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1 Self-Regulation in Endurance Sports:  
2 Theory, Future Research, and Practice

3  
4 Alister McCormick<sup>1</sup>, Carla Meijen<sup>2</sup>, Paul A. Anstiss<sup>3</sup>, and Hollie S. Jones<sup>4</sup>

5  
6 <sup>1</sup> Faculty of Sport, Health & Wellbeing, Plymouth Marjon University, Derriford Road,  
7 Plymouth, PL6 8BH, England.

8 <sup>2</sup> School of Sport, Health & Applied Science, St Mary's University, Twickenham, TW1 4SX,  
9 England.

10 <sup>3</sup> Endurance Research Group, School of Sport & Exercise Sciences, University of Kent,  
11 Medway Building, Chatham Maritime, Kent, ME4 4AG, England.

12 <sup>4</sup> School of Psychology, University of Central Lancashire, Preston, PR1 2HE, England.

13  
14 Correspondence concerning this article should be addressed to Alister McCormick, Faculty of  
15 Sport, Health & Wellbeing, Plymouth Marjon University, Derriford Road, Plymouth, PL6  
16 8BH, England. Email: [amccormick@marjon.ac.uk](mailto:amccormick@marjon.ac.uk).

17 Telephone: 01752 636700 (extension 5001).

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**Abstract**

There is considerable research interest in psychological aspects of endurance performance. Until recently, research typically lacked a theoretical underpinning, and contemporary research is particularly informed by the psychobiological model of endurance performance. In this critical review, we propose that psychological theories relating to self-regulation, particularly self-efficacy theory and the process model of emotion regulation, could shed more light on how endurance performance is determined and lead to additional understanding of how psychological interventions can be used. We argue that people encounter fewer stressors in most experimental studies than are encountered before and during real-life events. In addition, we argue that most research conducted to date has focused on the forethought and performance phases of self-regulation, rather than the self-reflection phase, and research has not considered the cyclical nature of self-regulation. We also argue that if research participants are not endurance athletes, then their motivation may not be self-determined and self-regulatory learning may not take place. Recommendations are given for future research, and evidence-based guidance is offered on enhancing performance and improving the quality of experience for endurance athletes.

*Keywords:* Emotion regulation; endurance performance; psychobiological model; self-efficacy; stressors

## 1 **Introduction to Endurance Performance**

2 A vast number of people participate in endurance events at elite and sub-elite levels. At the elite  
3 level, athletes compete in major competitions in many sport events involving endurance, including  
4 summer and winter Olympic events: athletics (e.g., 10,000 metres); indoor and open-water swimming  
5 (e.g., 800 metre freestyle, marathon swim); track, road, and mountain cycling; triathlon; rowing;  
6 modern pentathlon; cross-country skiing; biathlon; and speed skating. Although each type of event and  
7 competitive distance differs in its physical, technical, logistical, and psychological demands (Taylor,  
8 1995), endurance events commonly require the athlete to persevere in continuous, dynamic, and whole-  
9 body exercise tasks that are performed over middle or long distances (Burnley & Jones, 2007;  
10 McCormick, Meijen, & Marcora, 2015). At sub-elite levels, endurance events are popular with  
11 competitive recreational athletes. Further, thousands of people participate in endurance events (e.g., 5  
12 km and 10 km runs, half-marathons and marathons, triathlons, sportives) for reasons other than  
13 competition, such as to engage in more physical activity, as a personal challenge, to accompany a friend,  
14 or to raise money for charity (e.g., Lane, Murphy, & Bauman, 2008). Psychology research on endurance  
15 is relevant to people involved in endurance sports at each of these elite and sub-elite levels.

16 Participation in endurance events is characterised by some common demands (McCormick,  
17 Meijen, & Marcora, 2016). First, endurance athletes experience various exercise-related sensations  
18 during training and events, such as muscle pain (aching and burning muscles), injury-related pain, heavy  
19 breathing, and cramping discomfort that make it difficult for the athlete to continue (Christensen,  
20 Brewer, & Hutchinson, 2015; Hollander & Acevedo, 2000; Holt, Lee, Kim, & Klein, 2014; Kress &  
21 Statler, 2007; McCormick et al., 2016; McCormick, Meijen, & Marcora, 2018; Samson, Simpson,  
22 Kamphoff, & Langlier, 2017; Schumacher, Becker, & Wiersma, 2016; Simpson, Post, Young, &  
23 Jensen, 2014). Second, endurance athletes need to make difficult pacing decisions to achieve their  
24 performance and outcome goals, such as to finish, to achieve a specified time, or to achieve a position  
25 in the standings. If they perform at a pace that is too fast, they risk experiencing exhaustion before the  
26 end of the event and therefore not finishing or achieving a time below their capability; if they perform  
27 at a pace that is too slow, they risk achieving a time below their capability or failing to meet cut-off  
28 times in longer events. In head-to-head competitive events, endurance athletes also need to make pacing

1 decisions in relation to other performers (Hettinga, Konings, & Pepping, 2017; Konings, Schoenmakers,  
2 Walker, & Hettinga, 2016; McCormick et al., 2016; Micklewright, Kegerreis, Raglin, & Hettinga, 2017;  
3 Renfree, Carmo, Martin, & Peters, 2015; Renfree, Martin, Micklewright, & St Clair Gibson, 2014;  
4 Williams, Jones, Sparks, Marchant, et al., 2015). These pacing decisions are difficult because the  
5 performance environment is dynamic, there are many relevant cues to consider, athletes do not know  
6 the current physiological capacity of their competitors, and decisions often need to be made quickly and  
7 under pressure (Davies et al., 2016; Edwards & Polman, 2013; Renfree et al., 2014; Smits, Pepping, &  
8 Hettinga, 2014). Third, like athletes from other sports, endurance athletes encounter a range of  
9 competitive, organisational, and personal stressors before and during events (Hollander & Acevedo,  
10 2000; Holt et al., 2014; McCormick et al., 2016; Nicholls, Levy, Grice, & Polman, 2009; O’Neil &  
11 Steyn, 2007; Schumacher et al., 2016). Competitive stressors relate to competitive performance (e.g.,  
12 event preparation, injuries, pressure to perform, underperforming, performance expectations, self-  
13 presentation, rivalry), organisational stressors relate to the sport organisation that athletes operate within  
14 (e.g., leadership and personal issues, cultural and team issues, logistical and environmental issues,  
15 performance and personal issues), and personal stressors relate to personal “non-sporting” life events  
16 (e.g., the work-life interface, family issues, the death of a significant other) (Sarkar & Fletcher, 2014).  
17 Although some stressors are event-specific (e.g., tidal conditions in open-water swimming), other  
18 stressors are commonly encountered across many endurance events (e.g., weather, equipment, nutrition,  
19 hydration). As discussed later in the review (Emotion Regulation section), stressors can elicit emotional  
20 responses that are helpful or harmful to an endurance athlete’s performance.

21           Independent of whether a person competes in endurance events or participates without  
22 competing, psychological factors can influence how well they perform (for a review, see McCormick  
23 et al., 2015). Some psychological interventions, such as psychological skills training, consistently  
24 improve endurance performance (e.g., Blanchfield, Hardy, de Morree, Staiano, & Marcora, 2014). In  
25 addition, some psychological factors, such as mental fatigue and emotion suppression, can undermine  
26 endurance performance (Van Cutsem et al., 2017; Wagstaff, 2014). These findings demonstrate that  
27 people involved in endurance sports such as sport psychology practitioners, endurance athletes, and  
28 coaches could use psychological research to enhance performance. Research that has examined the

1 effects of psychological interventions on endurance performance, however, has typically lacked a  
2 theoretical basis. In fact, only 11 of the 46 studies (24%) included in a recent systematic review  
3 (McCormick et al., 2015) appeared to be informed by psychological theory. Moreover, as only 48% of  
4 the studies included in the systematic review measured psychological variables, the psychological  
5 mechanisms that could explain the intervention-performance relationship were unclear in most studies.  
6 Additional theoretically-informed research is needed to increase understanding of how psychological  
7 interventions affect the performances of endurance athletes. Through understanding these mechanisms  
8 and targeting the mechanisms with interventions, practitioners, athletes, and coaches could achieve  
9 greater and more consistent intervention effects (Michie & Prestwich, 2010). In addition, theoretically-  
10 informed research could play a role in identifying moderating variables, such as the characteristics of  
11 an endurance athlete or specific competitive situations, that influence the direction and size of  
12 intervention effects. Ultimately, theoretically-informed research could help sport psychology  
13 practitioners, athletes, and coaches to optimally use psychological interventions so that they are of most  
14 benefit to performance in endurance events.

15         The psychobiological model of endurance performance is a predominant theory applied in  
16 psychological intervention research on endurance performance. The psychobiological model is based  
17 on motivational intensity theory, which is a theory that explains effort mobilisation in goal pursuit  
18 (Brehm & Self, 1989; Richter, Gendolla, & Wright, 2016). A main proposal of the psychobiological  
19 model is that perception of effort (how effortful, heavy, and strenuous the exercise feels; Marcora, 2010)  
20 and potential motivation (the greatest amount of effort that a person would be willing to offer to satisfy  
21 a motive; Brehm & Self, 1989) are the main determinants of endurance performance. A person's  
22 perception of effort is proposed to reflect their conscious awareness of central motor commands sent to  
23 the locomotor and respiratory muscles (Marcora, 2009). Potential motivation is determined by factors  
24 traditionally associated with motive strength such as a person's needs (e.g., financial needs,  
25 achievement needs), potential outcomes of an instrumental behaviour (e.g., incentives such as the  
26 opportunity to win a medal or achieve a personal best time, aversive outcomes such as pain), and the  
27 perceived probability that a successfully-executed behaviour will satisfy a need or produce a desired  
28 outcome (e.g., attainability of goals) (Brehm & Self, 1989). For example, elite track-and-field athletes

1 have reported being highly driven by their personal task and ego goals, and the sense of accomplishment  
2 and recognition they got when they achieved them, and they reported strong belief in their ability to  
3 achieve their goals (Mallett & Hanrahan, 2004).

4         When performing at a fixed or incremental workload until exhaustion, perception of effort  
5 increases with time (e.g., Noble & Noble, 2000). The psychobiological model proposes that performers  
6 consciously decide to give up under one of two circumstances: (1) they perceive the effort required to  
7 continue is greater than they are willing to offer, or (2) they believe they have offered their maximal  
8 effort and perceive continuing as impossible. During time trials, when athletes can adjust their pace, the  
9 model proposes that endurance athletes consciously make pacing decisions to control the increase in  
10 their perception of effort over time, so that they experience the greatest amount of effort they are willing  
11 to offer at the end of the event (Marcora & Bosio, 2007). As most endurance events involve head-to-  
12 head competition, the psychobiological model proposes that additional psychological factors (e.g.,  
13 individual and team strategy, behaviour of other competitors) influence pacing decisions and  
14 performance in endurance events (Marcora, 2015). Research testing predictions of the psychobiological  
15 model has started to accumulate. It is therefore timely to critically review the psychobiological model  
16 and its experimental support, and to consider additional theories that could shed more light on how  
17 endurance performance is determined.

18         Few psychological theories have been applied to psychological intervention research on  
19 endurance performance. In addition to the psychobiological model, there are other theories specific to  
20 exercise performance that explain how endurance performance is determined, and there is much critical  
21 debate between proponents of theories (e.g., Inzlicht & Marcora, 2016; Micklewright & Parry, 2010;  
22 Shephard, 2009). Some of these theories are firmly grounded in exercise physiology (inhibitory afferent  
23 feedback model, Amann & Secher, 2010; oxygen uptake kinetics, Burnley & Jones, 2007; critical power  
24 concept, Jones, Vanhatalo, Burnley, Morton, & Poole, 2010; maximum oxygen consumption, lactate  
25 threshold, and economy/efficiency, Joyner & Coyle, 2008), and others consider the interplay between  
26 physiological and psychological factors (central governor model, Noakes, 2012; integrative governor  
27 theory, St Clair Gibson, Swart, & Tucker, 2018; anticipatory feedback model, Tucker, 2009). The latter  
28 theories also identify effort-related perceptions as influencing endurance performance, although the

1 definitions, neurophysiological bases, and performance-related mechanisms of these effort-related  
2 perceptions vary and are critically debated (e.g., Marcora, 2009). Nevertheless, from a psychology  
3 perspective, we argue that these theories have a limited psychological theoretical foundation that sport  
4 and exercise psychology researchers and practitioners, athletes, and coaches could practically apply to  
5 enhance performance. In this article, we propose that additional psychological theories relating to self-  
6 regulation could valuably inform intervention research. Although these theories are not specific to  
7 endurance activity, we argue that they do not need to be, as endurance events share important  
8 commonalities with other sport events and other areas of goal pursuit.

### 9 **Aims of the Review**

10         Considering the substantial numbers of endurance event participants at elite and sub-elite  
11 levels, the limited number of theoretically-informed psychological intervention studies on endurance  
12 performance, and the growing research on the psychobiological model, it is timely to review what we  
13 know and how to build on this to inform practice. The overarching purpose of this review is to stimulate  
14 theoretically-informed intervention research in the area of endurance performance. This review has four  
15 aims. The first aim is to critically review the contribution of the psychobiological model in explaining  
16 endurance performance, particularly considering the ecological validity of research that supports it. The  
17 second aim is to stimulate and direct theoretically-informed psychological intervention research on  
18 endurance performance. Building on the second aim, and based on the demands of endurance  
19 performance, the third aim is to apply two relevant psychological theories relating to self-regulation  
20 (self-efficacy theory and the process model of emotion regulation), and to review research relating to  
21 these theories in the context of endurance performance. The fourth aim is to provide theoretically-  
22 informed and evidence-based intervention suggestions for enhancing performance and improving the  
23 quality of experience for endurance athletes. To achieve these aims, a critical literature review is  
24 presented.

25

26

### 27 **Definitions and Literature Search**



1           Within this review, we consider *endurance* tasks and events to involve performing continuous,  
2 dynamic, and whole-body exercise tasks (e.g., running, cycling, swimming, rowing) over middle or  
3 long distances, at sub-maximal intensities. Studies have measured endurance performance by measuring  
4 the amount of time that it takes a person to complete a set distance or amount of work (“constant-work  
5 tests” or “time trials”), the highest velocity or power-output increment that a person can reach before  
6 exhaustion (“incremental tests”), the amount of time that a set workload can be maintained (“constant-  
7 workload” or “time-to-exhaustion tests”), the distance or the amount of work that a person can complete  
8 in a set duration (“constant-duration tests”), and performance outcomes in competition (McCormick et  
9 al., 2015). Although the performance distances and times of endurance events can vary substantially,  
10 we reviewed studies where performances lasted for at least 75 seconds (McCormick et al., 2015)  
11 (equivalent to 800 metres in running and 200 metres in freestyle swimming), to reflect the predominant  
12 contribution of the aerobic energy system (Gastin, 2001). So that the review of theories reflects the  
13 research conducted on them within the endurance context, a systematic literature search was conducted.  
14 A database search (Scopus, SportDiscus, PsychInfo) was conducted in October 2017 to locate peer-  
15 reviewed studies that (1) contain “psychobiological model”, “self-efficacy”, “self-regulation”, or  
16 “emotion regulation” in the title, abstract, subjects, or keywords and (2) contain an endurance-related  
17 word in the title, abstract, subjects, or keywords (“endurance”, “time trial”, “time-to-exhaustion”,  
18 “running”, “cycling”, “swimming”, “rowing”, “triathlon”, “speedskating”, “racewalking”, or “cross-  
19 country skiing”). A previous systematic review (McCormick et al., 2015), and forward citation searches  
20 of relevant papers in Scopus and Google Scholar, were also used to locate relevant studies. Located  
21 studies were scrutinised in relation to the review aims. Broader literature is included where relevant.

## 22 **Psychobiological Model**

23           Applying the psychobiological model, any factor that reduces perception of effort or increases  
24 potential motivation should enhance endurance performance, and any factor that increases perception  
25 of effort or reduces potential motivation should undermine endurance performance (Marcora et al.,  
26 2008). In the next paragraphs, we will review experiments that generally support these predictions. As  
27 previously stated, however, relatively few experimental studies in this research area have had a clear  
28 theoretical basis. Consequently, few studies have examined psychological mechanisms that could

1 explain changes in endurance performance, by measuring motivation, perception of effort, or other  
2 mediating variables. Mechanisms therefore cannot be determined in many studies.

3         Regarding perception of effort, a change in perception of effort could be seen through a change  
4 in ratings of perceived exertion (RPE) when performing at a fixed workload, or through a change in  
5 pace without a change in RPE (e.g., increasing power output in a cycling time trial without reporting  
6 higher RPE). Motivational self-talk (Barwood, Corbett, Wagstaff, McVeigh, & Thelwell, 2015;  
7 Blanchfield et al., 2014) and psychological skills training packages (Barwood, Thelwell, & Tipton,  
8 2008) appear to reduce perception of effort, and they have been shown to improve endurance  
9 performance. Another study, however, found that using motivational self-talk when performing in the  
10 heat did not reduce perception of effort and, instead, helped performers to perform for a longer duration  
11 when they reached near-maximal levels of effort (Wallace et al., 2017). In addition, mental fatigue (Van  
12 Cutsem et al., 2017) and emotion suppression (Wagstaff, 2014) increase perception of effort and  
13 detrimentally affect endurance performance.

14         An area of contention, however, is the proposal that perception of effort is the only exercise-  
15 related sensation that substantially contributes to pacing and endurance performance when athletes are  
16 uninjured. Mauger (2014) argued that exercise-induced muscle pain and discomfort also contribute to  
17 pacing decisions and endurance performance, particularly during high-intensity exercise. This argument  
18 is consistent with self-reports by endurance athletes (e.g., Kress & Statler, 2007), although endurance  
19 athletes' use of pain-related words are often broad and partly reflect other exercise-related sensations  
20 such as effort (e.g., Simpson et al., 2014). Although perceptions of effort and pain during endurance  
21 exercise are correlated and increase alongside one another (e.g., Mauger, Jones, & Williams, 2010),  
22 there is some research support for the independent role of pain tolerance in cycling performance  
23 (Astokorki & Mauger, 2017). Affective valence (i.e., pleasure or displeasure) (Hardy & Rejeski, 1989)  
24 is an additional exercise-related sensation that could influence pacing and performance in endurance  
25 events (Ekkekakis, Hargreaves, & Parfitt, 2013; Ekkekakis, Parfitt, & Petruzzello, 2011; Venhorst,  
26 Micklewright, & Noakes, 2017). Performing feels increasingly unpleasant between the lactate and  
27 ventilatory thresholds and maximal oxygen uptake (Ekkekakis et al., 2011), and feelings of displeasure  
28 could influence pacing decisions, particularly in populations that are inexperienced or non-competitive.

1 Although some research has examined affective responses during endurance performance (Jones et al.,  
2 2014), research has yet to establish whether it has a primary role in determining pace, independent of  
3 perception of effort. Measuring perception of effort, perceived pain, and affective valence as standard  
4 perceptual measures could help to clarify the role that each plays in determining pacing and endurance  
5 performance (Mauger, 2014). Furthermore, potential differences in the roles that these three variables  
6 play in determining pacing and performance between trained and untrained populations, between  
7 different endurance sports, and at different exercise intensities need to be considered. When measuring  
8 perception of effort, perceived pain, and affective valence, they should be appropriately defined and  
9 measured (see Ekkekakis, 2012; Pageaux, 2016).

10         Regarding potential motivation, a range of motivational interventions improve endurance  
11 performance. Interventions such as verbal encouragement (e.g., Moffatt et al., 1994), head-to-head  
12 competition (e.g., Corbett et al., 2012), goal setting (e.g., Theodorakis et al., 1998), performing in front  
13 of a person of the opposite sex (Jung, Ferrari, Goebel, & Figulla, 2009), pre-performance motivational  
14 shouting exercises (Donohue et al., 2006), and pre-performance music (Smirmaul, dos Santos, & da  
15 Silva Neto, 2015) could be expected to increase a person's potential motivation, and they do improve  
16 endurance performance (for a review, see McCormick et al., 2015). A common limitation of research  
17 in this area, however, is that studies generally have not included a manipulation check to determine  
18 whether the intervention had the anticipated effect. Many of these studies also have not explored  
19 potential intervention-performance mechanisms (cf. Smirmaul et al., 2015), making it difficult to  
20 attribute the intervention effects conclusively to motivation. There is also evidence that listening to  
21 motivational music while performing can improve endurance performance (Karageorghis & Priest,  
22 2012), but because there is little homogeneity across studies conducted on music, it is difficult to unravel  
23 the effects of different types of music on motivation and perception of effort during endurance exercise  
24 at different intensities.

25         Although potential motivation could be measured before performance using motivation  
26 questionnaires and during performance using the highest rating of perceived exertion, methods of  
27 examining changes in motivation levels that occur during performance (e.g., in response to stressors)  
28 have not been established. Innovative motivation measures are needed for the endurance research

1 context, and these could include combinations of psychophysiological measures (e.g., changes in RPE  
2 during self-paced tasks), behavioural measures (e.g., changes in power output during self-paced tasks),  
3 and qualitative data (e.g., think-aloud protocol, post-performance interview) (Clancy, Herring,  
4 MacIntyre, & Campbell, 2016). Social desirability challenges associated with self-reporting motivation  
5 (particularly without anonymity, e.g., King & Bruner, 2000) also merit consideration and innovative  
6 solutions.

7         Research reviewed in the Introduction to Endurance Performance section demonstrates that  
8 people encounter a range of stressors in competitive and non-competitive endurance events. The vast  
9 majority of research on psychological interventions for endurance performance, however, has been  
10 conducted away from events. Indeed, 29 of 46 studies (63%) included in the McCormick et al. (2015)  
11 systematic review were conducted in a laboratory, 15 (33%) were conducted in field settings that were  
12 not real-life events (although three of them simulated competitions), and only two (4%) were conducted  
13 at real-life events. In laboratory and non-competitive field settings, athletes would not encounter many  
14 of the stressors that are encountered during endurance events. Further, encountering stressors outside  
15 of a real-life event would be less likely to put an athlete's goals at stake and elicit a potentially  
16 debilitating emotional response (Martinent & Ferrand, 2015; Uphill & Jones, 2007). Moreover,  
17 encountering stressors in a setting such as a laboratory that does not reflect an athlete's normal training  
18 and race conditions is not expected to play a large role in an athlete's self-efficacy because the stressors  
19 are not as threatening to their goal achievement. For example, endurance athletes may rely on vicarious  
20 experiences to inform their self-efficacy, such as comparing their performance to others they are  
21 competing against. Therefore, we believe that a key characteristic of endurance events is not present in  
22 the experimental studies that support the psychobiological model. As explained in the following  
23 sections, we believe that psychological mechanisms relating to encountered stressors play a key role in  
24 determining endurance performance in real-life events. Although a performer's response to these  
25 stressors could influence their motivation, perception of effort, or concentration on their pacing (e.g.,  
26 Martinent & Ferrand, 2009)—factors that would be predicted to influence performance, when applying  
27 the psychobiological model—we argue that these mechanisms are only sufficiently appreciated by  
28 considering the psychobiological model in conjunction with other theories. Within this article, we argue

1 that self-regulation theories relating to self-efficacy and emotion regulation could shed additional light  
2 on how endurance performance is determined, and lead to improved understanding of how  
3 psychological interventions can be used to benefit performance and quality of experience at endurance  
4 events.

### 5 **Self-Regulation**

6 Endurance activities typically require performers to engage in processes that guide them  
7 towards, or away from, states or goals. This can be during a race, but also in training and developmental  
8 stages that include pre-race situations and tapering. Examples of these processes relate to the effort a  
9 performer is willing to offer, how to manage pain and discomfort, and decisions about whether to  
10 continue and at what pace to continue. These processes, where individuals alter their own responses or  
11 inner states in a goal-directed manner, encapsulate self-regulation (Carver & Scheier, 2009). In this  
12 review, we are particularly inspired by self-regulation as a framework that underpins action-planning,  
13 learning, and development in endurance performance activities (for further reading on different  
14 approaches to self-regulation, we refer the reader to Boekaerts, Pintrich, & Zeider, 2000; Vohs &  
15 Baumeister, 2017). We draw on the definition of self-regulation as “self-generated thoughts, feelings  
16 and actions that are planned and cyclically adapted to the attainment of personal goals” (Zimmerman,  
17 2000, p. 14), and we acknowledge the importance of self-oriented feedback loops occurring at different  
18 phases of self-regulated learning (Zimmerman, 2000). We note that, although motivation and self-  
19 regulation are “close friends” (Boekaerts, 2010), these concepts are not the same. Rather, taking into  
20 account the volitional nature of self-regulation, one can consider motivation to be needed for the  
21 mobilisation of self-regulation (Kuhl, 2000). That is, taking part in endurance activities per se does not  
22 mean that an endurance athlete engages in self-regulation.

23 Self-regulation enables an individual to monitor and adjust their goal-directed activities in  
24 different situations and contexts using self-oriented feedback loops. A self-oriented feedback loop  
25 involves the evaluation of one’s behaviour in relation to their personal standards and the environment  
26 (Zimmerman, 2000). Self-regulation can be considered to involve three phases: forethought,  
27 performance, and self-reflection (Zimmerman, 2000, 2002). This view of self-regulation is cyclical and  
28 incorporates the self-oriented feedback processes, which is underlined by the inclusion of a self-

1 reflection stage, and endurance athletes can employ a range of metacognitive skills such as planning,  
2 monitoring, and reviewing their thoughts in these three phases (see Brick, MacIntyre, & Campbell,  
3 2015). Metacognition is often referred to as “thinking about thinking” (Miller, Kessel, & Flavell, 1970,  
4 p. 613), and it is an important aspect of self-regulation. Metacognition traditionally emphasised the  
5 development of cognitive structures, and reflects the activation of thoughts and behaviours to achieve  
6 goals. This is where the link with self-regulation can be drawn, that is, where metacognition traditionally  
7 is considered to be about the activation of cognitive structures, self-regulation emphasises the  
8 interaction of the person and environment, and subsequent goal-driven behaviour (Dinsmore,  
9 Alexander, & Loughlin, 2008). For example, when considering endurance performance, the  
10 metacognitive skill of establishing a preferred pacing strategy can influence behaviour in a particular  
11 context, such as how much effort a cyclist is putting into a one-hour time trial (Brick, MacIntyre, &  
12 Campbell, 2016).

13         The first phase of self-regulation is the forethought phase. This phase refers to processes and  
14 beliefs that happen *before* the activity takes place, such as planning, goal setting, and activation of  
15 strategies such as identifying a pacing strategy. Two major classes of processes take place in the  
16 forethought phase: task analysis and self-motivation beliefs (Zimmerman, 2000). Task analysis involves  
17 goal setting and strategic planning, such as breaking a task down into different parts. An example of a  
18 task-analysis process is breaking a marathon down into different stages (or “chunks”) with a different  
19 goal for each of these stages. Elite endurance athletes have also reported planning race objectives,  
20 planning race tactics and pacing, and planning their use of cognitive strategies (e.g., to focus on  
21 breathing), race support needs, and nutritional strategies (Brick et al., 2015). Self-motivation beliefs  
22 involve self-efficacy beliefs, outcome expectations, and intrinsic interest. For example, a cyclist who  
23 values the activity for what it is, rather than for what they may gain from it such as fitness or beating  
24 others, and who believes they have the capability to keep going despite high exertion and pain, will be  
25 more motivated to take part in cycling in a self-regulated manner.

26         The performance phase refers to processes that happen *during* the activity or behavioural  
27 implementation, and the two major classes of processes are self-control and self-observation. Self-  
28 control processes are particularly relevant. In the forethought phase, an endurance athlete selects

1 methods or strategies; in the self-control performance phase, they deploy them. A diverse range of these  
2 (metacognitive) strategies are used by endurance athletes in the performance phase (Brick et al., 2015),  
3 and they include using imagery to help with pacing decisions or unhelpful emotions, strategically using  
4 self-talk statements, and strategically focusing attention. Finally, the self-reflection phase refers to  
5 processes that occur *after* the behavioural implementation. The two major classes of processes in the  
6 self-reflection phase are self-judgment and self-reaction. Self-judgments include comparisons of self-  
7 observed performances against a standard (e.g., prior performance, another person's performance), and  
8 causal attributions for success or failure. Self-satisfaction is a key form of self-reaction, and it can  
9 influence the effort that an athlete is willing to put into future activities. For example, if an athlete is  
10 dissatisfied with their performance or feels guilty about being unable to train enough because of other  
11 commitments, their willingness and motivation to put in effort in the future could be reduced. The three-  
12 stage approach to self-regulation is cyclical; the self-reflections that take place inform subsequent  
13 forethought processes and beliefs (Zimmerman, 2002).

14         This view emphasises that self-regulation is a process that involves an individual taking actions  
15 that require regulation of thoughts, feelings, and behaviours that a person has influence over, whilst also  
16 considering what is going on in the environment. Much of the application of self-regulated learning in  
17 sporting contexts has focused on motor skill learning, particularly in relation to fine motor skills.  
18 Broadly, research findings suggest that more experienced athletes engage in more self-regulated  
19 learning behaviours (Cleary & Zimmerman, 2001) and that there is a positive relationship between self-  
20 regulated learning and performance (Cleary, Zimmerman, & Keating, 2006; Kitsantas & Zimmerman,  
21 2002). There is research emerging that demonstrates the potential to apply self-regulated learning to  
22 endurance performance. This is relevant because in endurance activities, participants constantly make  
23 decisions about goal-driven processes such as whether to slow down or speed up based on how they  
24 feel physically and emotionally, and based on what is going on in their environment such as weather  
25 conditions and behaviours of other participants (Hettinga et al., 2017; Renfree et al., 2014). In terms of  
26 self-regulation, it is also important to understand and reflect on what is going on in the environment  
27 and, from a social-cognitive perspective, aspects such as modelling (e.g., seeing others succeed) can  
28 inform the processes that we engage in to move towards, or away from, a desired state or goal. Although

1 research in endurance performance is scarce, Elferink-Gemser and Hettinga (2017) proposed that self-  
2 regulated learning can benefit endurance performance through a focus on developing pacing skills.  
3 Other researchers explored the role of motivational and volitional factors, such as action-planning, in  
4 self-regulated running training for untrained participants during a one-year period, using a health  
5 behaviour change approach, and found that those who did not end up running a marathon distance used  
6 less action planning at the start of the training and their action planning fluctuated more over time  
7 (Scholz, Nagy, Schüz, & Ziegelmann, 2008). Overall, the fluctuation of volitional variables, as well as  
8 fluctuation of self-efficacy, seemed to be unhelpful for running performance (Scholz et al., 2008). To  
9 make the link with self-regulated learning, it appears that the initial lack of action planning in the  
10 forethought phase of self-regulation affected the self-regulatory skills in the performance stage where  
11 they may have found it difficult to adjust their behaviour.

12 Below, we propose that two psychological theories relating to self-regulation (self-efficacy  
13 theory and the process model of emotion regulation) could shed additional light on how endurance  
14 performance is determined, and lead to additional, efficacious interventions for endurance events. We  
15 do not believe that these are the only theories relating to self-regulation that could inform future  
16 research. Instead, we aim to stimulate theoretically-informed intervention research and argue that these  
17 theories could fit the endurance context well.

### 18 **Self-Efficacy**

19 Social-cognitive theory outlines that individuals possess a series of intertwined beliefs about  
20 themselves and the world around them (Wood & Bandura, 1989). Central to these beliefs, and critical  
21 for self-regulation, are self-efficacy beliefs (Bandura, 1997). Self-efficacy refers to “beliefs in one’s  
22 capabilities to mobilise the motivation, cognitive resources, and courses of action needed to meet given  
23 situational demands” (Wood & Bandura, 1989, p. 408). It represents a self-appraisal of whether an  
24 individual *can*, as opposed to *will* (an intention belief) or *has* (a previous experience). These beliefs are  
25 derived through the integration and appraisal of several sources of information such as past performance  
26 experiences, vicarious influences, social persuasions, and perceptions of physiological and emotional  
27 states (Bandura, 1997). Self-efficacy beliefs are not global traits, instead they are tied to specific  
28 domains of functioning, and fluctuate as new information is collected and processed.



1           One key assumption of self-efficacy theory is that what people perceive themselves to be  
2 capable of is often a better predictor of behaviour and performance than what they are objectively  
3 capable of (Bandura, 1997). These perceptions of capability cannot supersede ability, but rather  
4 individuals must perceive themselves to be capable if they are to make full use of their potential abilities  
5 and skills (Bandura, 1997). Self-efficacy influences the amount of effort an individual is willing to  
6 expend and their perseverance when faced with difficulties and setbacks (Bandura, 1997). This is  
7 relevant to the psychological demands of endurance performance, such as dealing with pain and  
8 discomfort, pacing, a range of environmental stressors, and motivation to continue (e.g., McCormick et  
9 al., 2016). When examining the relationship between self-efficacy, self-regulation, and endurance  
10 performance, it is important to consider how self-efficacy may fit into Zimmerman's (2000) three  
11 phases of self-regulation (forethought, performance, and self-reflection), and the next three paragraphs  
12 explore the role, that we propose, self-efficacy may play in these phases.

13           The forethought phase involves the setting of goals for the upcoming activity, and self-efficacy  
14 beliefs have been consistently linked with the process of goal setting and goal pursuit (Bandura, 1997;  
15 Locke & Latham, 1985). Individuals high in self-efficacy are more likely to set themselves challenging  
16 goals (Locke & Latham, 2002), continue striving towards these goals despite setbacks (Bandura, 1997),  
17 and have increased belief in their levels of goal attainment (Kane, Marks, Zaccaro, & Blair, 1996).  
18 Challenging and difficult goals are, in turn, associated with increased willingness to expend effort  
19 (Weinberg, Gould, Yukelson, & Jackson, 1981) and increased levels of motivation (Howle, Dimmock,  
20 & Jackson, 2016; Hutchinson, Sherman, Martinovic, & Tenenbaum, 2008). Therefore, self-efficacy can  
21 play an important role in self-regulation during the forethought phase. A high level of self-efficacy,  
22 however, does not guarantee engagement with a task. Other social cognitive constructs, such as outcome  
23 expectations, also encourage task engagement (Bandura, 1997).

24           In addition to initial goal setting during the forethought phase, the self-observation that occurs  
25 during the task in the performance phase is important. Athletes often evaluate their progress towards a  
26 goal, and perceived progress will then lead to changes in behaviour to increase the likelihood of goal  
27 attainment (Kane et al., 1996). For example, a runner who realises they are not making their race split  
28 times may choose to increase their pace. The runner may also use various coping strategies to cope with

1 the sensations arising from the increase in pace. This assessment of progress may be based on  
2 perceptions of physiological state (i.e., perceptions of effort and muscle pain) or in comparison with  
3 other competitors (e.g., how competitors are performing or whether competitors are exhibiting effort  
4 and suffering) (Button, Mathieu, & Aikin, 1996). Self-efficacy also plays a role in how athletes respond  
5 to perceived negative progress towards their goal. Notably, research showed that individuals high in  
6 self-efficacy were more likely to respond with increased effort and less negative affect, compared to  
7 low self-efficacy individuals, when they perceived themselves to be negatively progressing towards a  
8 goal during a 1500m treadmill run (Bueno, Weinberg, Fernández-Castro, & Capdevila, 2008).

9         Self-efficacy is also likely to be influential during the self-reflection phase. Research has shown  
10 that both high and low self-efficacy individuals often use self-judgments, and typically attribute  
11 successful performances to factors under their own control such as their effort and ability, but they often  
12 differ in their attributions following a poor performance or when they fail to reach their goals (Chase,  
13 2001; Feltz et al., 2008). Individuals with low self-efficacy are likely to attribute their poor  
14 performances to internal and stable factors such as a lack of ability, whereas high self-efficacy  
15 individuals are likely to attribute their poor performance to unstable factors such as their own effort or  
16 external and uncontrollable factors such as weather, other competitors, or luck (Gist & Mitchell, 1992;  
17 Weiner, 1986). The attributions for performance are then likely to mediate the effects of that  
18 performance on future self-efficacy beliefs, in turn altering future goals and so on. To demonstrate this  
19 process, consider a cyclist who performs poorly in an important event. If the cyclist attributes this poor  
20 performance to their own ability, effort or strategy, their poor performance may negatively influence  
21 their self-efficacy. However, if they attribute their poor performance to more external uncontrollable  
22 factors such as the weather, or other competitors performing better than expected, their poor  
23 performance may not negatively impact their self-efficacy. This demonstrates the cyclical nature of  
24 self-regulation, and how self-efficacy can play a key part in it.

25         In these phases of self-regulation, self-efficacy helps to initiate and maintain behaviour that can  
26 guide a performer to, or away from, a goal. It has been suggested that self-efficacy is beneficial for  
27 endurance performance. For example, in observational research, self-efficacy has been associated with  
28 better performance times in iron-distance triathlon (Burke & Jin, 1996), marathon running

1 (Okwumabua, 1985), and cross-country running (Martin & Gill, 1995). The majority of this research,  
2 however, has focused on correlational data with limited attempts at controlling for physiological  
3 differences between participants. Experimental studies that have manipulated self-efficacy (Howle et  
4 al., 2016; Miller, 1993) have demonstrated that enhanced self-efficacy can lead to superior endurance  
5 performance. Not all research, however, has found a consistent relationship between self-efficacy and  
6 endurance performance. Martin (2002) investigated self-efficacy beliefs in wheelchair road racers and  
7 found no association between self-efficacy and performance. This lack of a relationship may be related  
8 to how self-efficacy was measured. Martin (2002) opted to measure self-efficacy with a self-grounded  
9 perspective, by asking participants how confident they were that they could complete the race within a  
10 certain number of seconds of their goal time. Other studies (e.g. Burke & Jin, 1996; Okwumabua, 1985),  
11 instead measured self-efficacy beliefs in regards to a descending list of possible performance times.  
12 This highlights the need for researchers to be aware of how they are measuring self-efficacy beliefs,  
13 and how such measurement differences may influence findings (see Bandura, 2006, for a guide on  
14 development of self-efficacy scales).

15 As well as directly investigating self-efficacy and endurance performance, studies have  
16 investigated how self-efficacy might influence key endurance-performance mechanisms. As discussed  
17 previously, perception of effort (Marcora et al., 2008) and perceived pain (Mauger, 2014) are  
18 experienced during endurance performance and are relevant to performance. They require self-  
19 regulation for endurance athletes to maintain behaviour and progress towards their goals. When  
20 considering perception of effort, research has shown that self-efficacy can predict effort tolerance. Pre-  
21 exercise self-efficacy has been found to predict variance in RPE throughout cycling tasks (McAuley  
22 & Courneya, 1992) and predict RPE in the final minute of aerobic exercise protocols (Rudolph &  
23 McAuley, 1996). It must be considered, however, that these two studies tested people who were not  
24 endurance athletes and, therefore, the effects of self-efficacy on perception of effort in trained endurance  
25 athletes remains unclear.

26 Alongside this influence on perception of effort, high levels of self-efficacy are hypothesised  
27 to improve pain tolerance (Bandura, 1989, 1997). Research examining exercise-induced pain has shown  
28 that both experimentally-induced (Hutchinson et al., 2008; Weinberg, Gould, & Jackson, 1979;

1 Weinberg et al., 1981) and pre-existing self-efficacy (Baker & Kirsch, 1991; McAuley & Courneya,  
2 1992; Weinberg et al., 1981) can influence individuals' pain tolerance. Furthermore, individuals who  
3 engage in consistent endurance training have displayed higher pain thresholds and greater levels of self-  
4 efficacy in pain management than people who are not endurance athletes (Johnson, Stewart, Humphries,  
5 & Chamove, 2012). The pain that individuals were exposed to is hypothesised to not be affected by  
6 muscular development, blood flow, and vasomotor activity (Benjamin & Helvey, 1963), and it is  
7 therefore likely that this improved pain tolerance is caused by psychological adaptations such as  
8 changes in perceived efficacy for pain tolerance. Causality of the relationship was out of the scope of  
9 the study, but nevertheless it demonstrates the potential effects of self-efficacy on pain tolerance.

10 In summary, self-efficacy beliefs are likely to play a key role in each of the three phases of self-  
11 regulation. Research has consistently suggested that self-efficacy is associated with improved self-  
12 regulation in factors such as effort tolerance, pain tolerance, and overall endurance performance; the  
13 literature, however, has largely focused on correlational studies or studies that do not use trained  
14 endurance athletes.

### 15 **Emotion Regulation**

16 Endurance athletes encounter many stressors (e.g., weather, temperature, equipment) before  
17 and during events (e.g., O'Neil & Steyn, 2007) that, depending on the athlete's appraisal of them (e.g.,  
18 Martinent & Ferrand, 2015), could have helpful or harmful consequences. Research has shown that  
19 endurance athletes do experience harmful (i.e., dysfunctional) emotional responses such as anxiety,  
20 frustration, and discouragement in response to stressors before and during performance, as well as  
21 detrimental consequences to their motivation and focus of attention (McCormick et al., 2016). Other  
22 potentially harmful consequences of emotional responses are reduced confidence and concentration,  
23 and each of these consequences have implications for performance (Lazarus, 2000; Martinent &  
24 Ferrand, 2009; Vast, Young, & Thomas, 2010). Nevertheless, some emotional responses are potentially  
25 helpful (i.e., functional) for athletes, such as when emotions like anger, anxiety, or joy benefit  
26 concentration, motivation, confidence, bodily states (e.g., relaxation), and adaptive behaviours (e.g.,  
27 adaptive risk taking) (Martinent & Ferrand, 2009). An important consideration—and a central concern  
28 of the field of emotion regulation (Gross, 2013)—is therefore how an athlete can cultivate emotions

1 that are helpful, and manage emotions that are harmful. Performance aside, some emotions experienced  
2 before, during, and after endurance events are more pleasant than others, and the field of emotion  
3 regulation considers how pleasant emotions can be encouraged (Quoidbach, Mikolajczak, & Gross,  
4 2015). For example, participating in endurance events can be a source of excitement and happiness or  
5 of anger and guilt (Lahart et al., 2013; McCormick et al., 2016, 2018), impacting the quality of the event  
6 experience.

7         Emotion regulation refers to, “the processes by which individuals influence which emotions  
8 they have, when they have them, and how they experience and express these emotions” (Gross, 1998,  
9 p. 275). In a sport context, it is considered to mean people’s automatic or deliberate use of thoughts and  
10 behaviours to influence the experience of desirable or undesirable emotions, so that they have a more  
11 pleasurable experience (*hedonic* motives) or improve their performance (*instrumental* motives) (Lane,  
12 Beedie, Jones, Uphill, & Devonport, 2012; Uphill, McCarthy, & Jones, 2009). Consistent with the  
13 themes of this review, emotion regulation is a process that can guide performers towards, or away from,  
14 a goal or state. A relevant theory of emotion regulation is the process model of emotion regulation  
15 (Gross, 1998, 2013, 2015). The process model proposes that people can regulate their emotions at five  
16 time points in the process of emotion generation, organising countless emotion-regulation strategies  
17 that people use into five “families” that share common qualities. People can regulate their emotions  
18 through taking actions to make it more or less likely that they will be in a situation expected to cause  
19 desired or undesired emotions (*situation selection*), by altering their external, physical environment  
20 (*situation modification*), by directing their attention (*attention deployment*), by changing their appraisal  
21 of an event or situation (*cognitive change*), or by directly regulating the experiential, behavioural, or  
22 physiological aspects of the emotion itself (*response modulation*).

23         The process model proposes that different emotion regulation strategies, and the specific tactics  
24 used to implement these strategies in a particular situation, will have different consequences for how a  
25 person feels, thinks, and acts, both momentarily and over the longer term. This is because strategies  
26 impact the process of emotion generation at different points and because strategies make different  
27 cognitive demands (Gross, 2015). For example, suppression—a response modulation strategy that  
28 involves inhibiting the outward signs of experienced inner feelings—is ineffective at reducing the

1 experience of negatively-toned emotions and places a greater demand on finite cognitive resources than  
2 re-appraisal, which is a cognitive change strategy that involves changing the way a situation is construed  
3 to decrease its emotional impact (Gross, 2002) (for a critical discussion of the relationship between the  
4 points in the emotion-generation process targeted by strategies and the effects of these strategies, see  
5 Koole, van Dillen, & Sheppes, 2011). In the endurance literature, Wagstaff (2014) demonstrated that  
6 suppressing emotions before performing (i.e., in the forethought phase) can be detrimental. Concealing  
7 feelings of disgust for three minutes before a 10km cycling time trial led to endurance athletes  
8 completing the time trial slower, generating lower mean power outputs, reaching a lower maximum  
9 heart rate, and perceiving higher effort during performance. Although there is some additional research  
10 on emotion regulation within endurance sports (e.g., Beedie, Lane, & Wilson, 2012), including  
11 experimental research that has examined the effects of emotion-regulation strategies on psychological  
12 variables and performance (Lane et al., 2016), there is currently a paucity of research examining or  
13 comparing the effects of interventions specifically informed by the process model.

14 Athletes use a wide variety of strategies to regulate their emotions (e.g., Nicholls et al., 2009;  
15 Stanley, Lane, Beedie, Friesen, & Devonport, 2012), and these strategies act at different stages of the  
16 process of emotion generation (Lane et al., 2012). Applying the process model, used strategies could  
17 be expected to vary in their efficacy at controlling undesirable emotions such as anxiety, anger, or  
18 sadness (Webb, Miles, & Sheeran, 2012), and to have consequences that may be more or less adaptive  
19 for particular situations. For example, research shows that reappraisal is generally an adaptive strategy  
20 (Gross, 2002). Nevertheless, distraction may be more adaptive than reappraisal when there is very  
21 limited time available, when an emotion is very intense, and when an athlete's ability to use cognitive  
22 resources is compromised such as when sleep deprived or when distracted by additional cognitive  
23 demands such as difficult navigation (McRae, 2016). In addition, reappraisal may be less adaptive when  
24 stressors can be controlled, compared to when they cannot (Troy, Shallcross, & Mauss, 2013). In such  
25 situations, situation modification strategies that help an athlete to quickly and constructively deal with  
26 a stressor could be particularly helpful. For example, if-then planning (Achtziger, Gollwitzer, &  
27 Sheeran, 2008) could be used to identify responses to stressors, and endurance athletes could visualise  
28 implementing these responses (e.g., visualising repairing a puncture) or actually practice doing them

1 (e.g., taking goggles on and off during a swim) (McCormick et al., 2016). What makes an emotion-  
2 regulation strategy particularly helpful therefore depends on the situation, as well as the characteristics  
3 of the person and the goals they are pursuing (Gross, 2015). As elaborated on later (Implications for  
4 Future Research section, Emotion regulation subsection), intervention research informed by the process  
5 model could shed light on the strategies that are particularly adaptive for situations commonly  
6 encountered by endurance athletes, when they have hedonic or instrumental goals for emotion  
7 regulation. By doing so, this research could inform selection (i.e., in the forethought stage) and then use  
8 (i.e., in the performance stage) of adaptive emotion-regulation strategies. Sport psychology practitioners  
9 may also play an important role in helping endurance athletes to evaluate (i.e., in the reflection stage)  
10 the utility of different emotion-regulation strategies they used and to subsequently refine them, using a  
11 theoretical framework.

## 12 **Implications for Future Research**

13 We have argued that research that is informed by theories relating to self-regulation,  
14 particularly research informed by self-efficacy theory and the process model of emotion regulation,  
15 could advance understanding of endurance performance. In this section, we will focus on the third aim  
16 of the review and outline theoretically-informed suggestions for future research, focusing on the three  
17 phases of self-regulation, self-efficacy, and emotion regulation.

18 **Three phases of self-regulation.** Some research has been conducted on the forethought and  
19 performance stages, that is, on processes before and during endurance performance. For example, with  
20 consideration to the forethought stage, research has examined the effects of goal difficulty on  
21 improvements in middle-distance running times (Tenenbaum, Spence, & Christensen, 1999), and the  
22 influence of emotion suppression on cycling performance (Wagstaff, 2014). With consideration to the  
23 performance stage, research has examined the effects of using pre-selected attentional strategies, self-  
24 talk, imagery, relaxation, and combinations of these during performance (for reviews, see Brick,  
25 MacIntyre, & Campbell, 2014; McCormick et al., 2015). Comparatively little research has been  
26 conducted on the self-reflection stage, and the cyclical nature of self-regulation is often not considered.  
27 As a notable example, research has shown that, after performing, elite endurance athletes evaluate their

1 cognitive strategies and their performance, they acquire cognitive strategies through experience, and  
2 they eliminate ineffective cognitive strategies (Brick et al., 2015).

3         **Self-efficacy.** Research focusing on self-efficacy and endurance performance has demonstrated  
4 that self-efficacy is a strong predictor of performance (Burke & Jin, 1996; Laguardia & Labbé, 1993).  
5 Despite the value of researching the role of self-efficacy in endurance performance, we observed that  
6 research examining self-efficacy and performance in experienced athletes is scarce. Although  
7 manipulation of self-efficacy in experienced athletes can be more difficult than in novices (Feltz, Short,  
8 & Sullivan, 2008), the use of false performance feedback (Montes, Wulf, & Navalta, 2017; Stoate,  
9 Wulf, & Lewthwaite, 2012) and task deception (Jones et al., 2013) can be effective in altering self-  
10 efficacy in experienced athletes. In addition, using people who are not endurance athletes as participants  
11 can make it challenging to understand how self-efficacy affects endurance performance when a  
12 participant may not perceive the various stressors that are typically experienced during endurance  
13 performance. That is, if a participant does not care for the task, this has implications for how we interpret  
14 the findings (Maddux, 1995). To clarify, participants may have external reasons for taking part in a  
15 study, such as for course credit, and the participant is therefore not necessarily autonomously motivated  
16 (see Deci & Ryan, 2000). Moreover, they may not experience volition, and self-regulated learning may  
17 not take place.

18         Additionally, self-efficacy research in endurance performance is overly reliant on performance  
19 measures of self-efficacy, outcome measures of self-efficacy, or both. Although these beliefs are  
20 important, Feltz et al. (2008) cautioned against an overreliance on these because performance in sport  
21 is multifactorial. Instead, they recommended measuring self-efficacy relating to behaviours that must  
22 be carried out to achieve certain performance levels. An example is coping self-efficacy, which is an  
23 individual's belief in their own ability to cope with difficulties and engage in a variety of coping  
24 strategies (Chesney, Neilands, Chambers, Taylor, & Folkman, 2006). Given that effective coping is a  
25 key requirement in endurance performance, research into the relationship between coping self-efficacy  
26 and endurance performance is warranted. There is also a lack of research relating to how self-efficacy  
27 may change during events. This is relevant given that self-efficacy is a dynamic construct that can  
28 change based on both perceptions of the self and the environment (Gist & Mitchell, 1992). Although



1 measuring self-efficacy during endurance performance poses practical difficulties, recent research  
2 suggests that a think-aloud protocol can be used with runners (Samson et al., 2017) and cyclists  
3 (Whitehead et al., 2017) to gain understanding of their thoughts. This may also provide further insight  
4 into the cyclical nature of self-regulation.

5         **Emotion regulation.** Research examining the effects of one specific emotion regulation  
6 strategy (cf. Wagstaff, 2014), or comparing the effects of different emotion regulation strategies, has  
7 benefited theoretical insight and practical application, and can continue to do so (Gross, 2015). These  
8 studies could shed light on the effects of emotion-regulation strategies endurance athletes use (or could  
9 use), before or while performing, on variables relevant to endurance events such as desirable and  
10 undesirable emotions, attention to task-relevant cues, decision making, perception of effort,  
11 performance, and satisfaction. Researchers are particularly encouraged to consider the goals of emotion  
12 regulation (i.e., instrumental goals relating to performance or hedonic goals relating to having a  
13 pleasurable experience) and situational factors for determining whether a strategy is adaptive. Relevant  
14 situational considerations could include controllability of the stressors, intensity of emotions, time  
15 available for emotion regulation, sleep deprivation, and having additional cognitive demands (McRae,  
16 2016). Further, research could give particular consideration to identifying adaptive blends of strategies  
17 (i.e., using combinations involving increasing use of some strategies, and decreasing others) and  
18 sequences of strategies (e.g., using distraction to reduce an intense, harmful emotion before using re-  
19 appraisal) for the particular context (Gross, 2015). Studies could also recognise the cyclical nature of  
20 self-regulation by examining the effects of emotion-regulation strategies used in specific phases of self-  
21 regulation on other phases of the self-regulation (e.g., the effects of post-performance emotion  
22 regulation on goal setting for the next event).

23         **Experimental research.** From our review, it is evident that there are challenges relating to  
24 generalisation of findings from laboratory-based research to real-world endurance performance.  
25 Although laboratory research provides experimental control, it is rare that an endurance performer will  
26 train and compete in a controlled environment. This is relevant because external stressors such as other  
27 competitors, weather, or a missed water stop, and associated emotional responses (e.g., dejection), play  
28 a role in endurance performance, and drop-out from events is common (Antonini Philippe, Rochat,

1 Vauthier, & Hauw, 2016; McCormick et al., 2018). Although it can be useful to isolate factors that may  
2 impact endurance performance, we do not understand well enough how performance in the laboratory  
3 translates to the real-world when different psychological stressors are experienced, especially when  
4 combined with physiological strain. Further, stressors could have accumulative effects. For example,  
5 discouragement by adverse weather or equipment problems could cause a lapse in concentration  
6 (Martinent & Ferrand, 2009) that causes an athlete to become distracted from their pace or miss a turn-  
7 off from their ultramarathon route. We therefore encourage researchers to examine the effects of  
8 psychological interventions at real-life endurance events (see also Meredith, Dicks, Noel, & Wagstaff,  
9 2017). To date, few studies have done this (Lindsay, Maynard, & Thomas, 2005; Sheard & Golby,  
10 2006), especially using a randomised, controlled experimental design (McCormick et al., 2018).  
11 Examining the effects of interventions at real-life events would also be valuable because participants  
12 are likely to be highly motivated to offer a high amount of effort, independent of whether they are in an  
13 experimental or control condition, because they care about the event that they are participating in. It is  
14 questionable whether some populations of study participants (e.g., students, colleagues, recreational  
15 exercisers who are not committed endurance athletes) are likely to offer their maximum effort in an  
16 endurance task, particularly when verbal encouragement, head-to-head competition, or other  
17 motivational methods are not employed.

18         Nevertheless, conducting research at real-life events poses challenges relating to attaining an  
19 adequate sample size and providing an intervention to the control group to prevent them pursuing the  
20 experimental intervention, which they may perceive as being potentially beneficial to them  
21 (McCormick et al., 2018). Suggestions are therefore offered for controlled laboratory and field research.  
22 First, researchers are encouraged to approach the research recruitment process with care. We suggest  
23 approaching local competitive and non-competitive endurance sport clubs for volunteers, rather than  
24 more convenience samples such as colleagues and students. This will allow interventions to be  
25 examined on populations of people that are more representative of the population that the intervention  
26 is intended for. It could also lead to people participating whose motivation is more self-determined. As  
27 a consequence, they may offer greater effort in each condition (Frederick-Recascino, 2002), leading to  
28 intervention effects that are more reflective of those that may be achieved at a real-life event. Future

1 laboratory and field research could also examine whether certain strategies are efficacious at helping  
2 athletes to cope with stressors that are simulated under controlled conditions (for examples of the effects  
3 of interventions for coping with performing in the heat, see Barwood et al., 2008; Wallace et al., 2017).  
4 For example, researchers could examine the effects of emotion-regulation strategies used to cope with  
5 time penalties that cyclists are told occur randomly (e.g., to simulate a puncture when they are aiming  
6 to achieve a time), but that are systematically delivered. When conducting research in laboratory and  
7 field settings, researchers are encouraged to consider creating motivated performance situations, and to  
8 test the effects of interventions when athletes are performing in them. For example, to include head-to-  
9 head competition in a consistent manner, a computer-generated avatar that is an accurate (Corbett et al.,  
10 2012, 2018) or slightly superior (Williams, Jones, Sparks, Midgley, et al., 2015) representation of an  
11 earlier performance could be described as representing the performance of a competitor of similar  
12 ability. Finally, we encourage researchers to collect data post-performance, as this can provide insight  
13 into the reasons that participants give for their performance (Parry, Chinnasamy, Papadopoulou,  
14 Noakes, & Micklewright, 2011) and that inform future efforts, which resembles the cyclical nature of  
15 the three-phase approach to self-regulation.

### 16 **Applied Implications**

17         Moving to the fourth aim, we will now provide theoretically-informed and evidence-based  
18 guidance for enhancing performance and improving the quality of experience for endurance athletes.  
19 An important consideration in endurance research is that many people participate in endurance events  
20 at sub-elite competitive and non-competitive levels, and these people are unlikely to have access to a  
21 sport psychology practitioner (e.g., McCormick et al., 2016). Nevertheless, interventions that are brief,  
22 simple, and practical can be delivered before, during, and after mass-participation events, particularly  
23 to groups of athletes. These interventions could be delivered using a variety of media such as webpages  
24 and webinars, workshops, written handouts, dinner speeches, and brief conversations with athletes at  
25 events (Meijen, Day, & Hays, 2017). Providing support before, during, and after events fits well with  
26 the three phases of self-regulation.

27         **Psychobiological model.** The potential impact of motivational interventions is highlighted by  
28 the role of potential motivation in determining endurance performance in the psychobiological model

1 (Marcora, 2010) and supported by research (e.g., Corbett et al., 2012; Moffatt et al., 1994; Smirmaul et  
2 al., 2015; Theodorakis et al., 1998, for a review see McCormick et al., 2015). Practical motivational  
3 interventions could be used to enhance performance during training and events. Competition could be  
4 carefully introduced into the training environment, so that it encourages athletes to focus on perceptions  
5 of competence that are self-referenced, rather than normatively-referenced (Harwood, Keegan, Smith,  
6 & Raine, 2015). For example, athletes could start training races at different moments based on their  
7 anticipated performance time, and the coach could emphasise performance time over finishing position.  
8 Endurance athletes who train alone may find it motivating to use a training watch or mobile-phone  
9 application that allows them to compete against the times of other people or that delivers verbal  
10 encouragement at customised moments (Corbett et al., 2012; Moffatt et al., 1994). Furthermore, verbal  
11 encouragement could be delivered systematically during solo endurance events. For example, video  
12 screens in stadiums could encourage a crowd to cheer louder during selected moments of an attempt to  
13 break the one-hour cycling record. Moreover, motivational messages displayed on signs during mass-  
14 participation events are perceived favourably by entrants (Meijen et al., 2017). Music can also increase  
15 motivation and benefit performance (e.g., Smirmaul et al., 2015).

16 **Three phases of self-regulation.** In the forethought phase, it is recommended that athletes  
17 consider the goals they set for the training session or the race, and whether it would be appropriate to  
18 set different layers of goals (Meijen et al., 2017). A dominant focus on one outcome goal can influence  
19 an endurance athlete's affective responses during the performance phase, if they are unlikely to attain  
20 this goal (Gaudreau, Blondin, & Lapierre, 2002). We also suggest that it may be helpful to consider if-  
21 then planning (e.g., "If the weather is awful, then I will adjust my goal.") at this stage (Achtziger et al.,  
22 2008), which can then be applied during the performance phase. For the performance phase,  
23 motivational self-talk can be used for various purposes, such as to tolerate effort, cope with "hitting the  
24 wall" in a marathon, and enhance performance (Blanchfield et al., 2014; Schüler & Langens, 2007;  
25 Wallace et al., 2017). Very little research has focused on the self-reflection phase. Nevertheless,  
26 endurance athletes may benefit from the process of managing crushed expectations and dealing with  
27 their race goals and performance after their event (Meijen et al., 2017). Feeling dissatisfied with  
28 performance can influence an athlete's future goal striving (Theodorakis, 1995). Therefore, we also

1 need to return to the cyclical nature of self-regulation, and consider how this can play a role in goal  
2 pursuit. The model of action phases (Gollwitzer, 1990) outlines that there are typically four phases of  
3 goal striving (pre-decisional, post-decisional, actional, post-actional). Athletes set a goal in the pre-  
4 decisional phase, and then make plans for achieving this goal in the post-decisional phase. They then  
5 strive towards their goal in the actional phase. In this phase, athletes may experience barriers such as  
6 distractions and other temptations such as giving up that can threaten their goal pursuit. In the post-  
7 actional phase, athletes evaluate how they have progressed towards their goal, and this phase may  
8 influence their decisions to engage in actions that can help them move towards or away from a goal.  
9 For example, as a result of the evaluation process in the post-actional phase, an athlete may decide that  
10 a longer endurance distance is not as suitable for them compared to half the distance, and adjust their  
11 goals accordingly. When practitioners are present at endurance events (Meijen et al., 2017), post-  
12 performance conversations with athletes could focus on what athletes attributed their perceived  
13 successes or failures to and explore how this may affect their motivation to pursue the activity in the  
14 future.

15 **Self-efficacy.** Self-efficacy beliefs are derived from several sources of information: past  
16 performance experiences, vicarious influences, social persuasions, and perceptions of physiological and  
17 emotional states (for a review, see Samson & Solmon, 2011). Through these sources, athletes receive  
18 information relating to their own capabilities. This information is then appraised and processed by the  
19 athlete, alongside consideration of the task demands, giving rise to self-efficacy beliefs. Interventions  
20 that target these sources of self-efficacy in unison are likely to be more effective than those that focus  
21 on single sources (Short & Ross-Stewart, 2009). Rather than discussing each possible intervention that  
22 increases self-efficacy (for a review, see Feltz et al., 2008), three key points that can be considered best  
23 practice for self-efficacy interventions are presented.

24 First, it is crucial to clarify what self-efficacy belief is being targeted. Self-efficacy beliefs are  
25 multi-dimensional (Bandura, 1997), and athletes will often vary in their self-efficacy for different  
26 behaviours, skills, or both. For example, a triathlete may have low self-efficacy for the swimming  
27 component of an event but not for the cycling and running. A self-efficacy intervention that looks to

1 reinforce perceived capabilities for the event in general is likely to be less effective than one that  
2 specifically targets swimming.

3         Second, the experience level of the athlete should be considered. Novice, experienced, and  
4 athletes returning from injury have different self-efficacy needs that must be considered. For a novice,  
5 this is likely to be *creating* an initial sense of self-efficacy and subsequently increasing it gradually.  
6 This primarily occurs through gaining experience in the domain, such as through a well-structured  
7 training programme (Feltz et al., 2008). For an experienced athlete, the intervention might instead focus  
8 on reinforcing self-efficacy beliefs during difficult periods of an event rather than creating new beliefs.  
9 For an injured athlete, the intervention might sit between the two examples. Through reflection and  
10 reinforcement of what they have previously accomplished, they can regain some self-efficacy, whilst at  
11 the same time gradually increasing their own perceived capabilities through incremental training.

12         Third, self-efficacy interventions should not be designed to remove doubt, but rather to promote  
13 a robust sense of self-efficacy that remains during challenging and difficult situations. During the  
14 preparation phase of an event, an athlete who possesses some self-doubt over their own capabilities may  
15 be likely to prepare more thoroughly than one who possesses supreme confidence (Bandura, 1997).  
16 There is also some evidence that some self-doubt may be beneficial for exerting maximum effort during  
17 the first completion for an endurance task (Ede, Sullivan, & Feltz, 2017).

18         **Emotion regulation.** Recreational endurance athletes, such as people participating in mass-  
19 participation events, have reported encountering stressors that could have been controlled before an  
20 event, such as running late to an event or forgetting equipment, and that led to unpleasant emotions  
21 (McCormick et al., 2016). Applying the process model, preparation may therefore be a situation-  
22 selection strategy that makes it less likely that athletes will be in situations expected to cause undesirable  
23 emotions. We recommend that athletes identify and manage controllable aspects of preparation. For  
24 example, they could create a packing checklist, research likely road and car-park congestion that could  
25 make them late, and research where to park, register, find the toilets, and find pace teams. In addition,  
26 athletes could identify in advance cognitive and behavioural strategies that are likely to be helpful for  
27 coping with the stressors that are particularly likely before or during an endurance event, such as adverse  
28 weather, mechanical problems and punctures, pacing difficulties, injury, hydration, and nutrition.

1 Thorough research of the event and speaking with people who are knowledgeable or experienced in the  
2 event (e.g., event organisers, coaches, athletes) could be useful for identifying stressors and some  
3 potential strategies for taking control of the stressor.

4 Taking part in endurance events can be a source of positive emotions such as excitement and  
5 happiness before, during, and after completing the event (McCormick et al., 2018). People can use  
6 strategies from each of the five emotion-regulation families before life events, during life events, and  
7 after life events to increase their experience of positive emotions, both in the moment and longer term  
8 (Quoidbach et al., 2015). There is strong research support (see Quoidbach et al., 2015) for the following  
9 emotion-regulation strategies increasing momentary positive emotions: imagining future positive  
10 events happening (e.g., imagining completing a challenging endurance event and celebrating  
11 afterwards); holding optimistic expectations for future situations (e.g., telling yourself that you will  
12 finish an event); mindfully savouring pleasant moments as they happen (e.g., pleasant views, crossing  
13 the finish line); attributing the cause of positive events that are happening (e.g., passing the half-way  
14 point) to internal, stable, and global causes (e.g., perseverance); physically and vocally expressing  
15 positive emotions (e.g., through smiling, Brick, McElhinney, & Metcalfe, 2018); mentally replaying  
16 pleasant events (e.g., crossing the finish line) after the event; and socially sharing past positive events  
17 (e.g., selfies after the finish line) with people who are enthusiastic and supportive. This evidence base  
18 provides a range of practical intervention options for increasing momentary positive emotions before,  
19 during, or after endurance events.

### 20 **Conclusion**

21 Psychological research on endurance performance has gathered momentum and offered  
22 evidence-based suggestions for enhancing performance in endurance events. Until recently, this  
23 research typically lacked a theoretical underpinning, and recent research has supported the  
24 psychobiological model of endurance performance. However, as most experimental research on  
25 psychological interventions for endurance performance has been conducted in the laboratory or non-  
26 competitive field settings, the role that stressors play in endurance performance is particularly  
27 underappreciated in contemporary endurance literature. In this critical review, we have argued that self-  
28 regulation theories, particularly self-efficacy theory and the process model of emotion regulation, could

1 also guide theoretically-informed research on endurance performance, shed additional light on how  
2 endurance performance is determined, and lead to additional understanding of how psychological  
3 interventions can be used to benefit performance and quality of experience at endurance events. We  
4 encourage researchers to consider all three phases of self-regulation (forethought, performance, and  
5 reflection) and the cyclical nature of self-regulation, to study (or simulate) performance at real-life  
6 endurance events, and to choose people who participate in events as participants in research.

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