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Cyclopropenium (C_3H_3)⁺ as an aromatic alternative A-site cation for hybrid halide perovskite architectures

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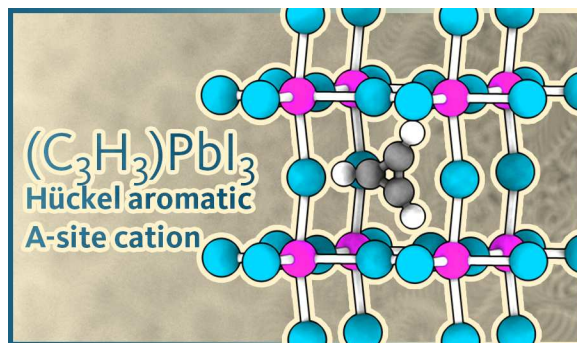
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ABSTRACT

Hybrid halide perovskites are an emerging class of photovoltaic materials, boasting high solar efficiencies from relatively simple preparations. However, the chemical diversity of the A-site organic cation is limited, generally due to steric constraints of the $(\text{PbI}_3)^-$ cage. Herein we describe the use of a non-benzenoid Hückel aromatic, $(\text{C}_3\text{H}_3)^+$, as a viable alternative to the readily employed methylammonium, formamidinium and guanidinium A-site cations. $(\text{C}_3\text{H}_3)^+$ may lead to greater moisture stability due to the lack of an acidic proton relative to the current $(\text{H-NR}_3)^+$ -based systems while still boasting a narrow electronic band gap ($E_g = 1.5$ eV) and highly mobile holes and electrons ($m_h^* = -1.27$ and $m_e^* = 0.77$, respectively).

TOC GRAPHICS



KEYWORDS hybrid halide perovskite, lead iodide, cyclopropenium, density functional theory

The study of organic cations intercalated in metal halide anionic lattices has existed since Wyckoff's report in 1928¹, but the recent explosion of interest stems from the seminal work of Miyasaka and coworkers² who demonstrated the use of a hybrid halide perovskite (of the form ABX_3 , where methylammonium occupies the A-site) as a photoabsorber in a photovoltaic cell. Following this report, methylammonium lead iodide³⁻⁹ and other hybrid halide perovskites have become a paradigm in solar energy production¹⁰ and have sparked persistent interest because of their high light-to-electricity efficiency (advances from 9%¹¹ to a current record of 22.1%¹² in only five years) and ease and rapidity of synthesis.

Unlike the all-inorganic cesium analog, $CsPbI_3$, the presence of the molecular dipole of methylammonium gives rise to a suite of unusual physical properties including ferroelectricity¹³⁻¹⁶ and the occurrence of several crystallographically dissimilar phases.^{17,18} While the physical properties of the material are clearly dependent on the nature of the monovalent cation, the electronic structure is less obviously so. In essentially all reported hybrid halide structures composed of Pb and I the frontier electronic bands have I- p and Pb- s,p character,¹⁹ as well as similar band gaps to one another.²⁰ To further belabor this point, ammonium²¹, formamidinium²², acetamidinium²³, and guanidinium²⁴ have been incorporated as alternative cations into similar systems, all of which share comparable electronic structure and physical properties to their methylammonium analogue.

While the compositional landscape is limited for both the B and X-site ions,^{25,26} (as determined by the redox potential of elements on the periodic table) the lack of diversity at the A-site is remarkable. Generally, the key advantages of using organic moieties in materials chemistry are the ability to electronically and sterically tune the compound of interest in a rational and controlled manner. For example, in an attempt to increase the pK_a of the acidic

methylammonium proton one may elect to use the formamidinium cation due to its delocalized positive charge. However, in the case of hybrid halide perovskites only a handful of organic A-site cations have been constructed, some shown schematically Figure 1.

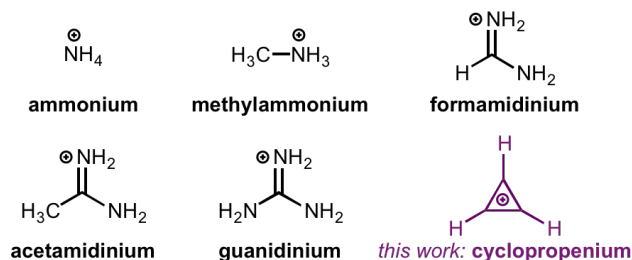


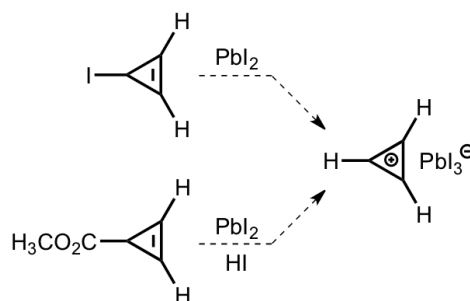
Figure 1. Some of the reported organic A-site cations found in $(\text{PbI}_3)^-$ based perovskites. The cyclopropenium cation differs from the other cations as it is aprotic.

The organic A-site cations depicted in Figure 1 share two things in common: they are small enough to fit inside the $(\text{PbI}_3)^-$ cage and they are singly charged. In the former, the size limitation is neatly summarized by comparison of the radius tolerance factors.^{27,28} In the latter, there are only a limited number of ways to bestow a permanent positive charge on an organic (*i.e.* H, C, N, O-based) material; the most trivial being through protonation of a Lewis base lone pair. However, while acid/base chemistry is conceptually simple and allows rapid synthesis from common iodide salts the use of protic cations is detrimental for crystal stability (*i.e.* elimination of HI is thermodynamically favorable²⁹ and cation deprotonation or molecular disproportionation leads to gas formation and entropically motivated decomposition).^{30,31} Therefore, the discovery of a novel organic cation that is both stable and small may mitigate some difficulties currently faced by $(\text{CH}_3\text{NH}_3)\text{PbI}_3$ and other protic hybrid halide perovskite architectures.

Here we describe an *aprotic, all-hydrocarbon* hybrid intercalator by drawing on non-benzenoid arene chemistry.³² The $(\text{C}_3\text{H}_3)^+$ cation possesses Hückel aromaticity and has been isolated in both the hydrogenic and halogenated forms.^{33–37} The parent $(\text{C}_3\text{H}_3)^+$ ion can be

synthesized by Lewis acid-mediated chloride elimination from C_3H_3Cl ³⁸, or elimination of carbon monoxide and methanol by the action of Brønsted acid on cyclopropenyl ester $C_3H_3CO_2CH_3$ ³⁹. While these carbocations are remarkably stable in solution phase, the stability should not grossly differ as their stability depends on their surrounding dielectric medium. Although we did not attempt a synthesis here, we do offer two plausible synthetic routes, Scheme 1, that involve either the treatment of iodocyclopropene, C_3H_3I ⁴⁰, with PbI_2 , or decarbonylation of $C_3H_3CO_2CH_3$ with hydrogen iodide in the presence also of PbI_2 .

Scheme 1. Possible synthetic routes to $(C_3H_3)PbI_3$



Despite its aromatic nature $(C_3H_3)^+$ has not been widely employed in functional materials, however, substituted derivatives have been used extensively for catalysis⁴¹⁻⁴³ and also as electrolyte components⁴⁴. In this work we use DFT calculations to demonstrate the electronic properties of the hypothetical target material $(C_3H_3)PbI_3$ with aims of motivating research into the application of $(C_3H_3)^+$ in hybrid halide perovskite architectures.

First we assessed the feasibility of $(C_3H_3)^+$ by examination of the revised tolerance factor.²⁸ Given $(C_3H_3)^+$ is of comparable size to formamidinium, we estimate the tolerance factor to be 1.03, suggesting that its incorporation into the $(PbI_3)^-$ lattice is feasible. We constructed $(C_3H_3)PbI_3$ by making an A-site substitution to the low temperature orthorhombic phase of $(CH_3NH_3)PbI_3$. Subsequent geometric and electronic optimization was performed using the

procedure detailed in the Experimental Method. The resultant structure was found to be orthorhombic (lattice vectors; $a = 6.305$, $b = 6.201$, $c = 6.374$ Å).

The electronic band structure of $(\text{C}_3\text{H}_3)\text{PbI}_3$ shows the persistent characteristic features of most lead iodide-based perovskites (Figure 2a). Notably, the incorporation of $(\text{C}_3\text{H}_3)^+$ retains a direct electronic transition at the R-point and reasonable curvature at the valence band maximum (VBM) and conduction band minimum (CBM). Effective masses of holes and electrons were found to be markedly light, $m_h^* = -1.27$ and $m_e^* = 0.77$, respectively.

Like other hybrid halide perovskites, the conduction band is composed of primarily Pb- p states (as evidenced by Figure 2b and the density of states). The VBM remains I- p and Pb- s centered, largely the same as $(\text{CH}_3\text{NH}_3)\text{PbI}_3$ (Figure 2c). Furthermore, while the band gap is highly dependent on the computational approach, the inclusion of $(\text{C}_3\text{H}_3)^+$ does not significantly alter the band gap compared to its methylammonium analogue, with $(\text{C}_3\text{H}_3)\text{PbI}_3$ featuring an electronic gap (E_g) = 1.5 eV (from HSE06sol+SOC with 43% HF exchange). PBEsol was also tested and produced $E_g = 1.3$ eV.

Considering the desirable electronic properties of $(\text{C}_3\text{H}_3)\text{PbI}_3$ we next assess the dynamic stability of the orthorhombic phase.

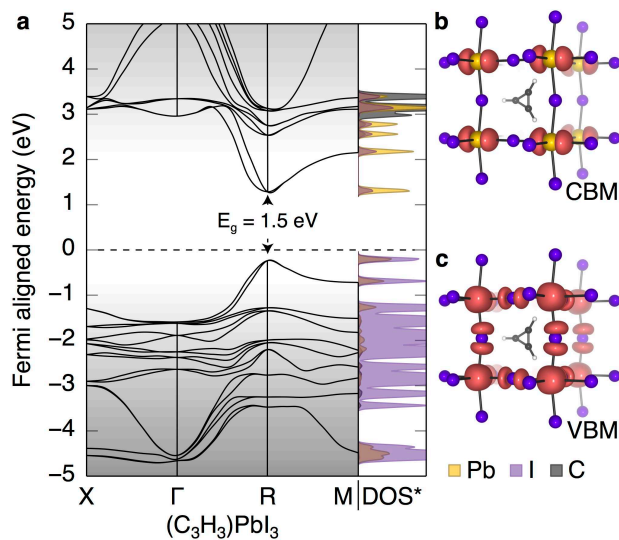


Figure 2. (a) The electronic band structure and density of states of $(\text{C}_3\text{H}_3)\text{PbI}_3$ as computed with HSE06sol+SOC (PBEsol with 43% HF exchange and spin-orbit coupling). (b,c) The conduction band minimum and valence band maximum orbital contributions at the R-point. Electron density isosurface is plotted in salmon at $0.006 \text{ e}/\text{\AA}^3$. Density of states are plotted for special k-points, only*.

The role of hydrogen bonding in the methylammonium hybrid halide perovskite materials is still under investigation^{45–47}. Given the nature of the N–H bond, hydrogen bonding will almost certainly affect the dynamics of any protic A-site cation, whose acidic proton is attracted to the bridging iodides. It should be noted, however, that intermolecular H-bonds could be beneficial in the formation of $(\text{CH}_3\text{NH}_3)\text{PbI}_3$, but would also give rise to strong acid-base interactions with water. While $(\text{C}_3\text{H}_3)^+$ would not be assumed to be a strong hydrogen bond donor, we nevertheless explored this possibility using *ab initio* molecular dynamics simulations to sample the A-site cation mobility in the material and its preferred orientation relative to the lattice I atoms. We observe that $(\text{C}_3\text{H}_3)^+$ can translate along the plane in which it points, one such trajectory is presented in Figure 3a. This motion occurs along a shallow potential energy surface

(Figure 3b) suggesting that the A-site cation is mobile. As expected, we did not observe orientation of the A-site C–H proton towards a bridging Γ^- , as the cyclopropenium is a poor hydrogen bond donor.

We compute the phonon band structure to assess the structural stability of our orthorhombic structure (Figure 3c). We note that there are no negative modes at the Γ -point and, like $(\text{CH}_3\text{NH}_3)\text{PbI}_3$, we see negative acoustic modes when sampling Γ -to-R, associated with $(\text{PbI}_3)^-$ cage deformation/structural transitions. Hence, we suspect that $(\text{C}_3\text{H}_3)\text{PbI}_3$ is, at minimum, metastable and likely exists in a similar chemical space to its other hybrid cousins.

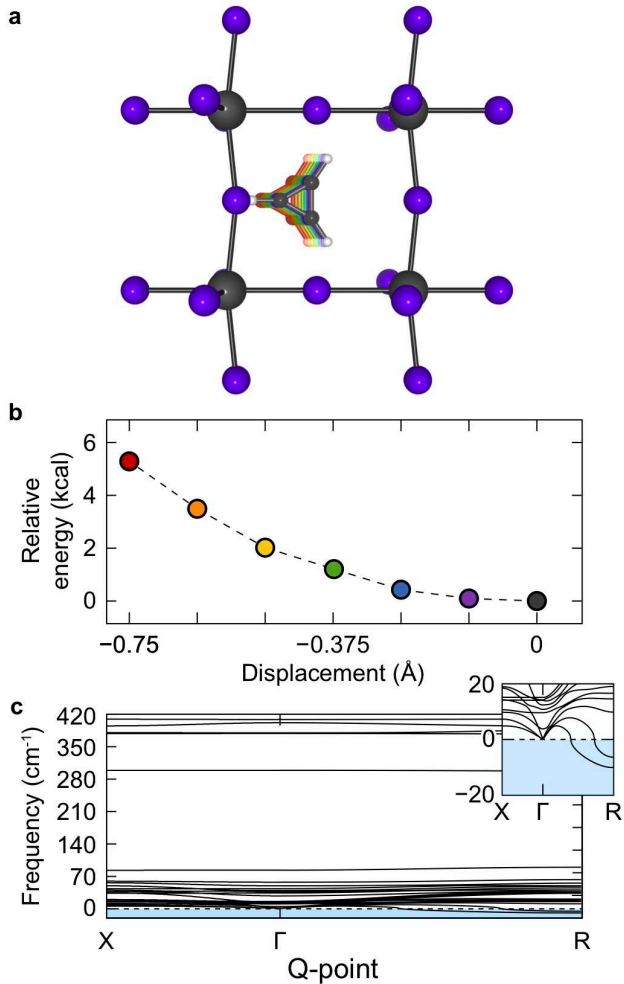


Figure 3. (a) Seven displacements of the A-site cation. The trajectory was obtained from an *ab initio* molecular dynamic simulation triplicate. (b) Corresponding energies of displacement suggest that $(C_3H_3)^+$ ions are at least translation mobile at room temperature. (c) Examination of the phonon band structure shows no negative modes at Γ , and the usual negative modes – emphasized in the inset of (c) – associated with $(PbI_3)^-$ cage deformation when sampling in the R-vector, similar to that observed in $(CH_3NH_3)PbI_3$.

Indeed, the orthorhombic phase is only one of several possible structural phases that $(C_3H_3)PbI_3$ may crystallize in. Short of an exhaustive structure search, we elected to include a second plausible hypothetical phase. Beginning with the α -phase⁴⁸ observed for $(CH(NH_2)_2)PbI_3$ cation substitutions were made and the structure was fully optimized; the resultant structure was triclinic (see Supporting Information for the optimized structure of both phases). The significant increase in unit cell size prevents a full analysis of the phonon band structure but we were able to assess dynamic stability through the omission of negative phonon modes at the Γ -point only. The electronic band gap was computed to be comparable to the orthorhombic phase, and the α -phase predicted to lie on a relatively shallow potential energy surface, being more stable by only 5 kcal/mol.

In summary, the use of $(C_3H_3)^+$ in $(PbI_3)^-$ halide perovskite-type architectures provides interesting avenues and potential improvements in three key areas that trouble other hybrid alternatives. 1) The water instability of protic A-site cations may be remediated by the use of an *aprotic* cation such as the aromatic cation described in this paper. 2) $(C_3H_3)^+$ possesses no permanent dipole, thus obviating charging effects and other anomalous behavior observed in the literature. 3) The comparable band gap and charge carrier effective masses should lead to a more efficient chromophore. Finally, we must comment on the reactivity and stability of $(C_3H_3)^+$.

Indeed, there are new challenges associated with the cyclopropenium cation and our current synthetic approach will likely have to be altered to realize this material. Furthermore, the more easily handled covalently halogenated cyclopropeniums are not feasible A-site cations in the 3D connected perovskites, due to the size requirements imposed by the $(\text{PbI}_3)^-$ cage, but may offer interesting targets for 2D and layered architectures.

EXPERIMENTAL METHOD

Beginning with the experimentally determined crystal structure of the orthorhombic methylammonium lead iodide the A-site cation was substituted for C_3H_3 . The material remained charge neutral as the cyclopropenium carries a single positive charge in this framework. The structure was then geometrically and electronically optimized with the DFT construct as implemented in VASP. A 500 eV planewave cutoff, PBEsol, and a 6 x 6 x 6 k-grid was used to ensure electronic convergence to within 0.001 eV per atom. A similar procedure was employed for the modification of α - $(\text{CH}(\text{NH}_2)_2)\text{PbI}_3$. A 4 x 4 x 2 k-grid was used and full optimization was performed. The resultant structure was triclinic.

Typically both Pb and I require spin-orbit coupling for accurate electron energies. However, the systematic underestimation of electronic band gap produced by PBEsol has been shown to recover the correct electronic band gap for these materials.⁴⁹ Here we use PBEsol as a first approximation, and compute the electronic band gap to be 1.3 eV. To recover a better electronic description of $(\text{PbI}_3)^-$ materials we employed the HSE06sol functional with an increased mixing of exact HF exchange (43%) and spin-orbit coupling. A similar procedure has been previously fruitful in predicting electronic properties of this class of materials.^{26,50} In the text we refer to this method as HSE06sol+SOC and yielded $E_g = 1.5$ eV. The electronic band structure is the

computed using the geometric output from the PBEsol optimization with the HSE06sol+SOC used to sampling along the k-vectors (Figure 2). With exception to (NH₄)PbI₃, all hybrid halide perovskites are *PI*. Hence, we approximate the high symmetry point vectors and nomenclature using those assigned formally to the CsPbI₃ material, space group *Pm-3m*.

Effective masses were computed using the Effective Mass Calculator as presented by Fonari and Sutton,⁵¹ accessed 09/09/2017. K-point sampling was made using a step size of 0.01 Bohr⁻¹. The phonon band structure was computed using PhonoPy⁵² by construction of a 2 x 2 x 2 supercell. Finite displacements were then used to compute the forces, using a tightened convergence criterion (0.0005 eV per atom).

Ab initio molecular dynamics was employed to investigate the dynamic stability of the (C₃H₃)⁺ cation. A relaxed cutoff of 400 eV and Gamma-only k-grid sampling was used. Simulations were run in triplicate to ensure no anomalous results, with a timestep of 0.5 fs heating at 0.1 K per geometric step from 0 – 500 K.

ASSOCIATED CONTENT

Optimized structures of the triclinic and orthorhombic phases.

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