1	Microfluidic manufacturing of phospholipid nanoparticles: stability,					
2	encapsulation efficacy, and drug release					
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19 Abstract

20 Liposomes have been the centre of attention in research due to their potential to act as drug delivery systems. Although its versatility and manufacturing processes are still not scalable and 21 reproducible. In this study, the microfluidic method for liposomes preparation is presented. 22 DMPC and DSPC liposomes containing two different lipid/cholesterol ratios (1:1 and 2:1) are 23 prepared. Results from this preparation process were compared with the film hydration method 24 25 in order to understand benefits and drawbacks of microfluidics. Liposomes characterisation was evaluated through stability studies, encapsulation efficacy and drug release profiles of 26 hydrophilic and lipophilic compounds. Stability tests were performed during 3 weeks and the 27 28 liposomes properties of the most stable formulations were determined using Infrared Microscopy and Atomic Force Microscopy. Microfluidic allows loading of drugs and assembly
in a quick single step and the chosen flow ratio for liposomes formulation plays a fundamental
role for particle sizes. One hydrophilic and one lipophilic compound were incorporated
showing how formulation and physic-chemical characteristics can influence the drug release
profile.

34 **Keywords:** liposomes, microfluidics, encapsulation efficacy, controlled release.

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36 1. Introduction

Liposomes are lipid structures that can be self-assembled naturally or prepared with natural or 37 synthetic lipids [Immordino et al., 2006; Yadav et al., 2011]. These molecules present an 38 amphipathic environment, which allows hydrophobic and hydrophilic drugs incorporation, 39 thus providing an excellent structure for drug delivery systems [Immordino et al., 2006; Pattni 40 41 et al., 2015]. The stability of the liposomal product depends on chemical, physical, and biological properties. Changes can occur during storage and modify important features 42 43 correlated to the drug delivery process. Chemical transformations for example can influence in 44 vivo fate of the liposome by affecting its loading and releasing properties [Heurtault, 2003].

Liposome production aims to achieve predictable and reproducible particle size distributions 45 [Kreuter, 1994]. Commonly used methods for liposome formulation include hydration of lipids 46 in aqueous buffer, freeze-thaw cycling, film hydration, reversed phase evaporation, normal 47 phase integration, detergent depletion, and pH adjustment [Jahn et al., 2007]. All of these are 48 conducted through the mixing of bulk phases. Traditional bulk methods of preparing liposomes 49 50 are often characterised by heterogeneous and poorly controlled chemical and/or mechanical conditions that often result in liposomes poly-disperse in size and lamellarity [Jahn et al., 2004, 51 52 2007].

53 Due to these difficulties, microfluidics techniques overcome reproducibility problems. They work with small volume of fluids $(10^{-9} \text{ to } 10^{-8} \text{ litres})$ within channels with dimensions of 10 54 to 100 micrometres [Whitesides, 2006]. Many advantages come with usage of these techniques, 55 56 such as more thoughtful use of sample and reagent resources, possibility to carry out separations and detections with higher resolution and sensitivity, lower cost of the whole 57 procedure, quicker analysis, and small footprints for the analytical devices [Squires and Quake, 58 2005; Whitesides, 2006]. Microfluidic systems step up in the area of drug delivery with 59 promising features that allow control of particle size and stability of the final liposome product, 60 61 during preparation with simple steps like applying different flow rate ratios (FRR) and total flow rate (TFR); Fig. 1. 62

One of the aims of this study is to compare quality and properties of microfluidics formulations 63 64 with liposomes generated through the hydration method in a previous study from our group [Briuglia et al., 2015]. In this current study, we changed the lipid:cholesterol ratio depending 65 on the different applied FRR and TFR. The best formulation was chosen, and Atomic Force 66 67 microscopy (AFM) studies were performed in order to evaluate liposome morphology. Finally, drug delivery studies with the same hydrophobic and hydrophilic drugs used by Briuglia et al. 68 were encapsulated in order to investigate possible analogies or differences in terms of drug 69 release. In our previous study, the most stable liposome composition was 2:1 as 70 lipid:cholesterol ratio. The encapsulation efficacy was of 90% for atenolol (AT) and 88 % for 71 72 quinine (Q) and the release profiles showed faster results for the hydrophilic molecule. In this paper, we compared hydration method with microfluidics formulation, and underline the 73 benefits of microfluidics for industrial liposomes production. 74

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76 2. Materials and Methods

77 2.1. Materials

The synthetic 1,2-dimyristoyl-sn-glycero-3-phosphocholine (DMPC) (≥98%) and 1,2distearoyl-sn-glycero-3- phosphocholine (DSPC) (≥98%) were a gift from Lipoid GmbH (Fig.
2). Cholesterol (CH) (≥99%), Tablets of phosphate-buffered saline (PBS, pH 7.4), atenolol
(AT) (≥98%) and quinine (Q) (≥98%) were all obtained from Sigma-Aldrich.

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83 2.2. Preparation of liposomes

Liposomes were prepared using a microfluidic micro-mixer, which through hydrodynamic 84 flow enables nano precipitation of lipids. The system known as NanoAssemblrTM (Benchtop, 85 Precision NanoSystems Inc., Vancouver, Canada) contains a microfluidic cartridge (52 mm 86 thick and 36 mm height with moulded channels of 300 µm in width and 130 µm in height with 87 staggered herringbone structures). The Nanoassemblr mixing chips have two stream inlets that 88 89 merge into a micro-channel (Fig. 1). The two inlets used correspond to the lipid mixtures, which were dissolved in an organic solvent (ethanol), and the aqueous buffer (PBS, pH 7.4). 90 Both fluids were pumped into the two inlets of the microfluidic micro-mixer using disposable 91 92 syringes. The staggered herringbone structure of the micro-mixer enhances the advection and diffusion of the fluids flowing through the micro-channel [Belliveau et al., 2012]. By inducing 93 rotational flow, the fluid streams get wrapped around each other, allowing the introduction of 94 chaotic flow profile, that results in faster mixing of fluids [Belliveau et al., 2012]. The 95 NanoAssemblrTM allowed the control of TFR (1, 6, 20 mL.ml⁻¹) and the FRR (1:1, 3:1 and 5:1 96 ratio of the aqueous: solvent) between the two inlet streams through computerised syringe 97 pumps. An increase at FRR (e.g. 1:1 to 1:3 aqueous/ethanol) was reported to cause a decrease 98 of mean size of liposomes along with the increase of polydispersity index (PDI) [Kastner et al., 99 2014, 2015]. Additionally, TFR did not show significant effects on the liposome size, zeta (ζ) 100 potential and polydispersity index (PDI) [Kastner et al., 2014, 2015]. 101

102 Two different ratios of lipid/cholesterol were used in the experiment, 1:1 and 2:1. For the 103 encapsulation studies AT and Q were dissolved in PBS (at a concentration of 10 mg ml⁻¹ for 104 AT and 0.3 mg ml⁻¹ Q).

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107 2.3 Stability Studies

108 The stability tests were conducted for three weeks after the liposome formulations. The samples 109 were divided into two batches and stored in controlled temperature rooms at 4°C and 37 °C. 110 Size, PDI and ζ -potential were measured three times every week. Particles morphology was 111 investigated using Atomic Force Microscopy (AFM) at week 0 for the four most stable 112 formulations.

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114 2.4 Liposomes Physicochemical Characterisation

115 2.4.1 Dynamic Light Scattering (DLS)

The size distribution (mean diameter and PDI) of the liposomes was measured by dynamic
light scattering (DLS) on a Zetasizer Nano-ZS (Malvern Instruments Ltd., UK), which enabled
to obtain the mass distribution of particle size as well as the electrophoretic mobility.
Measurements were made at 20 °C with a fixed angle of 137 ° in a dilution of 1/100 using PBS
pH 7.4. Sizes quoted are the z-average mean (dz) for the liposomal hydrodynamic diameter
(nm). Moreover, the same equipment was used to measure the ζ-potential for all formulations.

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123 2.4.2 Fourier Transform Infrared Spectroscopy (FTIR)

124 The characterisation of the liposome formulations using FTIR was performed in order to

understand if the CH interaction with phospholipids was changed by the microfluidic method.

126 The pellets formulations were scanned in an inert atmosphere over a wave number range of

3000-1500 cm⁻¹ over 128 scans at a resolution of 4 cm⁻¹ and an interval of 1 cm⁻¹. All FT-IR
spectra were recorder on BRUKER tensor II FT-IR Spectrometer and the background was
subtracted from each spectrum.

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131 2.4.3 Atomic Force Microscopy (AFM)

A volume of 5 µl from each formulation was placed on a freshly cleaved mica surface (1.5 cm 132 x 1.5 cm; G250-2 Mica sheets 1" x 1" x 0.006"; Agar Scientific Ltd., Essex, UK). The sample 133 was then air-dried for ~30 min and imaged at once by scanning the mica surface in air under 134 ambient conditions using a Bruker MultiMode 8 Scanning Probe Microscope (Digital 135 Instruments, Santa Barbara, CA, USA) operated on Peak Force QNM mode. The AFM 136 measurements were obtained using ScanAsyst-air probes; the spring constant was calibrated 137 by thermal tune (Nominal 0.4 N m⁻¹) and the deflection sensitivity calibrated using a silica 138 wafer. AFM scans were acquired at a resolution of 512 x 512 pixels at scan rate of 1 Hz, and 139 produced topographic images of the samples in which the brightness of features increases as a 140 141 function of height. AFM images were collected from random spot surface sampling.

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143 2.5 Dialysis dynamic experiment

Dynamic dialysis is one of the most commonly used methods for the determination of release
kinetics from nanoparticles [Modi and Anderson, 2013]. Prior to the addition of the mixture,
the dialysis tube [cellulose membrane avg. flat width 10 mm (0.4 in.), Sigma] was placed in
boiling water for 30 min and rinsed with a copious amount of water. Liposome mixtures were
transferred to dialysis tubing and both ends were tied. This was added against 7 ml of PBS (pH
7.4) [Kriwet and Müller-Goymann, 1995], for removal of non-encapsulated drug for 1 h.

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151 2.6 Drug release experiment

The 7 ml of PBS were removed and replace with fresh PBS and drug release profiles was 152 analysed by extraction of 500 µL aliquots of the immersion medium at intervals of 30 min, 1, 153 2, 3, 4, 15, 24, 48, 72 h and 8, 16 days at 37 °C. Each time the extracted volume was replaced 154 with fresh PBS pre-equilibrated at 37°C making it possible to determine diffusion parameters. 155 The amount of drug released at each time point was determined by UV-Vis using a Varian 50 156 bio UV-visible spectrophotometer at room temperature. The concentration of the drug released 157 from the dialysis tube was determined using a calibration curve of the pure drugs in PBS 158 solutions at the wavelength where showed the maximum absorbance (AT - 275 nm [Lalitha et 159 160 al., 2013] and Q – 330 nm [Frosch et al., 2007]). The absorbance was converted into percentage release using a standard curve and experiments were performed in triplicates in order to ensure 161 accuracy. 162

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164 2.7 Data fitting and Mathematical Model

A previously studied mathematical model was used with the results obtained from this study
[Peppas and Sahlin, 1989; Joguparthi et al., 2008]. The equation considered for the fitting
model was:

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$$\frac{Mt}{M\infty} = k1.t^m + k2t^{2m} \tag{1}$$

169 where *t* represents time, and *k*1, *k*2 and *m* are constants. $\frac{Mt}{M\infty}$ represents the Fickian diffusional 170 contribution considering the amount of drug released at time *t* and infinite time. These 171 parameters were used as the initial input in Igor Pro 6.34A in order to refine estimations using 172 an optimization method. Several assumptions were made in order to obtain the mathematical 173 model. Some of the assumptions were: the analysis of the data was based on one-dimensional 174 diffusion; the suspended drug is in a fine state, so particles are much smaller in diameter than 175 the thickness of the system; the diffusivity of the drug is constant; perfect sink conditions were

176 maintained during drug release experiment; the appearance of drug in the aqueous buffer is a

result of the diffusion of the nanoparticles followed by diffusion across the dialysis membrane, 177

although being generally treated as a first order process. 178

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2.7 Statistical analysis 180

All experiments were performed in triplicates with calculation of means and standard 181 deviations. Two-way analysis of variance (ANOVA) was used for multiple comparisons along 182 with Tukey's multiple comparing test, followed by T-test to access statistical significance for 183 paired comparisons. Significance was acknowledged for p values lower than 0.05. All 184 calculations were made in GraphPad Prism version 6.0 (GraphPad Software Inc., La Jolla, CA). 185 186

3. Results and Discussion 187

3.1 Preparation of Liposomes at different FRR and TFR values 188

189 Different TFR and FRR were investigated. Lower TFR and FRR produce larger liposomes (Fig. 3). The combination between the decrease of liposome size due to increase of FRR 190 confirms previous studies in the literature [Jahn et al., 2010; Kastner et al., 2014, 2015]. 191 192 Although no significant differences were detected in mean size distribution of particles manufactured from 1 to 6 and 6 to 20 ml min⁻¹. Particles fabricated at 20 ml min⁻¹ are smaller 193 than the ones obtained at 1 ml min⁻¹. Furthermore, particles formed with 1:1 lipid/cholesterol 194 ratios were smaller. In Fig. 4 the distribution of particle sizes for different TFR and FRR can 195 be observed. For example when comparing the DMPC and DSPC formulations prepared at 196 197 FRR 1 of ~200 nm, sizes of 137 nm and 98 nm where obtained for formulations prepared from 1:1 DMPC at TFR20 and FRR of 3:1 and 5:1 respectively. For 1:1 DSPC formulations prepared 198 at TFR20 and FRR of 3:1 and 5:1 values of 85 nm and 76 nm respectively. This occurs since 199 200 the fluid mixing is much faster, thus shear stress forces increase, which leads to the assembling 201 of smaller particles. Although some literature states that TFR does not significantly influence mean particle size [Jahn et al., 2007; Kastner et al., 2014, 2015], this study shows that TFR 202 impact on particle size can be seen for higher values as 6 and 20 ml min⁻¹. When comparing 203 the formulations with different lipid to CH ratios, for both DMPC and DSPC 2:1 formulations 204 (Fig. 3b and 3d) presented the higher size values, such as 729 nm for 2:1 DMPC TFR1 FRR 205 3:1, 549 nm 2:1 DMPC TFR1 FRR 5:1, 1043 nm for 2:1 DSPC TFR1 FRR 3:1 and 375 nm for 206 2:1 DSPC TFR1 FRR 5:1 (Fig. 3). Overall 1:1 liposome formulations for both DMPC and 207 DSPC, presented lower mean size and lower PDI values. For the 1:1 DMPC and DSPC 208 formulations, although differences in size were not significant, TFR of 6 and 20 mL ml⁻¹, and 209 FRR of 5:1 presented the smaller size vesicles. The size of liposomes produced out of 1:1 210 lipid/cholesterol ratio ranged from ~70 nm to ~200 nm. The highest values of mean size are 211 212 seen for 2:1 DMPC and 2:1 DSPC were the liposomes were formed at lower TFR (1) and FRR (3:1). When compared with our previous study [Briuglia et al., 2015], microfluidic allows the 213 production of liposomes with smaller mean particle size by altering TFR and FRR. The zeta 214 potential of the liposomes formed did not suffer significantly alterations despite differences in 215 flow rates. Ratios with the liposomes had a negative zeta potential of around 0 and -10 mV. 216

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218 3.2 Effect of Manufacturing on stability and encapsulation efficacy

According to our stability tests, following microfluidics procedure, the more stable liposomes result with 1:1 lipid/cholesterol ratio. This is the first major difference compared to our previous study [Briuglia et al., 2015], where the best formulation was 2:1. The formulations prepared at low TFR (1 ml min⁻¹) present high standard deviations for the particle size distribution. Our results show that the more stable formulations were DMPC/CH 1:1 TFR20 ml min⁻¹ FR5:1, DMPC/CH 2:1 TFR6 ml min⁻¹ 5:1, DSPC/CH 1:1 TFR6 ml min⁻¹ 3:1, and DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1. These results are accordingly to literature, which states that

a 50 % mol/mol ratio for lipid and cholesterol is ideal for liposome stability [Gregoriadis and
Davis, 1979; Kirby et al., 1980]. Additionally, liposome formulations became more stable with
the increase of TFR (6 and 20 ml ml⁻¹) and with the increase of FRR (5:1). The graphs obtained
from the stability studies of the most stable formulations can be found in Fig. 4. These were
the ones used in further studies of AFM, IR, and drug release.

Encapsulation efficiency (EE) values are very important as they give an insight on whether the production method can be applicable to the industrial background or not. EE data can be found on Table 1. Values of EE proved to be higher for ATL formulations. These results are in accordance to our previous study [Briuglia et al., 2015], where ATL showed overall higher values of encapsulation efficiency than Q, even though they were produced by a different method.

237 Hydrophilic and hydrophobic drugs will be loaded into the liposomes on different sites. Entrapment of hydrophilic molecules occurs in the aqueous compartments of the vesicle while 238 hydrophobic drugs have higher affinity to the lipid bilayers of the vesicle [Kulkarni et al., 239 240 1995]. Hydrophilic encapsulation for example is also influenced by liposome size, being that encapsulation efficiencies achieved are higher for large unilamellar vesicles. Additionally, 241 charged vesicles improve hydrophilic loading [Kulkarni et al., 1995]. Although loading values 242 of hydrophobic molecules are not majorly affected by liposome size, and multilamellar 243 vesicles seem to be the most suitable [Kulkarni et al., 1995]. Furthermore, the characteristics 244 of lipids used during manufacturing and presence of cholesterol are key players for 245 hydrophobic encapsulation [Kulkarni et al., 1995]. Higher CH concentrations will have a 246 decreasing effect on membrane permeability. Hydrophobic loading will be highly dependent 247 on lipid bilayer characteristics, and higher results seem to be achieved for fluid membranes 248 [Kulkarni et al., 1995]. Furthermore, CH seems to present a competitive action with the 249 hydrophobic drug during the assembly and encapsulation for packing space in the lipid bilayer 250

251 [Ali et al., 2010]. Formulations that present lower CH contents were expected to show better encapsulation efficacy. This did not occur for the incorporation of quinine in the 2:1 DMPC 252 formulation prepared at TFR 6 ml ml⁻¹ FR 5:1 when compared to 1:1 DMPC prepared at TFR 253 20 ml ml⁻¹ and FRR of 5:1. This may indicate that a higher mixing rate could cause better 254 encapsulation. Studies show that liposome size, and lipid size and composition, play a crucial 255 part in dictating drug release profiles and encapsulation efficiency [Betageri and Parsons, 256 1992]. Manufacturing methods may also influence EE [Kulkarni et al., 1995]. EE values of the 257 two drugs were very similar to our previous study where film hydration method was used 258 [Briuglia et al., 2015]. In general, the results obtained from this study indicate that the 259 microfluidic manufacturing process allows for high EE results of ~100 % for ATL and of ~70 260 % for Q. Also, this study shows that microfluidic technique is a faster method of encapsulating 261 262 drugs into liposomal products. This was to be expected since recent studies [Kastner et al., 2014, 2015], also using the Nanoassemblr, describe the ability of merging liposome 263 manufacturing and drug encapsulation in a single process step, as well as flexibility and ease 264 of applying lab-on-a-chip technique. These characteristics would have great impact in industry 265 [Kastner et al., 2014, 2015]. 266

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268 3.3 AFM

Atomic force microscopy is a fast and easy to perform method, which allows to evaluate liposomes morphology. The most stable formulations that were mentioned in the previous section (DMPC/CH 1:1 TFR20 ml min⁻¹ FR5:1, DMPC/CH 2:1 TFR6 ml min⁻¹ 5:1, DSPC/CH 1:1 TFR6 ml min⁻¹3:1, and DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1) were used for the AFM studies. AFM results are presented in figure 5.

The diameter acquired by AFM measurements is comparable to DLS acquired data, where

some differences can be spotted. The liposomes present sizes of around 200-300 nm among

276 the different formulations which can be seen in Table 2. Differences can be seen when compared with DLS size results. Reasoning behind these can be liposomes composition 277 between formulations, causing changes in the structures left after they dry and collapse on the 278 mica surface, and also deformations caused by the tip of AFM probe [Ruozi et al., 2007]. 279 Despite this, results obtained are concordant with literature that describes liposome images as 280 asymmetrical flattened structures described as planar vesicles [Ruozi et al., 2007]. These are 281 also according to our previous study, where presence of cholesterol improved the shape of 282 liposomes by stabilizing them [Briuglia et al., 2015]. 283

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285 3.4 FT-IR

IR spectroscopy is a complex method, which is especially useful since the resulting spectrum 286 acts as a fingerprint for compounds. This analysis was performed on the four formulations that 287 were the most stable (DMPC/CH 1:1 TFR20 ml min⁻¹ FR5:1, DMPC/CH 2:1 TFR6 ml min⁻¹ 288 5:1, DSPC/CH 1:1 TFR6 ml min⁻¹3:1, and DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1). Medium 289 intensity bands near 3000 cm⁻¹ DMPC and DSPC spectrum represent C-H single-bond 290 stretching motions (Fig. 6). C-H scissoring or bend can be seen at 1330-1500 cm⁻¹. The other 291 important bond present occurs at 1680 to 1750 cm⁻¹ and represents the carbonyl group of the 292 293 ester bond [Larkin, 2011]. The IR spectrum of the different ratios of lipids and CH can be seen in Fig. 3. The major difference between both DMPC and DSPC spectrum, comparing the 294 different ratios, is the intensity of the peaks. The spectrums of the 2:1 lipid ratios show strongest 295 peaks. When CH is present at higher concentrations, as it happens in the 1:1 formulation, it 296 interacts with the phospholipids provoking steric hindrance that ultimately results in weaker 297 peaks. This is more noticeable in the DMPC spectrums. Such results are in accordance to our 298 previous results [Briuglia et al., 2015], using the film hydration method. This indicates that the 299

300 microfluidic technique does not alter the composition of the lipids not the way in which CH301 interacts with the phospholipids.

302 3.5 Drug release

Drug release studies are mainly influenced by liposome size, lipid composition, and lipids chain 303 length. The drug release profile will depend on where the drug is accommodated within the 304 305 liposome. A hydrophilic drug will be dissolved in the aqueous space inside the vesicles and hydrophobic compounds are accommodated within the lipid bilayer. Q and AT drug release 306 profile graph can be seen in Fig. 7. According with literature [Hua, 2014], all of the different 307 formulations showed an initial burst of drug release. AT showed similar release profiles for the 308 different formulations. In our previous paper, each time liposomes were formulated by 309 hydration method, AT release resulted in faster release than Q. 310

311 In this study, there is not a distinct behaviour between AT and O. AT presents a faster release profile for DMPC formulations. On the contrary, Q shows a faster release for DSPC 312 formulations, especially for 1:1 DSPC that was prepared at TFR of 20 ml min⁻¹ and FRR 5:1. 313 This may be related to the fact that DSPC has an increased lipid chain length, and there seems 314 to be a tendency for an increase of loading and encapsulation efficiency with increasing lipid 315 chain length [Mohammed et al., 2004]. Furthermore, different values of TFR and FRR can 316 form liposomes slightly different structured compared to the formulations through film 317 hydration method. For example, if the liposomes produced with microfluidics are unilamellar 318 vesicles, this leads to higher stability and encapsulation of hydrophilic vesicles. Consequently, 319 release profile of ATL would be slower. Since hydrophobic molecules have higher affinity for 320 multilamellar vesicles, Q encapsulation values achieved would be lower, ultimately leading to 321 322 a faster release of the drug.

Formulations which contained 2:1 lipid/cholesterol contents, presented faster release profiles with higher maximum releases, when compared with formulations with 1:1 lipid/cholesterol ratio. According to previous studies with hydrophobic drugs, higher retention rates are seen with the increase of CH content in the formulation. As CH is present in increasing contents, it stabilizes liposomes but it obstructs the leakage of hydrophobic drugs [Ali et al., 2010]. Despite this, 1:1 DSPC formulation encapsulated with Q, showed the fastest release.

From these results, we can see that microfluidic manufacturing may alter the way drugs are loaded within the liposomes formed, ultimately causing changes in release profile of a specific drug. This method allows different outcome possibilities accordingly to the lipid and drug in question. When comparing these results with our previous study, we can see that altering microfluidic parameters influences the drugs interaction with the liposomes, making it possible to achieve faster release profiles for Q.

The developed fitting model, based on Eq. 1, can be seen in Fig. 8 and values for the constants of k1, k2 and m can be found in Table 3. From Fig. 8, a good correlation between drug release profiles obtained in this study and the predicted values can be observed. These results validate the obtained mathematical model.

339 4. Conclusions

In this study, we showed the applicability of microfluidic manufacturing method as a simpler and faster way for liposomes production. Liposomes can be adjusted and manipulated by changing different parameters during assembly, such as TFR and FRR. It is possible to obtain smaller and more stable liposomes increasing or reducing the TFR or the FRR. The versatility of microfluidic is very promising and it provides a suitable alternative method to film hydration. Microfluidic simplifies the encapsulation step, without losing encapsulation efficiency, making it much faster than traditional manufacturing methods. Moreover, by microfluidics, the scale-up for particle production will be possible by manufacturing a scaleup system that can be mainly used for clinical-size batches, with all-in-one scale-up system and
not by having multiple steps as in film hydration method. However, the production of large
scale (industrial) materials using current microfluidic technologies can be a challenge (Carugo
et al., 2016).

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432 Figures legend:

433 Fig. 1 Representation of microfluidic chip for Nanoassemblr.

Fig. 2 Chemical structures of the compounds used: (a) 2-dimyristoyl-sn-glycero-3phosphocholine (DMPC), (b) cholesterol (CH), (c) 1,2-distearoyl-sn-glycero-3phosphocholine (DSPC), (d) atenolol (ATL) and (e) quinine (Q).

Fig. 3 Average particle size of all the formulations under different TFR and FRR, (a) DMPC/CH 1:1, (b) DMPC/CH 2:1, (c) DSPC/CH 1:1, and (d) DSPC/CH 2:1, where $p \le 0.05$ comparing with week 1;** - $p \le 0.01$ comparing with week 1;*** - $p \le 0.001$ comparing with week 1;**** - $p \le 0.0001$ comparing with week 1; # - $p \le 0.05$ comparing with week 2; ## - p ≤ 0.01 comparing with week 2; ### - $p \le 0.001$ comparing with week 2; #### - $p \le 0.0001$ comparing with week 2; + - $p \le 0.05$ comparing with week 3; ++ - $p \le 0.01$ comparing with week 3; +++ - $p \le 0.001$ comparing with week 3; ++++ - $p \le 0.0001$ comparing with week 3.

Fig. 4 Average particle size of the most stable formulations from week 1 to week 3 (* - $p \le$ 444 0.05 comparing with TFR1 FRR 3:1;** - $p \leq$ 0.01 comparing with TFR1 FRR 3:1;*** - $p \leq$ 445 comparing with TFR1 FRR 3:1;**** - $p \le 0.0001$ comparing with TFR1 FRR 3:1; # - $p \le 0.05$ 446 comparing with TFR1 FRR 5:1; ## - p < 0.01 comparing with TFR1 FRR 5:1; ### - p < 0.001447 comparing with TFR1 FRR 5:1; #### - $p \le 0.0001$ comparing with TFR1 FRR 5:1; ° - $p \le 0.05$ 448 comparing with TFR6 FRR 3:1; $^{\circ\circ}$ - p \leq 0.01 comparing with TFR6 FRR 3:1; $^{\circ\circ\circ}$ - p \leq 0.001 449 comparing with TFR6 FRR 3:1; ^{$\circ \circ \circ \circ$} - p \leq 0.0001 comparing with TFR6 FRR 3:1; ' - p \leq 0.05 450 comparing with TFR6 FRR 5:1; '' - $p \le 0.01$ comparing with TFR6 FRR 5:1; ''' - $p \le 0.001$ 451 comparing with TFR6 FRR 5:1; ''' - $p \le 0.0001$ comparing with TFR6 FRR 5:1; x - $p \le 0.05$ 452 comparing with TFR20 FRR 3:1; xx - $p \le 0.01$ comparing with TFR20 FRR 3:1; xxx - $p \le$ 453 0.001 comparing with TFR20 FRR 3:1; xxxx - $p \le 0.0001$ comparing with TFR20 FRR 3:1); 454 red lines represent results at 4°C and blue dotted lines represent results at 37°C. 455

- 456 Fig. 5 FTIR spectra of (a) DMPC/CH 1:1 TFR20 ml min⁻¹ FR5:1, (b) DMPC/CH 2:1 TFR6 ml
- 457 min⁻¹ 5:1, (c) DSPC/CH 1:1 TFR6 ml min⁻¹ 3:1, and (d) DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1
- 458 **Fig. 6** AFM images of (a) DMPC/CH 1:1 TFR20 ml min⁻¹ FR5:1, (b) DMPC/CH 2:1 TFR6 ml
- 459 min⁻¹ 5:1, (c) DSPC/CH 1:1 TFR6 ml min⁻¹3:1, and (d) DSPC/CH 2:1 TFR20 ml min⁻¹ 5:1
- 460 **Fig. 7** Drug release graphs of (a) quinine, and (b) atenolol.
- 461 **Fig. 8** Fitting model obtained from drug release data of (a) quinine, and (b) atenolol.

462 **Table 1.**

Lipid/cholesterol ratio	TFR	FR	Atenolol (%EE)	Quinine (%EE)
DMPC 1:1	20	5:1	99.95	71.88
DMPC 2:1	6	5:1	99.95	51.54
DSPC 1:1	6	3:1	99.96	75.60
DSPC 2:1	20	5:1	99.95	77.81

463

464 **Description:**

Table 1 shows results of Encapsulation Efficiency (%EE) for Atenolol and Quinine using the

⁴⁶⁶ best formulations obtained from previous experiences.

467 **Table 2.**

Lipid/cholesterol ratio	TFR	FR	Size/nm
DMPC 1:1	20	5:1	363.67±39.78
DMPC 2:1	6	5:1	217.83±18.33
DSPC 1:1	6	3:1	251.83±46.55
DSPC 2:1	20	5:1	266.83±48.43

468

469 **Description:**

470 Table 2 shows liposome sizes for AFM images.

471 **Table 3.**

472 **FITTING MODEL**

473 (a)

(a)	1:1 DMPC TFR20 FRR	2:1 DMPC TFR6 FRR 5:1	1:1 DSPC TFR6 FRR 3:1	2:1 DSPC TFR20 FRR
	5:1 Atenolol	Atenolol	Atenolol	5:1 Atenolol
k 1	10.832 ± 0.973	15.528 ± 1.45	14.883 ± 1.23	12.747 ± 0.885
k ₂	-0.51233 ± 0.995	-0.91178 ± 0.713	-1.1181 ± 0.185	-0.24065 ± 1.01
m	0.21311 ± 0.0669	0.24234 ± 0.0599	0.30372 ± 0.0297	0.23711 ± 0.056
(b)				
k 1	2.4543 ± 0.614	11.748 ± 2.12	12.507 ± 1.9	9.9142 ± 1.91
k ₂	-0.094561 ± 0.0492	-0.73843 ± 0.288	-0.48886 ± 0.152	-0.3902 ± 0.156
m	0.45703 ± 0.0666	0.3952 ± 0.0481	0.44776 ± 0.0413	0.45255 ± 0.0515

474

475 **Description:**

476 Table 2 Values of the fitting constants: k1, k2 and m for (a) atenolol and (b) quinine.

Figure 1.



481 **Figure 2.**





Figure 3.



487 **Figure 4.**







Figure 6.



496 **Figure 7.**





499 **Figure 8.**

