

# **Bluefin Tuna Fishery Policy in Malta: The plight of artisanal fishermen caught in the capitalist net**

## **Abstract**

The bluefin tuna fishery has undergone a major shift in Malta, moving from an open access artisanal nature to a privatised and industrialized activity dominated by the purse seining fleet and the BFT ranching industry. The shift has been exacerbated by the national implementation of an individual transferable quota system, which has enabled the concertation of quotas into fewer hands. The main objective of this article is to understand how privatisation has evolved within the sector and the way the Maltese artisanal fishermen are experiencing the shift. This study takes an exploratory mixed-method approach to quantitatively and qualitatively understand how policy underpinnings interplay with the sustainability dimension of the small-scale fishing sector. Results show that the transition of the bluefin tuna fishery from artisanal to industrial has generated a legitimacy crisis over fishing rights, decreased profitability amongst most of the artisanal fleet, and led to a series of socio-ecological impacts on the artisanal fisheries system at large. It is concluded that the neo-liberal trajectories of industrialization have directly undermined the continued sustainability of artisanal fishing communities.

## **1. Introduction and Background**

Artisanal fishing communities represent a long-standing tradition of fishermen engaged in low-capital enterprises that have persisted through various cycles of economic and social change through the recent decades[1]. However, artisanal sectors are generally known to exhibit high vulnerability from unpredictable ecological, social, political and economic fluctuations due to their low-capital base [2]. Furthermore when changes or disturbances arise from exogenous forces, such as policy frameworks that are developed and imposed upon traditional systems of governance and knowledge, this can quickly erode the stability and resilience of small-scale fishing communities , especially if the changes are not synchronized to the realities of the context in which they are implemented [3]. The dismantling of small-scale fishing communities has been registered in various countries worldwide e.g. North America [3], Iceland [4], Australia[5] and Canada [6], and despite their geographical differences, these cases appear to share a similar economic and political backdrop of neo-liberalism that catalysed the communities' demise.

34 Generally, these studies show how the push towards the neo-liberal privatisation of fisheries  
35 resources has triggered the enclosure of the commons with the consequence that small-scale  
36 fishing operations become outcompeted by large-scale fishing industries. Through  
37 privatisation, the latter become empowered to over-accumulate resources and profits, and  
38 simultaneously dispossess indigenous rights' holders from their livelihoods [7]. This process  
39 of 'accumulation by dispossession', as conceptualized by Harvey, is a transition underpinned  
40 by the capitalistic ideology and buttressed by State and/or equivalent authoritative power which  
41 play a significant role 'in both backing and promoting' the trajectory of resource aggregation  
42 through the crafting of neo-liberal policies [7]. By drawing on the theoretical underpinnings of  
43 neoliberalism in fisheries management, this article provides an in-depth understanding of how  
44 shifts in the fisheries' policy fundamentals in Malta have triggered a major change that has  
45 made it more difficult for the Maltese artisanal fishing sector to survive. The special focus of  
46 this research falls on the management of the BFT fishery, one of the most lucrative fishing  
47 activities but also the most regulated in the Mediterranean region.

48

49 The BFT fishery in Malta has existed since the 1700s [8] and official landing records of catches  
50 have been compiled since the 1920s [9]. Initially BFT was fished by an artisanal trap system  
51 (*tunnara*) and successively, from the 1960s, by artisanal-long-lines which are hook-and-line  
52 methods baited with mackerel suited to target pelagic species. Some artisanal fishers use  
53 specific long-line gear to target BFT, and others harvest tuna as by-catch from swordfish long-  
54 lines [10]. Overall, their individual catches differed on the basis of skill and effort applied to  
55 the fishery. The cumulative Maltese artisanal BFT catch fluctuated across the decades but there  
56 was a drastic increase in the 1990s (Figure 1) as a response to new export opportunities in  
57 lucrative foreign markets, such as Japan, that enticed greater participation by artisanal  
58 fishermen [9].

59

60 As of 2001, fishermen started experiencing decreasing catches, possibly due to the  
61 overexploitation of the species across the Mediterranean waters [11]. Due to its scarcity, the  
62 competition for the species became intense, and many Maltese fishermen became involved in  
63 '*tuna wars*' with foreign companies that used purse seine industrial methods, which not only  
64 caught large numbers of fish, but also allegedly disturbed the artisanal long-line activities [12].  
65 Following this prolonged period of conflict the national Minister for Fisheries in 2001 had  
66 publicly urged the Maltese fishermen to "*equip themselves like their [foreign] competitors*"  
67 [13]. Later, around 2005, the government issued permits for the start-up of the purse seine fleet

68 which is an industrial type of fishing that uses large nets to catch large numbers of BFT species.  
69 This gear, which was first introduced with the emerging expansion of the tuna ranching sector,  
70 is considered the most suitable technology for capturing, corralling, and growing out large  
71 stocks of wild tuna [11].

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*(Figure 1 here)*

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76

77 This industry, which supplies tuna to the global sushi and sashimi markets has been growing  
78 since it began in 2001 and currently there is a total fattening capacity of 12,300 tonnes [14],  
79 generating about €500 million in sales over the past 6 years [15]. The Maltese tuna ranching  
80 ranks second after Italy in terms of EU tuna production capacity [16], and as a profitable  
81 business across the Mediterranean, the expansion of tuna ranching has been supported by  
82 several governments across the basin [17,18]. However, this growth, which led to a higher  
83 fishing effort on wild tuna, has been a main driver that led to an alarming rate of BFT  
84 overfishing over the past decades [19]. The co-existence of industrial and artisanal fleets in the  
85 Mediterranean had contributed to the decline of the bluefin tuna species [20], and international  
86 efforts have been ongoing to reverse this situation in the Mediterranean and elsewhere [21].

87

88 A global plan to improve the health of the stock by 2022 was introduced in 2007 by the  
89 International Commission for the Conservation of Atlantic Tunas (ICCAT) [22]. As part of this  
90 plan, a system of total allowable catches (TACs) across countries was enacted to regulate the  
91 fishing activity of Atlantic and Mediterranean BFT. TACs allocations have been decreasing  
92 every year until 2013 in an attempt to control catches, reduce overfishing, and eventually limit  
93 capacity. These regional efforts have improved the recovery rate of the BFT, and without  
94 undermining the stability of the stocks, it was agreed at the ICCAT meeting in 2014 that TACs  
95 were to be increased by 20% each year, until the new stock assessment in 2016 [23].

96

97 Malta as an EU Member State is signatory to the BFT conservation efforts and subject to the  
98 policies including quota restrictions and increments that are annually recommended at the  
99 ICCAT level and successively transposed as EU Council Regulation(s) that bind EU member  
100 states. As part of the agreement, Malta is requested to annually devise a national management

101 plan to align the fishing capacity to the BFT fishing opportunities agreed and assigned during  
102 the European Council meetings. This obligation and the local measures that have accompanied  
103 it, have brought a drastic change in the Maltese fishing management portfolio of the BFT  
104 fishery. For example, the Maltese Government with the consent of the fishermen's co-  
105 operatives agreed to: a) reduce the BFT fleet capacity by 25%; b) introduce a national system  
106 of Individual Transferable Quotas (ITQs) based on historical records; and c) create the BFT  
107 recreational segment as a new category of fishing activity that is allocated approximately 1-2%  
108 of the national TAC.

109

110 These regulatory changes, which are embedded in neoliberal ideology, have synergistically  
111 reshaped the fundamental organisation of both the BFT fishery and the artisanal sector as a  
112 whole. The Government's management plan frames these changes as the '*backbone of the*  
113 *Maltese Fisheries conservation actions*' which are aimed to '*facilitate the recovery of BFT*  
114 *stocks to create sustainable economic conditions for the continued operation of the BFT fishing*  
115 *fleet.*' [24]. This article draws on this statement as the point of departure for a critical assessment  
116 of the impacts of the policy measures enacted under the BFT management plan, with a special  
117 focus on the traditional artisanal fishing community.

118

119 The impacts that the Maltese artisanal fleet has experienced through the changes in the BFT  
120 fishery have not been studied to date. While much work has been done on the politics of the  
121 bluefin tuna ranching sector in Malta and the Mediterranean e.g. [17,25,26], the position of the  
122 artisanal fishermen of Malta within the fast moving policy infrastructure for bluefin tuna in the  
123 EU remains somewhat obscure. Bluefin tuna has always been considered as one of the main  
124 profitable fisheries for the Maltese fishermen [27], hence understanding the impact of the  
125 changes brought onto the fishing communities by the BFT management framework is  
126 extremely important to a broader assessment of the sustainability of this sector as a whole. In  
127 this regard, the research aims to answer these following questions:

- 128 1. Understand how the implementation of policy and market drivers have altered the  
129 dynamic of the artisanal fishery
- 130 2. How these changes have been experienced by the artisanal fishermen themselves

131

## 132 **2. Methods**

133 This section presents a methodological approach that allows us to explain a complex story  
134 using a synchronised interpretation of policy changes, fisheries data and qualitative interview-  
135 based data with fishermen themselves. Important themes or issues are identified and described  
136 before giving way to a general discussion and conclusions. This case study is based on  
137 extensive fieldwork carried out between May 2014 and August 2015 in two main fishing  
138 villages, namely Marsaxlokk and Mgarr (Gozo). These villages host two long-established  
139 Maltese fishing communities that in many ways are representative of the social, economic and  
140 cultural fabric of the Maltese artisanal fishing sector [27], and thus provide the appropriate  
141 context for the exploration of the research questions.

142

143 The exploratory nature of the study calls for data collection methods that enable an in-depth  
144 understanding of the artisanal fishermen's perspectives and experiences. The main data  
145 collection system used for this research involved participant observation in the main fishing  
146 villages. A series of in-depth interviews were conducted with fishermen, their family members,  
147 and other individuals within the community. The use of gatekeepers was essential to access  
148 different networks of fishermen through purposeful snowballing. As has been reported in  
149 similar research [e.g. 21], 'happenstance encounters' in informal settings also provided very  
150 rich and wide-ranging data from various informants. Ethical considerations, in line with the  
151 guidelines of the American Anthropological Association (AAA), were taken into account  
152 throughout the data collection process.

153

154 The primary data was complemented with other sources including online forums, media  
155 articles, and formal national statements such as BFT management plans, Ministry's public  
156 statements and other statistical information. The open-ended comparative techniques used to  
157 triangulate the data assured that the findings were consistent, valid and reliable. After each  
158 successive collection, the data sets were qualitatively analysed and coded to elicit the major  
159 issues that were then categorized into relevant themes, upon which the narrative was ultimately  
160 based. Direct excerpts gauged through interviews and participatory observations are  
161 incorporated within the narrative to illustrate major factors that have been provoked by the  
162 fishermen themselves.

163

### 164 **3) Results**

#### 165 **3.1) BFT Conservation through Privatisation: The legitimization of the purse seiner**

166 In this section, the article presents the role of government policy in establishing and supporting  
167 the industrialization of the bluefin tuna sector by empowering the operations of the PS fleet at  
168 the expense of the artisanal fleet. The beginning of the industrialization process can be traced  
169 to the licensing of the first purse seine in 2005 which overhauled long-established national  
170 legislation (L.N. 205/34) that had restricted the licensing of such vessels on the basis of  
171 sustainability and to prevent monopolization of fishing effort. Since then its operations have  
172 been legitimized through a purse-seine-pilot-study in 2007, and subsequently accelerated  
173 through the major leasing framework that followed the introduction of the Individual  
174 Transferable Quotas (ITQs) in 2009. Since the purse seine did not have a historical record of  
175 catch due to its relatively late entry to the BFT fleet, it was not assigned a specific ITQ, hence,  
176 its participation could only be institutionally legitimized through the transferability of ITQs  
177 from artisanal fishermen (with catch records) to purse seine operators.

178

179 The ITQ scheme, enacted in 2009, recognized and authorized only around 20% of the full-time  
180 fleet (82 vessels) and 0.6% of the part-time fleet (4 vessels) as BFT rights' holders (see [29]).  
181 The rest of the vessel owners were thus excluded from quota on the basis that they did not have  
182 official records of BFT catches declared at the central fish market. Although some of these  
183 fishermen used other lawful markets to sell their tuna, the government's data collection  
184 exercise to establish the ITQs allocation system did not fully account for these catches<sup>1</sup>.  
185 According to one fisherman "*before we used to sell a lot of tuna through hands [fisherman-to-*  
186 *consumer] and did not take it to the fish market", while another fisherman stated that the*  
187 *problem of non-BFT-declaration was also linked to tax evasion "and so when it came to the*  
188 *actual figures, their quotas was relatively low."* As illustrated in Figure 2, the allocation system  
189 of the BFT categorized the fleet into large-ITQ-holders, medium-ITQ holders, small-ITQ-  
190 holders and non-quota holders. In 2010 over 50% of quota was held by 16% of fishermen and  
191 over the past 5 years, the ownership of ITQs ownership has become even more concentrated  
192 (Figure 2).

193

194 Most of this concentration reflects the investment made by the purse seine industry which has  
195 been purchasing ITQs of the smaller and least wealthy ITQ holders. These companies now  
196 possess the quota and the fishing permits of very many artisanal fishermen, and since 2014  
197 have enjoyed the annual TAC increments that have been assigned on each artisanal permit by

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<sup>1</sup> This could potentially mean that the baseline used to establish Malta's TAC has not been calculated accurately.

198 the government. The accumulation of fishing rights and windfall gains of TAC increments is  
199 favouring those few enterprises that own multiple permits, whereas fishermen with no permits  
200 or with small/medium quotas are unable to acquire quota since the prices of fishing permits  
201 and quota are escalating to unaffordable ranges.

202

203

204 *(Figure 2 here)*

205

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208

209 This approach of accumulation is enhanced by other pro-industrial policies that have reduced  
210 the number of active artisanal vessels. These include the permanent de-commissioning of 18  
211 artisanal vessels from the BFT fleet register that were demolished under the European Fisheries  
212 Fund scheme, and the fishing authorization scheme that has, in the past 5 years, prioritized  
213 artisanal long-liners with large quotas and the purse seining fleet to operate as active fishing  
214 vessels. In its management plan for BFT fishing capacity over the period 2010-2013 the  
215 government reported that “*Malta will not allow those vessels that have a quota less than 200kg*  
216 *to actively fish for BFT- however they may transfer their quota to another vessel which already*  
217 *has quota.*” [30,31]. This scheme, which is embedded in the neo-liberal ideology of allocating  
218 TAC in the most efficient manner, has derailed the ability of small-quota holders to actively  
219 engage in the fishery and catch their quotas.

220

221 Since 2010, the average number of artisanal vessels that were authorized to operate was less  
222 than half of the permit-holders ( $n \approx 28$ ). Unlike the active counterpart who are authorized to  
223 catch their quotas and sell them to through the lucrative export market, the small-ITQ holders  
224 have been compelled to lease out their fishing rights to the larger, more ‘efficient’,  
225 predominantly purse seine, lessees. This policy left small ITQ holders considerably worse off.  
226 A fisherman explained that between 2010 and 2014, the prices attached to the leased-ITQs i.e.  
227 €5 to €6 were less than the reported export price of between €9 and €10, and for this reason  
228 many small-ITQ holders would have preferred to catch rather than lease their ITQs.

229

230 Although these ‘non-operational’ tuna fishermen could have caught their shares as by-catch  
231 with other fisheries, this option is less financially rewarding as by-caught tuna is not destined  
232 for the more lucrative export market. Also there are conditions on declaring tuna as by-catch

233 which essentially rule out this option for many fishermen as explained by one fisherman,  
234 “*quota can only be caught as by-catch, and to declare a tuna, you ought to catch 20 heads of*  
235 *swordfish. Now, for a fisherman to catch 20 heads of swordfish he has to be very lucky, so the*  
236 *policy is there to dishearten the fishermen from catching his small quota as by-catch and*  
237 *instead lease it to the fish farm.*”

238

### 239 **3.2) Tuna ranching is transforming the fishing economy of Malta: Accumulation by** 240 **dispossession**

241 The tuna ranching industry is owned by only 5 companies [32], 2 of which are foreign investors.  
242 Between 2009 and 2013 one of the companies, as reported in the Malta’s Aquaculture Strategy  
243 [32], was registered in the name of an ex-representative of a fishery co-operative. This  
244 company, which also owned a purse seine license, was the first to engage in the purse seining  
245 of the national TAC through the pilot study and successively started leasing ITQs from the  
246 fishermen through the leasing framework. This in turn initiated the process of ‘accumulation  
247 by dispossession’ of the artisanal sector quota, and now most of the national TAC is the  
248 property of the tuna ranching industry (Figure 1).

249

250 The fattening of the national TAC was only possible through the increase in ITQ ownership  
251 through the permanent purchase of artisanal permits, and by policy developments surrounding  
252 the fishing capacity clauses of the national management plan that forces small-quota owners  
253 into becoming leasers. Also, by offering the opportunity to some of the larger ITQ holders (who  
254 own larger iron vessels) to earn income from towing tuna cages equivalent to approximately  
255 €1200 daily for 2 months a year, tuna ranchers were able to secure the lease of these  
256 fishermen’s ITQs as well. This shows that the tuna ranching sector has become the real owner  
257 of the national BFT and as illustrated in Figure 3, its controls are predominant in most of the  
258 transactions of the BFT fishery.

259

260

261 *(Figure 3 here)*

262

263

264

265 Along with being the main owner and lessee of the national TAC, the tuna ranching sector also  
266 controls access to the lucrative foreign BFT markets. These connections are allowing the tuna

267 ranchers to exploit prices to their advantage with for example, active long-liners who must  
268 export their BFT via the tuna ranchers. For this reason, the ranching industry has a monopoly  
269 over the price of both the lease and the export market, and it is therefore in a powerful position  
270 to maximise profits and/or act strategically at the expense of the artisanal fishing fleet. For  
271 example, by reducing the difference between the leased-ITQ price (€6 to €8 in 2015) and the  
272 export price of ITQ caught tuna (at around €9 to €10 in 2015), the tuna ranchers have been able  
273 to attract the majority of independent Maltese ITQ holders to lease their ITQ directly to them.  
274 Consequently the number of active long-liners fishing their ITQs decreased by 71% between  
275 2014 and 2015.

276  
277 Maltese long-liners have also been discouraged from retaining their rights to fish for tuna ITQ  
278 because of what they perceive as *'intimidating'* control procedures that are enforced by the  
279 government as part of the EU recovery plan. For example, they get regular at-sea-inspections  
280 and are requested to fill-in a number of documentation, which they perceive as a heavy  
281 bureaucratic burden on their fishing operations, which has made them anxious and under  
282 pressure. After narrating a bitter experience that he encountered with enforcement procedures  
283 at sea, an active long-liner said "*...these days I am always afraid – and my fear only ends when  
284 I get back home – not when I tie up the boat but when I am in my house*".

285  
286 While the surveillance on the active long-liners is implemented as an explicit measure to ensure  
287 that the quota limits are observed, it is safe to assume that these procedures are an 'implicit'  
288 way of disheartening them from actively engaging in the fishery, and to encourage them to  
289 instead lease their ITQs to tuna ranching operators. Taken together all these measures have  
290 synergistically caused a major reduction in the number of operational fishermen amongst the  
291 artisanal fleet and the recruitment of locals who were, prior to privatisation, employed as extra  
292 deckhands for the BFT season between April and July. As a result of the neo-liberal processes  
293 that has given a new shape to the BFT fishery and restricted most of the fleet from fishing this  
294 resource, one can notice a spill-over of the artisanal sector onto other fishing systems which  
295 are of an open access nature.

### 297 **3.3) Artisanal Livelihood Struggles: Spill-over effects onto other fishing systems**

298 The reforms of the bluefin tuna fishery and the concomitant marginalization of non-permit  
299 holders, and the domination of the tuna ranching industry, also has major repercussions for  
300 other fisheries systems which lack the same monitoring and management of the bluefin tuna

301 system. As fishermen explained, fishing activity during what was before the BFT season, has  
302 now transferred to other fisheries, mainly trammel netting and gill netting, targeting demersal  
303 and small pelagic species respectively. For example, official statistics show that between 2007  
304 and 2012 the days at sea (fishing effort) on trammel nets has increased by 4,500% while gillnets  
305 have increased by 870% (Figure 4). Fishermen have described this as a spill-over directly  
306 related to decreased profitability endured by non-permit BFT holders who had to diversify into  
307 other fishing systems, and by fishermen who have chosen to lease out their ITQs rather than  
308 fish them.

309

310 *(Figure 4 here)*

311

312

313 For the most deprived, this displacement is a way of compensating for the loss of tuna fishing  
314 and other livelihood pressures, but for a smaller number of fishers with large ITQs, leasing has  
315 been lucrative, and allowed them to gain income from leasing their quota, and maximise their  
316 profits by deploying their vessels in the trammel net and gill net fisheries. Whereas non-permit  
317 holders and small-ITQ holders deploy a maximum of 12-15 trammel nets per day, the large  
318 ITQ holders who have become economically empowered through ITQ-acquisition are  
319 engaging in very intensive fishing, deploying between 50-70 nets per day by employing extra  
320 hands who are often immigrant labourers. As explained by a fisherman, *“the bigger boats [are]*  
321 *carrying 50 to 70 pieces of nets and working round the clock, thanks to imported cheap*  
322 *labour.”* (Fisherman in Malta Today newspaper [33]).

323

324 These open access fish populations, which are also fished by other fleet segments including  
325 industrial trawling and recreational fishing, may now be in a state of overexploitation. A  
326 fisherman, who has been experiencing decreased yields stated that *“... before 2010, we used*  
327 *to catch 20, 30 kilos of fish in one trip. This year (2015), we went three times with the trammel*  
328 *nets and we caught around 6-7 kilos in all the three trips. It is not worth it.”* Hence those who  
329 have already experienced falling incomes due to the BFT industrialization process are now also  
330 having to confront the results of intensified fishing on the stocks remaining open to them. As  
331 one fisherman highlighted *“the past was more viable in terms of catches and now the expenses*  
332 *have increased, so the future isn't welcoming.”* These fishermen perceive of their future as  
333 bleak and some have resorted to the recreational bluefin tuna fishing segment to make ends  
334 meet.

### 335 **3.4) The Rise of the Recreational Bluefin Tuna Sector**

336 Recreational bluefin tuna fishing was introduced in 2011 and has been implemented in line  
337 with the EU regulations<sup>2</sup> after intensive pressure by the recreational lobby (Interview with  
338 Malta Fish Forum). The recreational fishery is open to those who own a recreational vessel  
339 and authorises the catch of BFT that is annually assigned from the national TAC. A seasonal  
340 permit is issued for each vessel. Participants are requested to present it during patrols and  
341 catches' registrations. Since the fishery is a recreational one, the catches cannot be  
342 commercialised [34], however, they can be given to charity. Artisanal fishermen who have  
343 been excluded or marginalized from the commercial segment of the bluefin tuna fishery  
344 perceive themselves as the ones in charity and have opted to benefit from this scheme.

345

346 For many fishermen disadvantaged by the policy shifts and pro-efficiency drift of the Maltese  
347 government to favour tuna ranching, their only choice has been to register as a recreational  
348 fishermen and engage in BFT fishing activities permitted under this category. The ability to  
349 benefit from a recreational permit and informally sell recreational TAC BFT at the meagre  
350 prize of €1- €2/kilo was a strategy that fishermen have been forced to adopt. The fishermen,  
351 however are not benefitting much as commercial sales are not allowed and they are easily  
352 exploited by middle men who can take advantage of the system. As a fisherman explained  
353 *"...the fishermen end up with the cheapest price for this tuna, when and if, they find potential*  
354 *buyers. The middle man tells you that he has the same level of risk as you do and so he wants*  
355 *the cheapest price. We sell a tuna of 50 kilos for €100 and then they sell it for around €10/kilo*  
356 *– making around €1000."*

357

358 The risk fishermen undertake to engage in this activity is significant and they are becoming  
359 more vulnerable since they are now subject to enforcement which is accompanied by financial  
360 penalties and criminalization procedures. In the past years, a number of fishermen have been  
361 taken to court as they have exceeded the one-tuna-per-trip catch, and their case was presented  
362 as a 'criminal practice' (e.g. [35,36]). This demonstrates that fishermen are not only becoming  
363 disempowered by a system that creates financial vulnerabilities, but are also running the risk  
364 of criminal punishment for relatively small misdemeanours.

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<sup>2</sup> (EC 302/2009 (Art. 12 & 13)).

### 366 **3.5) Divide and Conquer - The role of the Fishermen's Cooperatives in the demise of the** 367 **Artisanal Sector**

368 The crisis that the majority of the artisanal fleet is undergoing has not resulted from a series of  
369 unintended miscalculations, but from decision-making that has enjoyed the support of  
370 fishermen's representatives back in 2010. The transition into privatisation and industrialization  
371 was only possible because it had the official approval of politically-connected representatives  
372 within the Fisheries Co-operative who allegedly used their legitimate power to benefit from  
373 the investment opportunities of tuna ranching. Many fishermen highlighted that they were  
374 unaware of the decisions that were being agreed on their behalf behind closed doors and  
375 perceive the institutional process to be high-handed and lacking transparency. A retired  
376 fisherman stated that "...when the company which belonged to the co-operative representatives  
377 had been awarded the pilot project for the purse seiner in 2007, we [the fishermen] weren't  
378 informed, let alone consulted". Co-operative representatives also fully supported the  
379 establishment of the new BFT ranching facilities back in 2005, stating that "...the proposed  
380 project would be of benefit to fishermen", and that, "if approved, it would be "the best  
381 Christmas present for fishermen" [37]. However, this research suggests this has not been the  
382 case and the share of economic benefits that have accrued through the industrialization of the  
383 national TAC have been very unequal and arguably not consistent with the operating principles  
384 of a 'co-operative' structure. Tuna ranching, which was presented by the Cooperatives as a new  
385 niche for Maltese fishermen, benefits only around 5% of the full-time artisanal fishing fleet.  
386 These include co-operative members who accrued profits through the ranch ownership and  
387 15% of the ITQ holders who have diversified into cage towage during the purse seine season.  
388 Although there are opportunities to work as labourers at the tuna ranching installations for  
389 those fishermen excluded from the BFT fishery directly, this has not been an attractive option  
390 for most as "low-paid jobs do not provide the same income and job satisfaction as much as the  
391 BFT fishing activity."

392

393 It seems clear that the new arrangements of the BFT fishery have created new power relations,  
394 with most of the artisanal fishermen becoming disempowered by a tokenistic co-operative  
395 system that has facilitated their demise in the name of economic efficiency. Most fishermen  
396 criticize the system as capitalistic, and argue that *they* (the authorities) *are rude, since they*  
397 *insinuate that there needs to be protection of the fish stocks – when the reality is that the tuna*  
398 *fishing has become commercialized, and [most] Maltese fishermen, due to their artisanal*  
399 *nature, have remained out of the loop*". Although fishermen seem to be conscious of their

400 situation, they perceive themselves as the ‘small fish’ who are unable to change their destiny.  
401 In a fisherman’s words: *“I understand that the small fish never ate the big fish, and thus we  
402 are not going to be able to overturn the situation of the purse seiner.”*

403

404 Attempts to reverse this trajectory have so far proved to be futile since fishermen are too  
405 fragmented and feel impotent to challenge the industry which determines their livelihood  
406 pathways. As explained by a fisherman: *“...we tried to raise awareness amongst the fishermen  
407 but those without quotas don’t like us because we have quota and they don’t. So we could do  
408 nothing together..... I ended up trying to challenge the situation with another 4 fishermen but  
409 with time I realized it is useless. In fact I realized that I have to shut my mouth because these  
410 large companies have become the commanding regime in Malta. I depend on them whether I  
411 like it or not because they export my fish”.*

412

413 The economic power of the tuna ranching industry and the concomitant individualistic pursuits  
414 of the co-operative representatives, which have been invisibly taking place within the ambit of  
415 the liberal market transactions, are suffocating the artisanal segment and deteriorating the  
416 political capital of the Fishermen’s Co-operative as a united force. Fishermen, who now  
417 identify one another as a ‘large-ITQ, ‘small-ITQ’ or ‘non-ITQ’ holder are no longer the  
418 cohesive group that has stood up for the Maltese fishermen’s rights. The same fishermen that  
419 in 2001 battled against foreign companies who affected their fishing rights [12], are now (in  
420 2015), more prone to struggle amongst each other, for example on who ought to benefit from  
421 the TAC increment, rather than to regain their power within a system of capitalistic monopoly.

422

423 Fishermen have basically succumbed to the powerful forces of industrialists who allegedly  
424 have the inside track to senior politicians<sup>3</sup>. Through these processes, artisanal fishermen have  
425 become sufficiently fragmented and disempowered to an extent that they are unable to engage  
426 in collective and political action to bring about the much yearned change to revert the decline  
427 of the artisanal sector. Although promises for a better future in the bluefin tuna fishery have  
428 been assured by the Labour party during the election campaign election a couple of years ago,  
429 the fishermen has publicly claimed in a local newspaper that the government *‘broke pre-  
430 electoral promise’*, and *‘at the end of the line we are no better off...’*[38].

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<sup>3</sup> Paul Watson, the Sea Shepherd spokesperson, has instigated that the tuna industry is bribing Maltese politicians. See more: <http://www.maltatoday.com.mt/news/national/16289/tuna-industry-bribing-maltese-politicians-says-sea-shepherd-founder-20120223#.VOyk3vnF9V0>

#### 431 **4) Discussion**

432 The implementation of ITQs has been used in many countries e.g. New Zealand [39]; Iceland  
433 [40]; and Spain [41], however their benefit as fisheries management tools is highly debated.  
434 They are praised by biologists for deterring the race to fish and by economists for improving  
435 the aggregate economic performance through profit generation [42], and simultaneously  
436 criticized by social scientists for perpetrating social inequity, injustice and deprivation [43,6].  
437 This research show how the majority of the artisanal sector in Malta is enduring symptoms of  
438 dispossession, fragmentation, disempowerment and marginalization which have resulted  
439 directly from the BFT policy trajectories. It seems that the Government's BFT management  
440 plan has been a significant driver of change which is perpetrating the vulnerability of the  
441 artisanal fishermen and simultaneously enabling the expansion of the tuna ranching sector  
442 through the industrialization of the fishery.

443

444 Like other Mediterranean countries, the BFT fishery in Malta has moved from a localised  
445 artisanal livelihood into '*a massive gold rush inspired by global capitalism*' [44] led by  
446 powerful ranching investors [20]. In such policy-supported transitions, the knowledge, skills  
447 and hard work of fishermen have been replaced with a limited set of entrepreneurial  
448 opportunities available only to those with access to significant capital [43]. Without specific  
449 and local provisions specifically for the artisanal fleet, decline and ultimate extinction of unique  
450 socio-ecological systems are almost inevitable. In Spain the Government has established an  
451 alternative course for the artisanal fleet by implementing measures that ensure equitable ITQ  
452 distribution by accounting for historical activity and socio-economic dependency on the  
453 fishery, and restricting the concentration of rights by companies [45]. In stark contrast the  
454 policy of Malta has enabled the unhindered shift toward a highly capitalist system based around  
455 and entirely dependent on the hegemony of the international tuna ranching industry.

456

457 It can be argued that the development of the ITQ framework which has marginalized non-  
458 record-keepers and triggered systemic socio-ecological problems, demonstrate that the main  
459 aim of ITQs was in essence to serve the agenda of the industrial elites rather than to improve  
460 the BFT stocks for the long-term benefit or indeed survival of the artisanal fleet. In other  
461 words, ITQs, as a privatisation mechanism, was used as a tool to legitimize the 'accumulation  
462 by dispossession' [7] process that empowered the industrial fleet at the expense of the artisanal  
463 sector.

464

465 The embedded nature of the ITQ system within the paradigm of economic efficiency  
466 concomitant with the industrialised world, which enables the consolidation of property rights  
467 by the powerful few, seems to obscure the major predicaments that are related to social equity  
468 and sustainable livelihoods [46,47]. The fact that the BFT stock has recovered, but most of the  
469 Maltese artisanal fishermen are still experiencing an overall decreased profitability confirms  
470 that the political urge to cater for the sustainability of resources has only been to support the  
471 tuna ranching sector which brings *'good foreign currency to Malta'* [48] and attain a BFT  
472 compliance certificate from the EU [see 42]. The resilience of the artisanal fishing communities  
473 does not classify in either of these priorities, and the needs of the sector remains only a concern  
474 highlighted in rhetorical government's public displays such as Ministerial speeches calling for  
475 the protection and sustainability of small-scale fishermen [50].

476

477 The pledge of the government to safeguard the small-scale fisheries and coastal communities  
478 in the adoption of the new EU common fisheries policy [51] seems to have been contradicted  
479 by neoliberal policy mechanisms of ITQs that suffocate the upward mobility of the artisanal  
480 fleet. In reality, fishermen are not equipped to protect themselves against the policy shocks and  
481 market forces brought about by industrialization. Instead, they have become oppressed by a  
482 system that has dispossessed them and disempowered them by creating policy instruments that  
483 discriminate, fragment and disunite. The *'divide-to-rule'* [52] strategy is inhibiting them from  
484 speaking with a common voice against the national and local structures that are gradually  
485 facilitating their disappearance. In Spain artisanal fishermen have been empowered in a local  
486 decision-making frameworks and by the adoption of co-management agencies such as inshore  
487 fisheries groups which uphold and protect collective decision-making in the distribution of  
488 BFT quotas [45]. In Malta, in contrast, fishers have become *'powerless spectators'* [53] with  
489 no hope of changing their situation within the BFT fishery.

490

## 491 **5) Conclusion**

492 In the past decade the artisanal BFT fishery in Malta has become intermeshed with the  
493 globalizing effects of policy-making and subject to the exogenous forces of the transnational  
494 BFT market. The evolving nature of the BFT sector illustrates a shift from what was once an  
495 artisanal fishery to what has become an agro-food industry of BFT ranching shaped by market  
496 forces in the name of economic efficiency. The introduction of the ITQs and fishing capacity  
497 restrictions, as conservation tools to protect BFT, have synergistically created new power  
498 relations that dispossessed most of the artisanal sector and orchestrated the expansion of the

499 tuna ranching industry. Through a descriptive analysis of these systemic developments, this  
500 study presents a showcase of how capitalistic processes of privatisation lead to resource  
501 appropriation that trigger major social inequities, and as a consequence, indigenous people face  
502 ‘a forceful expulsion’ from the resources upon which they depend. [7].

503

504 The policy changes that have facilitated the industrialization of the fishery were the beginning  
505 of the end for the artisanal fishermen, since consecutive BFT decision-making has been  
506 consistently focused on the principles of economic efficiency that have suffocated the  
507 regeneration of the artisanal sector. The rationalization of the sector has triggered a legitimacy  
508 crisis over BFT fishing rights, and implicitly forced most of the artisanal fishermen to diversify  
509 their fishing activity onto other unmanaged, possibly overexploited, fishing systems.  
510 Cumulatively, these processes are generating a deep socio-ecological crisis which would  
511 appear to be beneath the radar of the Maltese government. As a result, the prospects in fishing  
512 have become bleak at multiple levels, and artisanal fishers are gradually abandoning the  
513 commercial fishing sector for they sense that the tide has turned against them.

514

515 The artisanal sector is likely to keep diminishing if it does not get sufficient and imminent  
516 political attention. The duty to safeguard the needs of fishers through proper governance  
517 principles currently exists only as rhetoric in institutional texts such as Ministerial speeches  
518 and the Co-operative Act which stipulates that the ‘*co-operatives work for the sustainable*  
519 *development of their communities through policies approved by their members*’[54]. Some of  
520 the fisher’s representatives, who have been elected in power by the artisanal fishers themselves,  
521 have been subjugating the voice of the artisanal sector and suppressing their resilience.

522

523 Simultaneously, the close-knit arrangements between the fishing elites and the government  
524 have abandoned the needs of the smaller fishermen since their priorities, which they claim are  
525 aligned to the sustainable recovery of the BFT species, lie in the expanding BFT ranching  
526 sector and not on the continuity of the artisanal fishing communities. The transition into  
527 ranching has been highly welcomed and incentivised by the national government and although  
528 this transition is lauded as a tool of ‘diversification’ for fishers, in reality, it is a policy that is  
529 serving the elitists’ interests, and simultaneously obliterating the artisanal sector.

530

531 Finally, this study recommends that fisheries management needs to be re-examined by  
532 recognising and adopting the social and cultural pillars of sustainable development as well as

533 the environmental and economic pillars. The current top-down protection of resources is too  
534 reductionist and narrowly set on capitalistic rationality, and is likely to create socio-ecological  
535 misfits that will in turn create problems of a wider nature. One obvious and tangible measure  
536 would be to allocate ITQs more equitably to allow the artisanal sector to regain a more healthy  
537 position and limit the transferability of the ITQs to avoid the concentration of rights into the  
538 hands of the powerful few.

539

#### 540 **Acknowledgements**

541 Our heartfelt appreciation goes to all those residents in the Maltese fishing villages who  
542 generously gave us their time throughout the fieldwork. Also, we would like to acknowledge  
543 comments from Andrew Sanchez and Brian Campbell on previous drafts of this paper. This  
544 research did not receive any specific grant from funding agencies in the public, commercial, or  
545 not-for-profit sectors.

546

547

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