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Jenkins, L.A. and Mauger, Alexis R. and Fisher, J. and Hopker, James G. (2017) Reliability and Validity of a Self-paced Cardiopulmonary Exercise Test in Post-MI Patients. *International Journal of Sports Medicine*, 38 (4). pp. 300-306. ISSN 0172-4622.

### DOI

<https://doi.org/10.1055/s-0042-122818>

### Link to record in KAR

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## Reliability and validity of a self-paced cardiopulmonary exercise test in post-MI patients

Journal:	<i>International Journal of Sports Medicine</i>
Manuscript ID	IJSM-06-2016-5715-tt.R1
Manuscript Type:	Training & Testing
Key word:	cardiology, RPE, Aerobic Capacity, Pacing
Abstract:	<p>A self-paced peak oxygen uptake (VO<sub>2</sub>peak) test (SPV) has been shown to produce higher VO<sub>2</sub>peak values compared to standard cardiopulmonary exercise tests (sCPET), but has not been tested on any clinical population. This study aimed to assess the reliability of the SPV in a healthy population (study 1), and the validity and reliability of the SPV in post Myocardial Infarction (post-MI) patients (study 2). For study 1, twenty-five healthy participants completed three SPV's. For study 2, twenty-eight post-MI patients completed one sCPET and two SPV's. The SPV consisted of 5 x 2-min stages where participants were able to self-regulate their effort by using incremental 'clamps' in ratings of perceived exertion. The sCPET consisted of a 20 W/min ramp. Results demonstrated the SPV to have a coefficient of variation for VO<sub>2</sub>peak of 4.7% for the healthy population, and 8.2% for the post-MI patients. Limits of agreement ranged between <math>\pm 4.22</math>-<math>5.86 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}</math>, with the intraclass correlation coefficient ranging between 0.89-0.95. In study 2, there was a significantly higher VO<sub>2</sub>peak achieved in the SPV (<math>23.07 \pm 4.90 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}</math>) against the sCPET (<math>21.29 \pm 4.93 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}</math>). It is concluded that these results provide initial evidence that the SPV may be a safe, valid and reliable method for determining exercise capacity in post-MI patients.</p>

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Manuscripts

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3 1 **Title:** Reliability and validity of a self-paced cardiopulmonary exercise test in post-MI  
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5 2 patients

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8 3 **Abstract**

9  
10 4 A self-paced peak oxygen uptake ( $\dot{V}O_{2\text{peak}}$ ) test (SPV) has been shown to produce higher  
11  
12 5  $\dot{V}O_{2\text{peak}}$  values compared to standard cardiopulmonary exercise tests (sCPET), but has not  
13  
14 6 been tested on any clinical population. This study aimed to assess the reliability of the SPV in  
15  
16 7 a healthy population (study 1), and the validity and reliability of the SPV in post Myocardial  
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19  
20 9 completed three SPV's. For study 2, twenty-eight post-MI patients completed one sCPET and  
21  
22 10 two SPV's. The SPV consisted of 5 x 2-min stages where participants were able to self-  
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24 11 regulate their effort by using incremental 'clamps' in ratings of perceived exertion. The  
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28 13 variation for  $\dot{V}O_{2\text{peak}}$  of 4.7% for the healthy population, and 8.2% for the post-MI patients.  
29  
30 14 Limits of agreement ranged between  $\pm 4.22$ - $5.86 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ , with the intraclass correlation  
31  
32 15 coefficient ranging between 0.89-0.95. In study 2, there was a significantly higher  $\dot{V}O_{2\text{peak}}$   
33  
34 16 achieved in the SPV ( $23.07 \pm 4.90 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) against the sCPET ( $21.29 \pm 4.93 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ).  
35  
36 17 ~~We conclude~~ It is concluded that these results provide initial evidence that the SPV  
37  
38 18 is may be a safe, valid and reliable method for determining exercise capacity in post-MI  
39  
40 19 patients.  
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49 21 **Key words:** cardiology, RPE, aerobic capacity, pacing  
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## 26 Introduction

27 Cardiopulmonary exercise testing (CPET) is an increasingly popular tool that allows  
28 clinicians to objectively assess the integrated response to exercise [29,48][27,41]. Moreover,  
29 CPET derived exercise tolerance and capacity have been strongly correlated with overall  
30 health status and mortality, and can therefore provide valuable diagnostic and prognostic  
31 information for various patient populations [1,12,29,39][1,10,27,35]. One of the key  
32 measures obtained from CPET is peak oxygen uptake ( $\dot{V}O_{2peak}$ ), which is defined as the  
33 highest amount of oxygen a person can utilise during dynamic exercise [7][5]. The  
34 identification of  $\dot{V}O_{2peak}$  has become a fundamental procedure when assessing  
35 cardiorespiratory fitness, monitoring exercise intensity [7][5] and when risk stratifying  
36 individuals prior to major surgical procedures [8][6]. Exercise testing soon after a Myocardial  
37 Infarction (MI) is beneficial as it can provide information on a patient's future risk of a  
38 subsequent cardiac event [15][13] and can be used in individualising exercise rehabilitation  
39 programmes [32][29].

40

41 Traditionally, CPET is completed on a stationary bike or a treadmill using a maximal  
42 incremental exercise test (MIE), whereby the intensity (speed or power output (PO))  
43 increases by a set amount, for a given period of time, until volitional exhaustion is reached  
44 [44][38]. For optimum values to be achieved it is suggested that participants reach volitional  
45 exhaustion between 8-12 minutes [11][9]. Clinicians are therefore required to estimate the  
46 most suitable starting intensity and work rate increments to ensure test validity. This  
47 increases the risk of a test being unsuccessful due to participants either exceeding 12 minutes,  
48 or worse, not lasting long enough for  $\dot{V}O_{2peak}$  to be accurately measured. There has also been

1  
2  
3 49 a number of other limitations that have been brought to light regarding the general nature of  
4  
5 50 the current CPET protocol [41], in particular the patient is unaware of the test duration and  
6  
7 51 previous work suggests that knowledge of exercise duration can facilitate performance [33].  
8  
9 52 In addition the patient only has control over when they stop the test which adds a  
10  
11 53 psychological aspect to the test i.e. low motivation [41]. Recently, a novel self-paced CPET  
12  
13 54 protocol (SPV) was developed [36][32] to address the some of the aforementioned problems  
14  
15 55 with the traditional CPET protocol. The SPV uses a closed-loop self-paced design, consisting  
16  
17 56 of 5 x 2-min stages, where participants are able to regulate their work rate according to  
18  
19 57 specific their ratings of perceived exertion (RPE). Previous studies have concluded that the  
20  
21 58 SPV is able to produce significantly higher  $\dot{V}O_{2peak}$  values when compared against traditional  
22  
23 59 CPET protocols [3,34,36], although not all studies have found this [13,47]. In recent years the  
24  
25 60 SPV protocol has raised a lot of discussion points [2,17,35,43] with some researchers  
26  
27 61 criticising the test [13,17,43]. Although, there is now a body of research which supports the  
28  
29 62 validity of the SPV, with all studies demonstrating it to produce at least similar  $\dot{V}O_{2peak}$   
30  
31 63 values [3,13,34,36,47]. This type of test may be beneficial in clinical practice as it will reduce  
32  
33 64 the risk of acquiring unusable data, this is because all patient will have the opportunity to  
34  
35 65 complete the test at their own ability whilst meeting the recommended test time requirements.  
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44 67 A number of studies have assessed the use validity of the SPV in 'healthy populations'  
45  
46 68 [13,34,36,47][11,31,32,40], however the reliability of this protocol has yet to be determined.  
47  
48 69 Moreover, no research has investigated the reliability or validity of the use of the SPV in a  
49  
50 70 clinical population. There are a number of important benefits associated with completing  
51  
52 71 CPET in post-MI patients [15,32], therefore any test which may improve the validity and  
53  
54 72 reliability of this process should be of interest. Therefore two separate studies were  
55  
56 73 conducted ~~two separate studies~~; 1. To investigate the reliability of the SPV in an apparent  
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3 74 “healthy” population, and; 2. To investigate the reliability and validity of the SPV in early  
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5 75 post-MI patients. The hypotheses for the current study are that the SPV will be a reliable  
6  
7 76 indicator of key CPET derived variables in the healthy and clinical populations. The SPV will  
8  
9 77 also produce higher  $\dot{V}O_{2peak}$  values compared to a traditional CPET protocol in the post-MI  
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11 78 patients.  
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## 17 80 **Materials & Methods**

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19  
20 81 Twenty-five (12 females, 13 males) healthy participants (age =  $26 \pm 6$  yr, weight =  $68 \pm 10$   
21  
22 82 kg, height =  $172 \pm 9$  cm) volunteered to participate in study 1. Study 1 was conducted  
23  
24 83 following institutional ethical approval of the researcher’s own University. For study 2,  
25  
26 84 thirty-seven patients undergoing phase III cardiac rehabilitation were asked to participate, out  
27  
28 85 of those, thirty agreed to take part. Two patients withdrew from the study, therefore twenty-  
29  
30 86 eight post-MI patients (2 females, 26 males) ~~undergoing cardiac rehabilitation volunteered to~~  
31  
32 87 ~~participate took part in study 2~~ (age =  $58 \pm 8$  yr, weight =  $89.5 \pm 12$  kg, height =  $178 \pm 8$  cm,  
33  
34 88 days from MI event  $57 \pm 35$ ). All participants recruited for study 2 already had their coronary  
35  
36 89 angiography and any interventions needed following their MI, and were thought to require no  
37  
38 90 further intervention or revascularisation. Study 2 was conducted following NHS ethical  
39  
40 91 approval (Brighton and Sussex REC: 12/LO/1737). Both studies met the ethical standards  
41  
42 92 outlined by Harris and Atkinson for the IJSM [21][18]. All participants gave their written  
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44 93 informed consent.  
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51 95 For study 1 each participant visited the exercise-testing laboratory on three separate  
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53 96 occasions. During each visit participants were required to complete an SPV test. For study 2  
54  
55 97 each patient was required to complete three exercise tests (a standard CPET protocol  
56  
57 98 (sCPET) and two SPV tests) in order to determine the tests’ validity and reliability. An  
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3 99 | overview of the experimental procedures for both studies are provided in figure 1. The order  
4  
5 100 | in which participants completed the tests was in a randomised, counterbalanced crossover  
6  
7 101 | design. For both studies, each test was separated by at least 24 h and all tests were completed  
8  
9 102 | at the same time of the day ( $\pm$  2 h). Participants were asked to refrain from drinking alcohol  
10  
11 103 | (24 h abstinence), eating (2 h abstinence), smoking (2 h abstinence), and not to perform any  
12  
13 104 | exercise in the 24 h prior to each test. In both studies, participants were required to complete  
14  
15 105 | a 5-min warm-up at a self-selected intensity during which they were also familiarised with  
16  
17 106 | the process of freely adjusting their PO on the cycle ergometer.  
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23 108 | \*\*\*INSERT FIGURE 1 HERE\*\*\*  
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28 110 | The SPV was completed on an air-braked cycle ergometer (Wattbike Trainer, UK), which  
29  
30 111 | allowed participants to continually vary their PO throughout the test. The SPV was conducted  
31  
32 112 | in accordance with the procedures previously outlined by Mauger and Sculthorpe [36][32]  
33  
34 113 | and consisted of 5 x 2-min stages (total test time of 10-min), where for each stage participants  
35  
36 114 | were able to continuously vary their PO, but with RPE (Borg's 6-20 scale) fixed to a level for  
37  
38 115 | each stage (RPE 11, 13, 15, 17 and 20), following an incremental format. Changes in PO  
39  
40 116 | were facilitated by the participants manually adjusting the cycle ergometer air brake and  
41  
42 117 | cadence at their own free will in order to produce a level of resistance that allowed them to  
43  
44 118 | match the target RPE for each stage of the SPV.  
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51 120 | The sCPET from study 2 was completed on an electro-magnetically braked cycle ergometer  
52  
53 121 | (Lode Corival), so that PO for each stage could be fixed according to the test requirements.  
54  
55 122 | The test followed a standard incremental ramp design. As previously used with other clinical  
56  
57 123 | populations, the test commenced with no resistance and gradually increased by 20 W per  
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3 124 minute, standardized across all patients [10,16,20,26][8,14,17,24]. The test was stopped when  
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5 125 the patient felt like they could no longer continue or if they could no longer maintain more  
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7 126 than 60 RPM, despite verbal encouragement.  
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10 127

11  
12 128 During all exercise tests, expired gases were measured via the use of an online breath-by-  
13  
14 129 breath analysis system (Cortex Metalyzer, Cortex, NL). Expired gases, heart rate (HR), PO  
15  
16  
17 130 and cadence were continuously recorded during the tests. A 12-lead ECG was used when  
18  
19 131 exercising the post-MI patients in study 2. After the test,  $\dot{V}O_{2peak}$  was calculated as the  
20  
21 132 highest 30 second average  $\dot{V}O_2$  ( $L \cdot min^{-1}$  and  $ml \cdot kg^{-1} \cdot min^{-1}$ ). ~~We did not assess for a  $\dot{V}O_2$~~   
22  
23 133 plateau was not assessed which is why ~~we use~~ the term  $\dot{V}O_{2peak}$  is used, rather than  $\dot{V}O_{2max}$ .  
24  
25 134 Peak cycling PO and minute ventilation ( $\dot{V}E$ ) were also both calculated as the highest 30  
26  
27 135 second average value. The anaerobic threshold (AT) was determined using the V-slope  
28  
29 136 method with confirmation via the ventilatory equivalents ( $\dot{V}E/\dot{V}O_2$  and  $VE/\dot{V}CO_2$ ) and the  
30  
31 137 partial end-tidal ( $P_{ET}O_2$  and  $P_{ET}CO_2$ ) methods [23][20]. All AT's were independently  
32  
33 138 assessed by two experienced researchers.  
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40 140 All data was analysed using IBM SPSS Statistics version 21. Descriptive data is presented as  
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42 141 mean  $\pm$  standard deviation (SD). Statistical significance was set at 95% ( $p < 0.05$ ). A sample  
43  
44 142 size calculation was completed based upon the findings from the study by Mauger and  
45  
46 143 Sculthorpe [36]. The SD of the differences in  $\dot{V}O_{2peak}$  between the two tests was  $8.5 ml \cdot kg^{-1}$   
47  
48 144  $\cdot min^{-1}$  [36] and if it is assumed that the minimal clinically worthwhile differences between  
49  
50 145 the two tests is  $5 ml \cdot kg^{-1} \cdot min^{-1}$ , this equals to an effect size of 0.58. With this information it  
51  
52 146 was therefore estimated that a minimum sample size of 25 was needed to achieve a statistical  
53  
54 147 power 80% and an alpha level of 0.05. Test-retest reliability was assessed via the use of 95%  
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3 148 Limits of Agreement (LOA) using Bland-Altman plots [9][7], Confidence intervals (95% CI)  
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5 149 of the coefficient of variation (CV), and intraclass correlation coefficients (ICC) were  
6  
7 150 calculated to assess the variability of the repeated tests (Hopkins, A New View of Statistics.  
8  
9  
10 151 Internet Society for Sports Science: <http://www.sportsci.org/resource/stats/index.html>  
11  
12 152 (2015)). It has been suggested that a CV of < 5% [24][21], and an ICC close to 1 both  
13  
14 153 indicate good test-retest reliability [5][3], with classifications for ICC ranging from  
15  
16 154 'questionable' (0.7 to 0.8) to 'high' (> 0.9) [5][3]. For study 1 differences in  $\dot{V}O_{2peak}$ , peak  
17  
18 155 PO, AT, peak HR and peak  $\dot{V}E$  were assessed using a one-way repeated measures ANOVA.  
19  
20  
21 156 For study 2, physiological responses from the 1<sup>st</sup> SPV test were compared to those obtained  
22  
23 157 from the sCPET, using a paired-samples t-test. Complete 2<sup>nd</sup> SPV test data was not achieved  
24  
25 158 for three of the patients in study 2, and so data from only SPV1 has been used in these cases.  
26  
27 159 The reasons for these three missing tests were; one patient had an unrelated illness and was  
28  
29 160 unable to attend their final test within the required timeframe; the other two miscalculated  
30  
31 161 their work rate during the RPE 17 stage causing a premature end to the test. The data of these  
32  
33 162 two patients who did not meet the test requirements for SPV2 has been excluded from the  
34  
35 163 main analysis, but complete data is also presented within the results section.  
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## 165 Results

### 166 Study 1:

167 Table 1 represents a summary of the mean peak values for all the physiological variables  
168 recorded during the three repeated SPVs.

169

170 \*\*\*INSERT TABLE 1 HERE\*\*\*

171

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2  
3 172 The CV for  $\dot{V}O_{2\text{peak}}$  ( $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) was 4.2% (95% CI: 3.4-5.6%) for trials 2-1 and 5.1%  
4  
5 173 (95% CI: 4.2-6.8%) for trials 3-2. The mean CV for all three tests was 4.7% (95% CI: 3.8-  
6  
7 174 6.2%). A high level of agreement was found between trials 2-1 (ICC = 0.95) and trials 3-2  
8  
9 175 (ICC = 0.94). The LOA were  $\pm 5.59 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  for trials 2-1 (Figure ~~2~~1a) and  $\pm 5.86$   
10  
11 176  $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  for trials 3-2 (Figure ~~2~~1b).  
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178 Participants demonstrated a mean CV of 5.5% (95% CI: 4.4-7.3%) for AT, 7.9% (95% CI:  
18  
19 179 6.3-10.6%) for peak PO, 1.7% (95% CI: 1.4-2.3%) for peak HR, and 7.2% (95% CI: 5.8-  
20  
21 180 9.6%) for peak  $\dot{V}E$ . The ICC for these three variables ranged between 0.91-0.97.  
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26

## 182 Study 2

183 The CV for  $\dot{V}O_{2\text{peak}}$  between SPV1 and SPV2 was 8.2% (95% CI: 6.6-10.9%). Therefore, if a  
184  
185 184 patient achieved a  $\dot{V}O_{2\text{peak}}$  of  $23 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  a typical variation of  $1.9 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  would  
186  
187 185 be expected. The ICC was 0.89 which represents a high level of agreement. The LOA was  $\pm$   
188  
189 186 4.22  $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  for the measure of SPV1 and SPV2 (Figure ~~2~~1c). ~~If we include~~ When the  
190  
191 187 SPV2 data for the two patients who were excluded from the main analysis are included, the  
192  
193 188 CV becomes 8.4% (95% CI: 6.8-11%), the ICC is unchanged, and the LOA become  $\pm 4.52$   
194  
195 189  $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ .

191 \*\*\*INSERT FIGURE ~~2~~1 HERE\*\*\*  
192  
193  
194  
195

193 The CV for AT between SPV1 and SPV2 was 8.4% (95% CI: 6.8-11.2%). The ICC was 0.86  
194  
195 194 which suggests an 'acceptable' agreement [5][3]. The LOA was  $\pm 3 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  for the  
196  
197 195 measure of SPV1 and SPV2 (Figure ~~2~~1d). If ~~we include~~ the SPV2 data for the two patients

1  
2  
3 196 | who were excluded from the main analysis are included, the CV for AT becomes 8.6% (95%  
4  
5 197 | CI: 7-11.4%), the ICC is 0.84, and the LOA remain unchanged. There was a CV of 15.1%  
6  
7 198 | (95% CI: 12.1-20.4%) for peak PO, 4.7% (95% CI: 3.8-6.5%) for peak HR, and 11.5% (95%  
8  
9 199 | CI: 9.2-15.4%) for peak  $\dot{V}E$ . The ICC for these three variables ranged between 0.83-0.97,  
10  
11 200 | demonstrating a high level of agreement [5][3].  
12  
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15 201

16  
17 202 | As shown in Table 2, patients achieved a significantly higher  $\dot{V}O_{2peak}$  ( $p < 0.01$ ) in the SPV  
18  
19 203 | compared with the sCPET. Patients also achieved a significantly higher peak PO, peak HR  
20  
21 204 | and peak  $\dot{V}E$  in the SPV than in the sCPET ( $p < 0.01$ ). There were no significant differences  
22  
23 205 | in AT between the SPV and the sCPET ( $p > 0.05$ ).  
24  
25

26 206 | \*\*\*INSERT TABLE 2 HERE\*\*\*  
27  
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29 207

## 30 31 32 208 | **Discussion**

33  
34  
35 209 | This is the first study to assess the SPV on a clinical population. The results of the current  
36  
37 210 | study demonstrated the SPV to be a reliable indicator of  $\dot{V}O_{2peak}$  in a healthy population;  
38  
39 211 | which is mirrored by the post-MI patient population. The CV for  $\dot{V}O_{2peak}$  ( $ml \cdot kg^{-1} \cdot min^{-1}$ ) in  
40  
41 212 | the healthy population was 4.7% and 8.2% for the post-MI patients. Post-MI patients  
42  
43 213 | achieved a higher  $\dot{V}O_{2peak}$  compared to a sCPET protocol, which is in agreement with  
44  
45 214 | previous studies on healthy populations [34,36][31,32]. Previously published studies have  
46  
47 215 | investigated the reproducibility of physiological variables using sCPET protocols. Froelicher  
48  
49 216 | et al. [19][16] found that when using three popular maximal exercise treadmill protocols in a  
50  
51 217 | healthy population the CV for  $\dot{V}O_{2peak}$  ranged from 4.1-5.8%. In addition, one study  
52  
53 218 | completed a succession of CPET tests on cardiac failure patients and reported the average CV  
54  
55 219 | for  $\dot{V}O_{2peak}$  to be 5.7% [25][23]. Other studies have reported “good” test-retest reliability (CV  
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3 220 for  $\dot{V}O_{2\text{peak}} = 3.5\text{-}6.9\%$ ) during cycling MIE tests in patients with various respiratory  
4  
5 221 conditions [14,31,37][12,28,33]. CV's from previous research [12,22,27,32] investigating the  
6  
7 222 use of traditional protocols are lower than those from the post-MI group of the current study.  
8  
9  
10 223 However, it is difficult to make direct comparisons between studies as different patient  
11  
12 224 populations were used.

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17 226 ~~Our~~ The current study results demonstrated a CV for AT of 8.4% (study 2), which is  
18  
19 227 considered as acceptable for test-retest reliability in clinical populations [40][36]. Kothmann  
20  
21 228 et al. [28][26] found a CV of 10% for AT in Abdominal Aortic Aneurysm (AAA) patients  
22  
23 229 using a sCPET protocol. Identification of AT from CPET has become an increasingly  
24  
25 230 important tool in clinical exercise testing, primarily due to it giving an objective assessment  
26  
27 231 of cardiopulmonary function which does not require high levels of effort [42][37]. Previous  
28  
29 232 literature has demonstrated AT to be a useful predictor of mortality in patients with chronic  
30  
31 233 heart failure. This information can then be used to help prioritise patients for heart  
32  
33 234 transplantation [20][17]. The identification of AT prior to major surgery has also been shown  
34  
35 235 on a number of occasions to closely correlate with post-operative outcome [42,49][37,42]. It  
36  
37 236 is reassuring to see that in the current study there were no differences in AT when comparing  
38  
39 237 it between the SPV and the sCPET ( $p > 0.05$ ), this combined with the reliability results  
40  
41 238 demonstrate that AT can be reliably determined via the SPV, which is of great importance in  
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43 239 clinical exercise testing.

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50  
51 241 As previously mentioned, two post-MI patients were excluded from the main reliability  
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53 242 analysis as they did not successfully complete a second SPV due to misjudging the required  
54  
55 243 work rate during stage RPE 17. However, when looking at their individual test data, both  
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3 244 patients exercised long enough to demonstrate a valid AT and a  $\dot{V}O_{2peak}$ . When including  
4  
5 245 their exercise data into the reliability analysis the CV for  $\dot{V}O_{2peak}$  increases from 8.2 to 8.4%  
6  
7 246 and CV for AT increases from 8.4 to 8.6%. From a clinical perspective it is encouraging to  
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9  
10 247 see that even though these two patients did not complete the full 10-min, important CPET  
11  
12 248 data could still be obtained from the test.

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17 250 In agreement with data from a healthy population [36][32], post-MI patients achieved a  
18  
19 251 significantly higher  $\dot{V}O_{2peak}$  (+8%) during the SPV compared with the sCPET ( $P < 0.01$ ).  
20  
21 252 Peak HR and  $\dot{V}E$  were also significantly higher in the SPV than in the sCPET ( $p < 0.01$ ),  
22  
23 253 which is in support of previous work [18,22,34][15,19,31]. It is interesting to see that  
24  
25 254 previous studies which failed to find any differences in  $\dot{V}O_{2peak}$  between the SPV and a  
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27  
28 255 sCPET protocol also found no differences in HR and  $\dot{V}E$  [13,47][11,40], potentially leading  
29  
30 256 to the observed differences in  $\dot{V}O_{2peak}$ . A recently published study [3][2] found significantly  
31  
32 257 higher maximal HR and cardiac output during the SPV compared to a sCPET protocol [3][2].  
33  
34 258 Astorino et al. [3][2] concluded that the greater cardiac output in the SPV suggests a greater  
35  
36 259 oxygen delivery to the exercising muscles, permitting a higher  $\dot{V}O_{2peak}$  to be achieved. These  
37  
38 260 findings suggest that the SPV allows individuals to work to a higher physiological work rate  
39  
40 261 when compared to the sCPET. This may be a result of the nature of self-paced exercise  
41  
42 262 providing a more “comfortable” experience for patients. Previous research has in fact  
43  
44 263 suggested that self-paced exercise is less physiologically challenging when compared against  
45  
46 264 enforced paced exercise [30]. Being able to make slight adjustments in effort may minimise  
47  
48 265 fatigue and any peripheral discomfort associated with cycling, particularly in the early stages  
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50 266 of the test, which may ultimately lead to a greater work rate being able to be achieved in the  
51  
52 267 final stage [3][2]. In traditional CPET no adjustments in effort can be made and the only way  
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54 268 to stop any exercise related discomfort would be to stop. In addition, it may be that  
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3 269 | knowledge of the test end-point in the SPV also contributes to the higher work rates achieved.  
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5 270 | Indeed, previous literature has demonstrated that knowledge of exercise duration can improve  
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7 271 | exercise performance [33]. With all of this in mind, the current findings suggest that in a  
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9  
10 272 | clinical population, where cardiac function might be limited, the self-paced nature may in fact  
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12 273 | provide the patient the opportunity to work harder, producing a greater cardiac output and  
13  
14 274 | therefore reaching a higher  $\dot{V}O_{2peak}$ . However, further research is required to support ~~these~~  
15  
16 275 | speculations.  
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21 277 | The mean sCPET time-to-exhaustion was 8 minutes 55 seconds (range = 5 min – 12 min 54  
22  
23 278 | sec) compared to the fixed 10 minutes of the SPV. Even though the sCPET mean test time  
24  
25 279 | falls within the recommended criteria of 8-12 minutes [11][9], only 15 (of 28) participants  
26  
27 280 | successfully completed the test within this recommended time. Therefore, the lower  $\dot{V}O_{2peak}$   
28  
29 281 | in the sCPET could be attributable to only 54% achieving the recommended test time. A  
30  
31 282 | potential limitation of the current study was ~~the decision that we decided~~ to standardize  
32  
33 283 | sCPET work rate increments (20W/min) for all patients [10,16,26][8,14,24] instead of doing  
34  
35 284 | so ~~on~~ an individual basis [38,39][34,35]. Individualising work rate increments may have  
36  
37 285 | resulted in more patients completing the CPET within the recommended time frame, although  
38  
39 286 | the subjectivity of such a choice would not have guaranteed a successful test in all patients.  
40  
41 287 | This issue clearly highlights one of the key challenges practitioners face on a day-to-day basis  
42  
43 288 | when using CPET with clinical populations. Indeed, if patients are unable to exercise for a  
44  
45 289 | sufficient time the utility of test results is severely limited, resulting in a significant waste of  
46  
47 290 | finance and time for both patients and health service provider. In particular, an incorrect  
48  
49 291 | estimation of the work rate increments may lead to a test which is too short, or too long. A  
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51 292 | test which is short in duration (< 8 min) is suggested to underestimate  $\dot{V}O_{2peak}$  due to  
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53 293 | increased glycolytic contribution to energy and enhanced fast-twitch muscle fibre recruitment  
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3 294 [4]. In addition to this, a short test may only acquire limited information making it difficult to  
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5 295 confidently assess fitness. Conversely, if a test is long in duration (> 12 min) patients may  
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7 296 end up stopping due to such factors as boredom or increased local muscle fatigue [4], rather  
8  
9 297 than a result of their actual cardiopulmonary limit. The SPV eliminates the need for  
10  
11 298 practitioners to estimate the most appropriate starting intensity and work rate increments as it  
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13  
14 299 is based on set levels of perceived exertion. Moreover, the closed loop nature of the SPV  
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16 300 ensures that each test lasts 10 minutes. The nature of the SPV gives patients the opportunity  
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18 301 to complete the test at their own ability whilst exercising for the recommended time to  
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20 302 achieve optimal physiological values. This therefore may increase the likelihood of obtaining  
21  
22 303 useable and representative data from patients. Thus, a protocol like the SPV may be a more  
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24 304 reliable way of acquiring time efficient and useable data than sCPET methods.  
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31 306 ~~The significantly higher PO achieved in the SPV suggests that regardless of the self-paced~~  
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33 307 ~~nature, participants were willing to tolerate significantly higher work rates in the final stage~~  
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35 308 ~~(RPE 20), compared to that demanded by the sCPET. Knowledge of the test end-point in the~~  
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37 309 ~~SPV vs the open ended sCPET could contribute to the higher tolerance in work rate. Indeed,~~  
38  
39 310 ~~previous literature has demonstrated that knowledge of exercise duration can improve~~  
40  
41 311 ~~exercise performance [30]. It could also be suggested that the SPV provides patients with a~~  
42  
43 312 ~~more “comfortable” experience, as they are able to self-adjust their work rate, potentially~~  
44  
45 313 ~~making the higher perceived work rates more tolerable, especially when the end is proximate.~~  
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48

49 314 There were no adverse events reported for the current study, providing support for the current  
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51 315 evidence base that maximal exercise testing is a safe procedure to perform on cardiac patients  
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53 316 [6,27,46][4,25,39].  
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3 318 A limitation of the current study is that different cycle ergometers were used in study 2.  
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5 319 Previous research has suggested that different ergometers might result in differences in the  
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7 320 metabolic and cardiovascular response [45]. However, different ergometers were a  
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9 321 requirement of the different protocols, as the SPV required patients to freely adjust their PO,  
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11 322 and the sCPET required accurate fixing of PO. Indeed, a similar differences in  $\dot{V}O_{2peak}$  to that  
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13 323 seen in the current study have been found by previous studies who used the same cycle  
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15 324 ergometer in both the SPV and sCPET [3,36].  
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## 326 **Conclusion**

23  
24 327 The results of the current study demonstrate that the SPV is a reliable method for determining  
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26 328  $\dot{V}O_{2peak}$  in a healthy population, with acceptable reproducibility being seen in the clinical  
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28 329 population. The SPV allowed post-MI participants to achieve a significantly higher  $\dot{V}O_{2peak}$   
29  
30 330 than the sCPET. This study provides initial evidence suggests that the SPV may be is a safe,  
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32 331 valid and reliable measure of  $\dot{V}O_{2peak}$  in both clinical and healthy populations, and should be  
33  
34 332 considered as an accepted means of testing for exercise capacity. However, further robust  
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36 333 multicentre data is required to establish the safety of the SPV in such populations. Moreover,  
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38 334 the defined test duration and self-administered work rates associated with the SPV addresses  
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40 335 common issues that clinicians regularly have to overcome, and go some way to ensuring all  
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42 336 patients exercise for the recommended duration in order to obtain a valid and reliable CPET.  
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44 337 Future research should seek to assess the SPV in other clinical populations and the utility of  
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46 338 the SPV versus sCPET to inform clinical decision making on patient treatment.  
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## 54 340 **Acknowledgements**



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3 341 We firstly thank Dr Marcus Fletcher for his clinical support for the tests conducting in study  
4  
5 342 2. We also thank the cardiac rehabilitation nurses at the William Harvey Hospital for their  
6  
7 343 help with recruiting suitable patients and test supervisions. We finally thank Dr. Katharine  
8  
9 344 Richardson for assisting in interpretation of the Anaerobic Threshold data.  
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15 346 **Funding:** This work was supported by the East Kent Hospitals Charity [1076555/303 to  
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17 347 JGH].  
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22 349 **Disclosures:** None.  
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## 25 26 27 28 351 **References**

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57 481 **Figure legend:**  
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Figure 1. ~~Graphical Schematic overview for of the experimental procedures protocols for of~~  
study 1 and 2.

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13 486 Figure 2. Bland-Altman plots of a) differences in  $\dot{V}O_{2\text{peak}}$  between trials 1 and 2 from study 1;

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16 487 b) trials 2 and 3 from study 1; c) differences in  $\dot{V}O_{2\text{peak}}$  between SPV1 and SPV2 from study

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18 488 2; d) differences in AT between SPV1 and SPV2 from study 2. The solid horizontal line

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20 489 represents mean difference, whilst the dashed lines represent the 95% LOA.

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Table 1: Peak values for physiological variables recorded during repeated SPV tests in the healthy population.

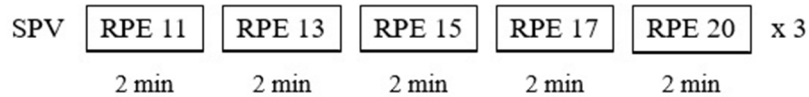
	SPV1	SPV2	SPV3
$\dot{V}O_{2peak}$ (L/min <sup>-1</sup> )	3.30 ± 0.86	3.23 ± 0.90	3.25 ± 0.92
$\dot{V}O_{2peak}$ (ml·kg <sup>-1</sup> ·min <sup>-1</sup> )	48.56 ± 8.93	47.87 ± 9.28	47.85 ± 9.40
AT (ml·kg <sup>-1</sup> ·min <sup>-1</sup> )	27.00 ± 6.83	26.67 ± 7.26	26.95 ± 7.34
HR (bpm)	184 ± 10	183 ± 11	182 ± 11
$\dot{V}E$ (L/min <sup>-1</sup> )	137.8 ± 38.9	133.3 ± 41.0	128.4 ± 39.1*
Peak PO (Watts)	312 ± 93	299 ± 109	304 ± 101

\*significantly different to SPV1 (< 0.05), data are mean ± SD.

Table 2: Physiological variables recorded over the sCPET, SPV1 and SPV2 in post-MI patients.

	sCPET (n = 28)	SPV1 (n = 28)	SPV2 (n = 25)
$\dot{V}O_{2\text{peak}}$ (L/min <sup>-1</sup> )	1.90 ± 0.50	2.05 ± 0.48*	2.00 ± 0.43
$\dot{V}O_{2\text{peak}}$ (ml·kg <sup>-1</sup> ·min <sup>-1</sup> )	21.29 ± 4.93	23.07 ± 4.90*	22.68 ± 4.79
AT (ml·kg <sup>-1</sup> ·min <sup>-1</sup> )	12.63 ± 2.41	13.06 ± 2.39	13.21 ± 2.76
HR (bpm)	129 ± 18	138 ± 14*	136 ± 19
$\dot{V}E$ (L/min <sup>-1</sup> )	82.0 ± 27.1	94.5 ± 25.9*	91.1 ± 26.2
Peak PO (Watts)	171 ± 43	209 ± 78*	200 ± 64
TTE (seconds)	535 ± 130	600 ± 0*	600 ± 0

\*significantly different from the sCPET (< 0.05), data are mean ± SD.

**Study 1 (healthy):****Study 2 (post- MI patients):**

sCPET (visit 1 or 2) 

Ramp 20 W/min <sup>-1</sup>
-----------------------------

SPV (visit 1 or 2) 

RPE 11
--------

RPE 13
--------

RPE 15
--------

RPE 17
--------

RPE 20
--------

2 min      2 min      2 min      2 min      2 min

SPV (visit 3) 

RPE 11
--------

RPE 13
--------

RPE 15
--------

RPE 17
--------

RPE 20
--------

2 min      2 min      2 min      2 min      2 min

Figure 1. Schematic of the experimental protocols for study 1 and 2.

175x121mm (96 x 96 DPI)

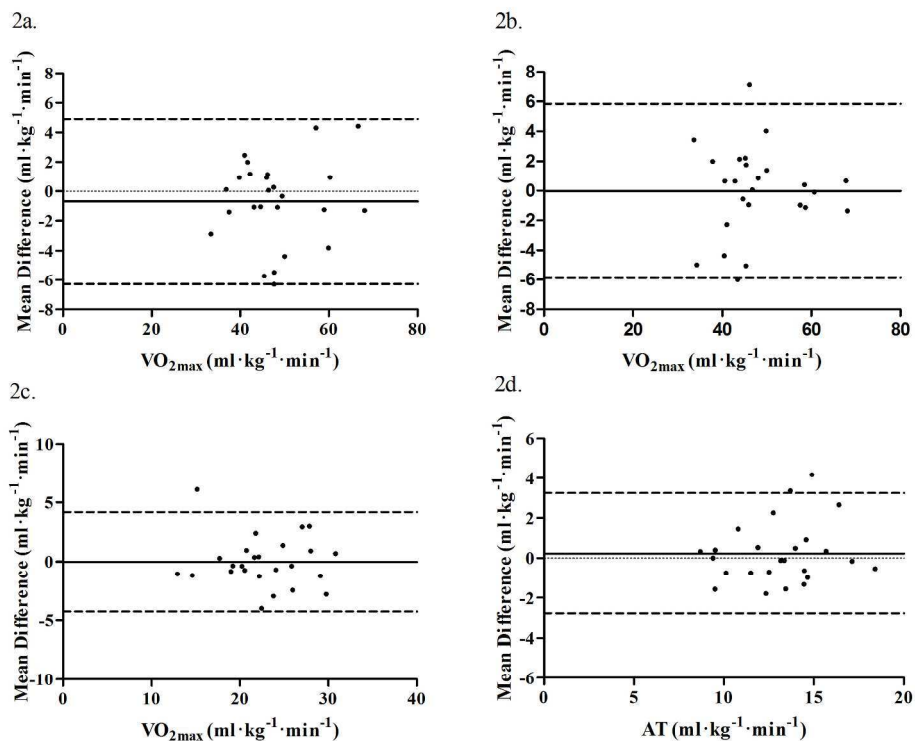


Figure 2. Bland-Altman plots of a) differences in VO<sub>2peak</sub> between trials 1 and 2 from study 1; b) trials 2 and 3 from study 1; c) differences in VO<sub>2peak</sub> between SPV1 and SPV2 from study 2; d) differences in AT between SPV1 and SPV2 from study 2. The solid horizontal line represents mean difference, whilst the dashed lines represent the 95% LOA. † †

224x178mm (300 x 300 DPI)

