant predictors of mortality. The effects of age and  
233 coherence beliefs were reduced to non-significant:  $p=0.102$  and  $p=0.197$  respectively (all other data not  
234 shown).

235

236 **Table 2: Results from logistic regression examining effects of all clinical and demographic covariates and depression and coping**  
 237 **(univariate analyses); and only significant covariates from step 1 with illness beliefs (multivariate analysis) on mortality status**

<b>Univariate analyses</b>				<b>Multivariate analysis</b>			
<b>Covariate</b>	<b>Odds ratios</b>	<b>p</b>	<b>95%CI</b>	<b>Covariate</b>	<b>Odds ratios</b>	<b>p</b>	<b>95%CI</b>
Age	1.035	.022	1.005-1.066	Age	1.003	.898	.960-1.048
Gender	1.351	.445	.625-2.922	Diabetes 1/2	0.288	.053	.081-1.018
Ulcer area at baseline (mm <sup>2</sup> )	1.001	.893	.990-1.011	Ischemia	0.973	.000	.960-.987
Ulcer infected at baseline	.666	.231	.343-1.295	Consequence beliefs	0.866	.374	.6311-.189
Diabetes 1/2	.419	.033	.189-.931	Timeline beliefs	1.053	.722	.792-1.4
Number of previous ulcers	1.048	.523	.908-1.21	Personal control beliefs	1.137	.233	.921-1.404
HbA1c	.928	.429	.772-1.116	Treatment control beliefs	0.864	.456	.589-1.268
Depression	.946	.232	.864-1.036	Identity beliefs	1.215	.092	.969-1.523
Confrontation coping	1.014	.785	.919-1.118	Coherence beliefs	0.765	.027	.603-.970
Neuropathy	1.011	.072	.999-1.023	Emotional response beliefs	0.879	.234	.710-1.087
Ischemia	.975	.000	0.964-.985				

**239 Examining predictors of time to death**

240 As with the previous analysis, the first step involved univariate Cox regression models in which we  
241 examined the role of potential clinical and demographic predictors and depression and coping. The  
242 results revealed that only diabetes type (1/2) and ischemia were significant predictors of time to death  
243 (see Table 3). In the multivariate model, the measures of illness beliefs were added to these significant  
244 covariates. These results showed that ischemia remained a significant predictor of time to death (HR  
245 0.976,  $p < 0.0001$ ) and that, as with the logistic regression analyses, coherence (HR 0.775,  $p = 0.036$ ) and  
246 identity beliefs (HR 1.245,  $p = 0.036$ ) also emerged as significant predictors, with treatment control  
247 beliefs (HR 0.735),  $p = 0.086$ ) approaching significance. Specifically, patients with less ischemia; a  
248 poorer understanding of their condition; who perceived they had more symptoms; but also a greater  
249 belief in the effectiveness of treatment were most likely to die (see Table 3).

250 **Table 3: Results from Cox regression analyses examining effects of all clinical and demographic covariates and depression and coping**  
 251 **(univariate analyses); and only significant covariates from step 1 with illness beliefs (multivariate analysis) on time to death**

<b>Univariate analyses</b>				<b>Multivariate analysis</b>			
<b>Covariate</b>	<b>Hazard ratios</b>	<b>p</b>	<b>95%CI</b>	<b>Covariate</b>	<b>Hazard ratios</b>	<b>p</b>	<b>95%CI</b>
Age	1.021	.179	.990-1.053	Diabetes 1/2	.395	.107	.128-1.223
Gender	1.029	.945	.462-2.291	Ischemia score	.976	.000	.965-.987
Ulcer area at baseline (mm <sup>2</sup> )	1.003	.585	.993-1.012	Consequence beliefs	.959	.817	.671-1.370
Ulcer infected at baseline	.792	.512	.394-1.592	Timeline beliefs	.993	.965	.717-1.374
Diabetes 1/2	.304	.026	.107-.868	Personal control beliefs	1.085	.465	.872-1.351
Number of previous ulcers	1.086	.182	.962-1.227	Treatment control beliefs	.735	.086	.517-1.045
HbA1c	.869	.181	.708-1.067	Identity beliefs	1.245	.036	1.014-1.529
Depression	.975	.579	.892-1.066	Coherence beliefs	.775	.036	.610-.983
Confrontation coping	1.014	.782	.918-1.120	Emotional response beliefs	.890	.274	.722-1.097
Neuropathy	1.005	.381	.994-1.017				
Ischemia score	.975	<.0001	.966-.985				



253 These analyses were repeated following imputation of missing predictor and outcome data as described  
254 above, and the results remained largely unchanged. In particular, regardless of which of the 5 imputation  
255 methods were used on the time to death variable, the univariate analyses revealed that only the measures  
256 of ischemia, diabetes type (1/2) and age were significant independent predictors of time to death (data  
257 not shown). Similarly, the multivariate analyses which included the illness belief measures revealed that  
258 for all 5 imputation methods, only ischemia and identity beliefs were significant predictors (see Table  
259 4).

260 **Table 4: Cox regression analyses using imputed data to examine effects of significant clinical and demographic and illness beliefs on**  
 261 **time to death**

Covariate	Imputation 1			Imputation 2			Imputation 3			Imputation 4			Imputation 5		
	HR	p	95%CI	HR	p	95%CI	HR	p	95%CI	HR	p	95%CI	HR	p	95%CI
Age	1.283	.094	.958-1.717	1.315	.065	.983-1.760	1.257	.126	.938-1.684	1.252	.130	.936-1.676	1.272	.108	.949-1.705
Diabetes 1/2	1.661	.192	.775-3.561	1.691	.175	.792-3.609	1.631	.211	.758-3.509	1.715	.173	.790-3.721	1.647	.203	.764-3.552
Ischemia	.425	.000	.313-.578	.420	.000	.308-.573	.418	.000	.308-.566	.405	.000	.298-.551	.415	.000	.305-.564
Consequence beliefs	.732	.176	.465-1.151	.706	.133	.448-1.112	.848	.466	.545-1.32	.828	.407	.530-1.293	.807	.342	.518-1.257
Timeline beliefs	1.280	.236	.851-1.925	1.250	.279	.834-1.873	1.189	.381	.808-1.75	1.157	.460	.786-1.702	1.24	.286	.835-1.841
Personal control beliefs	1.277	.261	.834-1.954	1.276	.264	.833-1.954	1.206	.367	.803-1.812	1.182	.415	.791-1.766	1.228	.333	.810-1.860
Treatment control beliefs	.770	.145	.542-1.094	.746	.101	.526-1.059	.790	.186	.557-1.121	.799	.209	.563-1.134	.783	.173	.550-1.113

Identity beliefs	1.809	.017	1.113-2.940	1.995	.007	1.213-3.281	1.622	.05	1.001-2.628	1.654	.040	1.022-2.675	1.669	.038	1.028-2.71
Coherence beliefs	.796	.227	.550-1.152	.769	.161	.532-1.111	.828	.317	.573-1.198	.796	.220	.552-1.146	.808	.260	.557-1.172
Emotional response beliefs	.729	.120	.489-1.086	.733	.129	.491-1.095	.756	.156	.514-1.113	.737	.128	.497-1.092	.741	.134	.5-1.097

## 263 CONCLUSIONS

264 We examined the role of illness beliefs in predicting mortality and time to death in patients with diabetic  
265 foot ulcers; controlling for other potential clinical and demographic determinants, as well as depression  
266 and confrontational coping. These analyses were conducted with and without imputation of missing  
267 data. The results from the multivariate models, without imputation, revealed that ischemia, coherence  
268 and identity beliefs predicted both mortality and time to death. Specifically, death was more common  
269 and occurred more quickly in individuals with less ischemia, who perceived their ulcers were associated  
270 with greater symptoms and had a poorer understanding of their condition. When these analyses were  
271 repeated with imputation of missing data for the predictor variables (logistic regressions) and imputation  
272 of missing data for both the predictor and outcome variables (Cox regressions), the findings were largely  
273 unchanged, with ischemia and identity beliefs emerging as significant predictors of both mortality and  
274 time to death in all analyses. In view of the increased power associated with the imputed datasets, the  
275 discussion of our findings will focus, primarily, on these results.

276 Our findings have several implications. First, they add to an existing literature which has shown that  
277 patients' illness beliefs can influence clinical outcomes in diabetes (e.g., quality of life, glycaemic  
278 control(11, 12)). In the present work, both survival analyses identified an independent role for illness  
279 beliefs in predicting survival. These results are also in keeping with findings from other patient  
280 groups(14, 15) and a recent systematic review(26) all of which have shown how negative beliefs  
281 regarding one's illness is predictive of mortality over periods as short as 1.32 years(14) and as long as  
282 10 years.(26)

283 Second, these results suggest that approaches to understanding mortality risk in this patient group(27)  
284 may be improved through the inclusion of illness beliefs in risk models. Our data showed that, even  
285 after controlling for other predictors, illness beliefs predicted survival; and that identity beliefs emerged  
286 as being of particular importance. Indeed, evidence suggesting that illness beliefs are not only

287 modifiable, but that illness belief based interventions can produce significant changes in a range of  
288 outcomes (e.g., adherence behaviours, mood, return to work) and across many different diseases,  
289 including diabetes (28-31); suggests that the measurement of illness beliefs may not only improve our  
290 understanding of the risk factors associated with mortality, but could also be incorporated into  
291 interventions to improve survival. Although detailed consideration of the features and mechanisms of  
292 such an intervention is beyond the scope of this paper, it could be hypothesised that evidence identifying  
293 significant prospective relationships between illness beliefs and glycaemic control(11) and illness  
294 beliefs and self-care behaviours(32) suggests that any such intervention could improve survival via these  
295 pathways.

296 A related issue concerns the mechanisms underlying the seemingly central role of identity beliefs in  
297 predicting mortality. Identity beliefs are concerned with an individual's perception of the extent to  
298 which their condition is symptomatic and are often associated with more favourable outcomes (e.g.,  
299 better adherence, attendance at cardiac rehabilitation, etc.(33, 34) However, in the present study, the  
300 experience of greater symptoms was associated with an increased risk of death. This finding could  
301 simply reflect the fact patients with greater symptoms had a greater burden of illness which resulted in  
302 the greater risk of mortality. Alternatively, the seemingly counter-intuitive role of identity beliefs in this  
303 patient group may be related to the unique nature of their condition. Specifically, our  
304 inclusion/exclusion criteria were intended to enable us to recruit patients with ulcers which were  
305 primarily neuropathic or neuroischemic. One of the defining features of such ulcers is that they are  
306 largely pain-free due to the nerve damage associated with neuropathy. Thus, we hypothesise, that  
307 patients with a largely painless condition who have high identity beliefs may have poorer outcomes  
308 because they erroneously associate pain and related symptoms with severity. Thus, an absence of pain  
309 may lead them to underestimate the seriousness of their ulcers; make them less likely to access  
310 appropriate healthcare and this may, in turn, give rise to the poorer mortality outcomes observed in our  
311 data.

312 A further issue relates to our finding that ischemia was associated with a survival advantage. As with  
313 identity beliefs, ischemia was found to predict both mortality and time to death in all analyses, thereby  
314 suggesting that, although counterintuitive, it was a robust finding. However, a number of issues should  
315 be considered when interpreting this result. First, our approach to measuring ischemia involved a single  
316 assessment (i.e., the measurement of the number of palpable pulses). However, the accurate  
317 measurement of ischemia requires multiple, not single, methods.(35) Thus, our approach, while  
318 pragmatic (we selected one method which could be conducted rapidly across all clinics), lacked  
319 precision and this may have contributed to our finding. Second, as stated, our inclusion criteria were  
320 intended to enable us to recruit patients with neuropathic or neuroischaemic ulcers i.e., patients with no  
321 palpable pulses (severe ischemia) were excluded. As a result, the patients in this cohort with the greatest  
322 levels of ischemia, were likely to be individuals with only moderate ischemic disease; and patients with  
323 low levels of ischemia likely to be patients experiencing greater neuropathy. As the treatments for  
324 microvascular complications such as neuropathy are considered not to be as effective as treatments for  
325 macrovascular complications,(36) this might explain the apparent survival advantage in our patients  
326 with moderate ischemia. In other words, moderate ischemia in this study may have been a marker of  
327 less severe neuropathy thus contributing to the observed relationship with mortality and time to death.

328 The final issue relates to the observation that depression did not influence survival. This finding is  
329 consistent with research showing that the effects of depression on clinical outcomes in diabetes are  
330 equivocal.(27) Indeed, our data support a growing literature suggesting that a focus on depression in  
331 isolation may not be helpful when considering how psychological factors, and psychological  
332 interventions, influence clinical outcomes in diabetes.(12, 37, 38) In the case of the present work, we  
333 were unable to detect a statistically significant independent effect of depression. However, it is worth  
334 noting that post-hoc analyses (data not shown) revealed that depression was significantly positively  
335 correlated with identity beliefs, thus suggesting the potential for an indirect effect of depression on  
336 mortality outcomes.

337 In summary, our analyses have shown a significant independent effect of patients' illness beliefs on  
338 survival in patients with diabetic foot ulcers. Potential limitations of this work relate to the modest  
339 sample size and the exclusion of patients for whom we were unable to obtain survival data from clinical  
340 records. However, it is worth noting that our sample size was greater than the mean sample size reported  
341 in a systematic review of previous work examining the role of illness beliefs in survival(26); and our  
342 excluded patients did not differ from the rest of the cohort on any of the predictors of survival. Finally,  
343 our approach to measuring illness beliefs was pragmatic but lacked precision. Although the brief IPQ  
344 is particularly suitable for studies with older and/or frail patients, it relies on single items for the  
345 measurement of each belief domain and this necessarily precludes a detailed analysis of patients' beliefs.  
346 Notwithstanding these limitations, these results broaden our understanding of the role of psychological  
347 processes in diabetes and add to the growing literature suggesting that individuals' beliefs about their  
348 illness may have prognostic significance.

#### 349 **AUTHOR CONTRIBUTIONS**

350 KV designed the research, secured funding, conducted data analysis and wrote the manuscript. KV is  
351 also the guarantor of this manuscript. KD contributed to data collection, analysis and discussion and  
352 review of manuscript. JNVM contributed to data analysis and discussion and review of manuscript. MW  
353 contributed to data collection, analysis and discussion and review of manuscript. NC contributed to  
354 study design and discussion and review of manuscript. CD contributed to study design and discussion  
355 and review of manuscript. ND contributed to study design and discussion and review of manuscript. PP  
356 contributed to study design and discussion and review of manuscript. JT contributed to study design  
357 and discussion and review of manuscript. JW contributed to study design and discussion and review of  
358 manuscript. AD contributed to study design and discussion and review of manuscript. RC contributed  
359 to study design and discussion and review of manuscript. JR contributed to data analysis and discussion  
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