

## Riparian reserves in oil palm can protect forest bird communities

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## 26 Summary

- 27 1. Conversion of forest to oil palm agriculture is a significant and continuing threat to  
28 tropical biodiversity. Despite this, little is known about the value of riparian reserves  
29 in oil palm and how these conservation set-asides might best be managed to maintain  
30 biodiversity.
- 31 2. We quantified bird community structure of 20 sites in an oil palm-forest mosaic in  
32 Sabah, Malaysia using 6104 detections from 867 point counts. Sites included oil palm  
33 riparian reserves of various vegetation quality and reserve widths, which were  
34 compared to oil palm streams without a riparian reserve, riparian areas in continuous  
35 logged forest and non-riparian logged forest control sites.
- 36 3. Riparian reserves, oil palm waterways, continuous riparian forest and non-riparian  
37 control forest sites supported distinct avifaunal communities. Generalised linear  
38 models showed riparian reserve width, forest quality and the amount of surrounding  
39 forest cover to be the strongest predictors of bird species richness. For forest-  
40 dependent species, each of these predictors had stronger effect size when compared  
41 with all species. On average, reserves held 31% of all species and 30% of forest  
42 specialists, whereas continuous riparian forests averaged 32% of all species but 38%  
43 of forest species.
- 44 4. Riparian reserves with >40 m of natural vegetation on each bank supported similar  
45 bird diversity to riparian habitats found in continuous forest. However, to support  
46 equivalent numbers of forest-dependent species and species of conservation concern,  
47 reserves would need to be at least 100 m wide on each bank. The highest numbers of  
48 species were found in riparian forest with above-ground carbon densities exceeding  
49 75 tC ha<sup>-1</sup>, indicating the importance of habitat quality, as well as width, in supporting  
50 riparian bird communities.
- 51 5. *Synthesis and applications.* If designed and protected appropriately, riparian reserves  
52 support diverse bird communities including many species of conservation concern in  
53 oil palm estates. This can be achieved by designating large reserves (80-200 m total

width), but to maximize species numbers forest disturbance should also be minimised prior to conversion as well as during plantation operations.

**Key-words:** agriculture, riparian buffer, riparian zone, biodiversity, land-use change, fragmentation, landscape configuration, forest management.

**Introduction**

Human activities are causing biodiversity decline at an unprecedented pace globally (Pimm *et al.* 2014), with agricultural expansion being a primary cause of species loss in the tropics (Gibson *et al.* 2011; Costanza *et al.* 2014; Jantz *et al.* 2015). At least 522 Mha of tropical forest was converted to production between 1980 and 2000 (Gibbs *et al.* 2010) and a further 150 Mha was lost between 2000 and 2012 (Hansen *et al.* 2010). A major contributor to this problem has been cultivation of oil palm (*Elaeis guineensis*), with at least 3.7 Mha of palm plantation directly associated with deforestation on Borneo alone since 1973 (Gaveau *et al.* 2016). With a global coverage of over 16.4 Mha, oil palm agriculture is now one of the most profitable land-uses in the tropics, with demand expected to continue to rise with a growing global population (Sayer *et al.* 2012; Vijay *et al.* 2016). Meeting this demand will require improved productivity on existing estates, as well as expansion of the crop into new areas.

Tropical production landscapes harbour significantly less biodiversity than native forest (Gibson *et al.* 2011). This pattern has been documented in many agricultural land-use types, including fruit orchards (Round *et al.* 2006), rubber plantations (Warren-Thomas *et al.* 2015), and oil palm under both smallholder cultivation (Azhar *et al.* 2011) and industrial production (Edwards *et al.* 2010). Retaining forest areas within human-modified tropical landscapes can therefore enhance biodiversity levels (Ewers & Didman, 2006), although crop yields are likely to be reduced as a consequence (Edwards *et al.* 2010; Phalan *et al.* 2011). Such remnant forest patches are maintained typically on slopes (Sheldon *et al.* 2010), floodplains, or along waterways (Foster *et al.* 2011).

81 Waterways and riparian areas are often afforded legal protection in tropical countries  
82 to mitigate flooding and sedimentation (Mayer et al. 2007). In Malaysia, for example,  
83 agricultural companies are required to maintain riparian reserves of between 5 and 50 m from  
84 each riverbank (Government of Malaysia, 2012). While reserve width requirements are  
85 dictated by the size of the river and administrative region, most are 20-30 m (Government of  
86 Malaysia, 2012). In addition, oil palm companies that adhere to guidelines under the  
87 Roundtable for Sustainable Palm Oil (RSPO), the primary environmental certification scheme  
88 for this crop, voluntarily agree to retain riparian reserves, and there are ambitions to increase  
89 the width requirements (Luke et al. 2017).

90 While the main rationale for protecting riparian reserves is hydrological, these  
91 habitats may also be important for maintaining wildlife populations (Naiman et al. 1993). In  
92 Queensland, Australia, four out of six arboreal mammal species present in continuous forest  
93 used riparian areas of native vegetation (Laurance & Laurance, 1999) and, in Sumatra,  
94 riparian reserves in paper-pulp plantations supported large-mammal communities comparable  
95 to those in continuous forest (Yaap et al. 2016). Amazonian bird communities in large and  
96 undisturbed riparian reserves retain near-complete mammal and bird assemblages when  
97 compared to large forest patches (Lees & Peres, 2008; Zimbres et al. 2017). Similarly, in  
98 riparian reserves in Borneo, fish (Giam et al. 2015), dung beetle and leaf-litter ant (Gray et al.  
99 2014, 2016) assemblages in oil palm riparian reserves are more similar to those in contiguous  
100 logged forests than the surrounding oil palm matrix in terms of composition, species diversity  
101 and functional group diversity.

102 The species composition of riparian remnant forest patches is likely to be influenced  
103 by many of the processes associated with conventional habitat fragmentation, such as area-  
104 mediated changes, isolation and edge effects (Wilcove, et al. 1986; Fahrig, 2003). However,  
105 riparian areas also exhibit several distinct ecological and geophysical characteristics that can  
106 affect their biodiversity value. In temperate regions, ecological communities sampled near  
107 rivers tend to be distinct from adjacent upslope areas (Naiman & Decamps, 1997), with

108 terrestrial riparian specialists being more common (Naiman et al. 1998), and upslope species  
109 underrepresented due to habitat filtering (Weiher & Keddy, 2001) and niche competition  
110 (MacAuthur & Levins, 1967). Ecological assessments of remnant riparian habitat should  
111 therefore be contextualised through comparison with riparian areas within contiguous native  
112 habitat to be robust. However, this has not always been undertaken.

113         Although waterways are afforded protection in many tropical countries, few studies  
114 have provided recommendations to inform the design of riparian reserves for biodiversity  
115 conservation. Here, we explore the relationships between the reserve width, forest quality  
116 within the reserve, and the biodiversity present. Specifically, we characterised bird  
117 communities in riparian reserves within a typical forest-oil palm landscape of Southeast Asia  
118 to evaluate the relative value for riparian and non-riparian biodiversity. Reserve width, the  
119 main criterion stipulated in environmental policy, is expected to correlate positively with  
120 species diversity, with more species supported in wider reserves (Lees and Peres, 2008; Gray  
121 et al. 2014; Zimbres et al. 2017). However, the expected species numbers might not be  
122 supported if the riparian habitat is of insufficient quality (Luke et al. 2017, but see Gray et al.  
123 2014). Given the role of fragment area in determining species richness and composition, it is  
124 also informative to understand how reserve width and habitat quality could influence  
125 biodiversity value in relation to other landscape covariates, and whether the largest reserves  
126 can support similar levels of biodiversity to continuous forest areas. This is also a  
127 fundamental policy question since protecting larger/wider reserves involves a trade-off  
128 between preserving biological communities and making land available for agriculture. As  
129 riparian reserves in oil palm are the focus of environmental policy in the certification sector,  
130 we also examine whether they are of value for forest-dependent species and species of  
131 conservation concern according to the IUCN Red List. In doing so, we elucidate possible  
132 management options within oil palm landscapes which should have the greatest benefit to  
133 species of conservation concern.

134

## 135    **Methods**

### 136    ***Study system***

137    The study is set in and around the Stability of Altered Forest Ecosystems (SAFE) project site  
138    (117.5°N, 4.6°E) in Sabah, Malaysian Borneo (Fig.1, Ewers et al, 2011). The 80,000 ha area  
139    comprises both forest and plantations of oil palm and *Acacia*. Most of the remnant forest has  
140    been logged two to four times over 30 years and contains few mature trees (Struebig et al.  
141    2013), although some parts are less disturbed and are formally protected. The surrounding  
142    agricultural matrix is comprised of multiple oil palm estates with trees aged between 8 and 12  
143    years. Within this matrix, remnants of logged forest of varying quality are protected alongside  
144    watercourses as riparian reserves. These reserves typically extend ca. 30 m on each bank from  
145    the river channel, but across the landscape the reserve widths range from 15-500 m. Reserves  
146    also vary significantly in altitude (66–360 m.a.s.l.), topographic ruggedness and substrate  
147    (rocky through to sandy).

148            We sampled bird communities alongside 20 rivers. Ten of the rivers were within oil  
149    palm plantation and had riparian reserves (RR), two were in the oil palm with no riparian  
150    reserve and were used as controls (OPR), and a further eight rivers were used as controls  
151    within the logged forest (LFR). The rivers sampled in oil palm were selected to represent the  
152    range and distribution of reserve widths present across the study area (median=54 m,  
153    mean=95 m, SD=135 m) and plantations elsewhere in Southeast Asia. Forest quality,  
154    indicated by above-ground carbon density measured via LiDAR (Coomes et al. in review),  
155    also varied substantially across riparian areas in oil palm and forested controls. Finally, to  
156    document any differences between riparian and non-riparian bird communities, we also  
157    surveyed eight non-riparian continuous forest sites (CF).

158

### 159    ***Bird sampling***

At each riparian site, birds were sampled at ten point count stations placed at 180-220 m intervals (Euclidian distance) along a 2 km transect that followed the course of the river. The stations were situated up to 10 m up the riverbank to minimise interference from the sound of running water. During each point count, a single experienced observer (SLM) recorded all bird species heard or seen within a 50 m radius of the point. Counts were conducted on days of clear weather between 05:50 and 11:00, and were repeated on three separate occasions at each site between 2014 and 2016. For non-riparian sites, the ten point counts were spatially configured at comparable distances along access trails. Sites were sampled at mean intervals of 72 days between visits. Three species of swift (*Aerodramus maximus*, *A. salangana* and *A. fuciphagus*) could not be reliably separated and so were considered together as *Aerodramus spp.* The bird sampling data from the three surveys were pooled across the ten stations at each site.

***Environmental predictors of bird community structure***

For each site, we calculated mean above-ground carbon density across the ten point count stations, using remotely sensed data. We used this as an index for overall habitat quality. Similarly, we also calculated canopy height, altitude and topographic ruggedness for each site as an average of values extracted within a 50 m radius of each of our ten point stations. Above-ground carbon density and canopy height were extracted from high-resolution (30 x 30 m and 5 x 5 m respectively) LiDAR datasets, which were gathered in November 2014 using a Leica ALS50-II sensor (Coomes et al. 2017). Altitude (30 x 30 m resolution) was estimated from the Shuttle Radar Topography Mission (SRTM; <http://www2.jpl.nasa.gov>). Likewise, topographic ruggedness was derived using the SRTM data, according to Wilson et al. (2007). Average values for each raster layer were calculated within a 50 m buffer radius of each station using the R 3.2.3 (R Core Development Team, 2015) packages 'raster', 'sp', 'rgdal', 'gtools' 'doMC' and 'maptools' .

186 For each riparian reserve sampled, we estimated the reserve width at each station  
187 from the LiDAR canopy height layer (5m resolution). The width of the river channel was  
188 included in this remote measurement as vegetation often obscured the riverbanks. River  
189 channel width was recorded in the field, between the high water marks of the two banks,  
190 using a tape measure or laser rangefinder (Leica Rangemaster CRF 1000). Subsequently, this  
191 value was subtracted from the LiDAR derived width estimate to determine the actual land  
192 surface within the riparian reserve. Mean bank reserve width is typically referenced within  
193 environmental policy documents, so we use this metric throughout the paper.

194 As a measure of landscape-scale forest availability, we also calculated percentage  
195 forest cover within a 1000 m radius of each point count station. All environmental predictors  
196 were averaged values across the ten point count stations per site.

197

### 198 *Statistical analyses*

199 Species accumulation curves were constructed for each site, and inspected for being close to  
200 asymptote, to confirm that sampling was adequate. To demonstrate differences in richness  
201 between habitats we also produced accumulation curves for each habitat type (Fig. 1).  
202 Rarefied curves, based on 100 iterations, were produced using the ‘vegan’ package. We used  
203 the number of bird encounters, rather than absolute numbers, to generate curves, as early  
204 morning roost flights of *Pycnonotus analis* occasionally resulted in >100 individuals recorded  
205 from a single point. In this case large numbers of a single species recorded within one visit  
206 were treated as a single encounter as measured on our  $x$ -axis.

207 We used a generalised linear modelling (GLM) framework in ‘lme4’ to explore the  
208 partitioning of species abundance and richness by habitat type. GLMs used observed richness  
209 values from an equal sampling design. Spatial autocorrelation was assessed using a Moran’s I  
210 test on the residuals of our GLM for richness across all riparian sites in order to test for  
211 unforeseen associations between nearby sites. The package ‘multcomp’ was used to perform

linear hypothesis Tukey tests between habitat combinations (LFR vs. CR, LF vs. RR, LFR vs. OPR, RF vs. RR, RF vs. OPR, RR vs. OPR). This procedure was repeated for two subsets of our community: forest-dependent species and species of conservation concern. Forest-dependent species were defined according to the consensus opinion of five expert ornithologists with extensive experience of Southeast Asian avifauna (Nick Brickle, Frank Rhiendt, Dave Bakewell, Craig Robson and Simon Mitchell), while species of conservation concern were defined as those on the IUCN Red List with a status of near-threatened through to critically endangered (IUCN, 2017).

Ordinations were used to explore bird species composition in relation to habitat type and our environmental predictors. Pairwise Bray Curtis dissimilarity coefficients were calculated between pooled species abundances at each site and non-metric multidimensional scaling (NMDS) ordinations generated using PC-ORD 6.07, to organise sites by similarity in species composition. The reliability of the ordinations was determined by comparing NMDS solutions produced from 250 runs of real data, with those produced from randomised species-site matrices using a Monte Carlo test. The ordinations were then repeated to ensure that they reflected representative signals in community data and were not being disproportionately impacted by either rare (by removing species recorded only once within the dataset) or highly abundant species (the abundances of which were square-root transformed) following Struebig et al. (2013). Non-parametric permutations tests (ADONIS) were used to examine compositional differences between habitat types. We also investigated which species were most associated with particular habitat types using the indicator species analysis INDVAL (Dufrene & Legendre, 1997).

GLMs were used to determine whether species richness was driven by our potential environmental predictors (river channel width, riparian reserve bank width, landscape-scale forest cover and above-ground carbon density) at our 20 riparian sites. We selected Gaussian family models, as this best reflected the probability distribution of species richness. As ruggedness and altitude were correlated, one of the environmental predictors had to be

239 excluded from the modelling process. Ruggedness was retained in the riparian reserve  
240 models, because the range of values was greater than for altitude, and vice versa for modeling  
241 across all sites.

242

243 To examine the influence of the environmental predictors on species composition, we  
244 constructed generalised linear mixed effects models (GLMMs) for our two NMDS axes for  
245 all habitat types. Habitat type was included as a random variable. Oil palm communities were  
246 excluded from these analyses as species composition was very different from that in other  
247 habitat types and would obscure any other potential patterns of interest. Parameters were  
248 model averaged across the  $\Delta AIC < 4$  model set. The modelling process was repeated for forest-  
249 dependent species and species of conservation concern separately.

250

## 251 **Results**

### 252 *Species abundance and diversity*

253 Across the 28 sites, we detected 6104 individual birds of 202 species, including 133 forest-  
254 dependent species (3838 individuals) and 62 (821 individuals) species of conservation  
255 concern (Table 1). Our species accumulation curves approached an asymptote for both each  
256 site and each habitat type and followed the same trajectory, confirming that we had sampled  
257 the avifauna well enough to assess differences in richness and assemblage structure between  
258 them (Fig. 2).

259 Birds were more abundant in riparian reserves than in forest and oil palm control  
260 rivers, but significantly less abundant than in non-riparian forest sites (Fig. 3; mean site-level  
261 abundance: LFR=264.0, CF=165.7, RR=226.1, OPR=172.5). Riparian reserves supported  
262 similar levels of bird species richness to riparian forest controls, and double that recorded of  
263 oil palm control rivers (Fig. 3; mean site-level species richness: CR=61, RR=64.27,  
264 OPR=30.5).

265 Forest-dependent species accounted for 65% of all individuals across the whole  
266 community (54% in RR; 74% of LFR; 20% in OPR; and 70% in CF). They were significantly  
267 less abundant outside of the both continuous forest and logged riparian forest control sites  
268 (Fig 3; mean total site-level abundance: CF=186.6, LFR=172.9, RR=124; OPR=34.4). Forest-  
269 dependent species richness was highest in continuous forest controls and riparian logged  
270 forests and significantly lower in oil palm (Fig 3; mean site-level species richness: CF=52.5,  
271 LFR=44.6, RR=35.8; OPR=6.0).

272 Species of conservation concern comprised 13% of all individuals across the  
273 landscape. They made up a larger component of the bird community in riparian (18%) and  
274 non-riparian (16%) controls in continuous forest, compared to those in riparian reserves  
275 (11%) and oil palm river controls (2%). There was no significant difference in the number of  
276 species of conservation concern found in riparian reserves and logged riparian forest sites in  
277 terms of either abundance or richness (Fig 3; mean site-level abundance: CF=41.3, LFR=29,  
278 RR=25.3; OPR=3; mean site-level richness: CF=20.1, LFR=16, RR=13.5, OPR=3). Species  
279 richness was not influenced by spatial autocorrelation (Moran's I test; observed = -0.04,  
280  $P=0.80$  of GLM residuals for model including habitat type, above-ground carbon density and  
281 riparian width).

282 *Species community composition*

283 Our NMDS ordination of community composition performed better than those based on  
284 randomised data (Monte Carlo test: observed stress=12.4; simulated stress=28.7;  $P=0.004$ ;  
285 Fig.4a), and showed four clear habitat groupings. The most divergent of these were the oil  
286 palm riparian controls, which supported an almost entirely different bird community.  
287 Communities in riparian reserves were more similar to those in riparian and non-riparian  
288 control sites, but still distinct from both habitat types in terms of species composition (Fig. 4).  
289 Since the oil palm riparian controls had such a strong influence on the landscape-wide  
290 ordination, we removed them in our subsequent analyses to better discriminate between the

291 remaining habitat types. Our subsequent NMDS represented 89% of the variation in bird  
292 community structure (stress=14.8). None of the models were improved significantly after  
293 removal of singletons and square-root transformation of highly abundant species  
294 (stress=16.35).

295 Species composition was significantly different across all four habitat types  
296 (ADONIS:  $R^2=0.11$ ,  $P=0.01$ ). The same pattern was evident when restricted to just forest-  
297 dependent species and species of conservation concern (Fig. 4; forest-dependent species:  
298  $R^2=0.125$   $P=0.01$ ; species of conservation concern:  $R^2=0.1403$   $P=0.01$ ).

299 Indicator species analyses revealed 13 significant associations between particular bird  
300 species and habitat types. *Pelargopsis capensis* and *Enicurus ruficapillus* were indicative of  
301 riparian reserves and riparian control forests, respectively. We also found several species,  
302 including *Malacopteron magnirostre*, were indicators for continuous forests, and a suite of  
303 generalist species, including *Lonchura fuscans*, were significant indicators for oil palm rivers  
304 (Table 1).

### 306 *Environmental predictors of riparian reserve communities*

307 Our models demonstrated that riparian reserve width was an important predictor of bird  
308 species richness and avian community composition (Table 2; Fig. 5). Observed and estimated  
309 levels of richness responded to changes in riparian width and above-ground carbon density in  
310 a consistent manner.

311 Riparian reserve width, above-ground carbon density and forest cover were all  
312 important predictors of observed species richness for the full community (Table 2). This  
313 pattern was the same for forest-dependent species though did not apply to species of  
314 conservation concern. Across all riparian habitats, above-ground carbon was a significant  
315 predictor of species richness for both forest-dependent taxa and species of conservation

316 concern. However, our final model for riparian habitats did not reveal any significant  
317 predictors across all species. Forest cover was an important predictor of the richness of all  
318 species and of forest dependent species, as well as of assemblage structure reflected by  
319 NMDS axis 1 for species of conservation concern. The second axes of our NMDS analyses  
320 exhibited no significant relationship with the environmental predictors.

321         Community subsets for all species, forest-dependent species and species of  
322 conservation concern differed in the riparian width at which richness was equal to that found  
323 in continuous riparian forests (Fig. 5). Trend lines intersected mean richness levels for  
324 riparian controls at ca. 40 m for all species. However, for forest-dependent taxa and species of  
325 conservation concern, riparian reserves did not reach equivalent richness levels to that found  
326 at control sites. The magnitude of the relationship with above-ground carbon density also  
327 varied between community subsets. (Fig. 5)

328

329         **Discussion**

330 We found that oil palm riparian reserves supported comparable levels of bird diversity to  
331 continuous forest, especially when reserves are wide and comprise high carbon forest, but  
332 they contained fewer forest-dependent taxa and species of conservation concern. By contrast,  
333 other studies show riparian reserves sustain intermediate levels of diversity between  
334 monoculture tree plantations and control forest sites for dung beetles (Gray et al. 2014) and  
335 large mammals (Yaap et al. 2016). Our appraisals of forest-dependent taxa and species of  
336 conservation concern also demonstrate that not all species are well represented in riparian  
337 reserves and it is likely that these taxa require larger tracts of continuous forest for long-term  
338 population viability.

339         Despite a growing number of ecological studies on tropical riparian reserves, there is  
340 still little information regarding which features best promote biodiversity. For birds in oil  
341 palm, we find that riparian reserve width is an important predictor of overall biodiversity

342 levels, with forest remnants at least 40 m wide (i.e., 80 m total width) supporting comparable  
343 numbers of species to continuous forest riparian sites. Nonetheless, to support equivalent  
344 numbers of forest-dependent taxa and species of conservation concern, riparian reserves  
345 would need to be at least 100 m wide (200 m total width), based on extrapolation of observed  
346 trend lines (Fig. 4d, g). We can only extrapolate, as large riparian reserves are scarce in our  
347 study system and oil palm landscapes in general. It therefore remains to be seen whether all  
348 forest-dependent taxa and species of conservation concern would actually use riparian  
349 reserves even if they were of substantial width.

350       Uniquely for oil palm landscapes, our results demonstrate the influence of forest  
351 quality (as measured by above-ground carbon density), as well as reserve width, on the  
352 riparian reserve avifauna. This suggests that protecting reserves of poor forest quality is  
353 unlikely to offer conservation gains without habitat restoration. Similar findings have been  
354 reported from cattle ranching areas in Amazonia, where riparian reserve width and percentage  
355 canopy cover were both predictors of forest species richness in riparian reserves (Lees &  
356 Peres, 2008; Zimbres et al. 2017). This result supports the idea that wildlife-friendly ‘land-  
357 sharing’ approaches to management may be less successful than ‘land-sparing’ (Gilroy et al.  
358 2014), especially because small areas of non-continuous forest, such as riparian reserves, are  
359 more susceptible to degradation through edge effects (Helzer & Jelinski, 1999). Disentangling  
360 this relationship is difficult, however, as both larger fragments and reserves tend to be of  
361 higher habitat quality than smaller ones (e.g., Lees et al. 2009).

362       Previous studies have only compared riparian reserves with the communities of  
363 continuous non-riparian forest. We show that, while overall richness remains comparable to  
364 continuous non-riparian forests, bird community composition in reserves is intermediate  
365 between that of continuous riparian and oil palm riparian habitats (Fig 3a). While there were  
366 many species shared between riparian reserves and continuous riparian forest habitat, reserves  
367 also had some generalist species *Spilopelia chinensis*, *Geopelia striata*, *Copsychus saularis*,  
368 and *Pycnonotus analis* that were rare or absent in both riparian and continuous control forests.  
369 These matrix-dwelling species are known to be abundant in both industrial oil palm

370 plantations (Edwards et al. 2010) and mixed smallholder cultivation (Azhar et al. 2011).  
371 Riparian reserves also lacked several forest-dependent taxa and species of conservation  
372 concern, in accordance with previous studies, which found small forest fragments to support  
373 few specialist species (Ewers & Didman, 2006; Benedick et al. 2006).

374         We found that bird communities in oil palm rivers without a reserve were highly  
375 depauperate, supporting only ca. 40 species, which is consistent with species richness  
376 observed in previous oil palm research (Edwards et al. 2010). The presence of rivers therefore  
377 appears to have little effect on bird diversity in the absence of significant amounts of natural  
378 vegetation. This stark difference was clear even for sites with degraded reserves, highlighting  
379 that narrow, low quality riparian buffers can still have a significant positive effect on bird  
380 community structure albeit a small one. Crucially, degraded reserves still held more forest-  
381 dependent taxa and species of conservation concern than did oil palm, although at much  
382 lower richness and abundance than in continuous riparian areas.

383         It is possible that species recorded in riparian habitats are not part of a viable  
384 population and that the reserves are sinks (Gilroy & Edwards, 2017). For example, Weldon &  
385 Haddad (2005) demonstrated that *Passerina cyanea* in small fragments continued to nest in  
386 patches with greater forest edge despite increased mortality. Likewise, small fragmented areas  
387 of habitat are far more susceptible to further perturbations and edge effects than large  
388 continuous forests (Ewers et al. 2007), which can result in extinction cascades long after  
389 fragmentation has taken place (Kitzes & Harte, 2015). Nevertheless, riparian reserves could  
390 be acting as movement corridors between larger, higher quality, areas of forest. In the context  
391 of land-use change, assisting species dispersal in this way could be vital in maintaining viable  
392 populations in otherwise isolated remnant habitat fragments (Brown and Kodric-Brown 1977;  
393 Capon et al. 2013), particularly for interior forest bird species (Gillies & St. Clair, 2008).

394         Riparian areas in our study held different species to continuous forest. For instance,  
395 green heron (*Butorides striatus*) and blue-eared kingfisher (*Alcedo meninting*) were only  
396 noted in riparian habitats, while threatened species such as chestnut-naped forktail and  
397 yellow-bellied bulbul (*Alophoixus phaeocephalus*) were only found in continuous forest.

398 While the former three species are river specialists, feeding on either fish or stream  
399 invertebrates, the latter is ostensibly a forest specialist (Eaton et al. 2016). Microclimate,  
400 vegetation structure and prey abundance have been found to differ between riparian and non-  
401 riparian habitats in Hong Kong, and these changes correlated with differences in bird species  
402 richness and abundance (Chan et al. 2008). This emphasises the value of including a riparian  
403 forest as a comparator, rather than just non-riparian continuous forest, as has been done in  
404 previous studies. It also demonstrates that spatial turnover in species composition between  
405 riparian and non-riparian sites is greater than that within just one habitat type, indicating that  
406 riparian areas have an additional effect on regional species richness (Sabo et al. 2005). From a  
407 policy perspective, this underscores the importance of ensuring that riparian areas are  
408 captured within tropical forest protection designations, even if legislation is not geared  
409 towards specifically protecting them.

410

#### 411 *Management recommendations*

412 Based on our results, several recommendations for the improved management of riparian  
413 reserves are possible. These are not mutually exclusive, but each would have different  
414 outcomes for bird communities within riparian reserves if adopted. First, increasing minimum  
415 widths to 40 m on each bank would improve bird diversity in riparian reserves to levels  
416 typical of contiguous riparian forests. In tandem with the replanting of native tree species, this  
417 could also benefit forest-dependent species, since reserve width showed a stronger  
418 relationship with forest species richness than it did for overall community richness.

419       Second, the greatest gains in species richness for the smallest loss of cultivated area  
420 could be achieved by replanting vegetation in reserves narrower than 30 m to meet existing  
421 legislative guidelines. This is because the relationship between width and species richness is  
422 logarithmic, so the highest gains in richness are at small widths. However, this would only  
423 maximise species richness at the level of individual rivers, whereas effects on landscape-scale  
424 richness and the benefit to forest-dependent species would be less significant.

425           Finally, the biodiversity protection of any future riparian reserves could be greatly  
426 improved by increasing the quality of reserve habitat. This is not just achieved by restoring  
427 degraded habitat in existing plantations, but also by ensuring that contractors follow  
428 legislation while salvage logging and establishing new ones. In countries such as Malaysia,  
429 these restrictions already exist for conventional logging operations (Forest Enactment for  
430 Sabah, 1968). Although these are generally followed on a landscape-wide scale, narrow  
431 riparian reserves are difficult to define and map prior to clearance and may suffer from the  
432 opportunistic removal of valuable timber as a result. Once land is re-designated after salvage  
433 logging and preparation for plantation, this can result in legal reserves of substandard forest  
434 habitat quality. By improving the enforcement of riparian reserve policy prior to and during  
435 salvage logging, reserves of higher habitat quality can be maintained. This will not only  
436 benefit threatened biodiversity, but is also likely to have knock on benefits to other wildlife,  
437 hydrological regimes, and water quality downstream.

438

439   **Author contributions:**

440 SLM, MJS, DPE, HB and ZGD conceived the ideas and designed the methodology; SLM  
441 collected, processed and analyses bird encounter data; DC provided LiDAR data; SLM, MJS,  
442 ZGD and DPE led the writing of the manuscript. All authors contributed critically to the  
443 drafts and gave final approval for publication.

444

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455

456 **Data Accessibility**

457 Bird abundance data and LiDAR-derived environmental data will be available through the  
458 NERC online archive DOI: XXXX

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## 598    **Figures**

599

600    **Figure 1.** Twenty-eight bird sample sites in riparian (n=20) and non-riparian (n=8) habitat  
601 types in the Stability of Altered Forest Ecosystems (SAFE) landscape and surrounding  
602 agricultural matrix in Sabah, Malaysian Borneo. A site comprised ten point count stations  
603 (indicated by points on the map), each of which were sampled for birds on three separate  
604 occasions. Forest is shown in grey; tree plantations (predominantly oil palm), and cleared  
605 areas in white. Forest cover was derived from Hansen et al. (2013) and updated to represent  
606 the landscape in 2014 accurately.

607    **Figure 2.** Rarefied bird species accumulation curves for each riparian and control habitat type  
608 based on 100 iterations. Grey buffers denote one standard deviation either side of mean  
609 richness values.

610    **Figure 3.** Boxplots of site-level bird abundance and species richness across the different  
611 habitat types for: all species; forest-dependent species; and species of conservation concern.  
612 General linear model derived linear hypothesis Tukey tests revealed significant differences  
613 ( $P < 0.05$ ) between all habitat types except for those cases marked non-significant (n.s)

614    **Figure 4.** Nonmetric multidimensional scaling ordination of bird community structure across  
615 riparian and non-riparian habitat types. Plots show dissimilarity across (a) all species; (b)  
616 forest-dependent species; and (c) species of conservation concern. Oil palm river sites were  
617 excluded from (b) and (c) because they included only 7 forest-dependent species and 3  
618 species of conservation concern, and therefore could not be plotted within the same ordination  
619 space.

620    **Figure 5.** Observed (cyan triangles) and estimated species richness (orange circles) for  
621 riparian reserve sites were directly related to reserve width (on each bank) for all species;  
622 forest-dependent species; and species of conservation concern (a, d, g). Centre panels indicate

623 the average estimated and observed richness from the eight logged riparian control sites.  
624 Observed and estimated species richness were also significantly associated with above-  
625 ground carbon density (b, d, f). The relationships were examined using generalised linear  
626 mixed models (see Table 2). Error bars demonstrate the first and third quartile in the  
627 distribution of mean species richness across all riparian control sites.

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**Table 1.** Thirteen indicator bird species showing significant associations ( $p<0.05$ ) with different habitat types (continuous forest, CF; logged riparian forest, LFR; riparian reserves, RR; oil palm riparian controls, OPR), according to the INDVAL algorithm (Dufrene & Legendre, 1997). Relationships with non-metric multidimensional scaling axes (Axis 1=A1, Axis 2=A2) for each species are shown as  $R^2$  and Tau correlation coefficients.

Species	Habitat	IV	Mean	SD	A1 $R^2$	A1 Tau	A2 $R^2$	A2 Tau
<i>Malacopteron magnirostre</i>	CF	61.4	29.1	10.43	0.242	-0.48	0.32	-0.46
<i>Pycnonotus simplex</i>	CF	54.7	29.4	9.65	0.015	-0.03	0.31	-0.43
<i>Copsychus pyrrhopygus</i>	CF	50.0	20.6	12.46	0.774	0.69	0.003	-0.04
<i>Phaenicophaeus diardi</i>	CF	43.1	21.5	11.66	0.028	-0.15	0.30	-0.44
<i>Enicurus ruficapillus</i>	LFR	61.6	25.1	12.08	0.212	-0.46	0.00	0.06
<i>Pelargopsis capensis</i>	RR	40.0	20.7	12.12	0.017	0.22	0.10	0.22
<i>Geopelia striata</i>	OPR	100	16.3	12.43	0.503	0.36	0.00	0.08
<i>Cinnyris ornatus</i>	OPR	88.9	18.9	13.02	0.279	0.21	0.00	0.14
<i>Lonchura fuscans</i>	OPR	70.6	27.8	12.99	0.358	0.47	0.00	-0.14
<i>Egretta garzetta</i>	OPR	41.7	18.8	12.23	0.303	0.37	0.02	0.14

<i>Actitis</i>								
<i>hypoleucos</i>	OPR	45.5	16.2	12.28	0.252	0.28	0.02	0.16
<i>Chrysocolaptes</i>								
<i>validus</i>	OPR	46.9	16.7	11.82	0.28	0.30	0.01	0.15
<i>Centropus</i>								
<i>bengalensis</i>	OPR	40.8	18.9	12.12	0.21	0.26	0.01	0.076

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**Table 2.** Outputs of generalised linear mixed models, showing model averaged parameter estimates for important predictors, standard error and confidence intervals for predictors of observed species richness and assemblage structure. The  $\Delta AIC < 4$  model set was used to estimate the averaged outputs. n represents the number of sites included in each model.

Predictor	Parameter estimate	SE	Lower 95% CI	Upper 95 % CI
<b>All species, riparian reserves (n=10)</b>				
Intercept	63.8	1.1	61.2	66.4
Above-ground carbon density	6.1	2.2	0.9	11.3
Forest cover	8.3	2.3	2.9	13.8
Riparian reserve width	8.6	2.5	2.9	14.3
<b>Forest-dependent species, riparian reserves (n=10)</b>				
Intercept	36.7	1.3	33.6	39.8
Above-ground carbon density	6.6	2.6	0.5	12.7
Riparian reserve width	10.3	3.0	3.4	17.2
Forest cover	8.9	3.0	1.9	15.9
<b>Species of conservation concern, riparian reserves (n=10)</b>				
Intercept	13.4	0.9	11.4	15.4
<b>All species, all riparian sites (n=19)</b>				
Intercept	59.6	2.5	54.3	64.9
<b>Forest-dependent species, all riparian sites (n=19)</b>				
Intercept	36.1	2.0	31.9	40.3
Above-ground carbon density	156.0	5.3	5.0	27.0
<b>Species of conservation concern , all riparian sites (n=19)</b>				
Intercept	13.3	0.8	11.6	15.0

Above-ground carbon density	5.2	2.6	1.8	10.0
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**Assemblage structure: All species**

**NMDS axis 1, All Habitats (n=26)**

Intercept	0.0	0.0	-0.1	0.0
Above-ground carbon density	-0.2	0.1	-0.3	-0.1
Forest cover	-0.4	0.1	-0.5	-0.3

**Assemblage structure: Forest-dependent species**

**NMDS1 All Habitat (n=26)**

Intercept	0.1	0.4	-0.7	0.9
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**Assemblage structure: Species of Conservation Concern**

**NMDS1 All Habitats (n=26)**

Intercept	-0.0	0.1	-0.2	0.1
Forest cover	-1.1	0.2	-1.6	-0.7

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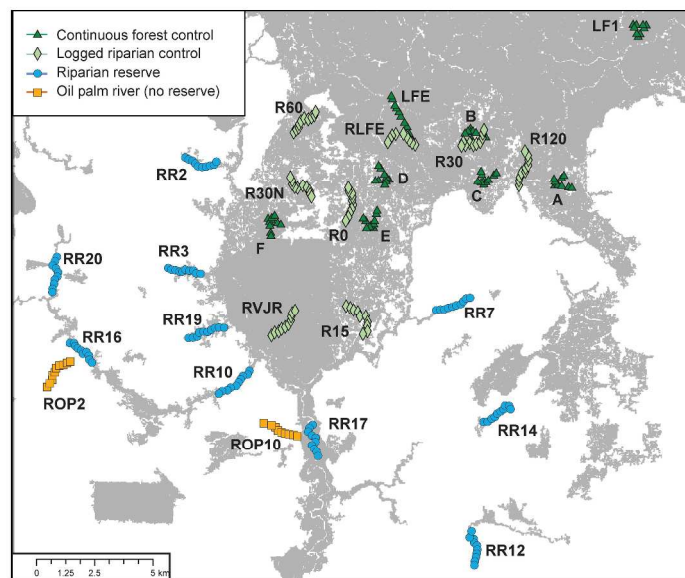


Figure 1. Twenty-eight bird sample sites in riparian ( $n=20$ ) and non-riparian ( $n=8$ ) habitat types in the Stability of Altered Forest Ecosystems (SAFE) landscape and surrounding agricultural matrix in Sabah, Malaysian Borneo. A site comprised ten point count stations (indicated by points on the map), each of which were sampled for birds on three separate occasions. Forest is shown in grey; tree plantations (predominantly oil palm), and cleared areas in white. Forest cover was derived from Hansen et al. (2013) and updated to represent the landscape in 2014 accurately.

299x499mm (300 x 300 DPI)

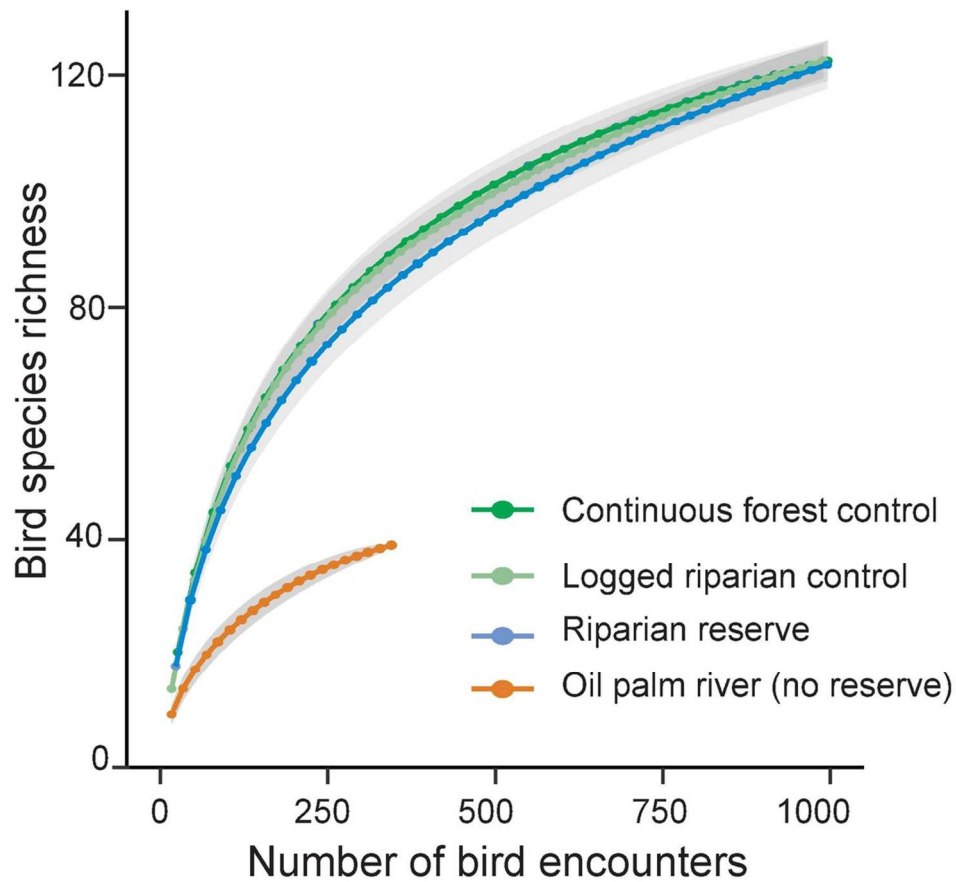


Figure 2. Rarefied bird species accumulation curves for each riparian and control habitat type based on 100 iterations. Grey buffers denote one standard deviation either side of mean richness values.

99x124mm (300 x 300 DPI)

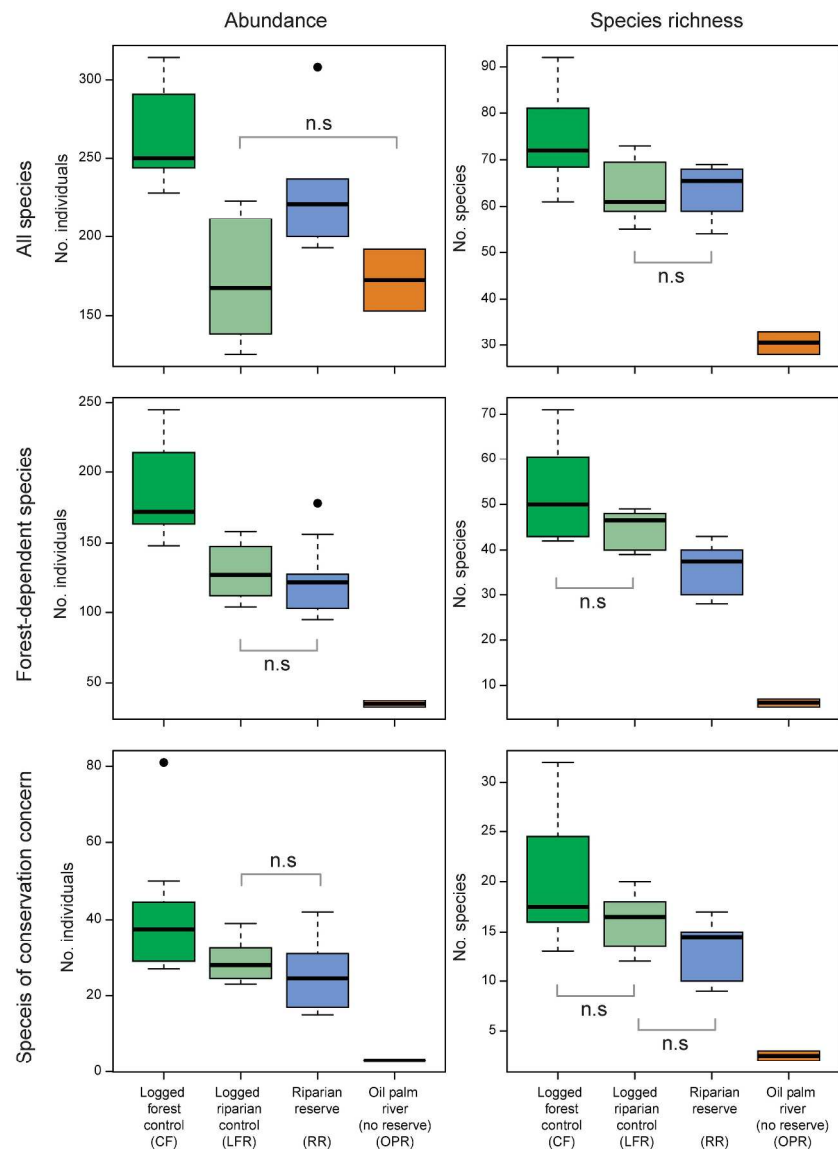


Figure 3. Boxplots of site-level bird abundance and species richness across the different habitat types for: all species; forest-dependent species; and species of conservation concern. General linear model derived linear hypothesis Tukey tests revealed significant differences ( $P < 0.05$ ) between all habitat types except for those cases marked non-significant (n.s)

250x347mm (300 x 300 DPI)

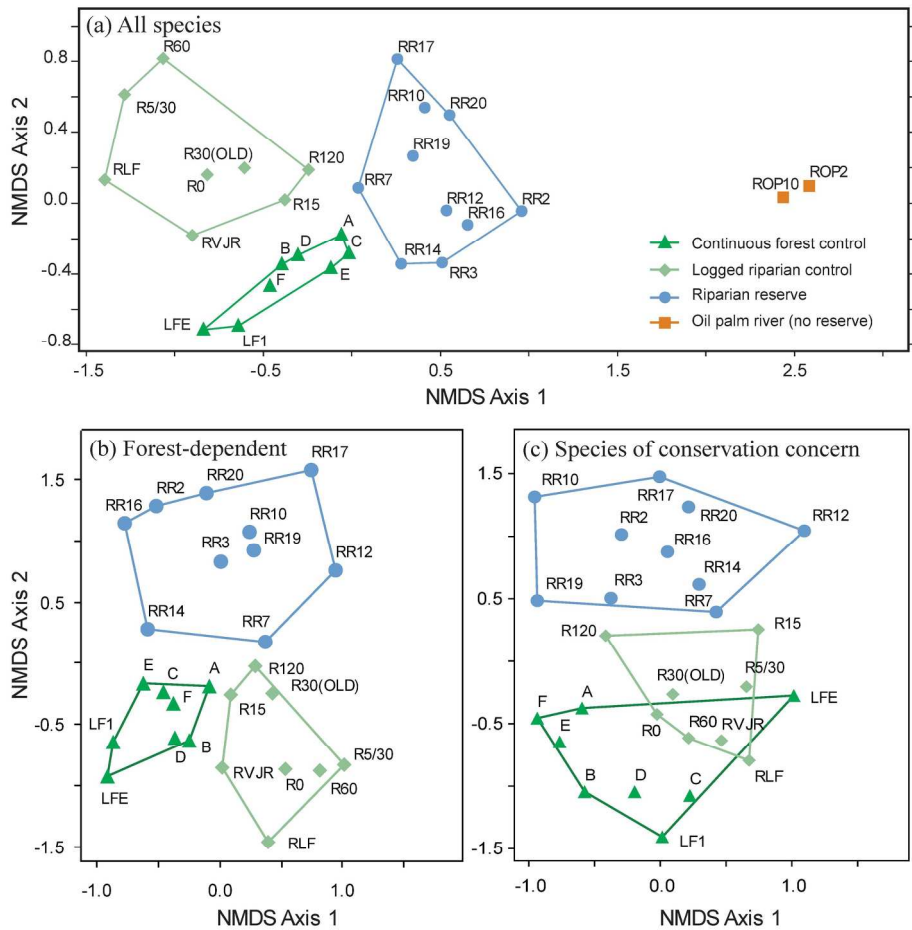


Figure 4. Nonmetric multidimensional scaling ordination of bird community structure across riparian and non-riparian habitat types. Plots show dissimilarity across (a) all species; (b) forest-dependent species; and (c) species of conservation concern. Oil palm river sites were excluded from (b) and (c) because they included only 7 forest-dependent species and 3 species of conservation concern, and therefore could not be plotted within the same ordination space.

199x222mm (300 x 300 DPI)

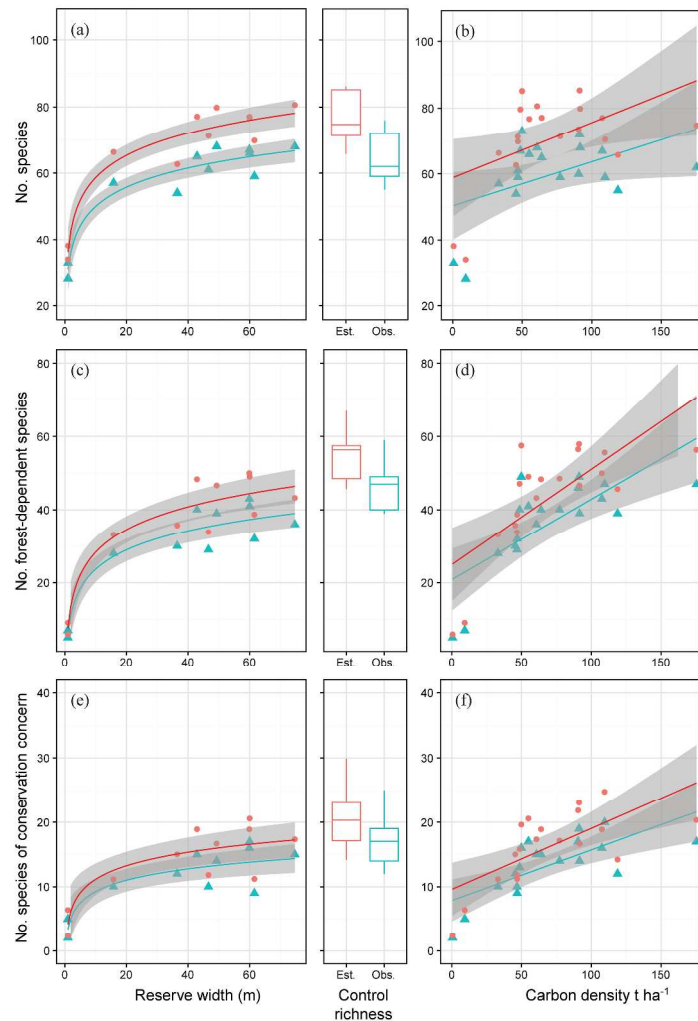


Figure 5. Observed (cyan triangles) and estimated species richness (orange circles) for riparian reserve sites were directly related to reserve width (on each bank) for all species; forest-dependent species; and species of conservation concern (a, d, g). Centre panels indicate the average estimated and observed richness from the eight logged riparian control sites. Observed and estimated species richness were also significantly associated with above-ground carbon density (b, d, f). The relationships were examined using generalised linear mixed models (see Table 2). Error bars demonstrate the first and third quartile in the distribution of mean species richness across all riparian control sites.

299x499mm (300 x 300 DPI)