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# MODULAR GROUP ACTIONS ON ALGEBRAS AND $p$ -LOCAL GALOIS EXTENSIONS FOR FINITE GROUPS

PETER FLEISCHMANN AND CHRIS WOODCOCK

ABSTRACT. Let  $k$  be a field of positive characteristic  $p$  and let  $G$  be a finite group. In this paper we study the category  $\mathfrak{Ts}_G$  of finitely generated commutative  $k$ -algebras  $A$  on which  $G$  acts by algebra automorphisms with surjective trace. If  $A = k[X]$ , the ring of regular functions of a variety  $X$ , then trace-surjective group actions on  $A$  are characterized geometrically by the fact that all point stabilizers on  $X$  are  $p'$ -subgroups or, equivalently, that  $A^P \leq A$  is a Galois extension for every Sylow  $p$ -group of  $G$ . We investigate categorical properties of  $\mathfrak{Ts}_G$ , using a version of Frobenius-reciprocity for group actions on  $k$ -algebras, which is based on tensor induction for modules. We also describe projective generators in  $\mathfrak{Ts}_G$ , extending and generalizing the investigations started in [8], [7] and [9] in the case of  $p$ -groups. As an application we show that for an abelian or  $p$ -elementary group  $G$  and  $k$  large enough, there is always a faithful (possibly nonlinear) action on a polynomial ring such that the ring of invariants is also a polynomial ring. This would be false for *linear* group actions by a result of Serre. If  $A$  is a normal domain and  $G \leq \text{Aut}_k(A)$  an arbitrary finite group, we show that  $A^{\text{Op}(G)}$  is the integral closure of  $k[\text{Soc}(A)]$ , the subalgebra of  $A$  generated by the simple  $kG$ -submodules in  $A$ . For  $p$ -solvable groups this leads to a structure theorem on trace-surjective algebras, generalizing the corresponding result for  $p$ -groups in [8].

## 0. INTRODUCTION

Let  $G$  be an arbitrary finite group,  $k$  a field and  $A$  a commutative  $k$ -algebra on which  $G$  acts by  $k$ -algebra automorphisms; then we call  $A$  a  $k$ - $G$  algebra. By  $k_G\mathbf{alg}$  we denote the category of commutative  $k$ - $G$  algebras with  $G$ -equivariant algebra homomorphisms; if  $G = 1$ , we set  $k\mathbf{alg} := k_1\mathbf{alg}$  to denote the category of all commutative  $k$ -algebras. Let  $A^G := \{a \in A \mid ag = a \ \forall g \in G\}$  be the *ring of invariants*, the primary object of study in invariant theory.

One of the main challenges is to describe structural properties of the ring  $A^G$ , assuming that  $A$  is “nice”, for example a Cohen-Macaulay ring or a polynomial ring. Clearly  $A^G$  is a subring of  $A$  as well a submodule of the  $A^G$ -module  $A$  (denoted by  ${}_A A$ ). It is easy to see that the ring extension  $A^G \leq A$  is integral. If moreover  $A \in k_G\mathbf{alg}$  is finitely generated as  $k$ -algebra, then so is  $A^G$ , by a classical result of Emmy Noether ([12]).

Let  $R$  be an arbitrary commutative ring with subring  $S \leq R$ . A surjective homomorphism of  $S$ -modules,  $r : {}_S R \rightarrow {}_S S$ , is called a *Reynolds operator*, if  $r|_S = \text{id}_S$ , or equivalently,  $r^2 = r \in \text{End}({}_S R)$ . The existence of a Reynolds operator is obviously equivalent to the fact that  $S$  is a direct summand of  $R$  as an  $S$ -module. The following well known result of Hochster-Eagon is of fundamental importance in invariant theory: (see [3], Theorem 6.4.5, pg. 282)

**Theorem 0.1.** (*Hochster-Eagon*) *Let  $R$  be a Cohen-Macaulay ring with subring  $S \leq R$  and Reynolds operator  $r$ , such that  $R$  is integral over  $S$ . Then if  $R$  is Cohen-Macaulay, so is  $S$ .*

Let  $\text{tr} := \text{tr}_G : A \rightarrow A^G$ ,  $a \mapsto \sum_{g \in G} ag$  be the *transfer map* or *trace map*. This is obviously a homomorphism of  $A^G$ -modules, therefore the image  $\text{tr}(A) \triangleleft A^G$  is an ideal in  $A^G$ . If  $\text{tr}(a) = 1$  for some  $a \in A$ , then for any  $a' \in A$  we have  $\text{tr}(a \cdot \text{tr}(aa')) = \text{tr}(aa') \cdot \text{tr}(a) = \text{tr}(aa')$ , hence the map  $A \rightarrow A^G$ ,  $a' \mapsto \text{tr}(aa')$  is a Reynolds operator.

This motivates the following

**Definition 0.2.** *An algebra  $A \in k_G\mathbf{alg}$  such that  $\text{tr}(A) = A^G$  will be called a **trace-surjective**  $k$ - $G$ -algebra. With  $\mathfrak{Ts} := \mathfrak{Ts}_G$  we denote the full subcategory of  $k_G\mathbf{alg}$  consisting all trace-surjective algebras which are **finitely generated** as  $k$ -algebras.*

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Now we obtain the following well known consequence of Theorem 0.1:

**Corollary 0.3.** *Let  $A \in \mathfrak{S}_G$  be a Cohen-Macaulay ring. Then so is  $A^G$ .*

An important class of  $k$ - $G$ -algebras arises in the following way: Let  $V \neq 0$  be a finite dimensional  $k$ -vector space,  $G \leq \mathrm{GL}(V)$  a finite linear group and set  $A := \mathrm{Sym}(V^*) = \bigoplus_{i=0}^{\infty} A_i$  the graded symmetric algebra over the dual space  $V^*$ . Then  $A$  is isomorphic to the polynomial ring  $k[X_1, \dots, X_n]$  with  $V^* = \bigoplus_{i=1}^n kX_i$ , on which  $G$  acts by the graded algebra homomorphisms which extend the dual action on  $V^*$ . We will refer to this class of group actions as *linear* group actions. In that case it is easy to see that  $\mathrm{tr}$  is surjective if and only if  $p := \mathrm{char}(k)$  does not divide the group order  $|G|$ . If  $p \nmid |G|$  then  $1/|G| \cdot \mathrm{tr}$  is a Reynolds operator and Corollary 0.3 implies the well known result that the invariant rings  $\mathrm{Sym}(V^*)^G$  are Cohen-Macaulay rings. If  $p$  divides  $|G|$ , then the image  $\mathrm{tr}(A)$  does not contain the constants  $A_0 \cong k$ , so it is a proper ideal of  $A^G$ . In particular if  $|G| = p^s$ , then due to a theorem of Kemper ([11]),  $A^G$  can only be a Cohen-Macaulay ring if the linear group  $G \leq \mathrm{GL}(V)$  is generated by *bireflections*, i.e. linear transformations with fixed-point space of codimension  $\leq 2$  (see also [4], Theorem 9.2.2. page 170).

A similar situation arises if one asks under what conditions the property that  $A$  is a regular ring or a polynomial ring is inherited by the ring of invariants. If  $A = \mathrm{Sym}(V^*)$  and  $A^G$  is a polynomial ring, then, due to a celebrated result by Serre ([2]),  $G$  is generated by pseudo-reflections, i.e. linear transformations with fixed point space of codimension one. If  $\mathrm{char}(k) \nmid |G|$ , the converse also holds by the well-known theorem of Chevalley-Shephard-Todd and Serre (see e.g. [6] or [14]).

In the rest of this paper, unless explicitly said otherwise,  $k$  will be a field of characteristic  $p > 0$  and  $G$  a finite group of order  $|G|$ . Then all non-diagonalizable pseudo-reflections, the *transvections*, have order  $p$ . If  $g \in \mathrm{GL}(V)$  is a unipotent bireflection, then  $g - 1$  is nilpotent with  $(V)(g - 1) =: W$  a  $g$ -stable subspace of dimension  $\leq 2$ . Therefore  $(V)(g - 1)^3 \subseteq (W)(g - 1)^2 = 0$ , hence  $(V)(g^p - 1) = (V)(g - 1)^p = 0$  if  $p > 2$ . This shows that bireflections of  $p$ -power order have order  $p$  if  $p > 2$ . It therefore follows from the results by Kemper, that for any finite  $p$ -group  $G \leq \mathrm{GL}(V)$  which is not generated by elements of order  $p$ , the invariant ring  $\mathrm{Sym}(V^*)^G$  is not Cohen-Macaulay, let alone a polynomial ring.

The situation changes completely if we remove the condition that  $G$  is a linear group, and allow for only “mildly nonlinear” actions:

**Example 0.4.** *Let  $p = 2$ ,  $G = \langle g \rangle \cong C_4$ ,  $A = \mathbb{F}_2[x_1, x_2, x_3]$  and  $g : x_1 \mapsto x_1, x_2 \mapsto x_2 + x_1, x_3 \mapsto x_3 + x_2$ . Since  $g$  is not a transvection,  $A^G$  cannot be polynomial by Serre’s theorem. Indeed  $A^G = \mathbb{F}_2[x_1, f_2, f_3, f_4]$  with  $f_2 := x_1x_2 + x_2^2$ ,  $f_3 := x_1^2x_3 + x_1x_2^2 + x_1x_3^2 + x_2^3$ ,  $f_4 := x_1^2x_2x_3 + x_1^2x_3^2 + x_1x_2^2x_3 + x_1x_2x_3^2 + x_2^2x_3^2 + x_3^4$  and one relation:*

$$x_1^2f_4 - f_2^3 - x_1f_2f_3 - f_3^2 = 0.$$

*It follows that  $A^G[1/x_1] = \mathbb{F}_2[x_1^{\pm 1}, f_2, f_3]$ . Note that  $\mathrm{tr}(x_1x_2x_3) = \sum_{g \in G} g(x_1x_2x_3) = x_1^3$ , hence the map  $\mathrm{tr} : A[1/x_1] \rightarrow (A[1/x_1])^G$  is surjective. Consider the “dehomogenization”:*

$$D_{x_1} := (A[1/x_1])_0 \cong A/(x_1 - 1)A.$$

*Then  $D_{x_1}$  is a polynomial ring of (Krull-) dimension 2 with faithful non-linear  $G$ -action and polynomial ring of invariants  $D_{x_1}^G = k[f_2/x_1^2, f_3/x_1^3]$ .*

Generalizing this example we consider for  $G \leq \mathrm{GL}(V)$ ,  $A := \mathrm{Sym}(V^*)$  and  $0 \neq x \in (V^*)^G$  the  $\mathbb{Z}$ -graded algebra  $A[1/x]$  and define the “dehomogenization”:

$$D_x := (A[1/x])_0 \cong A/(x - 1)A.$$

It is known that a graded algebra and its dehomogenizations share many interesting properties (see e.g. [3] pg. 38 and the exercises 1.5.26, 2.2.34, 2.2.35 loc. cit.) Clearly the algebra  $D_x$  is a polynomial ring of Krull-dimension  $|G| - 1$ .

Then we have the following

**Lemma 0.5.** *Assume that  $x^N \in \mathrm{tr}_G(A)$  for some  $N \in \mathbb{N}$ . Then  $D_x^G$  is a Cohen-Macaulay ring.*

*Proof.* Let  $x^N = \mathrm{tr}_G(f)$ . Without loss of generality we can assume that  $f$  is homogeneous of degree  $N$ , hence  $a := \frac{f}{x^N} \in D_x$  with  $\mathrm{tr}_G(a) = 1$ , so  $D_x \in \mathfrak{S}$ . It follows from Corollary 0.3 that  $D_x^G$  is Cohen-Macaulay.  $\square$

Despite the fact that finite  $p$ -groups which are not generated by elements of order  $p > 2$  cannot act *linearly* on polynomial rings with Cohen-Macaulay invariants, we have the following first little observation:

**Corollary 0.6.** *Let  $G$  be an arbitrary finite group and  $k$  an arbitrary field. Then there is always a faithful (maybe mildly non-linear) action of  $G$  on a polynomial ring with Cohen-Macaulay ring of invariants.*

*Proof.* Let  $V = \bigoplus_{g \in G} kX_g \cong kG$  be the regular module and  $x := \sum_{g \in G} X_g = \text{tr}_G(X_1)$ . Then  $D_x \in \mathfrak{Ts}_G$ , in particular faithful, with  $D_x^G$  a Cohen-Macaulay ring.  $\square$

Of course the polynomial ring  $D_x \in \mathfrak{Ts}_G$  of Corollary 0.6 has Krull-dimension  $|G| - 1$ , whereas example 0.4 shows that one can do better. This raises the question for the *minimal* Krull-dimensions of polynomial rings with faithful group action and Cohen-Macaulay or polynomial rings of invariants. The latter question has been raised for  $p$ -groups in [8], [7] and [10]. In [8] an answer was given for the case of the prime field  $k = \mathbb{F}_p$ . In this paper we will generalize the methods and some results of these papers, to deal with arbitrary finite groups of order divisible by  $p$ .

With regard to polynomial rings of invariants the situation is less clear. We do not know whether for an arbitrary finite group there is always a faithful action on a polynomial ring, such that the ring of invariants is again a polynomial ring. Combining some results of [8] on trace-surjective algebras for  $p$ -groups with the above mentioned theorem of Serre we obtain the following “polynomial analogue” of 0.6 at least for abelian or  $p$ -elementary<sup>1</sup> groups:

**Theorem 0.7.** *Let  $k$  be algebraically closed,  $G$  a finite abelian or  $p$ -elementary group and  $r$  the smallest prime divisor of  $|G|$ . Then there exists a polynomial ring  $\mathcal{F}$  of Krull-dimension  $\leq \log_r(|G|)$ , such that  $G$  acts faithfully on  $\mathcal{F}$  with  $\mathcal{F}^G \cong \mathcal{F}$  as algebras.*

*Proof.* This is an immediate consequence of Proposition 1.3.  $\square$

Results like this convince us that the study of the category  $\mathfrak{Ts}_G$  is worthwhile, not just for  $p$ -groups, but for arbitrary finite groups. For example, it turns out that the category  $\mathfrak{Ts}_G$  has an interesting *geometric significance*, its objects are characterized by the following “ $p$ -local Galois property”:

**Theorem 0.8.** *Let  $k$  be an algebraically closed field of characteristic  $p > 0$ ,  $X$  an affine  $k$ -variety with ring of regular functions  $A = k[X]$  and  $G$  a finite group acting on  $X$ . Then the following are equivalent:*

- (1)  $A \in \mathfrak{Ts}_G$ .
- (2) For every  $x \in X$  the point-stabilizer  $G_x$  has order coprime to  $p$ .
- (3) For one (and then every) Sylow  $p$ -group  $P \leq G$ , the ring extension  $A^P \leq A$  is a Galois-extension in the sense of Auslander and Goldman [1] or Chase-Harrison-Rosenberg in [5].

*Proof.* See Proposition 1.9 and Corollary 1.10.  $\square$

The rest of the paper is organized as follows:

In Section 1 we explain the geometric background of our results in the context of free and “ $p$ -locally free actions” of finite groups on affine varieties. Here “ $p$ -locally free” means that the action restricted to every  $p$ -subgroup is free. We also collect some definitions and results from [8] and [10], which are used to prove Theorems 0.7 and 0.8, but will also be used in later sections.

In Section 2 we develop the basic properties of trace-surjective algebras and also investigate categorical properties of  $\mathfrak{Ts}_G$ . Although this is not an abelian category it has “s-projective objects”, which are analogues of projective modules (see Definition 2.12), and it has (s-projective) categorical generators, which we will describe explicitly. This generalizes definitions and results of [10] from  $p$ -groups to arbitrary finite groups. In particular the special role of “points” (i.e. ring elements with trace one) is analyzed (see Corollary 2.8). As in the  $p$ -group case, it turns out that the dehomogenization  $D_{\text{reg}}$  is a “free generator” in the category  $\mathfrak{Ts}_G$ .

In Section 3 we discuss induction and restriction functors and the analogue of “Frobenius reciprocity” for group actions on commutative  $k$ -algebras. Let  $H \leq G$  be a subgroup, then there is an obvious restriction functor  $\text{res}_{\downarrow H}^G : k_G \mathbf{alg} \rightarrow k_H \mathbf{alg}$ , which turns out to have left- and right adjoints. In contrast to module theory, these adjoint functors do not coincide: in fact the left adjoint of  $\text{res}_{\downarrow H}^G$

<sup>1</sup>i.e. a direct product of a cyclic  $p'$ -group and a  $p$ -group

is given by “tensor induction”  $\text{Ind}_H^{\otimes G} : k_H\text{alg} \rightarrow k_G\text{alg}$  and the right-adjoint is given by ordinary “Frobenius induction”  $\text{Ind}_H^{\times G} : k_H\text{alg} \rightarrow k_G\text{alg}$  (see Theorem 3.2).

In Section 4 we apply Frobenius reciprocity in the category  $\mathfrak{T}\mathfrak{s}_G$  to investigate properties of objects that can be detected and analyzed via restriction to Sylow  $p$ -groups. We prove the following analogues to well known results in module theory: An algebra  $A \in \mathfrak{T}\mathfrak{s}_G$  is  $s$ -projective if and only if its restriction  $\text{res}(A|_P)$  is so in  $\mathfrak{T}\mathfrak{s}_P$  for a Sylow  $p$ -group (see Corollary 4.10). If  $B \in \mathfrak{T}\mathfrak{s}_G$  is  $s$ -projective, then so is  $B \otimes \text{Sym}(V)$  for any finite-dimensional  $kG$ -module  $V$  (Theorem 4.9).

In Section 5 we interpret some of the results of previous sections as a particular version of Maschke’s theorem for group actions on commutative algebras. This can be used to describe a decomposition of tensor products of the form  $A \otimes \text{Sym}(V)$  with  $A \in \mathfrak{T}\mathfrak{s}_G$  and  $kG$ -module  $V$ . A general structure theorem on algebras  $A \in \mathfrak{T}\mathfrak{s}_G$  which was proven in [8] Proposition 4.2 for  $p$ -groups is generalized to  $p$ -solvable groups (Proposition 5.10). As an application to general group actions on commutative  $k$ -algebras we show that if  $A \in k_G\text{alg}$  is a normal domain, then  $A^{\text{Oc}(G)}$  is the integral closure of  $A_{\text{soc}}$  in its quotient field. Here  $A_{\text{soc}} = k[\text{Soc}(A)]$  is the subalgebra of  $A$  generated by the simple  $kG$ -submodules contained in  $A$  (Proposition 5.7).

The Appendix at the end of the paper contains some material on adjoint functors in a form most useful for section 3. It has been included for the convenience of the reader and to make our exposition self-contained.

**Notation:** For a category  $\mathcal{C}$  and objects  $a, b \in \mathcal{C}$  we denote by  $\mathcal{C}(a, b)$  the set of morphisms from  $a$  to  $b$ . The word “ring” will always mean “unital ring” and the notion of a “subring”  $S \leq R$  or a “ring homomorphism”  $\phi : S \rightarrow R$  will always mean “unital subring” with  $1_S = 1_R$  and “unital homomorphism” satisfying  $\phi(1_S) = 1_R$ . Let  $G$  be a group with group ring  $kG$ ; with  $\text{Mod} - kG$  ( $\text{mod} - kG$ ) we will denote the category of (finitely generated) right  $kG$ -modules and with  $kG - \text{Mod}$  ( $kG - \text{mod}$ ) we denote the corresponding categories of left modules. If  $M$  is a  $kG$ -bimodule, the restriction to the left or right module structure will be indicated by  ${}_kG M$  or  $M {}_kG$ , respectively. We will also use standard notation from group theory, e.g. for a finite group  $G$  and a prime  $p$  we set  $\text{Syl}_p(G)$  to be the set of all Sylow  $p$ -groups. A “ $p'$ -group” is a finite group of order coprime to  $p$ ,  $O_p(G) := \bigcap_{P \in \text{Syl}_p(G)} P \trianglelefteq G$  the “ $p$ -core” of  $G$  and  $O_{p'}(G) \trianglelefteq G$  to be the maximal normal subgroup of order coprime to  $p$ . By  $O_{p,p'}(G)$  (or  $O_{p',p}(G)$ , respectively) we denote the canonical preimage of  $O_{p'}(G/O_p(G))$  (or  $O_p(G/O_{p'}(G))$ ). If  $\Omega$  is a set on which the group  $G$  acts, we find it useful to switch freely between “left” and “right”-actions, using the rule

$$\omega \cdot g := \omega^g = {}^{g^{-1}}\omega = g^{-1} \cdot \omega, \quad \forall g \in G, \omega \in \Omega,$$

which changes a given right- $G$ -action into a left one and vice versa. The set of  $G$ -fixed points on  $\Omega$  will be denoted by  $\Omega^G$ .

## 1. GALOIS EXTENSIONS AND $p$ -LOCALLY FREE GROUP ACTIONS

We start with some definitions and notation that will also be used later in the paper:

**Definition 1.1.** *Let  $R$  be a  $k$ -algebra and  $n \in \mathbb{N}$ .*

- (1) *With  $R^{[n]}$  we denote the polynomial ring  $R[t_1, \dots, t_n]$  over  $R$ .*
- (2) *Let  $\mathbb{P} = k[t_1, \dots, t_m] \cong k^{[m]}$  and  $G \leq \text{Aut}_k(\mathbb{P})$ . Then  $\mathbb{P}$  is called **uni-triangular** (with respect to the chosen generators  $t_1, \dots, t_m$ ), if for every  $g \in G$  and  $i = 1, \dots, m$  there is  $f_{g,i}(t_1, \dots, t_{i-1}) \in k[t_1, \dots, t_{i-1}]$  such that  $(t_i)g = t_i + f_{g,i}(t_1, \dots, t_{i-1})$ .*
- (3) *Let  $m \in \mathbb{N}$ , then a  $k$ -algebra  $R$  is called ( $m$ -) **stably polynomial** if  $T := R \otimes_k k^{[m]} \cong R^{[m]} \cong k^{[N]}$  for some  $N \in \mathbb{N}$ . Assume moreover that  $R$  is a  $k - G$  algebra and  $T$  extends the  $G$ -action on  $R$  trivially, i.e.  $T \cong R \otimes_k F$  with  $F = F^G \cong k^{[m]}$ . If  $T$  is uni-triangular, then we call  $R$  ( $m$ -) **stably uni-triangular**.*
- (4) *Let  $V_{\text{reg}}$  be the regular representation of  $G$  with dual space  $V_{\text{reg}}^* := \bigoplus_{g \in G} kX_g \cong kG$ ,  $X_g := (X_e)g$  and  $x := \sum_{g \in G} X_g = \text{tr}_G(X_e) \in V^G$ . Then we set  $D_{\text{reg}} := D_{\text{reg}}(G) := D_x$ , the dehomogenization of  $\text{Sym}(V_{\text{reg}}^*)$ . Note that  $D_{\text{reg}}(G)$  is a polynomial ring in  $|G| - 1$  variables.*

The next result uses the following Theorem, which was one of the main results of [8]:

**Theorem 1.2** ([8] Theorems 1.1-1.3). *Let  $P$  be a group of order  $p^n$ . There exists a trace-surjective uni-triangular  $P$ -subalgebra  $U := U_P \leq D_{\text{reg}}$ , such that  $U \cong k^{[n]}$  is a retract of  $D_{\text{reg}}$ , i.e.  $D_{\text{reg}} = U \oplus I$  with a  $P$ -stable ideal  $I \trianglelefteq D_{\text{reg}}$ . Moreover:  $U^P \cong k^{[n]}$  and  $D_{\text{reg}}^P \cong k^{[|P|-1]}$ .*

Let  $H \leq \mathrm{GL}(V)$  be a finite subgroup with polynomial ring of invariants  $A^H = \mathrm{Sym}(V^*)^H$ , (so  $H$  must be generated by pseudo-reflections) and let  $P$  be an arbitrary finite  $p$ -group. Then the direct product  $H \times P$  acts faithfully on the polynomial ring  $\mathcal{F} := A \otimes_k U_P$  with ring of invariants  $\mathcal{F}^{H \times P} \cong A^H \otimes_k U_P^P$ , which is again a polynomial ring. This applies to any  $H \leq \mathrm{GL}(V)$  of order coprime to  $p$ , which is generated by pseudo-reflections.

**Proposition 1.3.** *Let  $H$  be an abelian  $p'$ -group of exponent  $e$ ,  $P$  an arbitrary finite  $p$ -group,  $G = H \times P$  and  $r$  the minimal prime divisor of  $|G|$ . Assume that  $k$  contains a primitive  $e$ 'th root of unity, then there exists a polynomial ring  $\mathcal{F}$  of Krull-dimension  $d \leq \log_r(|H|) + \log_p(|P|) \leq \log_r(|G|)$ , such that  $G := H \times P$  acts faithfully on  $\mathcal{F}$  with  $\mathcal{F}^G \cong k^{[d]}$ .*

*Proof.* Let  $H \cong \prod_{i=1}^s C_{d_i}$  with elementary divisors  $1 < d_1 \mid d_2 \mid \cdots \mid d_s$  and let  $\eta \in k$  be a primitive  $d_s$ 'th root of unity. Then every factor  $C_{d_i}$  acts on the one dimensional space  $k$  with generating pseudo-reflection of eigenvalue  $\eta^{d_s/d_i}$ . It follows that  $H$  acts on  $V := k^s$  as a linear group generated by pseudo-reflections. Since  $r^s \leq \prod_{i=1}^s d_i = |H|$  and the polynomial ring  $U_P$  has Krull-dimension  $\log_p(|P|)$ , we can choose  $\mathcal{F}$  to be  $\mathrm{Sym}(V^*) \otimes_k U_P$ .  $\square$

We are now going to explain the geometric significance of the category  $\mathfrak{S}_G$  of trace surjective  $k - G$  algebras:

Set  $B := A^G$  and define  $\Delta := G \star A = A \star G := \bigoplus_{g \in G} d_g A$  to be the crossed product of  $G$  and  $A$  with  $d_g d_h = d_{gh}$  and  $d_g a = g(a) \cdot d_g = (a)g^{-1} \cdot d_g$  for  $g \in G$  and  $a \in A$ . Let  ${}_B A$  denote  $A$  as left  $B$ -module, then there is a homomorphism of rings

$$\rho : \Delta \rightarrow \mathrm{End}({}_B A), \quad ad_g \mapsto \rho(ad_g) = (a' \mapsto a \cdot g(a') = a \cdot (a')g^{-1}).$$

One calls  $B \leq A$  a *Galois-extension* with group  $G$  if  ${}_B A$  is finitely generated projective and  $\rho$  is an isomorphism of rings. This definition goes back to Auslander and Goldmann [1] (Appendix, pg.396) and generalizes the classical notion of Galois field extensions. It also applies to non-commutative  $k - G$  algebras, but if  $A$  is commutative, this definition of ‘Galois-extension’ coincides with the one given by Chase-Harrison-Rosenberg in [5], where the extension of commutative rings  $A^G \leq A$  is called a Galois-extension if there are elements  $x_1, \dots, x_n, y_1, \dots, y_n$  in  $A$  such that

$$(1) \quad \sum_{i=1}^n x_i(y_i)g = \delta_{1,g} := \begin{cases} 1 & \text{if } g = 1 \\ 0 & \text{otherwise.} \end{cases}$$

In [5] the following has been shown:

**Theorem 1.4.** (Chase-Harrison-Rosenberg)[5]  *$A^G \leq A$  is a Galois extension if and only if for every  $1 \neq \sigma \in G$  and maximal ideal  $\mathfrak{p}$  of  $A$  there is  $s := s(\mathfrak{p}, \sigma) \in A$  with  $s - (s)\sigma \notin \mathfrak{p}$ .*

Now, if  $X$  is an affine variety over the algebraically closed field  $k$ , with  $G \leq \mathrm{Aut}(X)$  and  $A := k[X]$  (the ring of regular functions), then for every maximal ideal  $\mathfrak{m} \triangleleft A$ ,  $A/\mathfrak{m} \cong k$ . Hence if  $(\mathfrak{m})g = \mathfrak{m}$ , then  $a - (a)g \in \mathfrak{m}$  for all  $a \in A$ . Therefore we conclude

**Theorem 1.5.** *The finite group  $G$  acts freely on  $X$  if and only if  $k[X]^G \leq k[X]$  is a Galois-extension.*

If  $B \leq A$  is a Galois-extension, then it follows from equation (1), that  $\mathrm{tr}(A) = A^G = B$  (see [5], Lemma 1.6), so  $A \in \mathfrak{S}_G$ . It also follows from Theorem 1.4, that for a  $p$ -group  $G$  and  $k$  of characteristic  $p$ , the algebra  $A$  is trace-surjective if and only if  $A \geq A^G = B$  is a Galois-extension (see [8] Corollary 4.4.). Due to a result of Serre, the only finite groups acting freely on  $\mathbb{A}^n$  are finite  $p$ -groups (see [13] or [10] Theorem 0.1). Using this we obtain

**Corollary 1.6.** *Let  $k$  be algebraically closed. Then the finite group  $G$  acts freely on  $X \cong \mathbb{A}^n$  if and only if  $G$  is a  $p$ -group with  $p = \mathrm{char}(k)$  and  $k[X] \in \mathfrak{S}_G$ .*

Since for  $p$ -groups in characteristic  $p$  the trace-surjective algebras coincide with Galois-extensions over the invariant ring, we obtain from Theorem 1.5:

**Corollary 1.7.** *If  $k$  is an algebraically closed field of characteristic  $p > 0$ ,  $X$  an affine  $k$ -variety with  $A = k[X]$  and  $G$  a finite  $p$ -group, then the following are equivalent:*

- (1)  $G$  acts freely on  $X$ ;
- (2)  $A^G \leq A$  is a Galois extension;
- (3)  $A \in \mathfrak{S}_G$ .

For an arbitrary finite group  $G$  the properties  $A \in \mathfrak{I}\mathfrak{s}_G$  and  $A^G \leq A$  Galois are *not* equivalent. Indeed, if  $1 < |G|$  is coprime to  $p = \text{char}(k)$ , then  $A \in \mathfrak{I}\mathfrak{s}_G$ , but  $A^G \leq A$  may not be Galois. In fact the following holds, regardless whether  $p$  divides  $|G|$  or not:

**Proposition 1.8.** *Let  $A$  be an  $\mathbb{N}_0$ -graded, connected, noetherian normal domain and assume that  $G \leq \text{Aut}(A)$  is a finite group of graded automorphisms (e.g.  $A = \text{Sym}(V^*)$  with  $G \leq \text{GL}(V)$ ). Then  $A^G \leq A$  is Galois if and only if  $G = 1$ .*

*Proof.* Let  $B := A^G$ ; it follows from [10] Proposition 1.5 that  $A^G \leq A$  is Galois if and only if  ${}_B A$  is projective and  $A = \mathcal{D}_{A,B}$ , the Dedekind different, which in this case coincides with the homological different  $\mathcal{D}_{A,B,\text{hom}} := \mu(\text{ann}_{A \otimes_B A}(J))$ . Here  $\mu : A \otimes_B A \rightarrow A$  is the multiplication map with kernel  $J$ . By the assumption,  $1_A \in \mathcal{D}_{A,B,\text{hom}}$ , so  $1_{A \otimes_B A} - x \in \text{ann}_{A \otimes_B A}(J)$  for some  $x \in J$  and for every  $j \in J$  we get  $j = xj$ , hence  $J = J^2$ . Since  $(A \otimes_B A)_0 \cong k$  and  $J < A \otimes_B A$  is a proper ideal,  $J \cap (A \otimes_B A)_0 = 0$  so  $J \leq (A \otimes_B A)_+$  and the graded Nakayama lemma yields  $J = 0$ . Now  ${}_B A$  is a reflexive  $B$ -module with  $A \otimes_B A \cong A$ . Let  $i : B \hookrightarrow A$  be the canonical embedding and  $\mathfrak{p} \in \text{spec}_1(B)$ , then  $B_{\mathfrak{p}}$  is a discrete valuation ring, hence  ${}_{B_{\mathfrak{p}}} A_{\mathfrak{p}}$  is f.g. free in  $B_{\mathfrak{p}}$ -mod of rank  $n$ , say. We get  $A_{\mathfrak{p}} \cong B_{\mathfrak{p}}^n \cong A_{\mathfrak{p}} \cong B_{\mathfrak{p}}^n \otimes_{B_{\mathfrak{p}}} B_{\mathfrak{p}}^n \cong B_{\mathfrak{p}}^{n^2}$ , so  $n = n^2 = 1$  and  $B_{\mathfrak{p}} \cong A_{\mathfrak{p}}$ . But  $i_{\mathfrak{p}}(B_{\mathfrak{p}}) \leq A_{\mathfrak{p}}$  are both integrally closed in  $\mathbb{L} := \text{Quot}(B_{\mathfrak{p}}) = \text{Quot}(A_{\mathfrak{p}})$ , hence  $A_{\mathfrak{p}} = \text{int.closure}_{\mathbb{L}}(i_{\mathfrak{p}}(B_{\mathfrak{p}})) = i_{\mathfrak{p}}(B_{\mathfrak{p}})$  so  $i_{\mathfrak{p}} : B_{\mathfrak{p}} \hookrightarrow A_{\mathfrak{p}}$  is an isomorphism and  $i$  is a pseudo-isomorphism between reflexive  $B$ -modules. Therefore  $i$  is an isomorphism. Now it follows from standard Galois theory that  $G = 1$ .  $\square$

Let  $A \in k_G \text{alg}$  and  $Q \in \text{spec}(A)$  a prime ideal with  $q := Q \cap A^G \in \text{spec}(A^G)$  and residue class fields  $k(q) = \text{Quot}(A^G/q) \leq k(Q) := \text{Quot}(A/Q)$ . Then one defines the *inertia group*

$$I_G(Q) := \{g \in G \mid a - (a)g \in Q \ \forall a \in A\}.$$

It is well known that  $I_G(Q) \trianglelefteq G_Q := \text{Stab}_G(Q)$  with  $G_Q/I_G(Q) = \text{Aut}_{k(q)}(k(Q))$ . The following result generalizes Corollary 1.7, showing that  $A \in \mathfrak{I}\mathfrak{s}_G$  if and only if  $A^G \leq A$  is a  $p$ -local Galois-extension:

**Proposition 1.9.** *Let  $A \in k_G \text{alg}$  then the following are equivalent:*

- (1)  $A \in \mathfrak{I}\mathfrak{s}_G$ ;
- (2) For some (any) Sylow  $p$ -subgroup  $P \leq G$ ,  $A|_P \in \mathfrak{I}\mathfrak{s}_P$ .
- (3) For every  $1 \neq g \in G$  of order  $p$  and all  $\mathfrak{m} \in \max - \text{spec}(A)$  there is  $a \in A$  with  $a - (a)g \notin \mathfrak{m}$ .
- (4)  $I_G(Q)$  is a  $p'$ -group for every  $Q \in \text{spec}(A)$ .

*Proof.* “(1)  $\iff$  (2)”: This follows from Lemma 4.1 and the fact that all Sylow  $p$ -groups are conjugate. “(2)  $\iff$  (3)”: By Theorem 1.4 and the conjugacy of Sylow groups, condition (2) is equivalent to (3) with “order  $p$ ” replaced by “order  $p^m$  for some  $m$ ”. But if  $g \in G$  has order  $p^m$  with  $m > 1$ , then  $g^{p^{m-1}}$  has order  $p$  and  $a - (a)g^{p^{m-1}} = (a - (a)g) + ((a)g - (a)g^2) + ((a)g^2 - (a)g^3) + \dots + ((a)g^{p^{m-1}-1} - (a)g^{p^{m-1}})$ . So if  $a - (a)g^{p^{m-1}} \notin \mathfrak{m}$ , some  $(a)g^i - (a)g^{i+1} \notin \mathfrak{m}$  also. “(3)  $\iff$  (4)”: Obviously (3) is equivalent to (4) if the  $Q$ ’s are maximal ideals. Since  $Q \leq Q' \in \text{spec}(A)$  implies  $I_G(Q) \leq I_G(Q')$  the claim follows.  $\square$

**Corollary 1.10.** *If  $k$  is an algebraically closed field of characteristic  $p > 0$ ,  $X$  an affine  $k$ -variety with  $A = k[X]$  and  $G$  a finite group, then the following are equivalent:*

- (1) For every  $x \in X$  the point-stabilizer  $G_x$  has order coprime to  $p$ ;
- (2)  $A \in \mathfrak{I}\mathfrak{s}_G$ .

## 2. BASIC OBSERVATIONS ON TRACE-SURJECTIVE $k - G$ ALGEBRAS

In the following we will recall some well known results from representation theory of finite groups, which in many textbooks are formulated and proved for *finitely generated* modules over artinian rings or algebras. In view of our applications we need to avoid those restrictions, so we include short proofs of some of these results, whenever we need to establish them in a more general context.

Let  $R$  be a ring and  $M$  an  $R$ -module. Then the **socle** of  $M$  is the sum of all simple submodules, hence the unique maximal semisimple submodule of  $M$  and denoted by  $\text{Soc}(M)$ . We start with the following elementary observation:

**Lemma 2.1.** ([8] Lemma 2.1) *Let  $I$  be an index set and  $W$  a (left)  $R$ -module with submodules  $V, V_i$  for  $i \in I$ . Then the following hold:*

- (1) If  $\sum_{i \in I} V_i = \oplus_{i \in I} V_i$  is a direct sum in  $W$ , then  

$$\text{Soc}(V) \cap (\oplus_{i \in I} V_i) = \text{Soc}(V) \cap (\oplus_{i \in I} \text{Soc}(V_i)) = V \cap (\oplus_{i \in I} \text{Soc}(V_i)).$$
- (2) Assume that  $\text{Soc}(V_i) \leq V_i$  is an essential extension for every  $i \in I$  (e.g. if  ${}_R R$  is artinian), then we have

$$\sum_{i \in I} V_i = \oplus_{i \in I} V_i \iff \sum_{i \in I} \text{Soc}(V_i) = \oplus_{i \in I} \text{Soc}(V_i).$$

Now let  $k$  be a field,  $G$  a finite group and  $V$  a (left)  $kG$ -module. For any subgroup  $H \leq G$ , we denote by  $V^H$  the space of  $H$ -fixed points in  $V$  and define the (relative) transfer map

$$t_H^G : V^H \rightarrow V^G, v \mapsto \sum_{g \in G \setminus H} {}^g v,$$

where  $G \setminus H$  is a system of coset representatives such that  $G = \bigsqcup_{g \in G \setminus H} gH$ . If  $W$  is another left  $kG$ -module, then  $G$  has a natural (left) action on  $\text{Hom}_k(V, W)$  by conjugation, i.e.  ${}^g \alpha(v) = g(\alpha(g^{-1}(v)))$  with

$$\text{Hom}_k(V, W)^H = \text{Hom}_{kH}(V, W).$$

Note that  $t_H^G(\alpha) = \sum_{x \in G \setminus H} {}^x \alpha \in \text{Hom}_{kH}(V, W)$ .

The following is D. Higman's criterion for *relative  $kH$ -projectivity* of a  $kG$ -module:

**Proposition 2.2.** ([8] Proposition 2.2.) *Let  $V$  be a  $kG$ -module, then the following are equivalent:*

- (1) There is  $\alpha \in \text{End}_{kH}(V)$  with  $t_H^G(\alpha) = \text{id}_V$ .
- (2)  $V$  is a direct summand of  $kG \otimes_{kH} V$ .

A module  $V$  satisfying one of these equivalent conditions is called **relatively  $H$ -projective**.

**Remark 2.3.** Note that  $kG \otimes_k V \cong \oplus_{i \in I} kG^{(i)}$ , if  $V \cong \oplus_{i \in I} k^{(i)}$  as a  $k$ -space. Hence  $V$  is relatively 1-projective, if and only if  $V$  is a summand of a free  $kG$ -module, i.e. if and only if  $V$  is projective.

Let  $P$  be a finite  $p$ -group and  $k$  have characteristic  $p$ . The following lemma is well known for finitely generated  $kG$ -modules, but is true in general (see [8] Lemma 2.3):

**Lemma 2.4.** For any  $V \in \text{Mod} - kP$  the following are equivalent:

- (1)  $t_1^P(V) \neq 0$ ;
- (2) there is a free direct summand  $0 \neq F \leq V$  containing  $t_1^P(V)$ .

Moreover  $V$  is free if and only if  $t_1^P(V) = V^P$ . If  $v \in V$  satisfies  $t_1^P(v) \neq 0$ , then  $\langle vg \mid g \in P \rangle \in \text{mod} - kP - \text{mod}$  is free of rank one.

In the following  $k$  is a field of characteristic  $p \geq 0$  and  $G$  is a finite group. A  $k$ -algebra  $R$  will be called a  $k-G$  algebra, if  $G$  acts on  $R$  by  $k$ -algebra automorphisms. This renders  $R$  a  $kG$ -module. With  $k_G \mathbf{alg}$  we denote the category of commutative  $k-G$  algebras with  $G$ -equivariant algebra homomorphisms and we set  $k \mathbf{alg} := k_1 \mathbf{alg}$  to denote the category of all commutative  $k$ -algebras.

If this  $kG$ -module is trace-surjective, then  $R$  is called a **trace-surjective  $k-G$  algebra**.

**Lemma 2.5.** Let  $R$  be a  $k-G$ -algebra and  $H \leq G$  a subgroup then the following are equivalent:

- (1)  $1 = t_H^G(r)$  for some  $r \in R$ ;
- (2)  $R^G = t_H^G(R^H)$ ;
- (3)  $R$  is relatively  $H$ -projective as a  $kG$ -module.

*Proof.* Clearly (1)  $\iff$  (2), since  $t_H^G(R^H)$  is a two-sided ideal of  $R^G$ .

For  $r \in R$  let  $\mu_r \in \text{End}_k(R)$  denote the homomorphism given by left-multiplication, i.e.  $\mu_r(s) = r \cdot s$  for all  $s \in R$ . Then

$${}^g(\mu_r)(s) = g(\mu_r(g^{-1}s)) = g(r \cdot g^{-1}s) = (gr) \cdot s = \mu_{gr}(s),$$

hence the map

$$\mu : R \rightarrow \text{End}_k(R), r \mapsto \mu_r$$

is a unital homomorphism of  $k-G$ -algebras. On the other hand the map

$$e : \text{End}_k(R) \rightarrow R, \alpha \mapsto \alpha(1)$$

satisfies

$$e({}^g \alpha) = g(\alpha(g^{-1}1)) = g\alpha(1) = g \cdot e(\alpha),$$



hence it is a homomorphism of  $kG$ -modules with  $e(\text{id}_R) = 1$ . We have  $e \circ \mu = \text{id}_R$  and  $(\mu \circ e)(\text{id}_R) = \text{id}_R$ . If  $1 = t_H^G(r)$ , then  $\text{id}_R = \mu(1) = \mu(t_H^G(r)) = t_H^G(\mu(r))$ . On the other hand if  $\text{id}_R = t_H^G(\alpha)$ , then  $1 = e(\text{id}_R) = t_H^G(e(\alpha))$ . It now follows from Lemma 2.2 that (1) and (3) are equivalent.  $\square$

**Theorem 2.6.** *Let  $R \neq 0$  be a  $k - G$  - algebra. Then the following are equivalent:*

- (i)  $1 = t_1^G(r)$  for some  $r \in R$ .
- (ii)  $R^G = t_1^G(R)$ .
- (iii)  $R$  is a trace-surjective  $k - G$ -algebra.
- (iv) There is a  $kG$  -submodule  $W \leq R$ , isomorphic to the projective cover  $P(k)$  of the trivial  $kG$ -module, such that  $1_R \in W$ .

Assume that one of these conditions is satisfied. Let  $\{r_i \mid i \in I\}$  be a  $k$ -basis of the ring of invariants  $R^G$  and  $\{w_j \mid j = 1, \dots, s\}$  a basis of  $W \leq R$  (with  $1 \in W \cong P(k)$ ). Then the following hold:

- (1) For every  $0 \neq r \in R^G$  we have  $rW \cong W \cong P(k)$ .
- (2)  $R = R^G \cdot W \oplus C$  with  $R^G \cdot W = \bigoplus_{i \in I} r_i \cdot W = \bigoplus_{j=1}^s R^G \cdot w_j$  and  $C$  is a projective  $kG$ -module not containing a summand  $\cong P(k)$ . In particular,  $R^G \cdot W$  is a free  $R^G$ -module.
- (3) For every  $G$ -stable proper left ideal  $J \trianglelefteq {}_R R$  the left  $kG$ -module  $R/J$  is projective and we have  $(R/J)^G \cong R^G/J^G$ . For every  $G$ -stable two-sided proper ideal  $I \trianglelefteq R$  the quotient ring  $\bar{R} := R/I$  is again a trace-surjective  $k - G$ -algebra.

*Proof.* The equivalence of (i),(ii) and (iii) follows from Lemma 2.5. Assume that (i) holds and let  $P(k) \cong kG\epsilon$  with  $\epsilon = \epsilon^2$  a primitive idempotent in  $kG$ . Let  $G^+ := \sum_{g \in G} g = t_1^G(1_G) \in kG$ , then  $G^+\epsilon = G^+$  and the  $kG$ -homomorphism  $\theta : kG \rightarrow R$  defined by  $g \mapsto g \cdot r$  maps  $G^+$  to  $1_R$ . It follows that  $t_1^G(\theta(\epsilon)) = \theta(G^+\epsilon) = \theta(G^+) = 1_R$ . Since  $kGG^+\epsilon = kG^+ = \text{Soc}(P(k))$  is mapped to  $k \cdot 1_R$ , we see that  $\theta|_{P(k)}$  is injective and  $1_R \in W := \theta(P(k)) \cong P(k)$ . Assume that (iv) holds, then  $1_R \in W^G = \text{Soc}(W) = t_1^G(W)$ , hence (i) holds. We assume now that (iv) holds and will prove statements (1)-(3).

(1): Since  $W \cong P(k)$ , we have  $W^G \cong k$  and we can choose the basis  $\{w_i \mid i = 1, \dots, s\}$  such that  $1 = \sum_{g \in G} {}^g w_1 = t_1^G(w_1)$ . Assume  $r \in R^G$  such that  $rW \not\cong W$ , then  $rW \cong W/X$  with  $0 \neq X \leq W$ , so  $W^G = t_1^G(W) \leq X$  and  $t_1^G(W/X) \leq W^G X/X \leq X/X = 0$ . Hence

$$r = r \cdot 1 = r \cdot t_1^G(w_1) = t_1^G(r \cdot w_1) = 0.$$

(2): Since for all  $i$  we have  $r_i W \cong W$ ,  $\text{Soc}(r_i W) = k \cdot r_i$ . It follows from Lemma 2.1, that  $R^G \cdot W = \bigoplus_{i \in I} k \cdot r_i \cdot W$  with each  $r_i \cdot W \cong P(k)$  and again this is an injective module by H. Bass' theorem. Hence  $R = R^G \cdot W \oplus C$  with some complementary projective  $kG$  - module  $C$ . However  $C^G \leq R^G \leq R^G \cdot W$ , since  $1 \in W$  and therefore  $C^G = 0$ . Thus  $C$  is a projective  $kG$ -module not containing a summand isomorphic to  $P(k)$ , as required.

(3): Let  $J \trianglelefteq R$  be a  $G$ -stable left-ideal. Then  $\text{id}|_{R/J} = (\mu_1)|_{R/J} = t_1^G(\mu_r)|_{R/J}$ , hence  $R/J$  is a projective  $kG$ -module by 2.2. Let  $\bar{x} \in (R/J)^G$ , then

$$\begin{aligned} \bar{x} &= 1 \cdot \bar{x} = t_1^G(r) \bar{x} = \sum_{g \in G} gr \cdot \bar{x} = \sum_{g \in G} gr \cdot g \bar{x} = \\ & \sum_{g \in G} g(\bar{r} \bar{x}) = t_1^G(\bar{r} \bar{x}) = \overline{t_1^G(r \bar{x})} \in R^G/J^G. \end{aligned}$$

Hence  $R^G/J^G = (R/J)^G$ . The last claim is obvious, since  $t_1^G(\bar{r}) = \sum_{g \in G} \bar{r}^g = \bar{1}$ .  $\square$

**Proposition 2.7.** *Let  $k$  be a field and  $G$  a finite group, then the following holds:*

*Every trace-surjective  $k - G$  algebra  $R$  is generated as  $k$ -algebra by its elements of trace 1 if and only if  $0 < \text{char}(k) = p \mid |G|$ .*

*Proof.* If  $\text{char}(k) = 0$  or  $0 < \text{char}(k) = p$  does not divide  $|G|$ , then the polynomial ring  $R := k[T]$  in one variable with trivial  $G$ -action is trace-surjective. Certainly the unique element of trace one, namely  $1/|G| \in k$ , does not generate that ring. Now suppose  $0 < \text{char}(k) = p$  divides  $|G|$ , let  $\lambda \in R$  be of trace one and  $r \in R^G$ . Then  $\mu := \lambda + r$  satisfies  $\text{tr}_G(\mu) = \text{tr}_G(\lambda) + |G| \cdot r = 1$ , so  $r = \mu - \lambda$ , hence  $R^G \subseteq B := k\langle s \in R \mid \text{tr}_G(s) = 1 \rangle$  and therefore  $R^G = B^G$ . Let  $a \in R$  be an arbitrary element, then  $a = (a - \lambda(\text{tr}_G(a) - 1)) + \lambda(\text{tr}_G(a) - 1)$ . Since  $\text{tr}_G(a - \lambda(\text{tr}_G(a) - 1)) = \text{tr}_G(a) - \text{tr}_G(\lambda)(\text{tr}_G(a) - 1) = 1$ , we have  $a - \lambda(\text{tr}_G(a) - 1) \in B$  and  $\lambda(\text{tr}_G(a) - 1) \in \lambda \cdot R^G \subseteq B$ , so  $a \in B$  and therefore  $R = B$ .  $\square$

From now on we assume that  $k$  is a field of characteristic  $p > 0$ . With  $\mathfrak{Ts}$  or  $\mathfrak{Ts}_G$  we denote the category consisting of *commutative* trace surjective  $k - G$ -algebras. If  $R \in \mathfrak{Ts}$  and  $r \in R$  satisfies  $\text{tr}_G(r) = 1$ , then we call  $r$  a “point” in  $R$  and denote with  $\mathcal{P}_R$  the set of all points in  $R$ . With  $\mathfrak{Ts}^\circ$  or  $\mathfrak{Ts}_G^\circ$  we denote the class of algebras  $\mathfrak{Ts}_G$  which are generated by points. Thus we have

**Corollary 2.8.** *Let  $G$  be a finite group of order divisible by  $p$ . Then  $\mathfrak{Ts} = \mathfrak{Ts}^\circ$ , in other words, every  $R \in \mathfrak{Ts}_G$  is generated by its points.*

For an arbitrary category  $\mathfrak{C}$  an object  $u \in \mathfrak{C}$  is called *weakly initial*, if for every object  $c \in \mathfrak{C}$  the set  $\mathfrak{C}(u, c) := \text{Mor}_{\mathfrak{C}}(u, c)$  is not empty, i.e. if for every object in  $\mathfrak{C}$  there is at least one morphism from  $u$  to that object. (If moreover  $|\mathfrak{C}(u, c)| = 1$  for every  $c \in \mathfrak{C}$ , then  $u$  is called an *initial object* and is uniquely determined up to isomorphism.) For  $a, b \in \mathfrak{C}$  one defines  $a \prec b$  to mean that there is a monomorphism  $a \hookrightarrow b \in \mathfrak{C}$  and  $a \approx b$  if  $a \prec b$  and  $b \prec a$ . According to this definition, an object  $b \in \mathfrak{C}$  is called *minimal* if  $a \prec b$  for  $a \in \mathfrak{C}$  implies  $b \prec a$  and therefore  $a \approx b$ . Clearly “ $\approx$ ” is an equivalence relation on the object class of  $\mathfrak{C}$ .

**Definition 2.9.** *Let  $B \in \mathfrak{Ts}_G$ , then*

- (1)  $B$  is called **universal**, if it is a weakly initial object in  $\mathfrak{Ts}_G$ .
- (2)  $B$  is called **basic** if it is universal and minimal.
- (3)  $B$  is called **cyclic** if it is generated by the  $G$ -orbit of one point, or equivalently, if  $B \cong D_{reg}/I$  for some  $G$ -stable ideal  $I \trianglelefteq D_{reg}$ .
- (4)  $B$  is called **standard**, if it is a retract of  $D_{reg}$ , or in other words, if  $D_{reg} = B \oplus J$ , where  $J \trianglelefteq D_{reg}$  is some  $G$ -stable ideal.

Let  $A \in \mathfrak{Ts}$  and  $a \in A$  be a point, i.e.  $\text{tr}(a) = 1$ . Then the map  $X_g \mapsto (a)g$  for  $g \in G$  extends to a  $k$ -algebra homomorphism  $\text{Sym}(V_{reg}^*) \rightarrow A$  with  $x \mapsto 1$ , where  $x = \text{tr}_G(X_1)$ . Hence it defines a unique morphism  $\phi : D_{reg} \rightarrow A$ , mapping  $x_g \mapsto (a)g$ . In other words  $D_{reg}$  has a “free point”  $x_e$ , which can be mapped to any point  $a \in A \in \mathfrak{Ts}$  to define a morphism  $\phi \in \mathfrak{Ts}(D_{reg}, A)$ . Moreover, if  $\beta : \mathfrak{S} \rightarrow D_{reg}$  is a morphism in  $\mathfrak{Ts}$ , then  $\phi \circ \beta \in \mathfrak{Ts}(\mathfrak{S}, A)$ , so  $\mathfrak{S}$  is weakly initial. On the other hand, if  $\mathfrak{W} \in \mathfrak{Ts}$  is weakly initial, then there is a morphism  $\alpha : \mathfrak{W} \rightarrow D_{reg} \in \mathfrak{Ts}$ , hence

**Proposition 2.10.** *The universal objects in  $\mathfrak{Ts}$  are precisely the trace-surjective  $k - G$  algebras which map to  $D_{reg}$ .*

Now let  $\mathfrak{C}$  be the category  $\mathfrak{Ts}$ ; the following Lemma characterizes types of morphisms by their action on points. We have:

**Lemma 2.11.** *For  $\theta \in \mathfrak{Ts}(R, S)$  let  $\theta_{\mathcal{P}}$  denote the induced map from the set of points of  $R$  to the set of points of  $S$ .*

- (1) If  $\theta$  is surjective (injective, bijective), then so is  $\theta_{\mathcal{P}}$ .
- (2) If  $S$  is generated by points and  $\theta_{\mathcal{P}}$  is surjective, then so is  $\theta$ .
- (3) If  $p$  divides  $|G|$ ,  $\theta$  is surjective (injective, bijective) if and only if  $\theta_{\mathcal{P}}$  is. In particular  $\theta$  is a monomorphism if and only if  $\theta$  is injective.

*Proof.* (1)+(2): Assume  $\theta$  is surjective. Let  $s \in S$  with  $\text{tr}(s) = 1$  and  $r \in R$  with  $\theta(r) = s$ . Then  $r' := \text{tr}(r) - 1 \in \ker(\theta) \cap R^G$ . Let  $w \in R$  with  $\text{tr}(w) = 1$ , then  $r' = \text{tr}(r'w)$  and  $v := r - r'w$  satisfies  $\theta(v) = s$  and  $\text{tr}(v) = 1$ , hence  $\theta_{\mathcal{P}}$  is surjective. If  $S$  is generated by points, the reverse conclusion follows.

(3): Since  $p$  divides  $|G|$ ,  $S$  is generated by points, hence the claim about surjectivity follows from (1) and (2). Now we can assume that  $\theta_{\mathcal{P}}$  is injective and show that  $\theta$  is injective. Let  $w \in R$  be a point and  $r, r' \in R^G$  with  $\theta(r) = \theta(r')$ , then  $\text{tr}(r + w) = \text{tr}(w) = 1 = \text{tr}(r' + w)$  and  $\theta(r + w) = \theta(r' + w)$ , so  $r + w = r' + w$  and  $r = r'$ . Hence the induced map on the rings of invariants is injective. Now let  $c_i \in R$  be arbitrary with  $\theta(c_1) = \theta(c_2)$ . Choose  $\lambda \in \mathcal{P}_R$ , then the proof of Proposition 2.7 shows that  $c_i = p_i + \lambda \cdot b_i$  with  $p_i \in \mathcal{P}_R$  and  $b_i \in R^G$  for  $i = 1, 2$ . Hence  $w := \theta(p_1 - p_2) = \theta(\lambda) \cdot (\theta(b_2) - \theta(b_1))$  and

$$\text{tr}(w) = 0 = \text{tr}(\theta(\lambda)) \cdot (\theta(b_2) - \theta(b_1)) = 1 \cdot (\theta(b_2) - \theta(b_1)).$$

It follows that  $b_1 = b_2$ ,  $\theta(p_1) = \theta(p_2)$  hence  $p_1 = p_2$  and  $c_1 = c_2$ . For the last claim in (3), it is clear that an injective morphism is a monomorphism, so assume now that  $\theta$  is a monomorphism. It suffices to show that  $\theta$  is injective on the points of  $R$ , so let  $a_1, a_2 \in R$  be points with  $\theta(a_1) = \theta(a_2)$ . Define  $\psi_i : D_{reg} \rightarrow R$  as the morphisms determined by the map  $D_{reg} \ni x_e \mapsto a_i$ , then  $\theta \circ \psi_1 = \theta \circ \psi_2$ , hence  $\psi_1 = \psi_2$  and  $a_1 = a_2$ . This finishes the proof.  $\square$

In an arbitrary category  $\mathfrak{C}$  an object  $x$  is called “projective” if the covariant representation functor  $\mathfrak{C}(x, ?) := \text{Mor}_{\mathfrak{C}}(x, ?)$  transforms epimorphisms into surjective maps. If  $\mathfrak{C}$  is the module category of a ring, then a morphism is an epimorphism if and only if it is surjective, therefore a module  $M$  can be defined to be projective, if  $\text{Mor}_{\mathfrak{C}}(M, ?)$  turns surjective morphisms to surjective maps. In the category  $\mathfrak{Ts}$ , however, there are non-surjective epimorphisms. This is the reason for the following

**Definition 2.12.** *Let  $\mathfrak{C}$  be a category of sets. We call  $p \in \mathfrak{C}$  an **s-projective** object if the covariant representation functor  $\mathfrak{C}(p, *)$  transforms **surjective morphisms** into surjective maps. Similarly we call  $i \in \mathfrak{C}$  an **i-injective** object if the contravariant representation functor  $\mathfrak{C}(*, i)$  transforms **injective morphism** into surjective maps.*

**Lemma 2.13.** *The algebra  $D_{reg} \in \mathfrak{Ts}$  is s-projective.*

*Proof.* Let  $\theta \in \mathfrak{Ts}(A, B)$  be surjective and  $\phi \in \mathfrak{Ts}(D_{reg}, B)$ . Then by 2.11  $\phi(x_e) = \theta(\gamma)$  for a point  $\gamma \in \mathcal{P}_A$ . The map  $x_e \mapsto \gamma$  extends to a morphism  $\psi \in \mathfrak{Ts}(D_{reg}, A)$  with  $\theta \circ \psi = \phi$ .  $\square$

Let  $\mathfrak{C}$  be an arbitrary category. Then an object  $m \in \mathfrak{C}$  is called a *generator* in  $\mathfrak{C}$ , if the covariant morphism - functor  $\text{Mor}_{\mathfrak{C}}(m, *)$  is injective on morphism sets. In other words,  $m$  is a generator if for any two objects  $x, y \in \mathfrak{C}$  and morphisms  $f_1, f_2 \in \mathfrak{C}(x, y)$ ,  $f_1 \neq f_2$  implies  $(f_1)_* \neq (f_2)_*$ , i.e. there is  $f \in \mathfrak{C}(m, x)$  with  $f_1 \circ f \neq f_2 \circ f$ . It follows that  $\mathfrak{C}(m, x) \neq \emptyset$  whenever  $x \in \mathfrak{C}$  has nontrivial automorphisms. So if every object  $x \in \mathfrak{C}$  has a nontrivial automorphism, then generators in  $\mathfrak{C}$  are weakly initial objects. If  $\mathfrak{C} = \mathfrak{Ts}$ , then right multiplication with any  $1 \neq z \in Z(G)$  is a nontrivial automorphism for every object, hence if  $Z(G) \neq 1$ , then every generator in  $\mathfrak{Ts}$  is universal.

Note that the category  $\text{kalg}$  of commutative  $k$ -algebras is not abelian, but it has finite products and coproducts given by the cartesian product  $\prod_{i=1}^{\ell} A_i = \times_{i=1}^{\ell} A_i$  and the tensor product  $\prod_{i=1}^{\ell} A_i = A_1 \otimes_k A_2 \otimes_k \cdots \otimes_k A_{\ell}$  for  $A_i \in \text{kalg}$ . (In the following we will write  $\otimes$  instead of  $\otimes_k$ .) These also form products and co-products in the subcategory  $\mathfrak{Ts}_G$ , if all  $A_i \in \mathfrak{Ts}$ . For an object  $A \in \mathfrak{Ts}$  and  $\ell \in \mathbb{N}$  we define

$$A^{\ell} := \prod_{i=1}^{\ell} A := A \times A \times \cdots \times A \text{ and } A^{\otimes \ell} := \prod_{i=1}^{\ell} A := A \otimes A \otimes \cdots \otimes A$$

with  $\ell$  copies of  $A$  involved. This allows for the following partial characterization of *generators* in  $\mathfrak{Ts}$ :

**Lemma 2.14.** *An object  $\Gamma \in \mathfrak{Ts}$  is a generator if for every  $R \in \mathfrak{Ts}$  there is a surjective morphism  $\Psi : \Gamma^{\otimes \ell} \rightarrow R$  for some  $\ell \geq 1$ .*

*Proof.* By assumption we have the following commutative diagram

$$\begin{array}{ccc} \Gamma^{\otimes \ell} & \xrightarrow{\Psi} & R \\ \tau_i \uparrow & \nearrow \Psi^{\circ} & \\ \Gamma & & \end{array}$$

where  $\Psi$  is surjective. Let  $\alpha, \beta \in \mathfrak{Ts}(R, S)$  with  $\alpha \circ \psi = \beta \circ \psi$  for all  $\psi \in \mathfrak{Ts}(\Gamma, R)$ . Then  $\alpha \circ \Psi \circ \tau_i = \beta \circ \Psi \circ \tau_i$  for all  $i$ , hence  $\alpha \circ \Psi = \beta \circ \Psi$ . Since  $\Psi$  is surjective it follows that  $\alpha = \beta$ , so  $\Gamma$  is a generator in  $\mathfrak{Ts}$ .  $\square$

Assume that  $p$  divides  $|G|$ , then any  $A \in \mathfrak{Ts}$  is generated by finitely many points, say,  $a_1, \dots, a_{\ell}$ . Hence there is a surjective morphism  $D_{reg}^{\otimes \ell} \rightarrow A$ , mapping  $t_i \mapsto a_i$ , where  $t_i := 1 \otimes \cdots \otimes 1 \otimes x_e \otimes 1 \otimes \cdots \otimes 1$  with  $x_e$  in the  $i^{\text{th}}$  tensor factor. Hence

**Lemma 2.15.** *If  $p$  divides  $|G|$ , then  $D_{reg}$  is an s-projective s-generator in  $\mathfrak{Ts}$ .*

The remaining results in this section are straightforward generalizations of corresponding results in the case where  $G$  is a  $p$ -group (see [10]).

**Lemma 2.16.** *Let  $p$  be a divisor of  $|G|$  and  $A \in \mathfrak{Ts}$ . Then the following hold:*

- (1) *The algebra  $A$  is s-projective if and only if  $A$  is a retract of some tensor power  $D_{reg}^{\otimes N}$ .*
- (2) *If  $A$  is s-projective or an s-generator, then  $A$  is universal.*

*Proof.* (1): Assume that  $A$  is  $s$ -projective. Then, by 2.15 there is a surjective morphism  $D_{reg}^{\otimes N} \rightarrow A$ , which splits since  $A$  is  $s$ -projective. It follows that  $A$  is a retract of  $D_{reg}^{\otimes N}$ .

(2): Clearly  $A$  is universal if it is an  $s$ -generator. If  $A$  is  $s$ -projective, it is universal, as a retract of the universal algebra  $D_{reg}^{\otimes N}$ .  $\square$

**Lemma 2.17.** *Let  $X \in \mathfrak{S}$  be a subalgebra of  $D_{reg}$  and let  $\hat{X}$  denote its normal closure in  $\text{Quot}(X)$ . Then  $\hat{X}$  is universal in  $\mathfrak{S}$ . Moreover if  $X$  is a subalgebra of minimal Krull-dimension in  $D_{reg}$ , then  $X$  and  $\hat{X}$  are basic domains.*

*Proof.* The polynomial ring  $D_{reg}$  is a universal domain of Krull-dimension  $|G| - 1$ . Let  $X \hookrightarrow D_{reg}$  be an embedding in  $\mathfrak{S}$ , then  $X$  is a universal domain. Now suppose that  $X$  has minimal Krull-dimension. If  $Y \prec X$ , then  $\text{Dim}(Y) = \text{Dim}(X)$ , but there is  $\alpha \in \mathfrak{S}(X, Y)$  with  $\alpha(X) \prec Y \prec X$ . It follows that  $\text{Dim}(\alpha(X)) = \text{Dim}(Y) = \text{Dim}(X)$ , so  $\ker(\alpha) = 0$  and  $X \prec Y$ . This shows that  $X$  is a universal minimal, hence basic, domain.

Since  $X$  is a finitely generated  $k$ -algebra, so is  $\hat{X}$  and, since  $D_{reg}$  is a normal ring,  $\hat{X} \leq D_{reg}$ . It follows that  $\hat{X}$  is universal, and basic, if  $X$  is.  $\square$

The next result describes properties of basic objects and shows that they form a single  $\approx$ -equivalence class consisting of integral domains, all of which have the same Krull-dimension:

**Proposition 2.18.** *Let  $A \in \mathfrak{S}$  be universal. Then the following are equivalent:*

- (1)  $A$  is basic;
- (2)  $A$  is a basic domain;
- (3) every  $\alpha \in \text{End}_{\mathfrak{S}}(A)$  is injective;
- (4)  $A \prec B$  for every universal  $B \in \mathfrak{S}$ ;
- (5)  $A \approx B$  for one (and therefore every) basic object  $B \in \mathfrak{S}$ ;
- (6) no proper quotient of  $A$  is universal;
- (7) no proper quotient of  $A$  is a subalgebra of  $A$ .

*Any two basic objects are  $\approx$ -equivalent domains of the same Krull-dimension  $d_k(G) \leq sm$  where  $|G| = p^s \cdot m$  with  $\gcd(p, m) = 1$ . With  $\mathfrak{B}$  we denote the  $\approx$ -equivalence class of basic objects in  $\mathfrak{S}$ .*

*Proof.* Let  $X \in \mathfrak{S}$  be a basic domain and  $\alpha \in \text{End}_{\mathfrak{S}}(X)$ . Then  $\alpha(X) \prec X$ , hence  $X \prec \alpha(X)$ , so  $\text{Dim}(X) = \text{Dim}(\alpha(X))$  and  $\alpha$  must be injective.

“(1)  $\Rightarrow$  (2)”: There is  $\beta \in \mathfrak{S}(X, A)$  and  $\gamma \in \mathfrak{S}(A, X)$ , so  $\gamma \circ \beta \in \text{End}_{\mathfrak{S}}(X)$  is injective, which implies that  $\beta$  is injective and therefore  $X \prec A$ . It follows that  $A \prec X$ , hence  $A$  is a domain.

“(2)  $\Rightarrow$  (3)”: This has already been shown above. (We didn’t use the fact that  $A$  is universal, there. So every minimal domain in  $\mathfrak{S}$  satisfies (3)).

“(3)  $\Rightarrow$  (4)”: Since  $A$  and  $B$  are universal there exist morphisms  $\alpha \in \mathfrak{S}(A, B)$  and  $\beta \in \mathfrak{S}(B, A)$  with  $\beta \circ \alpha$  injective, because  $A$  is minimal. Hence  $A \prec B$ .

“(4)  $\Rightarrow$  (5)”: This is clear.

“(5)  $\Rightarrow$  (1)”:  $B \approx A$  means that  $B \hookrightarrow A$  and  $A \hookrightarrow B$ . In that case  $A$  is universal (minimal) if and only if  $B$  is universal (minimal). Choosing  $B := X$ , it follows that  $A$  is basic.

“(3)  $\Rightarrow$  (6)”: Now assume that every  $\alpha \in \text{End}_{\mathfrak{S}}(B)$  is injective and let  $B/I$  be universal for the  $G$ -stable ideal  $I \trianglelefteq B$ . Then there is  $\gamma \in \mathfrak{S}(B/I, B)$  and the composition with the canonical map  $c : B \rightarrow B/I$  gives  $\gamma \circ c \in \text{End}_{\mathfrak{S}}(B)$ . It follows that  $I = 0$ .

“(6)  $\Rightarrow$  (1)”: Assume  $B \prec A$ . Then  $B$  is universal and since  $A$  is universal, there is  $\theta \in \mathfrak{S}(A, B)$  with  $\theta(A) \leq B$  universal. Hence  $A \cong \theta(A) \prec B$  and  $A$  is basic.

Let  $A, B \in \mathfrak{S}$  be basic, then  $\mathfrak{S}(A, B) \neq \emptyset \neq \mathfrak{S}(B, A)$  implies that  $A \prec B \prec A$ , hence  $A \approx B$  and  $\text{Dim}(A) = \text{Dim}(B) =: d_k(G) \leq sm$ , since  $\text{Ind}_H^{\times G}(U_P)$  is universal of dimension  $sm$  by Theorem 1.2. Assume that  $d_k(G) = 0$ . Then  $X$  must be a Galois-field extension  $K \geq k$  with Galois group  $G$  and  $K \hookrightarrow D_{reg}$ , which implies  $K = k$  and  $G = 1$ .

“(6)  $\Rightarrow$  (7)”: This is clear, because a quotient  $A/I$  as subalgebra of  $A$  would be universal.

“(7)  $\Rightarrow$  (1)”: We have  $X \prec A$  and there is  $\theta \in \mathfrak{S}(A, X)$  with  $\theta(A) \leq X$  universal. It follows that  $\theta(A) \prec A$ , hence  $\ker \theta = 0$  and  $\theta(A) \cong A \approx X$ , so  $A$  is basic.  $\square$

**Corollary 2.19.** *Let  $A \in \mathfrak{S}$  be a universal domain. Then  $d_k(G) \leq \text{Dim}(A)$  and the following are equivalent:*

- (1)  $A \in \mathfrak{B}$ ;
- (2)  $d_k(G) = \text{Dim}(A)$ ;

(3) If  $C \in \mathfrak{I}\mathfrak{s}$  with  $C \prec A$ , then  $\text{Dim}(C) = \text{Dim}(A)$ .

*Proof.* The first statement and “(1)  $\Rightarrow$  (2)” follow immediately from Proposition 2.18.

“(2)  $\Rightarrow$  (3)”:  $C \prec A$  implies that  $C$  is a universal domain and  $\text{Dim}(C) \leq \text{Dim}(A)$ . Hence  $\text{Dim}(A) = d_k(G) \leq \text{Dim}(C) \leq \text{Dim}(A)$ .

“(3)  $\Rightarrow$  (1)”: Suppose  $A$  is *not* minimal. Then there is  $\alpha \in \text{End}_{\mathfrak{I}\mathfrak{s}}(A)$  with  $\ker(\alpha) \neq 0$ . Hence  $A/\ker(\alpha) \cong \alpha(A) =: C \prec A$ . Clearly  $\text{Dim}(C) < \text{Dim}(A)$ .  $\square$

### 3. INDUCTION, CO-INDUCTION AND RESTRICTION

From now on  $H \leq G$  will denote a subgroup of index  $m := [G : H]$  and  $\mathcal{R} := \mathcal{R}_{H/G} \subseteq G$  will be a fixed cross-section of right  $H$ -cosets. Consider the *Frobenius-embedding*

$$\rho_{\mathcal{R}} : G \hookrightarrow \hat{G} := H \wr \Sigma_{\mathcal{R}} = H^{\mathcal{R}} \rtimes \Sigma_{\mathcal{R}}, \quad g \mapsto (\bar{g}, \dot{g}),$$

where the permutation  $\dot{g}$  and the function  $\bar{g} \in H^{\mathcal{R}}$  are defined by the equation  $rg = \bar{g}(r) \cdot r^{\dot{g}}$ . Let  $\mathcal{R}'$  be a different cross-section of right  $H$ -cosets, then  $\mathcal{R}' = \{r' := \bar{h}(r) \cdot r \mid r \in \mathcal{R}\}$  with some function  $\bar{h} \in H^{\mathcal{R}}$ . Then  $Hr'g = Hrg = Hr^{\dot{g}} = Hr'(r^{\dot{g}})$ , so  $\rho_{\mathcal{R}'}(g) = (\bar{g}, \dot{g})$  with “new” function  $\bar{g} \in H^{\mathcal{R}'}$ , but the same permutation  $\dot{g} \in \Sigma_{\mathcal{R}}$ . From the equation  $r'(r)g = \bar{h}(r)r^{\dot{g}} = \bar{h}(r)\bar{g}(r)r^{\dot{g}} = \bar{g}(r)r'^{\dot{g}} = \bar{g}(r)\bar{h}(r^{\dot{g}})r^{\dot{g}}$  we conclude that  $\bar{g}(r) = \bar{h}(r)\bar{g}(r)\bar{h}(r^{\dot{g}})^{-1}$ , hence  $\rho_{\mathcal{R}'} = (\bar{h}, \text{id}_{\mathcal{R}}) \cdot (\bar{g}, \dot{g}) \cdot (\bar{h}, \text{id}_{\mathcal{R}})^{-1}$ . This shows that  $\rho_{\mathcal{R}'} = \text{int}(\bar{h}, \text{id}_{\mathcal{R}})^{-1} \circ \rho_{\mathcal{R}}$ , where  $\text{int}(\bar{h}, \text{id}_{\mathcal{R}})$  denotes the inner automorphism of  $H \wr \Sigma_{\mathcal{R}}$  given by right conjugation with the element  $(\bar{h}, \text{id}_{\mathcal{R}}) \in H \wr \Sigma_{\mathcal{R}}$ . Let  $X$  be any group, then every group-homomorphism  $\theta : H \rightarrow X$  induces a canonical group homomorphism

$$\theta_{\mathcal{R}} : H \wr \Sigma_{\mathcal{R}} \rightarrow X \wr \Sigma_{\mathcal{R}}, \quad (\bar{h}, \sigma) \mapsto (\theta \circ \bar{h}, \sigma).$$

If  $\Omega$  is a right  $H$ -set via a homomorphism  $\omega : H \rightarrow \Sigma_{\Omega}$ , then  $\omega_{\mathcal{R}}$  induces a right  $\hat{G}$ -action on the set  $\Omega^{\mathcal{R}}$  of functions from  $\mathcal{R}$  to  $\Omega$ , given by the formula

$$\phi^{(f, \sigma)}(r) = (\phi^f)(r^{\sigma^{-1}}) = (\phi(r^{\sigma^{-1}})) \cdot f(r^{\sigma^{-1}}).$$

Note that for  $g \in G$  we then have

$$\phi^g(r) = (\phi(r^{\dot{g}^{-1}})) \cdot \bar{g}(r^{\dot{g}^{-1}})$$

with  $\bar{g}(r^{\dot{g}^{-1}}) = r^{\dot{g}^{-1}} \cdot g \cdot r^{-1} \in H$ . Let  $\Omega = V \in \text{mod} - kH$  with corresponding homomorphism  $\omega : H \rightarrow \text{GL}(V)$  and  $\omega_{\mathcal{R}} : \hat{G} \rightarrow \text{GL}(V) \wr \Sigma_{\mathcal{R}}$ . Then  $V^{\mathcal{R}} \in kG - \text{Mod}$  and the correspondence

$$(\alpha : V \rightarrow W \in kH - \text{Mod}) \mapsto (\alpha^{\mathcal{R}} : V^{\mathcal{R}} \rightarrow W^{\mathcal{R}} \in kG - \text{Mod}),$$

with  $\alpha^{\mathcal{R}}(\phi)(r) := \alpha(\phi(r))$  for all  $r \in \mathcal{R}$ , is a functor. Since  $\rho_{\mathcal{R}'} = \text{int}(\bar{h}, \text{id}_{\mathcal{R}})^{-1} \circ \rho_{\mathcal{R}}$ , we see that different choices of cross-sections may result in different, but isomorphic functors, where the isomorphism is given by conjugation with elements from the base group  $H^{\mathcal{R}} \leq H \wr \Sigma_{\mathcal{R}}$ . For simplicity we will always choose cross-sections  $\mathcal{R}$  in such a way that  $1_H = r_1 \in \mathcal{R}$ . Note that  ${}_H kG \cong \bigoplus_{r \in \mathcal{R}} kH \cdot r \cong {}_H (kH)^{\mathcal{R}} \in kH - \text{mod}$ , hence  $V^{\mathcal{R}} \cong (V \otimes_{kH} kH)^{\mathcal{R}} \cong V \otimes_{kH} (kH)^{\mathcal{R}} \cong V \otimes_{kH} kG$ , which shows that  $V^{\mathcal{R}} \cong \text{Ind}_H^{\times G}(V)$ , the well known (*Frobenius-)*induction of modules. For every  $W \in \text{mod} - kG$ , the  $H - G$ -bimodule structure on  $kG$  turns  $\text{Hom}_{kG}(kG_G, W)$  into an  $H$ -right module with  $fh(x) := f(hx)$  for  $h \in H$ ,  $f \in \text{Hom}_{kG}(kG_G, W)$  and  $x \in kG$ . The map  $f \mapsto f(1_G)$  is then an isomorphism  $\text{Hom}_{kG}(kG_G, W) \cong W|_H$  as right  $H$ -modules, and it follows from the adjointness theorem for tensor- and Hom-functors, that the induction functor  $\text{Ind}_H^{\times G}()$  is left adjoint to the restriction functor from  $\text{mod} - kG$  to  $\text{mod} - kH$ . Due to the fact that  $kG$  is a symmetric algebra (in the sense of the theory of Frobenius-algebras),  $\text{Ind}_H^{\times G}()$  is also right left adjoint to the restriction functor from  $\text{mod} - kG$ . This is the content of classical Frobenius-reciprocity and Nakayama-relations in representation theory of finite groups. Now we consider the analogue of these in the theory of  $G$ -representations in the category  $\text{ka}l\mathfrak{g}$  of commutative  $k$ -algebras.

From now on the terms “ $k$ -algebra” and “ $k - G$ -algebra” will always mean **commutative**  $k$ -algebra or  $k - G$ -algebra.

Let  $V^{\otimes \mathcal{R}} := V^{\otimes m} = V^{(1)} \otimes V^{(2)} \otimes \dots \otimes V^{(m)}$  denote the tensor product of  $m = |\mathcal{R}|$  copies of  $V$ . Then there is a canonical “tensor map” (*not* a homomorphism)

$$t : V^{\mathcal{R}} \mapsto V^{\otimes \mathcal{R}}, \quad \phi \mapsto t_{\phi} := \phi(r_1) \otimes \dots \otimes \phi(r_m),$$

and a natural action of  $\mathrm{GL}(V) \wr \Sigma_{\mathcal{R}}$  and  $\hat{G}$  on  $V^{\otimes \mathcal{R}}$ , defined by the effect on elementary tensors, following the rule  $(t_\phi)^\gamma := t_{\phi^\gamma}$  for  $\phi \in V^{\otimes \mathcal{R}}$  and  $\gamma \in \hat{G}$ . The restriction to  $G$ ,

$$\mathrm{Ind}_H^{\otimes G}(V) := V^{\otimes G} := (V^{\otimes \mathcal{R}})_{|G} \in \mathrm{mod} - kG$$

is called the *tensor-induction* of  $V$ . As with ordinary induction above, it is well known and easy to see that  $\mathrm{Ind}_H^{\otimes G}()$  defines a functor from  $\mathrm{mod} - kH$  to  $\mathrm{mod} - kG$ . Again different choices for  $\mathcal{R}$  yield isomorphic functors, twisted by conjugation with elements from  $H^{\mathcal{R}}$ . If  $V = A \in k_H \mathbf{alg}$  is a commutative  $k - H$ -algebra, then  $\mathrm{Ind}_H^{\otimes G}(A) = A^{\times \mathcal{R}}$  is a commutative  $k - G$ -algebra with ‘‘diagonal multiplication’’, such that  $\mathrm{Res}_{|G}(\mathrm{Ind}_H^{\otimes G}(A)) = \prod_{r \in \mathcal{R}} A^{(r)} \in k \mathbf{alg}$ . Similarly  $A^{\otimes G}$  is a commutative  $k - G$ -algebra with ‘‘tensor multiplication’’

$$(\otimes_{r \in \mathcal{R}} a_r) \cdot (\otimes_{r \in \mathcal{R}} a'_r) = \otimes_{r \in \mathcal{R}} a_r a'_r,$$

such that  $\mathrm{Res}_{|G}(\mathrm{Ind}_H^{\otimes G}(A)) = \prod_{r \in \mathcal{R}} A^{(r)}$ , and both are functors from  $k_H \mathbf{alg}$  to  $k_G \mathbf{alg}$ . For every  $a \in A$  let  $\hat{a} \in A^{\otimes \mathcal{R}}$  denote the function with  $\hat{a}(1_H) = a$  and  $\hat{a}(r) = 1_A$  for every  $r \neq 1_H$ , hence  $t_{\hat{a}} = a \otimes 1 \otimes \cdots \otimes 1$ .

Then  $\hat{a}^g(r) = \begin{cases} a \cdot g \cdot r^{-1} & \text{if } rg^{-1} \in H \\ 1_A & \text{otherwise} \end{cases}$ , so  $(t_{\hat{a}})^g = 1 \otimes \cdots \otimes a \cdot g(r_1^g)^{-1} \otimes 1 \otimes \cdots \otimes 1 \in A^{\otimes \mathcal{R}}$ , with non-trivial entry in position  $r_1^g$ . For every  $\phi \in A^{\mathcal{R}}$  we have

$$t_\phi = \phi(1) \otimes \phi(r_2) \otimes \cdots \otimes \phi(r_m) = t_{\widehat{\phi(1_H)}} \cdot (t_{\widehat{\phi(r_2)}}) r_2 \cdots (t_{\widehat{\phi(r_m)}}) r_m$$

and we see that  $A^{\otimes \mathcal{R}} = A[(t_{\hat{a}})_r \mid r \in \mathcal{R}]$ .

**Lemma 3.1.** *Suppose  $A$  is a  $k - H$ -algebra,  $B$  a  $k - G$ -algebra and  $\beta$  and  $\gamma$  are  $G$ -equivariant algebra homomorphisms from  $A^{\otimes \mathcal{R}}$  to  $B$ . Then  $\beta = \gamma$  if and only if  $\beta(t_{\hat{a}}) = \gamma(t_{\hat{a}})$  for all  $a \in A$ .*

*Proof.* ‘‘Only if’’ is clear, so assume  $\beta(t_{\hat{a}}) = \gamma(t_{\hat{a}})$  for all  $a \in A$ . Then we have for every  $\phi \in A^{\mathcal{R}}$ :

$$\beta(t_\phi) = \prod_{i=1}^m \beta[(t_{\widehat{\phi(r_i)}}) r_i] = \prod_{i=1}^m [\beta(t_{\widehat{\phi(r_i)}})] r_i = \prod_{i=1}^m [\gamma(t_{\widehat{\phi(r_i)}})] r_i = \gamma(t_\phi),$$

hence  $\beta = \gamma$ . □

As mentioned above, the next result provides an analogue of Frobenius-reciprocity and Nakayama-relations in representation theory of finite groups. Notice that, unlike in the category of modules over group algebras, the restriction functor now has different left and right adjoints:

**Theorem 3.2.** *Let  $A$  be a  $k - H$ -algebra and  $B$  a  $k - G$ -algebra. Let  $\iota_1 : A \hookrightarrow A^{\otimes \mathcal{R}}$  be the embedding  $a \mapsto t_{\hat{a}}$ . Then the following hold*

- (1) *The map  $\chi : k_H \mathbf{alg}(A, B_{\downarrow H}) \rightarrow k_G \mathbf{alg}(\mathrm{Ind}_H^{\otimes G}(A), B)$ ,  $\alpha \mapsto \otimes_{r \in \mathcal{R}} \alpha() \cdot r$  is a bijection with inverse given by  $\iota_1^* : \beta \mapsto \beta \circ \iota_1$ .*
- (2) *The map  $\psi : k_H \mathbf{alg}(B_{\downarrow H}, A) \rightarrow k_G \mathbf{alg}(B, \mathrm{Ind}_H^{\times G}(A))$ ,  $\alpha \mapsto \times_{r \in \mathcal{R}} \alpha() \cdot r^{-1}$  is a bijection with inverse given by  $\pi_{1*} : \beta \mapsto (b \mapsto \beta(b)(1_H))$ .*
- (3) *The tensor induction functor  $\mathrm{Ind}_H^{\otimes G} : k_H \mathbf{alg} \rightarrow k_G \mathbf{alg}$  is left-adjoint and the Frobenius induction functor  $\mathrm{Ind}_H^{\times G} : k_H \mathbf{alg} \rightarrow k_G \mathbf{alg}$  is a right-adjoint to the restriction functor  $\mathrm{res}_{\downarrow H}^G : k_G \mathbf{alg} \rightarrow k_H \mathbf{alg}$ .*

*Proof.* (1): Let  $\alpha \in k_H \mathbf{alg}(A, B_{\downarrow H})$ . For every  $r \in \mathcal{R}$  the map  $\alpha() \cdot r$  is a  $k$ -algebra homomorphism from  $A$  to  $B$ . Since  $A^{\otimes \mathcal{R}} = \prod_{r \in \mathcal{R}} A^{(r)}$  is the coproduct in  $k \mathbf{alg}$ , it follows that  $\chi(\alpha) \in k \mathbf{alg}(A^{\otimes \mathcal{R}}, B)$ . We now show that  $\chi$  maps  $H$ -morphisms to  $G$ -morphisms:

For every  $\phi \in A^{\mathcal{R}}$  and  $g \in G$  we have

$$\begin{aligned} [\otimes_{r \in \mathcal{R}} \alpha(t_\phi) \cdot r] g &= [\prod_{r \in \mathcal{R}} \alpha(\phi(r)) \cdot r] g = \prod_{r \in \mathcal{R}} [\alpha(\phi(r)) \cdot r g] = \prod_{r \in \mathcal{R}} [\alpha(\phi(r^{\hat{g}^{-1}})) \cdot r^{\hat{g}^{-1}} g] = \\ &= \prod_{r \in \mathcal{R}} [\alpha(\phi(r^{\hat{g}^{-1}})) \cdot r^{\hat{g}^{-1}} g r^{-1}] r =_{(\text{since } r^{\hat{g}^{-1}} g r^{-1} \in H)} \prod_{r \in \mathcal{R}} [\alpha(\phi(r^{\hat{g}^{-1}})) \cdot r^{\hat{g}^{-1}} g r^{-1}] \cdot r = \end{aligned}$$

$\prod_{r \in \mathcal{R}} \alpha(\phi^g(r)) \cdot r = \otimes_{r \in \mathcal{R}} \alpha(t_{\phi^g}) \cdot r$ . This shows that  $\chi(\alpha)((t_\phi)g) = [\chi(\alpha)(t_\phi)]g$ , hence  $\chi(\alpha)$  is  $G$ -equivariant. Clearly  $\iota_1^*$  maps  $G$ -morphisms to  $H$ -morphisms and  $\iota_1^* \circ \chi(\alpha) = \alpha$  for every  $\alpha$ . For  $\beta \in k_G \mathbf{alg}(\mathrm{Ind}_H^{\otimes G}(A), B)$  we have  $\chi \circ \iota_1^*(\beta)(t_{\hat{a}}) =$

$$\beta \circ \iota_1(a) \cdot 1 \otimes \beta \circ \iota_1(1_A) \cdot r_2 \otimes \cdots \otimes \beta \circ \iota_1(1_A) \cdot r_m = \beta \circ \iota_1(a) \otimes 1_A \otimes \cdots \otimes 1_A = \beta(t_{\hat{a}}).$$

Hence  $\chi \circ \iota_1^*(\beta) = \beta$  by Lemma 3.1.

(2): This follows from the “usual” adjointness of the functor pair  $(\text{res}_{\downarrow H}^G, \text{Ind}_H^{\times G})$  in representation theory, together with the description of the product in  $k\mathbf{alg}$ . It is straightforward to confirm that the given maps are well-defined and mutually inverse algebra morphisms.

(3): This follows immediately from (1) and (2).  $\square$

**Remark 3.3.** (1) *Theorem 3.2 has an analogue in the theory of permutation sets. Here the functor  $\Omega \mapsto \Omega^{\mathcal{R}}$  from  $H$ -sets to  $G$ -sets is the analogue of “tensor-induction”, however, it turns out to be a right adjoint of the restriction functor, whereas a left adjoint is given by the functor which maps  $\Omega \mapsto \Omega \times G/H$ , the  $G$ -set of  $H$ -orbits on the cartesian product  $\Omega \times G$  with  $H \times G$ -action  $(\omega \times g)(h, g') := \omega \cdot h \times h^{-1}gg'$ .*

(2) *Let  $L := \text{Ind}_H^{\otimes G}$  and  $F := \text{res}_{\downarrow H}^G$  and consider the unit and co-unit  $u := u^{(L,F)}$ ,  $c := c^{(L,F)}$  as in Theorem 6.2. Then*

$$u_A : A \rightarrow A \otimes 1 \otimes \cdots \otimes 1 \leq \text{res}_{\downarrow H}^G(A^{\otimes \mathcal{R}}) \in k_H\mathbf{alg}, \quad a \mapsto t_a$$

is the canonical embedding and

$$c_B : (B|_H)^{\otimes \mathcal{R}} \rightarrow B, \quad \text{maps } b^{(1)} \otimes b^{(r_2)} \otimes \cdots \otimes b^{(r_m)} \mapsto \prod_{r \in \mathcal{R}} b^{(r)} \cdot r.$$

If  $L = \text{res}_{\downarrow H}^G$  and  $F = \text{Ind}_H^{\times G}$ , then  $u_B : B \rightarrow (B|_H)^{\times \mathcal{R}}$  maps  $b \mapsto (b, br_2^{-1}, \dots, br_m^{-1})$ , whereas  $c_A : (A^{\times \mathcal{R}})|_H \rightarrow A$  maps  $\bar{a} \mapsto \bar{a}(1_H)$ .

(3) *It is well known that right adjoint functors are strongly left continuous (i.e. they respect limits) and  $L$  is strongly right continuous (i.e. it respects colimits). Hence  $\text{Ind}_H^{\times G}$  respects limits (e.g. products, kernels, monomorphisms and injective maps) and  $\text{Ind}_H^{\otimes G}$  respects colimits (e.g. coproducts, cokernels, epimorphisms and surjective maps).*

Consider the unit  $u := u^{(\text{Ind}_H^{\otimes G}, \text{res}_{\downarrow H}^G)} : A \hookrightarrow \text{res}_{\downarrow H}^G(\text{Ind}_H^{\otimes G}(A))$  and the co-unit  $c' := c^{(\text{res}_{\downarrow H}^G, \text{Ind}_H^{\times G})} : (A^{\times \mathcal{R}})|_H \rightarrow A$ . The “multiplication map”  $\mu : \text{res}_{\downarrow H}^G(\text{Ind}_H^{\otimes G}(A)) \rightarrow A$ ,  $\mu(t_f) \mapsto \prod_{r \in \mathcal{R}} f(r)$  and the “constant map”  $\text{const} : A \hookrightarrow \text{res}_{\downarrow H}^G(\text{Ind}_H^{\times G}(A))$ ,  $a \mapsto (a, a, \dots, a)$  satisfy  $\mu \circ u = \text{id}_A = c' \circ \text{const}$ , hence they respectively split  $u$  and  $c'$  in  $k\mathbf{alg}$ , but not necessarily in  $k_H\mathbf{alg}$ .

**Lemma 3.4.** *Assume that  $\mathcal{R} \subseteq G$  is normalized by  $H$  (e.g.  $\mathcal{R} \trianglelefteq G$  is a normal subgroup with complement  $H$ ). Then the maps  $\mu$  and  $\text{const}$  are  $H$ -equivariant and therefore they split the unit  $u$  and the co-unit  $c'$  in  $k_H\mathbf{alg}$ , respectively.*

*Proof.* The hypothesis implies  $rh = \bar{h}(r)r^h = hh^{-1}rh = hr^h$ , hence  $r^h = r^h$  and  $\bar{h}(r) = h$  for all  $r \in \mathcal{R}$ . Therefore  $\mu(t_f h) = \prod_{r \in \mathcal{R}} (f^h)(r) = \prod_{r \in \mathcal{R}} (f(r^h)) \cdot \bar{h}(r) = \prod_{r \in \mathcal{R}} (f(r^h)) \cdot h = \mu(t_f) \cdot h$ . Similarly  $[(\text{const}(a)) \cdot h](r) = [(\text{const}(a))](r^h) \cdot \bar{h}(r) = \text{const}(ah)(r)$ . Hence  $\mu$  and  $\text{const}$  are in  $k_H\mathbf{alg}$ . The rest follows from the previous remarks.  $\square$

In general we have the following result about the splitting behaviour of  $u$  and  $c'$ :

**Corollary 3.5.** *Let  $A \in k_H\mathbf{alg}$ , then the following are equivalent:*

- (1)  *$A$  is a retract of  $\text{res}_{\downarrow H}^G(B)$  for some  $B \in k_G\mathbf{alg}$ ;*
- (2)  *$A$  is a retract of  $\text{res}_{\downarrow H}^G(\text{Ind}_H^{\otimes G}(A))$ ;*
- (3) *the unit  $u_A^{(\text{Ind}_H^{\otimes G}, \text{res}_{\downarrow H}^G)}$  splits in  $k_H\mathbf{alg}$ ;*
- (4)  *$A$  is a retract of  $\text{res}_{\downarrow H}^G(\text{Ind}_H^{\times G}(A))$ ;*
- (5) *the counit  $c_A^{(\text{res}_{\downarrow H}^G, \text{Ind}_H^{\times G})}$  splits in  $k_H\mathbf{alg}$ .*

*Proof.* This follows immediately from Proposition 6.5 of Appendix 6  $\square$

#### 4. FROBENIUS RECIPROCITY IN $\mathfrak{I}S_G$

Let  $X \leq Y \leq G$  be subgroups and  $W \in kY\text{-mod}$ , then  $W^X$  denotes the subspace of  $X$ -fixed points and we denote with  $t_X^Y$  the relative trace map

$$t_X^Y : W^X \rightarrow W^Y, \quad w \mapsto \sum_{g \in \mathcal{R}_{X \setminus Y}} wg \in W^Y,$$

where  $\mathcal{R}_{X \setminus Y}$  denotes a cross-section of right  $X$ -cosets in  $Y$ , satisfying  $Y = \cup_{g \in \mathcal{R}_{X \setminus Y}} Xg$ . It is easy to see that  $t_X^Y$  is a linear transformation, which is independent of the choice of the cross-section  $\mathcal{R}_{X \setminus Y}$ . Moreover,  $\text{tr}_Y(w) = t_1^Y(w) = t_X^Y \circ t_1^X(w)$  and since  $Y = X \cdot \mathcal{R}_{X \setminus Y} = Y^{-1} = \mathcal{R}_{X \setminus Y}^{-1} \cdot X$  we have

$$\text{tr}_Y(w) = \sum_{y \in \mathcal{R}_{X \setminus Y}} \sum_{x \in X} wxy = \sum_{x \in X} \sum_{y \in \mathcal{R}_{X \setminus Y}} wy^{-1}x = \text{tr}_X(w')$$

with  $w' := \sum_{y \in \mathcal{R}_{X \setminus Y}} wy^{-1}$ .

**Lemma 4.1.** *Assume  $m := [G : H]$  is coprime to  $p = \text{char}(k)$  and let  $A \in k_G \mathbf{alg}$ . Then  $A \in \mathfrak{Ts}_G \iff \text{res}_{\downarrow H}^G(A) \in \mathfrak{Ts}_H$ .*

*Proof.* If  $a \in A|_H$  is a point, then  $t_1^G(\frac{a}{m}) = t_H^G(t_1^H(\frac{a}{m})) = t_H^G(\frac{1}{m}) = 1$ , so  $\frac{a}{m}$  is a  $G$ -point in  $A$ . If  $a' \in A$  is a  $G$ -point, then  $1 = t_1^G(a') = t_1^H(a')$  with  $a'' = \sum_{g \in \mathcal{R}_{H \setminus G}} a'g^{-1}$ , hence  $a'' \in A$  is an  $H$ -point.  $\square$

**Proposition 4.2.** *Assume that  $p$  does not divide  $m = [G : H]$ , then the following hold:*

- (1) *If  $A \in \mathfrak{Ts}_H$ , then  $\text{Ind}_H^{\otimes G}(A)$  and  $\text{Ind}_H^{\times G}(A) \in \mathfrak{Ts}_G$  and if  $B \in \mathfrak{Ts}_G$ , then  $\text{res}_{\downarrow H}^G(B) \in \mathfrak{Ts}_H$ . In particular restriction to  $\mathfrak{Ts}_H$  and  $\mathfrak{Ts}_G$  induces the adjoint pairs of functors*

$$(\text{Ind}_H^{\otimes G}|_{\mathfrak{Ts}_H}, \text{res}_{\downarrow H}^G|_{\mathfrak{Ts}_G}) \text{ and } (\text{res}_{\downarrow H}^G|_{\mathfrak{Ts}_G}, \text{Ind}_H^{\times G}|_{\mathfrak{Ts}_H}).$$

- (2) *If  $A \in k_H \mathbf{alg}$ , then  $A \in \mathfrak{Ts}_H \iff \text{Ind}_H^{\times G}(A) \in \mathfrak{Ts}_G$ .*

*Proof.* (1): Let  $a \in A \in \mathfrak{Ts}_H$  and set  $\hat{a} := (a, 1, \dots, 1) \in A^{\mathcal{R}}$ . Then  $\text{tr}_G(\hat{a}) = \text{tr}_H^G(\text{tr}_H(\hat{a})) = \text{tr}_H^G(\widehat{\text{tr}_H(a)})$  and  $\text{tr}_G(\hat{a}) = \text{tr}_H^G(\text{tr}_H(\hat{a})) = \text{tr}_H^G(\widehat{t_{\text{tr}_H(a)}})$ . It follows that if  $a$  is an  $H$ -point in  $A$ , then  $1/m \cdot \hat{a}$  is a ( $G$ -) point in  $\text{Ind}_H^{\times G}(A)$  and  $1/m \cdot \hat{a}$  is a ( $G$ -) point in  $\text{Ind}_H^{\otimes G}(A)$ . The rest of the statement follows from Lemma 4.1 and Theorem 3.2.

(2): It suffices to show “ $\Leftarrow$ ”. Let  $A \in k_H \mathbf{alg}$  with  $\text{Ind}_H^{\times G}(A) \in \mathfrak{Ts}_G$ ; the counit  $c : \text{res}_{\downarrow H}^G(\text{Ind}_H^{\times G}(A)) = (A^{\times \mathcal{R}})|_H \rightarrow A$ , mapping  $\bar{f} \mapsto \bar{f}(1_H)$  is  $H$ -equivariant (and surjective), so its maps  $H$ -points to  $H$ -points. By Lemma 4.1,  $\text{res}_{\downarrow H}^G(\text{Ind}_H^{\times G}(A))$  has  $H$ -points, hence so does  $A$  and therefore  $A \in \mathfrak{Ts}_H$ .  $\square$

**Proposition 4.3.** *Assume that  $p$  does not divide  $m = [G : H]$ . If  $A \in \mathfrak{Ts}_H$  is (a)  $s$ -projective, (b) an  $s$ -generator, (c) universal or (d) cyclic, then so is  $\text{Ind}_H^{\otimes G}(A) \in \mathfrak{Ts}_G$ .*

*Proof.* (a): This follows from Proposition 6.7 (1).

(b): Suppose that  $A \in \mathfrak{Ts}_H$  is an  $s$ -generator and  $B \in \mathfrak{Ts}_G$ . Then there is a surjective morphisms  $\beta : A^{\otimes N} = \prod_{i=1}^N A \rightarrow \text{res}_{\downarrow H}^G(B)$ . Hence  $\text{Ind}_H^{\otimes G}(\beta) : \text{Ind}_H^{\otimes G}(A^{\otimes N}) \cong \text{Ind}_H^{\otimes G}(A)^{\otimes N} \rightarrow \text{Ind}_H^{\otimes G}(\text{res}_{\downarrow H}^G(B))$  is surjective by Remark 3.3 (2). Since the counit  $c_B : \text{Ind}_H^{\otimes G}(\text{res}_{\downarrow H}^G(B)) \rightarrow B$  of Remark 3.3 (3) is surjective, it follows that  $\text{Ind}_H^{\otimes G}(A)$  is an  $s$ -generator.

(c): Suppose that  $A \in \mathfrak{Ts}_H$  is universal and  $B \in \mathfrak{Ts}_G$ . Then there exists  $\alpha \in \mathfrak{Ts}_H(A, \text{res}_{\downarrow H}^G(B))$ , hence  $\chi(\alpha) \in \mathfrak{Ts}_G(\text{Ind}_H^{\otimes G}(A), B)$ , so  $\text{Ind}_H^{\otimes G}(A)$  is universal in  $\mathfrak{Ts}_G$ .

(d): If  $A \in \mathfrak{Ts}_H$  is cyclic, then  $A = k[(a)h \mid h \in H]$  for some point  $a \in A$ . It follows by construction that  $\text{Ind}_H^{\otimes G}(A) = k[t_a h r \mid h \in H, r \in \mathcal{R}] = k[t_a g \mid g \in G]$ , hence  $\text{Ind}_H^{\otimes G}(A)$  is cyclic.  $\square$

**Lemma 4.4.** *Let  $B \in \mathfrak{Ts}_G^s$ . If  $B$  is  $s$ -projective or an  $s$ -generator, then  $B$  is universal.*

*Proof.* Since  $B$  is generated by a finite set of points, there is a surjective morphism  $D_{\text{reg}}(G)^{\otimes N} \rightarrow B$ . If  $B$  is  $s$ -projective, this map splits and  $B$  is a retract of the universal algebra  $D_{\text{reg}}(G)^{\otimes N}$ . Hence  $B$  is universal. Clearly if  $B$  is an  $s$ -generator, then  $B$  is universal.  $\square$

**Corollary 4.5.**  *$B \in \mathfrak{Ts}_G^s$  is  $s$ -projective if and only if  $B$  is a retract of some  $D_{\text{reg}}(G)^{\otimes N}$ .*

**Lemma 4.6.** *Let  $B \in \mathfrak{Ts}_G$  and  $A \in \mathfrak{Ts}_H$  with  $m = [G : H]$  coprime to  $p = \text{char}(k)$ . Then the following hold:*

- (1)  *$B$  is standard  $\iff B$  is cyclic and  $s$ -projective.*  
(2)  *$A$  is standard  $\implies \text{Ind}_H^{\otimes G}(A)$  is standard.*

*Proof.* (1): “ $\implies$ ” is clear. “ $\Leftarrow$ ”: Let  $B$  be cyclic and  $s$ -projective. Then  $B = k[bg \mid g \in G]$  with point  $b \in B$ . Hence there is a surjective morphism  $D_{\text{reg}}(G) \rightarrow B$ , which splits since  $B$  is  $s$ -projective. Therefore  $B$  is standard.

(2): “ $\implies$ ”: If  $A$  is standard, then  $A$  is cyclic and  $s$ -projective, hence so is  $\text{Ind}_H^{\otimes G}(A)$  by Proposition 4.3. By (1),  $\text{Ind}_H^{\otimes G}(A)$  is standard.  $\square$



Let  $V \in kG\text{-mod}$  and assume that  $p \nmid [G : H]$ . Then  $V$  is a direct summand of  $\text{Ind}_H^{\times G} \text{res}_{\downarrow H}^G(V)$ . Since induced modules of projective  $H$ -modules are projective  $G$ -modules, it follows that  $V$  is projective in  $kG\text{-mod}$  if and only if  $\text{res}_{\downarrow H}^G(V)$  is projective in  $kH\text{-mod}$ . The categorical ‘‘reason’’ for this phenomenon is the fact that the map

$$V \rightarrow \text{Ind}_H^{\times G} \text{res}_{\downarrow H}^G(V) = V|_H \otimes_{kH} kG, \quad v \mapsto \frac{1}{m} \sum_{r \in \mathcal{R}} vr^{-1} \otimes_{kH} r \in \text{Mod} - kG$$

is a right inverse to the counit map  $c^{\text{Ind}_H^{\times G}, \text{res}_{\downarrow H}^G} : \text{Ind}_H^{\times G} \text{res}_{\downarrow H}^G(V) \rightarrow V$ ,  $v \otimes_{kH} r \mapsto vr$ . This motivates the following

**Definition 4.7.** An algebra  $B \in kG\text{alg}$  or  $\mathfrak{S}\mathfrak{s}_G$  will be called  $H$ -split, if the co-unit  $c_B^{\text{Ind}_H^{\otimes G}, \text{res}_{\downarrow H}^G} : \text{Ind}_H^{\otimes G}(\text{res}_{\downarrow H}^G(B)) \rightarrow B$  has a right inverse.

**Proposition 4.8.** Let  $V \in \text{mod} - kG$ ,  $v \in V^G$  and assume that  $p = \text{char}(k) \nmid m = [G : H]$ . Consider the algebras  $S(V) := \text{Sym}(V)$  and  $\overline{S(V)}_v := S(V)/(v-1) \in kG\text{alg}$ . Then  $S(V)$  and  $\overline{S(V)}_v$  are  $H$ -split.

*Proof.* It follows from the definition of module induction and the universal property of  $\text{Sym}(V)$  that  $\text{Ind}_H^{\otimes G}(S(V)) = S(\text{Ind}_H^{\times G}(V))$ . It is well known and can easily be seen that  $V$  is a direct summand of  $\text{Ind}_H^{\times G}(\text{res}_{\downarrow H}^G(V))$  by the maps

$$\theta : V \rightarrow \text{Ind}_H^{\times G}(\text{res}_{\downarrow H}^G(V)), \quad w \mapsto \frac{1}{m} \sum_{r \in \mathcal{R}} vr^{-1} \otimes r, \text{ and}$$

$\mu : \text{Ind}_H^{\times G}(\text{res}_{\downarrow H}^G(V)) \rightarrow V$ ,  $v \otimes r \mapsto vr$ . Let  $S(\theta) : S(V) \rightarrow S(\text{Ind}_H^{\times G}(\text{res}_{\downarrow H}^G(V))) \cong \text{Ind}_H^{\otimes G}(S(\text{res}_{\downarrow H}^G(V)))$  be the induced map in  $kG\text{alg}$  and  $c_{S(V)} : \text{Ind}_H^{\otimes G} S(V) \rightarrow S(V)$  the counit. Then for every  $w \in V$ ,  $S(\theta)(w) = \frac{1}{m} \sum_{r \in \mathcal{R}} t_{\overline{wr^{-1}}} \cdot r$ , hence  $c_{S(V)} \circ S(\theta)(w) = \frac{1}{m} \sum_{r \in \mathcal{R}} c_{S(V)}(t_{\overline{wr^{-1}}} \cdot r) = \frac{1}{m} \sum_{r \in \mathcal{R}} c_{S(V)}(t_{\overline{wr^{-1}}}) \cdot r = \frac{1}{m} \sum_{r \in \mathcal{R}} (wr^{-1}) \cdot r = w$ . Hence  $c_{S(V)} \circ S(\theta) = \text{id}_{S(V)}$ . Now let  $\text{can} : S(V) \rightarrow \overline{S(V)}_v$ ,  $x \mapsto \bar{x}$  be the canonical map, sending  $v \mapsto 1 \in \overline{S(V)}_v$ . Then we obtain the commutative diagram:

$$\begin{array}{ccc} \text{Ind}_H^{\otimes G}(S(V)) & \xrightarrow{\text{can}^{\otimes}} & \text{Ind}_H^{\otimes G}(\overline{S(V)}_v) \\ \uparrow S(\theta) & \downarrow c_{S(V)} & \uparrow \overline{S(\theta)} \\ S(V) & \xrightarrow{\text{can}} & \overline{S(V)}_v \\ & & \downarrow c_{\overline{S(V)}_v} \end{array}$$

Indeed, as before we see  $\text{can}^{\otimes} \circ S(\theta)(v) = \frac{1}{m} \sum_{r \in \mathcal{R}} \text{can}^{\otimes}(t_{\overline{vr^{-1}}} \cdot r) = \frac{1}{m} \sum_{r \in \mathcal{R}} \text{can}^{\otimes}(t_{\overline{v}}) \cdot r = \frac{1}{m} \sum_{r \in \mathcal{R}} t_{\overline{v}} \cdot r = \frac{1}{m} \sum_{r \in \mathcal{R}} 1 \cdot r = 1$ . This shows the existence of  $\overline{S(\theta)} \in kG\text{alg}$ . Clearly  $\overline{S(\theta)}$  is a right inverse of  $c_{\overline{S(V)}_v}$ .  $\square$

The first part of the next result generalizes Lemma 2.13:

**Theorem 4.9.** Let  $p = \text{char}(k)$ ,  $1 \neq H \in \text{Syl}_p(G)$  and  $V \in \text{mod} - kG$ . Then the following hold:

- (1) Let  $v \in V^G$  with  $v^{p^N} = \text{tr}_G(f)$  or  $v^{p^N} = \text{tr}_H(f)$  for some  $f \in S(V)$  and  $N \in \mathbb{N}$ . Then  $S(V)/(v-1) \in \mathfrak{S}\mathfrak{s}_G$  is  $s$ -projective.
- (2) If  $B \in \mathfrak{S}\mathfrak{s}_G$ , then  $B \otimes (S(V)/(v-1)) \cong B \otimes S(V/kv)$  as  $B$ -algebras.
- (3) If  $B \in \mathfrak{S}\mathfrak{s}_G$  is  $s$ -projective in  $\mathfrak{S}\mathfrak{s}_G$ , then so are  $B \otimes S(V)$  and  $B \otimes (S(V)/(v-1))$ .

*Proof.* (1): Let  $S := S(V)/(v-1)$ . If  $v^{p^N} = \text{tr}_G(f)$ , then  $v^{p^N} = \text{tr}_H(f')$  for a suitable  $f' \in S(V)$ , so we can assume  $v^{p^N} = \text{tr}_H(f)$  with  $v \in V^G$ . It follows from [9] Theorem 2.8 that  $\text{res}_{\downarrow H}^G(S)$  is  $s$ -projective in  $\mathfrak{S}\mathfrak{s}_H$ . Therefore  $S \in \mathfrak{S}\mathfrak{s}_G$  by Lemma 4.1 and  $S$  is  $s$ -projective in  $\mathfrak{S}\mathfrak{s}_G$  by Proposition 6.7, because  $S$  is  $H$ -split by Proposition 4.8. Note that  $\text{res}_{\downarrow H}^G$  clearly respects surjective maps.

(2): It follows from Bass’ theorem that  $B$  is an injective  $kG$ -module. Hence the embedding  $kv \hookrightarrow B$ ,  $\lambda v \mapsto \lambda \cdot 1_B$  extends firstly to  $\phi \in \text{Hom}_{kG}(V, B)$  and then to a map in  $\text{Hom}_{kG}(V, B \otimes S(V))$ , sending  $u \in V$  to  $u - \phi(u)$ , hence  $v$  to  $v - 1$ . The induced algebra-morphisms  $S(V/kv) \rightarrow B \otimes S(V)/(v-1)$  and  $B \hookrightarrow B \otimes S(V)/(v-1)$  induce a coproduct morphism  $\tilde{\phi} : B \otimes S(V/kv) \rightarrow B \otimes S(V)/(v-1)$ , which is surjective in  $\mathfrak{S}\mathfrak{s}_G$  with  $\tilde{\phi}|_B = \text{id}_B$ . Since  $S(V/kv) \cong k[X_1, \dots, X_\ell] \cong S(V)/(v-1)$  with  $\ell = \dim_k(V) - 1$ , the algebras  $B \otimes S(V)/(v-1)$  and  $B \otimes S(V/kv)$  are isomorphic and there is a morphism of  $B$ -algebras,  $\psi : B \otimes S(V)/(v-1) \rightarrow B \otimes S(V/kv)$  with  $\tilde{\phi} \circ \psi = \text{id}_{B \otimes S(V)/(v-1)}$ . Hence

$B \otimes S(V/kv) \cong X \oplus I$  with ideal  $I = \ker(\tilde{\phi})$  and unital subring  $X \cong B \otimes S(V)/(v-1) \cong B \otimes S(V/kv)$ . It follows that  $I = 0$ , since the noetherian ring  $B \otimes S(V/kv)$  cannot be isomorphic to a proper quotient. We conclude that  $\tilde{\phi}$  is an isomorphism.

(3): Let  $\alpha : A \rightarrow A' \in \mathfrak{Ts}_G$  and  $\beta : B \otimes S(V) \rightarrow A'$  be morphisms in  $\mathfrak{Ts}$  with  $\alpha$  surjective. Since  $B \otimes V$  is projective in  $Mod - kG$ , there exists  $\chi \in \text{Hom}_{kG}(B \otimes V, A)$  with  $\alpha \circ \chi = \beta|_{B \otimes V}$ ; since  $B$  is  $s$ -projective there is  $\theta \in \mathfrak{Ts}_G(B, A)$  with  $\alpha \theta = \beta|_B$ . Let  $\mathcal{V} := \{v_1, \dots, v_\ell\}$  be a  $k$ -basis of  $V$ , then we define the  $k$ -algebra morphism

$$\tilde{\chi} : B \otimes S(V) \rightarrow A, \quad \sum_{\mu \in \mathbb{N}_0^\ell} b_\mu v^\mu \mapsto \sum_{\mu \in \mathbb{N}_0^\ell} \theta(b_\mu) \chi(1_B \otimes v_1)^{\mu_1} \cdots \chi(1_B \otimes v_\ell)^{\mu_\ell}.$$

Since  $\alpha \circ \tilde{\chi}(1_B \otimes v_i) = \alpha \circ \chi(1_B \otimes v_i) = \beta(1_B \otimes v_i)$  and  $\alpha \circ \tilde{\chi}|_B = \alpha \circ \theta = \beta|_B$  it follows that  $\alpha \circ \tilde{\chi} = \beta$ . Since  $\tilde{\chi}((1_B \otimes v_i)g) = \chi((1_B \otimes v_i)g) = \chi(1_B \otimes v_i)g = \tilde{\chi}(1_B \otimes v_i)g$  for all  $i = 1, \dots, \ell$  and  $\tilde{\chi}(bg) = \theta(bg) = \theta(b)g = \tilde{\chi}(b)g$ , we conclude that  $\tilde{\chi} \in \mathfrak{Ts}_G(B \otimes S(V))$ . This shows that  $B \otimes S(V)$  is  $s$ -projective. For  $B \otimes (S(V)/(v-1))$  the claim follows from (2).  $\square$

**Corollary 4.10.** *Let  $p = \text{char}(k) \nmid [G : H]$  and  $B \in \mathfrak{Ts}_G$  be an  $H$ -split algebra. Then  $B$  is  $s$ -projective in  $\mathfrak{Ts}_G$  if and only if  $\text{res}_{\downarrow H}^G(B)$  is  $s$ -projective in  $\mathfrak{Ts}_H$ .*

*Proof.* Assume that  $B \in \mathfrak{Ts}_G$  is  $H$ -split. Then by Proposition 6.7  $B$  is  $s$ -projective, if  $\text{res}_{\downarrow H}^G(B) \in \mathfrak{Ts}_H$  is. If  $B$  is  $s$ -projective, then  $B$  is a retract of  $D_{\text{reg}}(G)^{\otimes N}$ . Since  $D_{\text{reg}}(G) = \overline{S(V_{\text{reg}})}$  with  $V_{\text{reg}} := kG_kG$  and suitable  $v \in V^G$ , it follows from Theorem 4.9 (1) that  $\text{res}_{\downarrow H}^G(D_{\text{reg}}(G)) = \overline{S(V_{\text{reg}}|_H)}$  is  $s$ -projective in  $\mathfrak{Ts}_H$ .  $\square$

## 5. A VERSION OF MASCHKE'S THEOREM

**Lemma 5.1.** *Let  $k$  be an arbitrary field and let  $A, B$  be connected  $\mathbb{N}_0$ -graded  $k$  algebras, generated in degree one (i.e.  $A = k[A_1]$  and  $B = k[B_1]$ ). Let  $\phi : A \rightarrow B$  be a (not necessarily graded) homomorphism of  $k$ -algebras. Define  $\phi_i := \pi_i \circ \phi$ , where  $\pi_i : B \mapsto B_i$  is the projection onto the homogeneous component of degree  $i$ . Then*

$$\phi(A) \cap B_1 \subseteq \phi_1(A) \subseteq \phi_1(A_1).$$

*In particular, if  $\phi$  is surjective, then  $B_1 = \phi_1(A_1)$ .*

*Proof.* Let  $\{x_1, x_2, \dots\} \subseteq A_1$  be a  $k$ -basis of  $A_1$ , then  $A = k[x_1, x_2, \dots]$  and every  $a \in A$  is a  $k$ -linear combination of monomials  $x^\alpha := \prod_{i=1}^N x_i^{\alpha_i}$  with  $N \in \mathbb{N}$  and  $\alpha \in \mathbb{N}_0^N$ . Since  $\phi(x_i) = \sum_{j \in \mathbb{N}_0} \phi_j(x_i)$ , we have  $\phi(x^\alpha) = \prod_{i=1}^N (\sum_{j \in \mathbb{N}_0} \phi_j(x_i))^{\alpha_i}$ . Since  $B_{\geq 2} \in \ker(\pi_1)$ :

$$\begin{aligned} \pi_1 \phi(x^\alpha) &= \pi_1 \left[ \prod_{i=1}^N (\phi_0(x_i))^{\alpha_i} + \alpha_i \phi_0(x_i)^{\alpha_i-1} \phi_1(x_i) \right] = \\ &= \sum_{s=1}^N \pi_1 \left[ \left( \prod_{\substack{i=1 \\ i \neq s}}^N \phi_0(x_i)^{\alpha_i} \right) \cdot \alpha_s \cdot \phi_0(x_s)^{\alpha_s-1} \phi_1(x_s) \right] \in \langle \phi_1(x_s) \mid s \in \mathbb{N} \rangle_k, \end{aligned}$$

because  $(\prod_{\substack{i=1 \\ i \neq s}}^N \phi_0(x_i)^{\alpha_i}) \cdot \alpha_s \cdot \phi_0(x_s)^{\alpha_s-1} \in B_0 = k$ .  $\square$

**Corollary 5.2.** *Let  $\phi : A \rightarrow B$  be as in 5.1, let  $G$  be a group acting on  $A$  and  $B$  by graded algebra automorphisms and assume that  $\phi$  is  $G$ -equivariant. Then  $\phi_{1|A_1} \in \text{Hom}_{kG}(A_1, B_1)$ . If  $\phi$  is surjective, then so is  $\phi_{1|A_1}$ .*

*Proof.* Since the  $G$ -action is graded, all  $A_i$  and  $B_i$  are  $kG$ -subspaces and each  $\phi_i$  is  $G$ -equivariant. This implies the first claim. If  $\phi$  is surjective, then  $B_1 = B_1 \cap \phi(A) \subseteq \phi_1(A_1)$ , hence  $\phi_{1|A_1}$  is surjective.  $\square$

**Corollary 5.3.** *Let  $A = k[A_1]$ ,  $B = k[B_1]$  be graded connected  $k$ -algebras as in 5.2 (with graded  $G$  action) and let  $V, W$  be two finite dimensional  $kG$ -modules with corresponding symmetric  $k$ - $G$ -algebras  $S(V) := \text{Sym}(V)$  and  $S(W) := \text{Sym}(W)$ . Then the following hold:*

- (1)  $S(V) \cong S(W)$  as  $k$ - $G$ -algebras  $\iff V \cong W \in kG\text{-mod}$ .
- (2)  $S(V) \cong A \otimes B \iff V \cong A_1 \oplus B_1$  with polynomial rings  $A \cong S(A_1)$  and  $B \cong S(B_1)$ .

*Proof.* (1): “ $\Leftarrow$ ” is clear.

“ $\Rightarrow$ ”: Let  $\phi : S(V) \rightarrow S(W)$  be a  $G$ -equivariant algebra isomorphism. Then  $W = (S(W))_1$  and  $V = (S(V))_1$ , hence by 5.1,  $W = \phi_1(V)$  with  $kG$ -homomorphism  $\phi_1$ . Since  $\dim_k(W) = \text{Dim}(S(W)) = \text{Dim}(S(V)) = \dim_k(V)$ , it follows that  $\phi_1$  is an isomorphism.

(2): From 5.1 we obtain:  $A_1 \oplus B_1 = (A \otimes B)_1 \subseteq \phi_1(V)$ . Clearly  $\text{Dim}(A) \leq \dim_k(A_1)$  and  $\text{Dim}(B) \leq \dim_k(B_1)$  hence

$$\dim_k(V) = \text{Dim}(S(V)) = \text{Dim}(A) + \text{Dim}(B) \leq \dim_k(A_1) + \dim_k(B_1) \leq \dim_k(V).$$

This shows that  $\text{Dim}(A) = \dim_k(A_1)$  and  $\text{Dim}(B) = \dim_k(B_1)$ , so  $A \cong S(A_1)$ ,  $B \cong S(B_1)$  and  $A \otimes B \cong S(A_1 \oplus B_1)$ . From (1) we obtain  $V \cong A_1 \oplus B_1$ .  $\square$

We will use the following lemma, which is well known in representation theory.

**Lemma 5.4.** *Let  $V \in \text{Mod} - kG$  and  $M \in \text{Mod} - kH$  with  $H \leq G$ . Then the following hold:*

- (1)  $\text{Ind}_H^{\times G}(M) \otimes V \cong \text{Ind}_H^{\times G}(M \otimes \text{res}_{\downarrow H}^G(V)) \in \text{Mod} - kG$ .
- (2) For  $U \leq V \in \text{Mod} - kG$  and  $P \in \text{Mod} - kG$  projective:  $P \otimes V \cong P \otimes (U \oplus V/U)$ .

*Proof.* (1) the map  $\text{Ind}_H^{\times G}(M) \otimes V \rightarrow \text{Ind}_H^{\times G}(M \otimes \text{res}_{\downarrow H}^G(V))$ ,  $m \otimes_H r \otimes v \mapsto (m \otimes v r^{-1}) \otimes_H r$  is easily seen to be  $G$ -equivariant with the inverse  $m \otimes v \otimes_H r \mapsto (m \otimes_H r) \otimes v r$ .

(2) Since  $P \oplus X \cong \oplus_{i \in I} kG_{kG}^{(i)}$ , we can assume that  $P \cong kG$ . But then  $kG \otimes V \cong \text{Ind}_1^{\uparrow G}(k) \otimes V \cong \text{Ind}_1^{\uparrow G}(k \otimes \text{res}(V_{|1})) \cong \text{Ind}_1^{\uparrow G}(k \otimes \text{res}(U_{|1}) \oplus \text{res}(V/U_{|1})) \cong \text{Ind}_1^{\uparrow G}(k \otimes \text{res}(U_{|1})) \oplus \text{Ind}_1^{\uparrow G}(k \otimes \text{res}(V/U_{|1})) \cong (P \otimes U) \oplus (P \otimes V/U) \cong P \otimes (U \oplus V/U)$ .  $\square$

Note that (2) can be viewed as a generalized version of Maschke’s theorem (taking  $P \cong k$  if  $p \nmid |G|$ ). Let  $A \in kG\text{-alg}$  and  $\phi : V \rightarrow W \in \text{Mod} - kG$  a homomorphism of  $kG$ -modules. Then the  $kG$ -homomorphism  $V \rightarrow A \otimes S(W)$ ,  $v \mapsto 1_A \otimes \phi(v)$  extends to a morphism  $S(\phi) \in kG\text{-alg}(S(V), A \otimes S(W))$ . Together with the canonical embedding  $A \hookrightarrow A \otimes S(W)$ , we obtain a coproduct morphism  $A \otimes \phi : A \otimes S(V) = A \coprod S(V) \rightarrow A \otimes S(W)$ . Hence  $A \in kG\text{-alg}$  induces a functor  $\text{Mod} - kG \rightarrow kG\text{-alg}$ ,  $V \mapsto A \otimes S(V)$ . If  $A \in \mathfrak{I}S_G$ , then  $A \otimes ?$  is indeed a functor from  $\text{Mod} - kG \rightarrow \mathfrak{I}S_G$ . This reflects the well known fact from representation theory that the tensor product of an arbitrary  $kG$ -module with a projective one is again projective. On the other hand,  $A \otimes S(V)$  can also be viewed as an  $A$ -algebra. With  $A_G\text{-alg}$  we will denote the full subcategory of  $kG\text{-alg}$  consisting of objects  $B \in kG\text{-alg}$  that contain  $A$  as  $k - G$  subalgebra. Then  $A \otimes ?$  is a functor from  $\text{Mod} - kG \rightarrow A_G\text{-alg}$

**Proposition 5.5.** *For any  $A \in \mathfrak{I}S_G$  then the following hold:*

- (1) If  $W \leq V \in \text{Mod} - kG$ , then  $A \otimes S(V) \cong A \otimes S(W) \otimes S(V/W) \in A_G\text{-alg}$ .
- (2) If  $V \in \text{mod} - kG$ , then  $A \otimes S(V) \cong A \otimes S(V_{ss}) \in A_G\text{-alg}$ , where  $V_{ss}$  is the direct sum of the simple components of  $V$ , including multiplicities.
- (3) The functor  $A \otimes : \text{Mod} - kG \rightarrow A_G\text{-alg}$ ,  $V \mapsto A \otimes S(V)$  is split exact, i.e. it maps short exact sequences to coproducts in  $A_G\text{-alg}$ .
- (4) The functor  $A \otimes$  induces a map from the Grothendieck group of  $\text{Mod} - kG$  to the set of isomorphism classes of  $A_G\text{-alg}$ .

*Proof.* (1): Since  $A \in \text{Mod} - kG$  is projective, we have  $A \otimes V \cong A \otimes (W \oplus V/W)$ , hence there is a submodule  $X \leq A \otimes V$  together with an isomorphism  $\phi : W \oplus V/W \cong X \in \text{Mod} - kG$ , such that  $A \otimes X = A \otimes V$ . This, together with the canonical embedding  $A \hookrightarrow A \otimes S(V)$ , induces an isomorphism

$$\tilde{\phi} : A \otimes S(W) \otimes S(V/W) \cong A \otimes S(W \oplus V/W) = k[A \otimes X] = k[A \otimes V] \cong A \otimes S(V) \in A_G\text{-alg}.$$

(2): This follows from an obvious induction.

(3) and (4): Note that  $A \otimes S(W) \otimes S(V/W) \cong$

$$(A \otimes S(W)) \otimes_A (A \otimes S(V/W)) \cong (A \otimes S(W)) \coprod (A \otimes S(V/W)) \in A_G\text{-alg}.$$

Now (2) and (3) re direct consequences of (1).  $\square$

**Definition 5.6.** *For  $A \in kG\text{-alg}$  we define  $A_{\text{soc}} := A_{\text{soc}|_G} := k[\text{Soc}(A)]$ , the subalgebra of  $A$  generated by the socle of the  $kG$ -module  $A$ .*

Clearly if  $p$  does not divide  $|G|$ , then  $A_{\text{soc}} = A$ . If  $0 \neq W \in \text{mod} - kG$ , then  $0 \neq W^{\text{Op}(G)}$  is  $G$ -stable, so if moreover  $W$  is irreducible, then  $\text{Op}(G)$  acts trivially on  $W$ . On the other hand, if  $g \in G$

acts trivially on every irreducible  $kG$ -module, then  $g - 1 \in \text{Rad}(kG)$ , the Jacobson-radical of  $kG$  and therefore  $g^{p^n} - 1 = (g - 1)^{p^n} = 0$  for  $n \gg 0$ . From this we easily obtain the well-known formula

$$(2) \quad \text{O}_p(G) = \bigcap_{\substack{W \in kG\text{-mod} \\ W \text{ simple}}} C_G(W)$$

with  $C_G(W) := \ker_G(W) = \{g \in G \mid g|_W = \text{id}_W\}$ .

**Proposition 5.7.** *Let  $A \in kG\text{-alg}$  with  $G$  acting faithfully on  $A$ , then the following hold:*

- (1)  $A^G \leq A_{\text{soc}} \leq A^{\text{O}_p(G)} = A_{\text{soc}|_{\text{O}_p(G)}}$ .
- (2) If  $A$  is a domain, then  $\text{Quot}(A_{\text{soc}}) = \text{Quot}(A)_{\text{soc}} = \mathbb{K}^G[\text{Soc}(A)]$  with  $\mathbb{K} := \text{Quot}(A)$ .
- (3) If  $A$  is a normal domain, then  $A^{\text{O}_p(G)}$  is the integral closure of  $A_{\text{soc}}$  in its quotient field.

*Proof.* (1): The first inequality is obvious, the second one follows from Equation (2) and the last equality is clear, since  $p$  does not divide  $|\text{O}_{p,p'}(G)/\text{O}_p(G)|$ .

(2): Let  $W \leq \text{Soc}(\mathbb{K})$  be a simple  $kG$ -module. Then there exists  $0 \neq a \in A^G$  such that  $aW \leq A_{\text{soc}}$ , hence  $W \leq \text{Quot}(A_{\text{soc}})$  and therefore

$$\mathbb{K}_{\text{soc}} = k[\text{Soc}(\mathbb{K})] = \mathbb{K}^G[\text{Soc}(\mathbb{K})] = \mathbb{K}^G[\text{Soc}(A)].$$

In particular the algebra  $\mathbb{K}_{\text{soc}}$  is a field containing  $A_{\text{soc}} = k[\text{Soc}(A)]$ , hence  $\text{Quot}(A_{\text{soc}}) \subseteq \mathbb{K}_{\text{soc}}$ . Since  $\mathbb{K}^G \subseteq \text{Quot}(A_{\text{soc}})$  it follows that  $\mathbb{K}_{\text{soc}} = \mathbb{K}^G[\text{Soc}(A)] \subseteq \text{Quot}(A_{\text{soc}})$ .

(3): Let  $A$  be a normal domain. Then  $\mathbb{K}^G \leq \mathbb{K}_{\text{soc}}$  and Galois-theory imply that  $\mathbb{K}_{\text{soc}} = \mathbb{K}^X \leq \mathbb{K}^{\text{O}_p(G)}$  for some subgroup  $X \leq G$  containing  $\text{O}_p(G)$ . By the normal basis theorem, the  $kG$ -module  $\mathbb{K}$  contains a copy of  $V_{\text{reg}}$  and therefore every simple  $kG$ -module appears in  $\text{Soc}(\mathbb{K})$ . Since  $X$  acts trivially on  $\text{Soc}(\mathbb{K})$ , it follows that  $X \leq \text{O}_p(G)$  and therefore  $X = \text{O}_p(G)$ .  $\square$

If  $G$  is a  $p$ -group, then clearly  $A_{\text{soc}} = A^G$ ; in this situation it has been shown in [8] Proposition 4.2, that if  $\theta : A \rightarrow B$  is a morphism in  $\mathfrak{Ts}_G$ , then  $B \cong B^G \otimes_{A^G} A$  and  $B$  is free of rank  $|G|$  over  $B^G$ . The next result is a partial generalization of this:

**Proposition 5.8.** *Let  $\theta : A \rightarrow B$  be a morphism of algebras in  $\mathfrak{Ts}_G$  with  $B \in \mathfrak{Ts}_G^o$ . Then there is a surjective morphism  $B_{\text{soc}} \otimes_{A_{\text{soc}}} A \rightarrow B$ , where  $B$  is a right  $A_{\text{soc}}$ -module via the map  $\theta$ .*

*Proof.* Since  $B$  is generated by a finite set of points, there is a surjective morphism  $\phi : D_{\text{reg}}^{\otimes N} \otimes_k A \rightarrow B$ . By Theorem 4.9,  $D_{\text{reg}}^{\otimes N} \otimes_k A \cong \overline{(S(V_{\text{reg}}))}^{\otimes N} \otimes_k A \cong S(W) \otimes_k A$  with suitable  $kG$ -module  $W$ . By Proposition 5.5,  $S(W) \otimes_k A \cong S(M) \otimes_k A$  with a suitable semisimple  $kG$ -module  $M$ , hence  $B = \phi(D_{\text{reg}}^{\otimes N} \otimes_k A) = \phi(S(M) \otimes_k A) = \text{Im}(B_{\text{soc}} \otimes_{A_{\text{soc}}} A \rightarrow B)$ .  $\square$

**Corollary 5.9.** *Let  $p$  be a divisor of  $|G|$ , let  $A \in \mathfrak{Ts}_G$  be universal and  $V \in \text{mod} - kG$  a module such that every simple  $kG$ -module is a constituent of  $V$ . Then  $A \otimes S(V)$  is an  $s$ -generator in  $\mathfrak{Ts}_G$ .*

*Proof.* By Proposition 5.5 we can assume that  $V$  is semisimple. Let  $\phi : A \rightarrow D_{\text{reg}}$  be a morphism in  $\mathfrak{Ts}_G$ , then the proof of Proposition 5.8 shows that there is a surjective morphism  $A \otimes S(M) \rightarrow D_{\text{reg}}$  with suitable semisimple module  $M$ . For a suitable integer  $s \geq 0$  we have a surjective map  $\theta \in \text{Hom}_{kG}(V^s, M)$ . Using the multiplication map  $A^{\otimes s} \rightarrow A$  one can extend  $\theta$  to a surjective morphism  $(A \otimes S(V))^{\otimes s} \cong A^{\otimes s} \otimes S(V^s) \rightarrow A \otimes S(M)$ . Since  $D_{\text{reg}}$  is an  $s$ -generator by Lemma 2.15, so are  $A \otimes S(M)$  and  $A \otimes S(V)$ .  $\square$

**Proposition 5.10.** *Let  $G$  be  $p$ -solvable of  $p$ -length  $s$  and order  $hq$  with  $h = p^m$  and  $p \nmid q$ . Let  $A \in \mathfrak{Ts}_G$  with point  $u \in A$  and set  $C := k[u^G] = k[(u)g \mid g \in G]$ , then  $A \cong A_{\text{soc}} \otimes_{C_{\text{soc}}} C$  as algebra and as module over  $A_{\text{soc}}$  it is generated by elements  $y_1, \dots, y_h \in A$  of the form  $y_i = \sum_j (u)g_{i,j,1}(u)g_{i,j,2} \cdots (u)g_{i,j,s}$  for suitable  $g_{i,j,k} \in G$ .*

*Proof.* We can assume that  $G$  is neither  $p$  nor  $p'$ -group.

Assume  $1 \neq N := \text{O}_{p'}(G)$  and  $A^N \in \mathfrak{Ts}_G^o \cap \mathfrak{Ts}_{G/N}^o$ . Since  $A^N \in \mathfrak{Ts}_G^o$  there is an onto morphism  $A_{\text{soc}} \otimes_k A^N \rightarrow A$  in  $\mathfrak{Ts}_G$ , by Proposition 5.8. Since  $1 \neq \text{O}_p(G/N)$  and  $A^N \in \mathfrak{Ts}_{G/N}$ , induction gives  $A^N = \sum_{i=1}^h (A^N)_{\text{soc}_{G/N}} y_i$  with  $y_i$  as required. Since  $(A^N)_{\text{soc}_{G/N}} \leq A_{\text{soc}}$  the result follows.

So we can assume that  $1 \neq P := \text{O}_p(G)$ . Let  $\mathcal{R}_P \subseteq G$  be a cross-section of left  $P$ -cosets with  $G = \cup_{r \in \mathcal{R}_P} rP$ . Then  $x := \sum_{r \in \mathcal{R}_P} ur$  is a  $P$ -point and we get  $A = \oplus_{g \in P} A^P(x)g$ . By induction and  $A^P \in \mathfrak{Ts}_{G/P}$  we have  $A^P = \sum_{j=1}^{h/|P|} (A^P)_{\text{soc}_{G/P}} y'_j$  with  $y'_j = (u')g'_{1,j} \cdots (u')g'_{s-1,j}$  and  $u' := \sum_{g \in P} ug$ ,

a  $G/P$ -point in  $A^P$ . Since  $(A^P)_{soc_{G/P}} \leq A_{soc_G}$ ,  $A = \sum_{\substack{j=1 \\ g \in P}}^{h/|P|} A_{soc_G} y'_j \cdot (x)g$ . It clear by construction that every  $y'_j \cdot (x)g$  is a sum of monomials of the form  $(u)g_{j,1} \cdots (u)g_{j,s}$  with  $g_{j,k} \in G$ .  $\square$

## 6. APPENDIX ON ADJOINT FUNCTORS

**Definition 6.1.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be categories. A pair of covariant functors

$$(L, F) \text{ with } L : \mathcal{A} \longrightarrow \mathcal{B} \text{ and } F : \mathcal{B} \longrightarrow \mathcal{A}$$

is called an **adjoint pair** if there is an isomorphism of contra- covariant bifunctors:

$$\Psi^{(L,F)} : \mathcal{B}(L(\cdot), \cdot) \cong \mathcal{A}(\cdot, F(\cdot)).$$

In this case  $L$  is called a **left adjoint** of  $F$ , which is itself called a **right adjoint** of  $L$ . The adjointness of  $(L, F)$  induces two morphisms of functors, a **unit**

$$u^{(L,F)} : Id_{\mathcal{A}} \longrightarrow FL, \quad u_a^{(L,F)} = \Psi^{(L,F)}(id_{L(a)});$$

and a **counit**

$$c^{(L,F)} : LF \longrightarrow Id_{\mathcal{B}}, \quad c_b^{(L,F)} = (\Psi^{(L,F)})^{-1}(id_{F(b)}).$$

If the context is clear, we will freely omit the upper indices  $(L, F)$ . For  $\beta \in \mathcal{A}(a_0, a_1), \gamma \in \mathcal{B}(b_0, b_1)$ ,  $a \in \mathcal{A}$  and  $b \in \mathcal{B}$  we have the following commutative diagrams:

$$\begin{array}{ccc} \mathcal{B}(L(a_1), b) \xrightarrow{\cong} \mathcal{A}(a_1, F(b)) & & \mathcal{B}(L(a), b_0) \xrightarrow{\cong} \mathcal{A}(a, F(b_0)) \\ \downarrow L(\beta)^* & \beta^* \downarrow & \downarrow \gamma^* & & \downarrow F(\gamma)_* \\ \mathcal{B}(L(a_0), b) \xrightarrow{\cong} \mathcal{A}(a_0, F(b)) & & \mathcal{B}(L(a), b_1) \xrightarrow{\cong} \mathcal{A}(a, F(b_1)) \end{array}$$

Hence we get for any  $\alpha \in \mathcal{B}(L(a_1), b)$ :

$$\Psi(\alpha) \circ \beta = (\beta)^*(\Psi(\alpha)) = \Psi(L(\beta)^*(\alpha)) = \Psi(\alpha \circ L(\beta));$$

and for any  $\gamma \in \mathcal{B}(b, b_1)$ :

$$F(\gamma) \circ \Psi(\alpha) = F(\gamma)_*(\Psi(\alpha)) = \Psi(\gamma_*(\alpha)) = \Psi(\gamma \circ \alpha).$$

In particular we see:

$$F(c^{(L,F)}) \circ u_F^{(L,F)} = F(c^{(L,F)}) \circ \Psi(id_{LF}) = \Psi(c^{(L,F)}) = Id_F;$$

and similarly:

$$\Psi(c^{(L,F)} \circ L(u^{(L,F)})) = \Psi(c^{(L,F)}) \circ u^{(L,F)} = u^{(L,F)} = \Psi(id_L);$$

hence  $c^{(L,F)} \circ L(u^{(L,F)}) = Id_L$ . So the compositions of morphisms of functors

$$F(c) \circ u_F : F \longrightarrow FLF \longrightarrow F$$

and

$$c_L \circ L(u) : L \longrightarrow LFL \longrightarrow L$$

are the respective identity morphisms.

We also can recover the bifunctorial isomorphism  $\Psi$  and its inverse from  $L, F, u$  and  $c$ :

Indeed for  $\alpha \in \mathcal{B}(L(a), b)$  and  $\beta \in \mathcal{A}(a, F(b))$  we get:  $\Psi(\alpha) = \Psi(\alpha \circ id) = F(\alpha) \circ \Psi(id) = F(\alpha) \circ u$  and

$$\Psi(c \circ L(\beta)) = \Psi(\Psi^{-1}(id) \circ L(\beta)) = id \circ \beta.$$

so  $\Psi^{-1}(\beta) = c \circ L(\beta)$ .

Notice also that for any morphisms  $\alpha$  in  $\mathcal{A}$  and  $\beta$  in  $\mathcal{B}$ :  $L(\alpha) = id_L \circ L(\alpha) = \Psi^{-1}(\Psi(id_L) \circ \alpha) = \Psi^{-1} \circ (u)_*(\alpha)$ ; and  $F(\alpha) = F(\alpha) \circ id_F = \Psi(\alpha \circ \Psi^{-1}(id_F)) = \Psi \circ (c)^*(\alpha)$ .

Let us summarize these formulae

**Theorem 6.2. (Adjunction - formulae):** Suppose the covariant functors

$$L : \mathcal{A} \longrightarrow \mathcal{B} \text{ and } F : \mathcal{B} \longrightarrow \mathcal{A}$$

build an adjoint pair  $(L, F)$  with the isomorphism of bifunctors:

$$\Psi : \mathcal{B}(L(\cdot), \cdot) \cong \mathcal{A}(\cdot, F(\cdot)),$$

the unit

$$u : Id_{\mathcal{A}} \longrightarrow FL, \quad u_a = \Psi(\text{id}_{L(a)}),$$

and the counit

$$c : LF \longrightarrow Id_{\mathcal{B}}, \quad c_b = \Psi^{-1}(\text{id}_{F(b)}).$$

Then the following relations hold whenever they are meaningful:

$$\Psi(\alpha) \circ \beta = \Psi(\alpha \circ L(\beta)); \quad F(\gamma) \circ \Psi(\alpha) = \Psi(\gamma \circ \alpha); \quad (1.1)$$

$$F(c) \circ u_F = Id_F; \quad c \circ L(u) = Id_L; \quad (1.2)$$

$$\Psi = (u)^* \circ F; \quad \Psi^{-1} = (c)_* \circ L; \quad (1.3)$$

$$F = \Psi \circ (c)^*; \quad L = \Psi^{-1} \circ (u)_*. \quad (1.4)$$

Suppose  $L : \mathcal{A} \rightarrow \mathcal{B}$  and  $F : \mathcal{B} \rightarrow \mathcal{A}$  are functors, then  $(L, F)$  is an adjoint pair if and only if one of the following holds:

- (i) For any  $a \in \mathcal{A}$  and  $b \in \mathcal{B}$  there is an isomorphism

$$\Psi : \mathcal{B}(L(a), b) \cong \mathcal{A}(a, R(b))$$

such that (1.1) holds.

- (ii) There are morphisms  $u : Id_{\mathcal{A}} \rightarrow FL$  and  $c : LF \rightarrow Id_{\mathcal{B}}$  such that (1.2) holds.

*Proof.* We only have to verify the second part. Consider (i): then (1.1) is just a way of saying that  $\Psi$  is an isomorphism of bifunctors. Consider (ii): Then define  $\Psi, \Psi^{-1}$  by (1.3) and observe:

$$\Psi\Psi^{-1}(\alpha) = u^*F(cL(\alpha)) = F(c)FL(\alpha)u = F(c)u\alpha = \alpha.$$

$\Psi^{-1}\Psi(\beta) = c_*L(F(\beta)u) = cLF(\beta)L(u) = \beta cL(u) = \beta$ . Moreover  $\Psi(\alpha)\beta = u^*F(\alpha)\beta = F(\alpha)u\beta = F(\alpha)FL(\beta)u = F(\alpha L(\beta))u = \Psi(\alpha L(\beta))$  and  $F(\gamma)\Psi(\alpha) = F(\gamma)F(\alpha)u = F(\gamma\alpha)u = \Psi(\gamma\alpha)$ . Now the adjointness follows from i).  $\square$

Let  $F : \mathcal{B} \rightarrow \mathcal{A}$  and  $L, R : \mathcal{A} \rightarrow \mathcal{B}$  be functors such that  $(L, F)$  and  $(R, F)$  are adjoint pairs with corresponding isomorphisms

$$\Psi^{(L,F)} : \mathcal{B}(L(a), b) \rightarrow \mathcal{A}(a, F(b)) \text{ and } \Psi^{(F,R)} : \mathcal{A}(F(b), a') \rightarrow \mathcal{B}(b, R(a')).$$

Then for  $\beta \in \mathcal{B}(L(a), b)$  we have  $\Psi^{(L,F)}(\beta) = F(\beta) \circ u_a^{(L,F)}$  and for  $\alpha \in \mathcal{B}(b, R(a'))$  we have  $(\Psi^{(F,R)})^{-1}(\alpha) = c_{a'}^{(F,R)} \circ F(\alpha)$ , where  $u^{(L,F)}$  and  $c^{(F,R)}$  denote unit and co-unit of the corresponding adjoint pairs. It follows that  $(\Psi^{(F,R)})^{-1}(\alpha) \circ \Psi^{(L,F)}(\beta) = c_{a'}^{(F,R)} \circ F(\alpha \circ \beta) \circ u_a^{(L,F)} \in \mathcal{A}(a, a')$ . This observation gives rise to the following

**Definition 6.3.** Let  $F : \mathcal{B} \rightarrow \mathcal{A}$  be a functor with left and right adjoints  $L, R : \mathcal{A} \rightarrow \mathcal{B}$ . We define the  $F$ -trace as the map

$$\begin{aligned} \text{T}_F : \mathcal{B}(L(a), R(a')) &\longrightarrow \mathcal{A}(a, a') \\ \text{T}_F(\alpha) &= c_{a'}^{(F,R)} \circ F(\alpha) \circ u_a^{(L,F)}. \end{aligned}$$

With  $\mathcal{A}(a, a')_{a''}$  we denote the subset of morphisms in  $\mathcal{A}(a, a')$  that factor through the object  $a'' \in \mathcal{A}$  and we set  $\mathcal{A}(a, a')_F := \cup_{b \in \mathcal{B}} \mathcal{A}(a, a')_{F(b)}$ .

From the preceding discussion and Theorem 6.2 we obtain:

**Lemma 6.4.** Let  $\beta \in \mathcal{B}(L(a), b)$ ,  $\alpha \in \mathcal{B}(b, R(a'))$ ,  $\gamma \in \mathcal{A}(a'', a)$  and  $\delta \in \mathcal{A}(a', a'')$ . Then we have:

- (1)  $\text{T}_F(\alpha) = (\Psi^{(F,R)})^{-1}(\alpha) \circ u^{(L,F)} = c^{(F,R)} \circ \Psi^{(L,F)}(\alpha)$ , if  $b = R(a')$ .
- (2)  $\text{T}_F(\alpha \circ \beta) = (\Psi^{(F,R)})^{-1}(\alpha) \circ \Psi^{(L,F)}(\beta)$ ;
- (3)  $\text{T}_F(\alpha) \circ \gamma = \text{T}_F(\alpha \circ L(\gamma))$ ;
- (4)  $\delta \circ \text{T}_F(\alpha) = \text{T}_F(R(\delta) \circ \alpha)$ .

The next result describes the image of the  $F$ -trace map  $\text{T}_F$ :

**Proposition 6.5.** Suppose the situation of 6.3, then:  $\text{T}_F(\mathcal{B}(L(a_1), R(a_2))) = \mathcal{A}(a_1, a_2)_F$ . For an object  $a \in \mathcal{A}$  the following are equivalent:

- (1)  $\text{id}_a \in \mathcal{A}(a, a)_F$ ;
- (2)  $\text{id}_a$  factors through  $FL(a)$ ;
- (3)  $\text{id}_a$  factors through  $FR(a)$ ;
- (4) the co-unit  $c^{(F,R)}$  splits;
- (5) the unit  $u^{(L,F)}$  splits.

*Proof.* Set  $\Psi := \Psi^{(L,F)}$ ,  $\Psi' := \Psi^{(F,R)}$  and let  $\alpha = T_F(\beta)$  for  $\beta \in \mathcal{B}(L(a_1), R(a_2))$ , then

$$\alpha = c^{(F,R)} \circ \Psi(\beta) = \Psi'^{-1}(\beta) \circ u^{(L,F)}$$

with  $\Psi(\beta) \in \mathcal{A}(a_1, FR(a_2))$  and  $\Psi'^{-1}(\beta) \in \mathcal{A}(FL(a_1), a_2)$ , hence

$$\alpha \in \mathcal{A}(a_1, a_2)_F \cap \mathcal{A}(a_1, a_2)_{FL(a_1)} \cap \mathcal{A}(a_1, a_2)_{FR(a_2)}.$$

On the other hand  $T_F(\Psi'(\text{id}_{F(b)}) \circ \Psi^{-1}(\text{id}_{F(b)})) = \text{id} \circ \text{id} = \text{id}_{F(b)}$ . Now the ‘‘two - sided - ideal’’ property of the image of  $F$ -trace - maps, from Lemma 6.4 (3) and (4), implies that all morphisms factoring through  $F$  belong to this image. The implications (1)  $\Rightarrow$  (2) and (3) follow from the proof above. Clearly (2) or (3) imply (1) and (1)  $\iff$  (4) as well as (1)  $\iff$  (5) follow from Lemma 6.4 (1). This finishes the proof.  $\square$

**Definition 6.6.** Let  $(L, F)$  be an adjoint pair of functors with  $L : \mathcal{A} \rightarrow \mathcal{B}$  and  $F : \mathcal{B} \rightarrow \mathcal{A}$ . An object  $a \in \mathcal{A}$  is called  $(L-)$  split if the unit morphism  $u_a^{(L,F)} : a \rightarrow FL(a)$  has a left inverse. An object  $b \in \mathcal{B}$  is called  $(F-)$  split if the co-unit map  $c_b^{(L,F)} : LF(b) \rightarrow b$  has a right inverse.

The following results will turn out to be useful:

**Proposition 6.7.** Let  $(L, F)$  be as in Theorem 6.2 and assume that  $F$  respects epimorphisms<sup>2</sup> (surjective maps). Then the following hold:

- (1) If  $a \in \mathcal{A}$  is  $(s-)$ projective, then  $L(a)$  is  $(s-)$ projective.
- (2) If  $b \in \mathcal{B}$  is  $F$ -split and  $F(b) \in \mathcal{A}$  is  $(s-)$ projective, then  $b$  is  $(s-)$ projective.

*Proof.* (1): Let  $\alpha \in \mathcal{B}(b_1, b_2)$  be an epimorphism (surjective morphism) and  $\beta \in \mathcal{B}(L(a), b_2)$ . Then since  $a$  is  $(s-)$  projective there is  $\gamma \in \mathcal{A}(a, F(b_1))$  with  $F(\alpha) \circ \gamma = F(\beta) \circ u_a^{(L,F)}$ .

$$\begin{array}{ccc} a & \xrightarrow{u_a^{(L,F)}} & FL(a) \\ \gamma \downarrow \text{---} & & \downarrow F(\beta) \\ F(b_1) & \xrightarrow{F(\alpha)} & F(b_2) \end{array}$$

Consider the commutative diagram:

$$\begin{array}{ccc} \mathcal{A}(a, F(b_1)) & \xrightarrow{\Psi^{-1}} & \mathcal{B}(L(a), b_1) \\ F(\alpha)_* \downarrow & & \downarrow \alpha_* \\ \mathcal{A}(a, F(b_2)) & \xrightarrow{\Psi^{-1}} & \mathcal{B}(L(a), b_2). \end{array}$$

Set  $\Gamma := \Psi^{-1}(\gamma) \in \mathcal{B}(L(a), b_1)$ ; then  $\alpha \circ \Gamma = \alpha_* \circ \Psi^{-1}(\gamma) = \Psi^{-1} \circ F(\alpha)_*(\gamma) = \Psi^{-1}(F(\beta) \circ u_a^{(L,F)}) = \beta$ , since  $F(\beta) \circ u^{(L,F)} = (u^{(L,F)*} \circ F)(\beta) = \Psi(\beta)$ . This shows that  $L(a)$  is  $(s-)$  projective.

(2): Let  $\alpha \in \mathcal{B}(b, b_2)$  and  $\beta \in \mathcal{B}(b_1, b_2)$  an epimorphism (surjective map). Since  $F(b)$  is  $(s-)$ projective there is  $\gamma \in \mathcal{A}$  completing the diagram

$$\begin{array}{ccc} & & F(b_1) \\ & \nearrow \gamma & \downarrow F(\beta) \\ F(b) & \xrightarrow{F(\alpha)} & F(b_2) \end{array}$$

<sup>2</sup> for example, if  $F$  has a left- and a right adjoint

Let  $s \in \mathcal{B}(b, LF(b))$  be a right inverse to  $c_b^{(L,F)}$ , then applying  $(\Psi^{(L,F)})^{-1}$  gives the following commutative diagram:

$$\begin{array}{ccc}
 & & b_1 \\
 & \nearrow \gamma & \downarrow \beta \\
 LF(b) & \xrightarrow{\alpha'} & b_2 \\
 \uparrow s & \downarrow c^{(L,F)} & \\
 b & & 
 \end{array}$$

with  $\gamma' := (\Psi^{(L,F)})^{-1}(\gamma)$  and  $\alpha' := (\Psi^{(L,F)})^{-1}(F(\alpha))$ . Indeed, using the formulae of Theorem 6.2 we get  $\alpha' \circ s = c^{(L,F)} \circ LF(\alpha) = \alpha \circ c^{(L,F)} \circ s = \alpha$ . This shows that  $b$  ( $s$ -) projective.  $\square$

The following is a straightforward dualization of Proposition 6.7

**Proposition 6.8.** *Let  $(L, F)$  be as in Theorem 6.2 and assume that  $L$  respects monomorphisms<sup>3</sup> (injective maps). Then the following hold:*

- (1) *If  $b \in \mathcal{B}$  is ( $i$ -)injective, then  $F(b)$  is ( $i$ -)injective.*
- (2) *If  $a \in \mathcal{A}$  is  $L$ -split and  $L(a) \in \mathcal{B}$  is ( $i$ -)injective, then  $a$  is ( $i$ -)injective.*

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<sup>3</sup> for example, if  $F$  has a left- and a right adjoint