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1 **Intact Grammar in HFA? Evidence from Control and Binding**
2 **(Janke and Perovic 2015 *Lingua*: pre-published version)**

3
4 **Abstract**

5 This study contributes original results to the topical issue of the degree to which
6 grammar is intact in high-functioning children with autism (HFA). We examine the
7 comprehension of binding and obligatory control in 26 HFA children, mean age=12;02,
8 compared with two groups of younger typically developing (TD) children: one matched
9 on non-verbal mental age (MA), mean age=9;09, and the other on verbal MA, mean
10 age=8;09. On the binding task, our HFA group showed a good performance on
11 reflexives on a par with TD matched children, in line with recent reports of intact
12 knowledge of reflexive binding in higher but not lower-functioning children with autism.
13 Their comprehension of personal pronouns was somewhat poorer, with no difference
14 observed between the groups, again supporting the existing literature. Results on the
15 control task, which probed mastery of syntactic relations never previously examined
16 in autism, revealed that both HFA children and the two matched TD groups were at
17 ceiling on single-complement subject control (*try*) and object control (*persuade*).
18 However, a considerably poorer attainment on double-complement subject control
19 (*promise*) was present equally in the HFA group and the verbal MA-matched TD group
20 but not in the non-verbal MA-matched group. Performance on *promise* correlated with
21 age only in the verbal MA-matched group, whilst in HFA it correlated with general
22 cognitive and language abilities.

23 These novel findings demonstrate that regular obligatory control and reflexive binding
24 are preserved in HFA. We contrast these results with previous literature that has
25 demonstrated deficiencies with passives and raising in HFA populations. The
26 emerging bifurcation suggests different analyses for the principles underlying these
27 constructions: whereas the latter incorporate movement, control and binding do not.
28 The poor performance on *promise* supports all previous literature on this lexically and
29 syntactically idiosyncratic construction. Its breaking of locality, which in turn results in
30 a conflict between lexical and syntactic requirements, is exceptional and introduces
31 an extra step of learning. This step appears to be related to maturation in TD children,
32 and to stronger language and cognitive skills in HFA children.

34 KEY WORDS: Autism, Syntax, Control, Binding

35 1. Introduction

36 In this paper we investigate comprehension of two examples of grammar in a group of
37 high-functioning children with autism (HFA)¹: obligatory control and binding. Autism
38 Spectrum Disorder (ASD) is a lifelong developmental disability affecting social
39 communication and interaction, associated with restrictive interests and behaviours,
40 which are not a result of a global developmental delay or cognitive disability (American
41 Psychiatric Association, 2013). Individuals with ASD are amply documented as having
42 consistent difficulties with pragmatic aspects of language (e.g. Tager-Flusberg and
43 Anderson, 1991; Happé, 1993; Norbury, 2005; Rundblad and Annaz, 2010), yet their
44 level of grammatical competence has not been clearly established as investigations
45 on complex syntactic structures in this population are still sparse. The heterogeneity
46 in the cognitive and linguistic abilities in this population makes it yet more difficult to
47 draw precise conclusions about their syntactic knowledge. Studies have reported
48 different results for children who are high-functioning (HFA) from those who are low-
49 functioning (LFA) (Boucher, 2009), or for children who have a language impairment
50 (ALI) against those whose language is normal (ALN) (Tager-Flusberg, 2006). Recent
51 experimental work points to certain advanced syntactic structures being problematic
52 in both children and adults with ASD. Interestingly, all of these structures involve
53 relations where the position that a phrase is interpreted differs from the position that
54 the phrase is pronounced. That is, they all involve movement.² In a sentence repetition
55 task, Riches, Charman, Simonoff and Baird (2010) found that English-speaking
56 teenagers with ALI made significantly more errors than age-matched typically
57 developing (TD) children on subject and object relative clauses. A severe difficulty in
58 the comprehension of subject and object relative clauses is reported in Durrleman and
59 Zufferey (2013) in HFA French-speaking adults, while Zebib, Tuller, Prévost and Morin
60 (2013) found that French-speaking children with ASD would avoid fronted wh-
61 questions in an elicitation task by opting for a more simple alternative (e.g. wh-in situ)

¹ High-functioning autism (HFA) usually refers to individuals diagnosed with ASD whose IQ is above 80, though some studies use a lower benchmark of IQ of 70 and above.

² The framework adopted here is that of generative grammar. For introduction and definition of relevant terminology the reader is referred to texts such as Radford (2004); Cook and Newson (2007); Isac and Reiss (2013).

62 whenever possible. These three studies focused on dependencies that involve A-bar
63 movement, however, constructions involving Argument movement (from here on A-
64 movement), such as passives and raising, have also been reported to cause children
65 with ASD difficulty.³ Severely compromised comprehension of passives was revealed
66 in an early study by Tager-Flusberg (1981) and confirmed more recently in Perovic,
67 Modyanova and Wexler (2007). The latter study also reported a deficient
68 comprehension of raising in their sample of children with ASD. At this point then we
69 can see that the few studies conducted in this area have shown that a number of
70 constructions represented in standard formal theories as involving movement seem to
71 be causing difficulty to individuals with ASD. These involve A and A-bar dependencies,
72 as well as local and non-local movement, and children across the high- and low-
73 functioning divide have exhibited problems with these relations.

74

75 A construction that appears not to cause any interpretative difficulties in autistic
76 children at the higher-functioning level of the spectrum is that of reflexive binding, a
77 local syntactic relation which does not involve movement. Perovic, Modyanova and
78 Wexler (2013a, 2013b) report an impaired comprehension of reflexives (*himself*,
79 *herself*) in their sample of English-speaking children with LFA, who also had an
80 established language impairment, but an intact interpretation of these elements in an
81 age-matched sample of children classified as HFA, with no accompanying language
82 impairment. Thus we now have an example of syntax which is not derived by
83 movement that is preserved in children with HFA.

84

85 This brief review of experimental research into the mastery of argument dependencies
86 in the grammar of individuals with autism highlights a number of points. Firstly, it
87 illustrates that more research on higher levels of grammatical ability is crucial to the
88 question of if and how the autistic profile impacts upon grammatical development. The
89 present study represents a contribution in this respect. It takes a hitherto unresearched
90 area of grammar in this population, namely obligatory control, and asks, using the

³ In A-movement, a phrase moves to an argument position (e.g. in the passive, an object moves to the subject position). In A-bar movement, a phrase moves to a non-argument position (e.g. in wh-movement, an object moves to the left periphery of the clause. See e.g. Rizzi (2013) for further explanation of these terms.

91 same paradigm as that for binding, raising and passives, whether HFA children exhibit
92 any problems with its comprehension. Theoretical accounts of obligatory control differ
93 according to whether they propose a movement-based analysis or not (see Hornstein,
94 2001; Boeckx and Hornstein, 2004 for movement-based analyses and Manzini, 1983;
95 Landau, 2000; Janke 2007 for non-movement-based approaches and Kirby, Davies
96 and Dubinsky 2010a for a review of some of the issues relevant to movement vs. non-
97 movement approaches). Thus the second point of interest is theoretical. The degree
98 to which our current participants succeed with obligatory control will contribute to the
99 debate surrounding its classification. If it is not movement-based, our HFA participants'
100 performance on the construction should pattern more closely with that found for
101 binding, rather than revealing the same deficiencies as those found for raising and
102 passives. This is because aside from not involving movement, binding and obligatory
103 control share other fundamental syntactic properties (see Manzini, 1983; Koster,
104 1987).

105 In the next subsection, we set out the properties of binding and relay the acquisition
106 trajectory of these constructions in typical development. In section 1.2, we do the same
107 for obligatory control. This will take us to section 1.3, where we form our predictions
108 with respect to the current study.

109

110 *1.1 Binding and its acquisition*

111 Pronominal elements include reflexives (e.g. himself/herself) and personal pronouns
112 (e.g. him/her). Both elements are anaphoric, in that they depend upon a referential
113 antecedent for their interpretation, but they differ in terms of the conditions that
114 regulate this interpretative dependency. In standard formal theory, the regulations are
115 stated as a set of conditions under which a reflexive or pronoun can be bound by an
116 antecedent (see Chomsky, 1986). The conditions regulating reflexives demand a local,
117 c-commanding antecedent for the reflexive.⁴ These properties are illustrated in (1a)
118 and (b) respectively. In (1a), the indices indicate that only the most local argument
119 (John) can be linked to the reflexive, whereas (1b) shows that a non-c-commanding
120 antecedent cannot be linked to the reflexive. C-command is a principle that captures
121 the requirement that an antecedent occur in a structurally higher position in a sentence

⁴ A formal definition of c-command is such that a constituent, 'X', c-commands a constituent, 'Y' if Y is sister to X or contained within X's sister.

122 than its dependent. By embedding the noun, *brother*, in a possessive construction, this
123 structural superiority is broken. Pronouns contrast with reflexives in exhibiting an anti-
124 locality requirement. If a pronoun takes a sentential antecedent, that antecedent must
125 not be in a local relation with it: in (1c), the pronoun can refer to Peter or an external
126 referent but not to John.

127

128 (1) a. Peter₁ said that John₂ should wash himself ^{*1/2}

129 b. Peter₁'s brother₂ washed himself^{*1/2}

130 c. Peter₁ said that John₂ should wash him_{1/*2/3}

131

132 Children interpret reflexives accurately by the age of about four, however, pronouns
133 can continue to cause difficulty even at the age of six (Jakubowicz, 1984, Chien and
134 Wexler, 1990). The original methodology (i.e. the truth value judgment task) and the
135 results of early studies have been disputed more recently (Conroy, Takahashi, Lidz
136 and Philips, 2009), however, the finding of a differential comprehension of reflexives
137 versus pronouns has been reported consistently across a range of languages (e.g.
138 French, Russian, Icelandic, Dutch - see Guasti, 2004, for a comprehensive review as
139 well as a discussion of clitic languages, where the effect has not been observed), and
140 with different methods (e.g. forced-choice picture selection: van den Akker, Hoeks,
141 Spender and Hendriks, 2012).

142 The phenomenon of worse interpretation of pronouns as opposed to reflexives can be
143 understood by looking further at the differing principles underlying these elements'
144 regulation. The interpretation of reflexives is uniform in being regulated syntactically
145 only. Under the structural configuration mentioned above, they are always interpreted
146 as bound variables. In contrast, pronouns can either be bound variables or elements
147 regulated by coreference.⁵ In the former instance, the relation is syntactically
148 determined but in the latter, they are regulated by pragmatic or processing constraints
149 (see Chien and Wexler, 1990 for a pragmatic account; Grodzinsky and Reinhart, 1993
150 for a processing account). In their extra-syntactic guise, pronouns will be liable to
151 failure and this extra level of complexity translates into later acquisition in TD.

⁵ The difference between binding and co-reference is further observed in studies which have investigated children's interpretation of pronouns when bound by quantified antecedents, e.g. in 'Every bear_i is washing her_i'. Here the co-referential reading is not available and the pronoun is successfully interpreted by children as a bound variable (see Guasti, 2004, for a review of relevant literature).

152

153 The contrast in the acquisition of reflexives and pronouns in TD has also been
154 observed in clinical populations, though it may go in the opposite direction, with
155 reflexives being more difficult to interpret than pronouns. The work undertaken on
156 reflexive binding suggests the construction could serve as a litmus test for a
157 grammatical deficit in a population. Groups known for their grammatical strengths
158 relative to their other cognitive impairments, as, for example, Williams syndrome,
159 perform well on tasks assessing reflexive comprehension (see Perovic et al. 2007;
160 Perovic et al. 2013b; Ring and Clahsen, 2005). Those groups for whom
161 morphosyntactic deficits are well documented, however, exhibit problems on these
162 same tasks (for Down syndrome, see Perovic 2004, 2006; Ring and Clahsen 2005;
163 Sanoudaki and Varlokosta 2014; for LFA children see the references mentioned
164 above). Interestingly, no group differences have been revealed for pronoun
165 interpretation: children with ASD, regardless of their high- or low-functioning
166 classification, demonstrated the same variability in their performance as that of the TD
167 children against whom they were matched.

168

169 In the next sub-section, we turn to obligatory control, which we will see exhibits a
170 substantial overlap with reflexive binding in terms of its syntactic principles yet includes
171 further components that need to be integrated during acquisition, which culminate in
172 a more complex learning task.

173

174 1.2 Control and its Acquisition

175 Like reflexives, the understood subject in obligatorily controlled complements must
176 have a local, c-commanding antecedent (see Manzini, 1983; Cohen Sherman and
177 Lust, 1993; Goodluck, Terzi and Diaz, 2001). This can be seen in (2), where in (a),
178 locality permits only 'Peter' to be interpreted as the potential dog walker and in (b),
179 only 'John's brother' (and not 'John') can be, since only the whole possessive NP c-
180 commands into the infinitival clause.

181

- | | | | |
|-----|-----|---|-------------------|
| 182 | (2) | a. John told Peter _i [_{ec} to walk the dog]. | OBJECT CONTROL |
| 183 | | b. John's brother _i tried [_{ec} to walk the dog] | SINGLE-COMPLEMENT |
| 184 | | | SUBJECT CONTROL |

185

186 These two sub-types of obligatory control are produced by children as young as three
187 years of age but at five, children still alternate at the level of chance between subject-
188 and object-oriented interpretations of object control, indicating acquisition is not yet
189 complete (see Kirby, Davies and Dubinsky, 2010b for a recent review of the acquisition
190 literature). Studies have also shown that young children will look beyond the sentential
191 arguments when assigning a referent to the *ec* in obligatorily-controlled complements.
192 McDaniel, Cairns and Hsu (1990/1), for example, identified a group of children
193 between the ages of 3;9 and 5;4 who permitted an arbitrary interpretation of the *ec* in
194 object-control structures.⁶ Of further interest is that given the appropriate discourse
195 environments, children appear not to stop at arbitrary referents. Some five-year-old
196 children, for example, have been found to bypass the obligatory syntactic antecedent
197 for the *ec* in obligatory control environments in favour of a sentence-external referent
198 if that referent has been mentioned in the preceding discourse (Eisenberg and Cairns,
199 1994). This was more prevalent in structures with one main-clause argument (Grover,
200 in a) rather than two (Big Bird and Ernie, in b).

201

- (3) a. Grover decides [*ec* to pat Big Bird].
b. Big Bird tells Ernie [*ec* to jump over the fence].

202

203 From these works, we can see that reflexives and obligatory control do not develop
204 absolutely in tandem. Control appears to lag a little behind. If we pay attention to what
205 distinguishes these constructions, too, we can see why control might provide a greater
206 learning challenge. A reflexive is always a direct argument of a transitive verb. In this
207 configuration it is strictly anaphoric so its interpretation is entirely predictable once this
208 structural requirement has been grasped. In obligatory control, however, a child needs
209 to determine which verbs, out of a set of transitive verbs, select for controlled
210 complements (see C Chomsky, 1969; Cohen Sherman and Lust, 1993; Guasti, 2004).
211 A further complication is that a verb the child has encountered as an obligatory-control
212 verb in one instance can also occur with a different kind of complement, where the

⁶ The reader is referred to the original paper (especially pages 302-306 and 323) for the authors' justifications for why the children's interpretations were classified as arbitrary rather than specific external ones.

213 relation is not obligatory control, in another (see Goodluck et al. 2001 for a discussion
214 of this issue in Greek and Spanish children). This can be seen in (4a), which shows a
215 prototypical control verb (tell) with its controlled complement, whose *ec* carries the
216 object-oriented interpretation. Yet that same verb can combine with a clause which
217 has a verbal gerund subject, whose *ec* is not restricted in the same way (4b). The *ec*
218 in this type of construction can host a number of interpretations, including sentence-
219 external ones, under the appropriate discourse conditions (see Bresnan, 1982; Janke,
220 2007; Janke and Perovic, accepted).

221

- 222 (4) a. Peter told John_i [*ec*_i to read the book].
223 b. Peter_i told John_j that [[*ec*_{i/j/k} to read/reading the book slowly] was a
224 mistake].

225

226 This alternative possibility opens up a further learning task for the child. Obligatory
227 control is a member of a wider set of control relations, whose understood subjects
228 differ in terms of how their interpretations are secured. Within obligatory control, they
229 conform to a set of structural requirements, and when these are met, their
230 interpretations are predictable (c.f. 'promise', which we discuss below). But there is
231 also a class of control constructions which is not obligatory. In these instances, the
232 reference of the understood subjects can be discourse determined, as in (4b and 5)
233 or arbitrary, as in (6).

234

235 (5) A: The headmaster phoned.

236 B: What did he say?

237 A: He said [*ec*_i to introduce yourself_i to the class before he arrives]

238 (Janke, 2007:181, no 65)

239 (6) A: Did you lock the door?

240 B: Oh, I've nothing [*ec*_{arb} to steal]. (Perovic and Janke, 2013:5; no 5b)

241

242 Unlike obligatory control, these non-obligatory-control structures are open to
243 pragmatic manipulation. Interpretations are decided on the basis of a contextual cue,
244 as shown by Bresnan (1982) for controlled verbal-gerund subjects.

245

246 (7) Tom_i felt sheepish. [_{ec_i} Pinching those elephants was foolish].

247

248 (Bresnan, 1982)

249

250 As the topic of the sentence preceding the non-finite clause, ‘Tom’ provides the
251 pragmatic lead to the *ec*’s reference (see also Reinhart, 1981, and Samek-Lodovici,
252 1996). The flexibility in terms of referent choice for non-obligatory control relates back
253 to what is observed in early research on its obligatory counterpart (as in Eisenberg
254 and Cairns above). The five-year-olds who permit sentence-external readings seem
255 to have a wider set of constructions from which to narrow down to obligatory control
256 and they haven’t yet reached an adult grammar in which obligatory control is resilient
257 to pragmatic interference. Once the structure of a controlled clause is built, the *ec* must
258 receive a specification. If selected by a control verb, this will come from a designated
259 argument in the main clause but if not, the value attributed to it can be arbitrary (where
260 the value is minimal, such as +animate; see Haegeman, 1994) or become specific,
261 given the right discourse conditions (see Ariel, 1988, 2000). The greater number of
262 interpretative possibilities in control suggests an extra level of complexity in the
263 learning task for obligatory control than that which exists for reflexive binding.

264

265 The last sub-type of control that is relevant to our current study is rather different from
266 the regular examples of obligatory control shown in (2a and b) above, and notorious
267 for the difficulty it causes in acquisition. This is double-complement subject control,
268 represented almost exclusively by the verb ‘promise’. In this construction, the locality
269 principle otherwise strictly adhered to (see Rosenbaum, 1967) is broken and the child
270 must work out that for this rogue sentence, the object is skipped in favour of the
271 subject:

272

273 (8) John₁ promised Peter₂ [_{ec₁}to walk the dog] DOUBLE-COMPLEMENT SUBJECT
274 CONTROL

275

276 There is, as demonstrated in Cohen Sherman and Lust (1986), a conflict between the
277 lexical and structural principles associated with ‘promise’, principles which need to be
278 reconciled for acquisition to occur. The lexical subject-control property of ‘promise’

279 contradicts the unmarked structural requirement in double-complement control
280 structures, namely that the closest c-commanding DP in the matrix clause be the
281 antecedent. In contrast, in object control, the lexical and structural requirements tally
282 with one another.

283
284 As expected on the basis of its idiosyncratic nature, and its breaking of an already
285 acquired principle, the promise construction is acquired late. Children up to the age of
286 ten can still falter on this example of control (see C Chomsky, 1969; Tavakolian, 1978;
287 Pinker, 1984; Hsu et al. 1989; Eisenberg and Cairns, 1994; Kirby et al. 2010).

288
289

290 *1.3 The Current Study*

291 If we use the literature on binding and obligatory control in TD as a benchmark against
292 which to measure our HFA children's progress, we can form some expectations with
293 regard to their performance in the current study.

294
295 We have seen that performance on binding in ASD is mixed. The picture emerging is
296 that children classified as LFA do exhibit problems in this area of grammar, however,
297 HFA children perform on par with their non-verbal MA-matched peers. Following this
298 literature, we expect that our HFA participants will exhibit a level of comprehension of
299 reflexives and pronouns no different to that of their matched controls. Our ability to
300 replicate the aforementioned results on pronouns is particularly important, given the
301 pragmatic deficits for which this population is renowned.

302
303 The literature on the acquisition of binding and control in TD has also shown that
304 reflexive binding is achieved before obligatory control. Specifically, for a short time,
305 children continue to accept an incorrect reference in obligatory control after the age at
306 which they perform flawlessly on reflexive binding. If our HFA children are following a
307 typical trajectory, we expect their performance on reflexive binding and obligatory
308 control to exhibit this same order, namely reflexives prior to obligatory control, or
309 rather, an equal pattern of performance, if they are of an age when both of these
310 constructions are already established in typical development. A pattern that deviates

311 from this order would be one where the HFA children perform worse on reflexives than
312 on obligatory control.

313 Our expectations with regard to performance on obligatory control are more
314 exploratory since there is no work on this construction in ASD yet. We focus on single-
315 complement subject control (e.g. *try*), object control (e.g. *persuade*) and double-
316 complement subject control (e.g. *promise*). The single-complement subject control
317 condition, which is the type of control acquired earliest in TD, will indicate whether
318 children show any propensity to opt for a sentence-external, yet pictorially
319 represented, referent. This task would indicate whether a purely visual distraction of
320 an additional potential referent could lead children away from the obligatory
321 antecedent. For object control, we aim to establish if the children adhere to locality, by
322 disallowing a subject interpretation. Lastly, on the basis of the hypothesis that control
323 is not derived by movement, the children's performance on regular control is expected
324 to be far better than that reported in the HFA literature for structures whose underlying
325 movement operation is uncontroversial, namely passives and raising. For double-
326 complement subject control our question is whether HFA children exhibit similar
327 problems to those witnessed in much younger TD children with respect to its breaking
328 of locality (C Chomsky, 1969; Tavakolian 1978; Cohen Sherman and Lust, 1993). In
329 light of what is known about the course of development of control constructions in TD
330 children, we would like to see if our HFA children's performance suggests that same
331 course, namely: single-complement subject control < object control < double-
332 complement subject control.

333

334 It is possible that the complex learning task of acquiring different types of control
335 constructions be affected by factors such as chronological age and general linguistic
336 and cognitive skills, thus we shall also investigate the effects of these factors in the
337 performance of our samples. This pertains especially to double-complement subject
338 control constructions, whose tokens are rare and whose acquisition requires a
339 resolution of opposing syntactic and lexical requirements. The same possibility
340 extends to pronouns, which are subject to both syntactic and pragmatic constraints
341 and whose acquisition is also delayed in typical development.

342

343

344 2. Method

345 2.1 Participants

346 Seventy-five⁷ children took part in the study: twenty-six HFA children (4 girls) aged
347 between 7;3-16;4 ($M=12;02$, $SD=2;06$) were matched individually to one group of
348 twenty-four⁸ TD controls (5 girls), aged 6;06-15 ($M=9;09$, $SD=2;04$) on non-verbal
349 reasoning, and matched individually to another group of twenty-five⁹ TD control
350 children (4 girls), aged 5;06-13;01 ($M=8;09$, $SD=2;04$) on verbal MA.

351

352 HFA children were recruited from four specialist schools for children with ASD in
353 greater London, Berkshire and Kent. The clinical diagnosis of ASD¹⁰, a key entry
354 requirement to the school, was made on the basis of either DSM-IV TR (APA, 2000)
355 or ICD-10 (WHO, 1992). None of the children had any hearing impairments or any
356 accompanying deficits (neurological or genetic disorder, such as Rett syndrome,
357 tuberous sclerorosis, fragile X). Details of the participants' ages and scores on measures
358 of verbal and non-verbal abilities are given in Table 1. Their non-verbal IQ, as
359 measured on the Matrices subtest of the Kaufman Brief Intelligence Test (KBIT)
360 ranged between 82-154, $M=113.65$ ($SD=15.64$). Following the standard literature on
361 HFA classifications, only children whose non-verbal IQ was 80 or above were
362 included. Their scores on standardized tests of verbal abilities were rather
363 heterogeneous, in line with the literature (e.g. Kjelgaard and Tager-Flusberg 2001): on
364 the British Picture Vocabulary Scales II (BPVS II), their standard scores ranged from
365 45 to 121, $M=90.77$ ($SD=23.87$), and on the Test of Reception of Grammar 2 (TROG)
366 from 55 to 116, $M=91.73$ ($SD=18.33$).¹¹ TD controls, with no known developmental

⁷ Two more HFA children were recruited but were excluded from this number for failing to complete the test battery.

⁸ This group consists of 24 participants, as no suitable matches could be found for two HFA children who gained extremely high raw scores on KBIT (44 and 48 out of the possible 48).

⁹ This group consists of 25 participants, as no suitable match could be found for one HFA child with a low raw BPVS score (45).

¹⁰ One of the children had a diagnosis of Asperger syndrome rather than ASD, but since Asperger has been subsumed under the general ASD diagnoses in the latest version of DSM-5, it was decided to collapse both diagnoses in this sample.

¹¹ Despite the wide range of children's standard scores on the tests of grammar (TROG 2) and vocabulary (BPVS II), only three children in our sample could be classified confidently as Autism plus

367 delays or hearing impairment, were recruited from schools in greater London and
 368 Berkshire. One group of children, TD KBIT, was matched individually to the HFA
 369 children on non-verbal reasoning, as per the raw score on KBIT Matrices, as well as
 370 gender. The other control group, TD BPVS, was matched individually to the HFA
 371 children on verbal MA, as per the raw score on BPVS 2, and gender. Twelve adult
 372 controls from the same geographical regions were also recruited. Their performance
 373 on the experimental task was at ceiling.¹²

374

375 **Table 1.1. Ages and Mean Standard and Raw Scores (Standard Deviation) on Tests**
 376 **of Language and Cognition for all Participant Groups.**

Group	HFA <i>n</i> =26	TD KBIT <i>n</i> =24	TD BPVS <i>n</i> =25
Age in months	147.31 (31.14)	119.21 (28.77)	106.92 (29.55)
Range	88-197	80-180	68-158
KBIT SS	113.65 (21.09)	119.58 (15.63)	-
Range	82-154	88-158	
KBIT Raw Scores	33.96 (7.04)	32.08 (6.13)	-
Range	22-48	21-44	
BPVS-II SS	90.77 (23.87)	-	115.92 (13.99)
Range	45-121		97-149
BPVS-II Raw Scores	100.69 (23.69)	-	102.44 (21.21)
Range	45-137		61-141
TROG-2 SS	91.73 (18.34)	-	-
Range	55-116		

Language Impairment (ALI), having scored at/or nearly at floor on these measures. Their BPVS standard scores were 45 and 47 and their scores on TROG were 53 and 55. A further child could be classified as borderline impaired (Kjelgaard and Tager-Flusberg, 2001) on both measures: 79 on BPVS and 78 on TROG, while two more scored in the severely impaired range on the vocabulary measure (BPVS SS of 54 and 55) but not the grammar measure (TROG SS of 79 and 81). These were not classified as ALI.

¹² In some dialects of American English, *promise*, although always carrying a subject-reading, is a more marked construction. For this reason it was important that our adult participants' interpretations all converged, in their universally accepting the construction and rejecting an object reading.

TROG-2 Raw scores 14.69 (4.44)

Range 4-20 - -

377

378 Key: KBIT SS = Kaufmann Brief Intelligence Test Standard Scores; BPVS SS = British
379 Vocabulary Scales Standard Scores; TROG SS = Test of Reception of Grammar
380 Standard Scores. Measures on which HFA participants are matched to TD controls
381 are in bold.

382

383 2.2 Materials

384 2.2.1 Binding Task

385 To test children's comprehension of binding, we employed a two-choice picture-
386 selection task from Perovic and Wexler (2007) and Perovic et al. (2013a, b), who used
387 it on a large number of typical children and children with developmental disorders such
388 as ASD and Williams syndrome. The pictures, which involved the well-known
389 characters from the Simpson family, were presented on a laptop screen (specific
390 details about the procedure are given at the end of the Methods section, as they
391 pertain to both the Binding and Control tasks).

392 The task included two critical conditions, *Name Reflexive* and *Name Pronoun*, and two
393 control conditions, *Name Possessive* and *Name Name*. In *Name Reflexive* and *Name*
394 *Pronoun*, the subject of the sentence was always a possessive noun phrase (e.g.
395 *Bart's dad*) and the object was either a reflexive (e.g. *himself*) or a pronoun (e.g. *him*).
396 Thus the *Name Reflexive* sentence '*Bart's dad is washing himself*' was presented with
397 two pictures on the screen: one picture in which Homer (Bart's dad) is washing himself
398 in a bathtub with Bart standing by (the correct choice), and the other picture in which
399 Homer is washing Bart who is sitting in a bathtub (the incorrect choice). The *Name*
400 *Pronoun* sentence '*Bart's dad is washing him*' was presented with one picture showing
401 Homer washing Bart who is sitting in the bathtub (the correct choice), and the other
402 picture showing Homer washing himself in a bathtub with Bart standing by (incorrect
403 choice).

404 Possessive noun phrases as subjects provided two possible antecedents for the
405 reflexive or pronoun: *Bart's dad* (i.e. *Homer*), which c-commands the object, and *Bart*,
406 the possessor, which does not. In order to independently test participants'

407 understanding of possessive noun phrases, and the crucial relation of c-command, the
408 control condition *Name Possessive* also used a possessive subject (*Bart's dad*). For
409 a sentence '*Bart's dad is eating an ice cream*', one picture showed Homer (Bart's dad)
410 eating an ice cream (correct choice), and the other picture showed Bart eating an ice
411 cream (incorrect choice).

412 *Name-Name* also served as a control condition, containing proper names in the
413 subject position and no reflexives or pronouns in the object position (e.g. '*Bart is*
414 *washing dad*'), in order to test that the child could understand the task.

415 Four verbs, 'wash', 'touch', 'point to', and 'dress' were used in the NP and NR
416 conditions, with each verb occurring twice. Each of the four conditions included eight
417 sentences, giving a total number of 32 sentences in the task.

418

419

420 2.2.2 Obligatory Control Task

421 A new two-choice picture-selection task using the same Simpsons characters as
422 above was devised for the following control constructions: single-complement subject
423 control (*try*), object control (*persuade*) and double-complement subject control
424 (*promise*).¹³ A simple SVO structure was used to test that the children understood the
425 task. All sentence types included eight items.¹⁴

426 Prior to the trial, we used a structured interview technique to determine the children's
427 understanding of the verbs independently of control. The specific questions that each
428 child was asked, together with a representative selection of the children's responses
429 can be found in Appendix D. Only one child with HFA gave a less than satisfactory
430 answer on *try*, however, it was decided not to exclude him as his performance on this
431 condition was at ceiling.

432

433 The following sentence types and corresponding pictures were used in the Control
434 task:

¹³ These verbs were chosen because they represent prototypical examples of control but also because they lent themselves well to the task adopted here.

¹⁴ Two additional tasks, testing the adjuncts 'while' and 'after' were also included in the test battery but their results are not included in the current analysis.

435 Single Complement Subject Control (*try*): Four of the eight sentences in this condition
436 included the main-clause subject performing an action on the complement's inanimate
437 object with another unmentioned character depicted nearby. To illustrate, the sentence
438 '*Bart tried to eat the sandwich*' was accompanied by a corresponding picture in which
439 Bart was eating a sandwich while Lisa stood next to him, and a foil in which Lisa was
440 eating the sandwich and Bart stood next to her. This tested whether the child would
441 opt for a visually depicted yet unmentioned referent as the agent of 'eat' (Lisa in this
442 instance) over the visually depicted sentence-internal referent. The other four
443 sentences included the main-clause subject performing an action on the complement's
444 animate object. Thus '*Homer tried to wash Bart*' was accompanied by a corresponding
445 picture in which Homer was washing Bart, and a foil in which Bart was washing Homer.
446 This checked whether the child might choose an incorrect referent on the basis of a
447 'last-heard referent' strategy.¹⁵

448 Object Control (*persuade*): This condition used corresponding pictures in which the
449 matrix object engaged in an action. The foil pictures depicted the matrix subject
450 engaging in the action. For the example sentence '*Homer persuaded Marge to drive*
451 *the car*', the corresponding picture showed Marge driving, with Homer standing next
452 to the car, whereas in the foil, Homer was behind the wheel with Marge standing by.

453 Double Complement Subject Control (*promise*): The corresponding pictures showed
454 the matrix subject engaged in an action, whereas in the foils the matrix object was the
455 actor. In the example sentence, '*Homer promised Marge to walk the dog*', the correct
456 picture depicted Homer leading the dog with Marge standing by, whereas in the foil
457 Marge led the dog and Homer stood next to her.¹⁶

458 Serving as a control condition to test that the participants could understand the task,
459 the SVO condition contained simple subject-verb-object sentences with no embedding

¹⁵ These two sets of sentences were originally treated as two sub-conditions: *try-animate* and *try-inanimate*, however, no difference was found in the children's performance and the responses were analysed together.

¹⁶ Note that the main verbs in all of the above conditions were in the past tense. Following a pilot study in present tense with several children and adults, it was agreed that past tense best suited the *promise* sentences. To reduce variation between conditions, all of the verbs in the three experimental conditions were changed to past tense. The last version of the task was administered to the twelve adults, aged 18-55, all of whom demonstrated ceiling performance.

460 and no infinitive verbs. They included the same characters and similar types of action
461 to the other pictures, for example, the sentence '*Homer is walking the dog*' was
462 accompanied by two pictures, one showing Homer walking the dog with Marge looking
463 on, and a foil in which the characters were reversed.

464 As can be observed in Appendix B, the sentences included a variety of actions, in
465 order to keep the pictures and the task more engaging. The verbs were used at most
466 twice in each of the conditions.

467

468 *2.3 Procedure*

469 Both Binding and the Control tasks involved an identical procedure. Participants were
470 shown pictures on the laptop computer, and then asked to point to the picture that
471 went best with the sentence they heard ('Point to the picture that goes best with what
472 I say'). The instructions were given for the first and second trial, after which children
473 continued to respond without further instructions. Each participant was presented with
474 a different order of pictures, which was randomized automatically by the software
475 used. The location of the correct picture (i.e. whether it occurred on the right or left)
476 was balanced throughout.

477 Prior to the administration of each task, children were familiarized with the characters
478 and the actions depicting the verbs used in the tasks (see Appendix C).

479 The test battery was administered in a quiet room at the children's schools by one of
480 the two experimenters present in the room. The battery was presented over the course
481 of two sessions, each lasting approximately 30 minutes. To keep the length of each
482 session similar, the order of presentation was BPVS, KBIT and the Binding task in the
483 first session, and TROG and the Control task in the second session. There was a
484 space of 2-3 weeks between sessions. The scoring of the binding and control tasks
485 was computerized, i.e. the software recorded the picture choice, while the
486 standardized tests were scored by the experimenter administering the test on a
487 scoring sheet. Aside from being presented on the screen, the sentences were uttered
488 by the experimenter once. The children were free to ask for the sentence to be
489 repeated if necessary and were not penalized if the sentence was repeated.

490

491

492 **3. Results**

493 Participants' responses to each item (correct or incorrect) were analysed using the
 494 GLMM procedure in SPSS, 21, as logistic regression models have been argued to be
 495 better suited to binomially distributed data than ANOVAs (Jaeger 2008; Quene and
 496 van der Bergh, 2008). The fixed effects built into the model were Group, Sentence
 497 Type and the Group*Sentence Type interaction. Separate analyses were carried out
 498 for the two tasks.

499

500 3.1 Binding

501 Table 1.2 shows estimated mean probabilities correct and the standard error for each
 502 sentence type. The analysis revealed no significant effect of Group ($F(2, 288)=0.223$,
 503 $p=.801$) but a significant effect of Sentence Type ($F(3, 288)=14.793$, $p<.001$). No
 504 significant Group*Sentence Type interaction was found ($F(6, 288) = 0.999$, $p=.426$).

505

506

507 **Table 1.2 Estimated Mean Probabilities Correct (Standard Error) on Binding**

508

Sentence	HFA		TD KBIT		TD BPVS	
	<i>Mean</i>	<i>SE</i>	<i>Mean</i>	<i>SE</i>	<i>Mean</i>	<i>SE</i>
<i>Name Pronoun</i>	0.90	(0.04)	0.89	(0.04)	0.89	(0.04)
<i>Name Reflexive</i>	0.94	(0.03)	0.98	(0.01)	0.99	(0.01)
<i>Name Poss.</i>	0.99	(0.01)	0.99	(0.01)	0.99	(0.01)
<i>Name Name</i>	0.99	(0.01)	0.98	(0.01)	0.98	(0.01)

509 Note: HFA=high-functioning autism group, TD KBIT=typically developing group
 510 matched on raw score of KBIT, TD BPVS= typically developing group matched on raw
 511 score of BPVS.

512

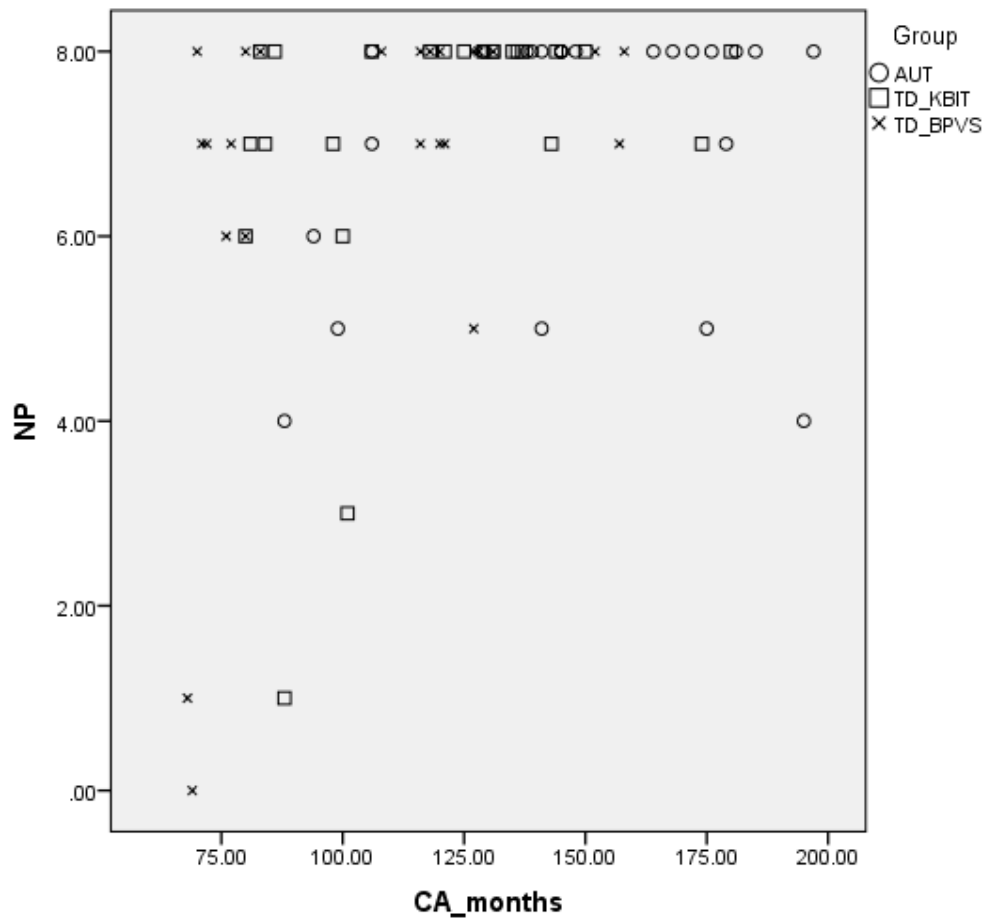
513 Pair-wise comparisons (Sidak-corrected) uncovered no difference between groups on
 514 any of the conditions. As indicated by the significant effect of Sentence Type, for all
 515 groups collapsed, children performed better on all sentence types than on the Name-
 516 Pronoun condition: Name-Reflexive ($t(288)=3.606$, $p=.001$) (OR=6.93), Name-
 517 Possessive ($t(288)=4.465$, $p<.001$) (OR=19.85) and Name-Name ($t(288)=4.191$,
 518 $p<.001$) (OR=10.77). The groups' performance did not differ on other conditions:
 519 Name-Possessive vs. Name-Name ($t(288)=.908$, $p=.722$) (OR=1.84), Name-

520 Possessive vs. Name-Reflexive ($t(288)=.941, p=.722$ (OR=2.86) and Name-Name vs.
521 Name-Reflexive ($t(288)=.474, p=.722$, (OR=1.55). In contrast to the uniformly ceiling
522 performance on the other three sentence types, the individual data in the Name-
523 Pronoun condition shows variation in all of the groups (see scatterplot in Figure 1),
524 particularly in the youngest TD BPVS group and the HFA group.

525

526 Figure 1: Scatter plot showing the relationship between age (x-axis) and children's
527 performance on *Name-Pronoun* (y-axis).

528



529

530

531 The Name-Reflexive condition also elicited a consistent ceiling performance from the
532 TD groups, although three HFA children scored at or below chance¹⁷ on this condition.
533 Individual variability in the groups' performance is shown in the scatterplot in Figure 2.
534 It is worth noting here that two of these children qualify as ALI (their score on Name-

¹⁷ We consider the score of 6 out of 8, 75%, to be above chance.

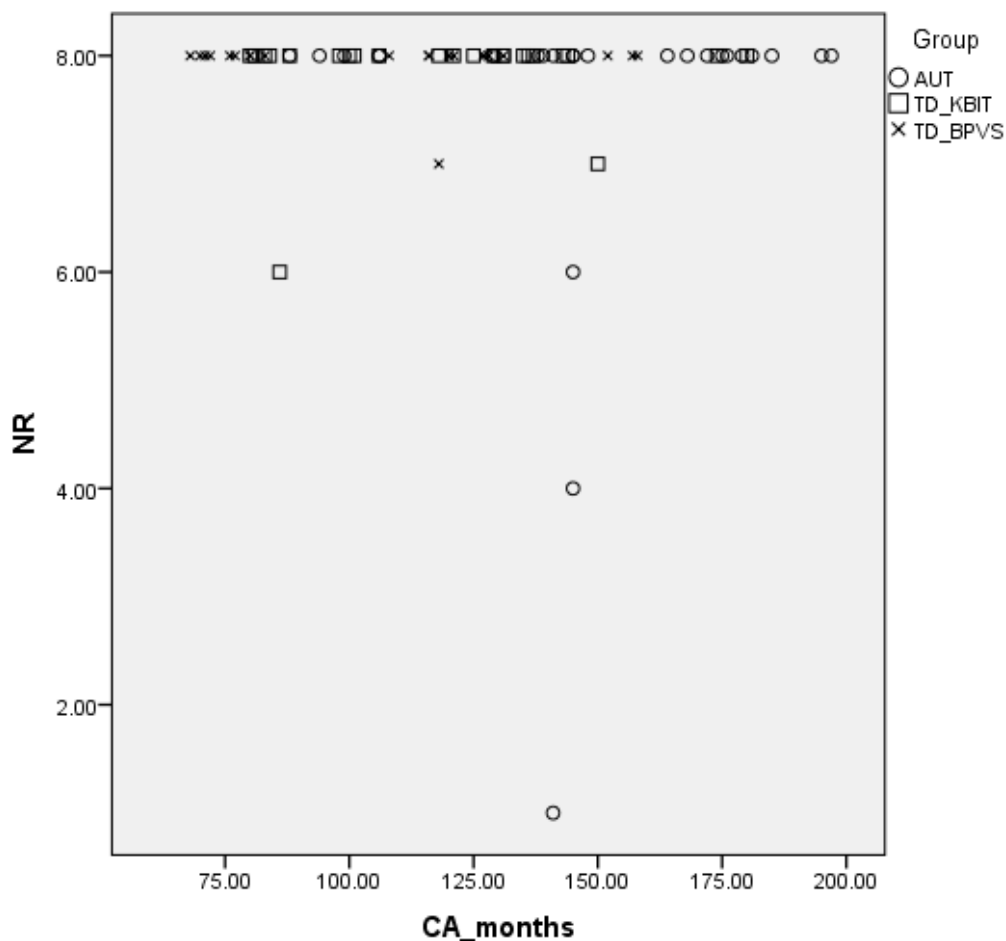
535 Reflexive were 1/8 and 3/8 correct), while one child who scored 6/8 correct was
536 borderline ALI (see footnote 11).

537

538 Figure 2: Scatter plot showing the relationship between age (x-axis) and children's
539 performance on *Name-Reflexive* (y-axis).

540

541



542

543

544 3.2 Obligatory Control

545 The analysis revealed no significant effect of Group ($F(2, 288)=2.078, p=.127$), again
546 a highly significant effect of Sentence Type ($F(3, 288)=18.540, p<.001$) and no
547 significant Group*Sentence Type interaction ($F(6, 288)=1.192, p=.310$). Estimated
548 mean probabilities correct and the standard error for each sentence type are given in
549 Table 1.3.

550

551 **Table 1.3. Estimated Mean Probabilities Correct (Standard Error) on Control**

552

Sentence	HFA		TD KBIT		TD BPVS	
	<i>Mean</i>	<i>SE</i>	<i>Mean</i>	<i>SE</i>	<i>Mean</i>	<i>SE</i>
<i>Promise</i>	0.70	(0.06)	0.92	(0.04)	0.77	(0.05)
<i>Try</i> ¹⁸	0.99	(0.01)	0.98	(0.01)	0.96	(0.01)
<i>Persuade</i>	0.96	(0.02)	0.94	(0.03)	0.95	(0.03)
<i>SVO</i>	0.99	(0.01)	0.99	(0.01)	0.97	(0.01)

553

554 The significant effect of Sentence Type for all groups when collapsed was sourced to
 555 their performance on *promise*. Sidak-corrected pair-wise comparisons revealed that
 556 the TD KBIT group performed significantly better on *promise* than the HFA group
 557 ($t(288)=3.110$, $p=.006$) (OR=4.93), and marginally better than the TD BPVS group
 558 ($t(288)=2.157$, $p=.063$) (OR=3.43). There were no differences in the performance of
 559 the HFA group and the younger TD BPVS ($t(288)=0.915$, $p=.361$) (OR=1.43).

560

561 There were no statistically significant differences in the performance of the three
 562 groups on any of the remaining sentence types (estimated mean probabilities correct
 563 were between .94 and .99 for all groups):

- 564 - *try* - HFA vs. TD KBIT: ($t(288)=0.090$, $p=.928$) (OR=2.02), TD KBIT vs TD
 565 BPVS: ($t(288)=1.348$, $p=.384$) (OR=2.04), HFA vs. TD BPVS: ($t(288)=1.446$,
 566 $p=.384$) (OR=4.12);
- 567 - *persuade* -HFA vs. TD KBIT: ($t(288)=0.465$, $p=.954$) (OR=1.53), TD KBIT vs TD
 568 BPVS: ($t(288)=0.170$, $p=.954$) (OR=0.82), HFA vs. TD BPVS: ($t(288)=0.300$,
 569 $p=.954$) (OR=1.26)

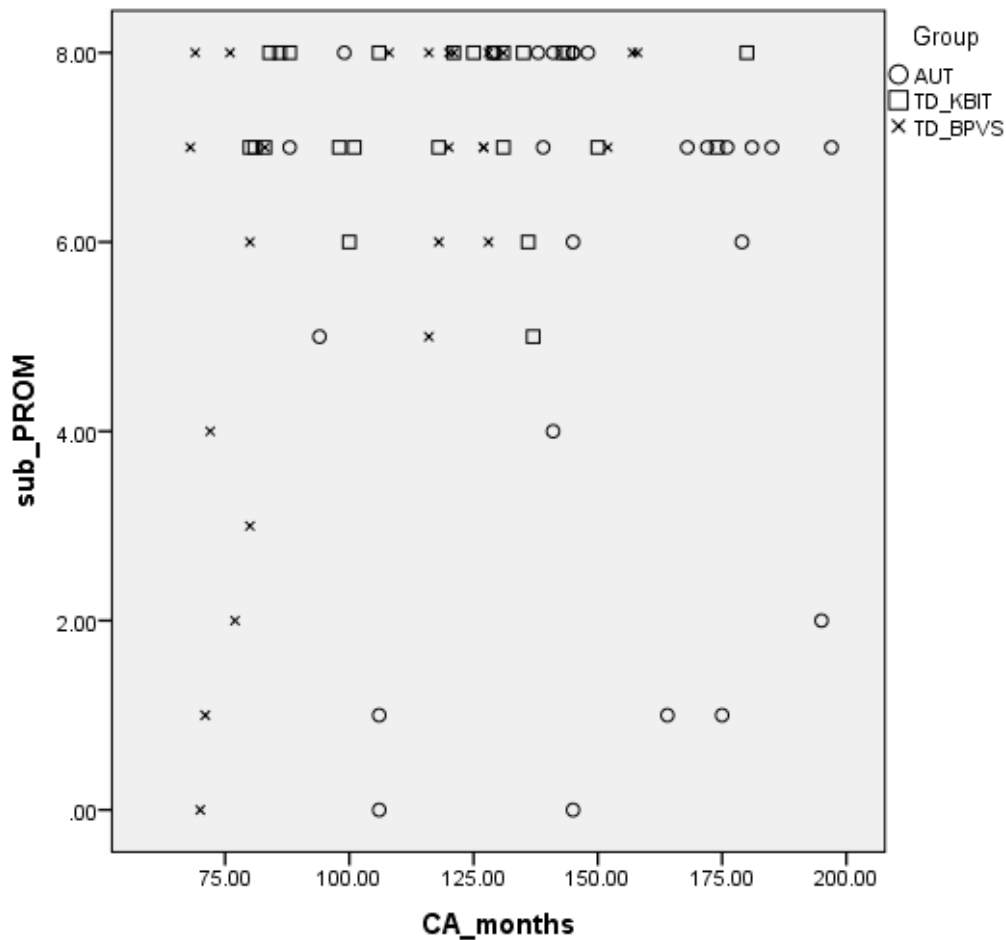
¹⁸ Note that there were two out of 85 children who made two errors on *try* (all other children made no errors, or one error only in the animate *or* inanimate sub-condition). The children who did make two errors were a HFA child, whose extremely low vocabulary and grammar scores indicated a clear language impairment, and one young typical child, aged 6;6. Their errors concerned only the animate sub-condition, which suggests that animacy may have played a role in the comprehension of *try* sentences in these two children.

570 - SVO - HFA vs. TD KBIT: ($t(288)=0.429$, $p=.668$) (OR=1), TD KBIT vs TD BPVS:
 571 ($t(288)=1.347$, $p=.447$) (OR=3.06), HFA vs. TD BPVS: ($t(288)=0.987$, $p=.544$)
 572 (OR=3.06).

573

574 Figure 3: Scatter plot showing the relationship between age (x-axis) and children’s
 575 performance on *promise* (y-axis).

576



577

578

579 In the HFA group, eight children had significant difficulties interpreting *promise* (5 and
 580 less out of 8 correct), compared to six children in the TD BPVS group, and one child
 581 in the TD KBIT group (see scatter plot in Figure 3).

582

583 All incorrect responses on *promise* were examined to check whether difficulties could
 584 be sourced to occurrences of particular verbs, e.g. that the verb ‘walk’ was used twice
 585 in this condition, rather than once. This was not the case in any of the groups.

586

587 **3.3. Correlation Analyses**

588 In order to ascertain the influence of age and general verbal and non-verbal abilities
 589 on the accuracy of children’s comprehension of the two sentence types which showed
 590 most variation, *promise* and *Name-Pronoun*, we ran three correlation analyses. Our
 591 findings show that age was positively correlated to performance on the *Name-Pronoun*
 592 and *promise* conditions only in the youngest TD BPVS group but not in the HFA group,
 593 or the TD KBIT group (see earlier scatterplots for a clearer view of the relationship
 594 between age and children’s performance on relevant sentence types). Performance
 595 on KBIT (measuring non-verbal reasoning), BPVS (measuring receptive vocabulary)
 596 and TROG (measuring receptive grammar) was positively correlated to the HFA
 597 group’s performance only on *promise*, but not on *Name-Pronoun*. The performance of
 598 the two typical groups on *Name-Pronoun* and *promise* was not correlated to their
 599 performance on KBIT or BPVS¹⁹.

600

601 Table 3: Pearson correlation coefficients of the relationship between children’s scores
 602 on *Name-Pronoun* (NP) and *promise* (out of 8 possible correct), and age, non-verbal
 603 reasoning (standard scores on KBIT), receptive vocabulary (standard scores on
 604 BPVS) and grammar (standard scores on TROG).

605

	HFA		TD KBIT		TD BPVS	
	NP	<i>promise</i>	NP	<i>promise</i>	NP	<i>promise</i>
Age	.226	.018	.387	.015	.439*	.549**
KBIT SS	.247	.447*	.208	.073	.370	.091
BPVS SS	.175	.474*	-.246	.148	-.003	.060
TROG SS	.361	.472*	-	-	-	-

606

607

608

609 **4. Discussion**

¹⁹ The negative correlation coefficient between BPVS and Name-Pronoun in both TD groups was due to several younger children with very high BPVS SS, who scored low on Name-Pronoun due to their young age.

610 The present study drew a comparison between comprehension of reflexive binding
611 and obligatory control in twenty-six British high-functioning children with autism and
612 two groups of TD children, individually matched on verbal and non-verbal abilities. The
613 choice of these two constructions was motivated by both clinical and theoretical
614 considerations. Its clinical import is that of contributing to the as yet still limited
615 literature on complex syntax in ASD. Obligatory control has not been studied at all in
616 this population and reflexive and pronominal binding only to a limited degree. Of
617 theoretical interest is whether the mechanism underlying control is the same or
618 different to other constructions that have been traditionally argued to involve the same
619 underlying syntactic mechanisms, such as raising. Specifically, if control is a
620 dependency involving a relation between a trace and an antecedent, we expected our
621 HFA children to exhibit difficulty with it on a par with that found for raising and passives.
622 If not movement-based, however, we expected it to pattern more closely to the results
623 found for binding. We found the latter to be true. The two sentence types that did cause
624 difficulty, and showed most variation in the groups' performance, were pronominal
625 binding (the *Name-Pronoun* condition), and particularly double-complement subject
626 control (the *promise* condition). We start our discussion with binding, indicating how
627 the current results map with the previous literature, and then move onto control,
628 drawing a distinction between the three different sub-types and the contributions that
629 the current disclosed patterns provide for our understanding of the HFA grammatical
630 profile and for our more general understanding of the nature of the control relation.

631

632 As a group, the HFA children showed a very good comprehension of reflexives, with
633 an estimated mean proportion correct of .94, suggesting intact reflexive binding. These
634 results on British children tally precisely with those found for American HFA children's
635 comprehension of reflexives as reported in Perovic et al. (2013a). Three children in
636 the current sample of twenty-six showed less than perfect performance: two performed
637 at or below chance on this sentence type, and one just above chance. Crucially, the
638 first two children qualified as ALI ('autism plus language impairment') and the third as
639 a border-line ALI, as per their scores on the standardized language assessments. This
640 is again in line with Perovic et al. (2013b), whose sample of twenty-six ALI children
641 also showed a chance performance on reflexives, which was interpreted as signaling
642 deficient knowledge of reflexive binding. However, some variability in the performance

643 of children with ALI is also noted here: one child classified as ALI showed a ceiling
644 performance on reflexives.

645

646 No difference between the three groups was observed in the pronoun condition. The
647 estimated mean proportion correct in HFA was .90, and in the two TD groups it was
648 .89. Although this is a high performance, notable variation is still evident in all three
649 groups. The variation we see in our current samples is also in line with the previous
650 literature. In Perovic et al. (2013b), twenty-two children classified as ALN ('autism with
651 normal language'), exhibited some difficulties in their interpretation of pronouns in an
652 identical task, although again, their performance did not differ from a group of non-
653 verbal MA-matched controls.

654

655 The literature on typical development reviewed in earlier sections reports that the
656 problems with pronoun interpretation disappear with age. This age-dependent
657 development is corroborated in our TD sample (especially in the younger TD BPVS
658 group), but not in our HFA group. Both age and scores on the standardized
659 assessments of non-verbal reasoning, vocabulary and syntax comprehension varied
660 greatly in our HFA participants, but none of these correlated with their performance on
661 pronouns. If we assume that there are variable levels of difficulty with pragmatics in
662 our sample, and if the interpretation of pronouns is decided at the syntax-pragmatics
663 interface, then the absence of any correlations on these measures is perhaps
664 expected.

665

666 For the obligatory control conditions, the simplest construction tested was single-
667 complement subject control (*try*). Incorrect answers would either have indicated that
668 the children permitted free interpretation of the implicit agent (where the direct object
669 in the infinitival was inanimate) or that they were employing a last-heard referent
670 strategy (where the direct object in the infinitival was animate). Ceiling performance
671 on this construction confirmed that this was not so. With regard to object control
672 (*persuade*), there was also no difference between groups. As a first test on knowledge
673 of this construction in HFA children, the results from these two regular examples of
674 control offer support for the claim that the syntax underlying canonical obligatory
675 control is preserved. The children's systematic preference for an adult-like reading

676 points to a firm grasp of the obligatory nature of the interpretative link between the
677 argument in the main clause and the understood subject in the complement.

678

679 We turn now to double-complement subject control (*promise*) for which there was a
680 varied performance, especially in the HFA children and their language-matched
681 control group with estimated mean probabilities correct of .70 and .77, respectively.
682 First of all, our finding supports all the studies that have tracked this construction's
683 development in TD children (e.g. Hsu et al. 1989; Cohen Sherman and Lust, 1993;
684 Eisenberg and Cairns, 1994). The *promise* sentences proved exceptionally difficult for
685 only a proportion of our HFA group. However, eighteen children demonstrated an
686 adult-like grasp of this construction. Let us look more closely at the eight who did not.
687 A first possibility we need to exclude is that they were not paying attention to the whole
688 sentence string. If the children attended only to the final part of the sentence, then their
689 poor performance is orthogonal to the control properties of this particular verb.²⁰ This
690 would explain their choosing the object in the *persuade* and the *promise* constructions,
691 since the picture fits with the main-clause object in both, as indicated by the underlining
692 in the examples below:

693

694 (8) (a) Homer persuaded Marge to hold the dog

695 (b) Homer promised Marge to hold the dog

696

697 Lack of attention to the main-clause verb, however, would predict that the children who
698 performed poorly on *promise* opted for the object in both *persuade* and *promise*
699 uniformly, which is true only for one of the twenty-six children. The other twenty-five
700 succeeded with *persuade* but gave mixed responses for *promise*; this equates with a
701 stage of development for this construction suggested in much previous work on
702 younger TD children (see references above).

703

704 Another possibility that needs to be ruled out is that it is the meaning of the verbs used
705 in these control examples which is responsible for these children's poor performance
706 on *promise*. If so, this again would be independent of any syntactic source to the

²⁰ We thank Nina Hyams for alerting us to this possibility.

707 problem. It is well known, for example, that individuals with ASD have an impaired
708 ability to mentalise (Happé, 1993), and the obligatory-control verbs used here all
709 involve intentions: *try* involves an intention on the part of the agent, and *persuade* and
710 *promise* both relate to or involve a change in mental states. However, the children
711 demonstrated their understanding of the verbs used in the task prior to the test itself –
712 even those children who exhibited very poor comprehension of the *promise*
713 constructions. Furthermore, problems with verbs relating to intentions cannot account
714 for the discrepancy between the children’s perfect performance on *try* and *persuade*
715 and the flawed performance on *promise*, as all three conditions employed these verb-
716 types. This line of argumentation would also not generalize to children without autism,
717 whose delayed acquisition of the *promise* construction, and not the meaning of the
718 verb itself (C Chomsky, 1969), is legendary and witnessed once again in the current
719 sample of TD children.

720

721 The question remains as to what property of the *promise* construction makes it so
722 difficult for children. The children giving mixed responses on *promise* appear reluctant
723 to break locality. This could be because of a propensity to avoid long-distance
724 dependencies generally, as reported for A-bar movement in ASD in Zebib et al. (2013)
725 for example. However, we think it more likely that for this particular construction, the
726 problem stems from the exceptional status of this type of control, and from the
727 reconciliation needed between conflicting lexical and syntactic requirements for this
728 construction, which simultaneously demand a subject and an object reference
729 respectively (see references above). There is a large number of object-controlled
730 double-complement structures (e.g. tell; order; force) relative to this one nearly
731 isolated construction which contradicts an otherwise very predictable locality rule. To
732 view the learning problem in this instance as one deriving from a deficit in establishing
733 a long-distance syntactic dependency would be far-fetched in the absence of any other
734 similar constructions against which to test. The handful of other examples of subject-
735 controlled double complements involve verbs that are highly infrequent and/or have
736 other complications (e.g. threaten; guarantee; vow to - see Boeckx and Hornstein,
737 2004), making them a poor means for comparison. Furthermore, in their responses,
738 we have seen nothing different from that witnessed in the TD literature for younger

739 children.²¹ It is also worth highlighting that at the age at which TD children have
740 mastered constructions with long-distance dependencies (see for example C
741 Chomsky, 1969, and de Villiers, Roeper and Vainikka, 1990, and Thornton and Crain,
742 1994, on long-distance wh-movement) they still falter with *promise*.

743

744 It is noteworthy that the HFA children's performance on *promise* did again not correlate
745 with age. This distinguishes them from the youngest language-matched TD group,
746 where a highly significant age-related correlation for success on *promise* was
747 observed. This correlation was also not observed in the older TD group matched on
748 non-verbal-reasoning, though their ceiling performance precluded the possibility of
749 seeing such a correlation. However, the HFA group's performance on *promise*
750 correlated moderately with their performance on the standardized tests of language
751 and non-verbal reasoning, a correlation not observed in either of the TD control
752 groups. Thus it seems that strong vocabulary and syntax comprehension is needed
753 for the above mentioned reconciliation between conflicting lexical and syntactic
754 requirements for this construction.²²

755

756 The design of the current task enables us to return to our earlier discussion of
757 experiments on argument dependencies in autism, which adopted a similar
758 experimental design (Perovic et al. 2013a, b; Perovic and Wexler, 2007), and relate
759 these to the results on regular control and binding found here. Recall that LFA- but not
760 HFA children performed deficiently on binding, whereas children with autism across
761 the low- and high-functioning range seem to show difficulties comprehending passives
762 and raising. Reflexives and the implicit subject in controlled complements require a
763 local, agreeing and c-commanding argument from which they gain their reference.
764 This much they share. On most theoretical accounts, they are also not derived by
765 movement/displacement (see Williams, 1980; Manzini, 1983; Landau, 2000; 2013;
766 Janke, 2007; Rooryck, 2007; but see Hornstein, 2001, for a raising-based account).

²¹ See Caplan and Hildebrandt (1988) for data on two aphasic patients who also show a pattern of better performance on object control, *persuade*, and a poorer performance on subject control, *promise*.

²² An approach that appears promising in terms of facilitating abstract representations of structures that children with SLI find difficult is set out in Garraffa, Coco and Branigan (2015), which used a sentence-priming paradigm effectively.

767 But the two relations cannot be conflated entirely (see also Lasnik, 1992). As
768 mentioned in the introduction, the null subjects in control also form a heterogeneous
769 set in terms of how their reference is determined, encompassing subject, object,
770 discourse, and generic interpretations. In obligatory control, it must be established
771 whether or not a particular verb selects for a controlled complement. If it does, there
772 will be a designated controller and part of the child's learning task is to grasp the
773 obligatory nature of this relationship. This selectional restriction is not operative for the
774 *ec* in non-obligatory controlled clauses, whose interpretation is regulated extra-
775 syntactically. Depending on the type of control then, namely whether it is an example
776 of obligatory or non-obligatory control, correct interpretation can call upon lexical,
777 syntactic and pragmatic knowledge. This is unlike *himself/herself*, which, whenever it
778 is the direct argument of a verb, is always an anaphor. If, as we intimated above,
779 acquisition of anaphoric dependencies is a similar yet less complicated learning task
780 to obligatory control, then a natural expectation that arose from this was that our HFA
781 children who succeeded on a picture-selection task on regular control would also
782 succeed on a picture-selection task on reflexive binding. This is exactly what we found.

783
784 The results of studies on passives and raising reviewed earlier suggest a different
785 picture for these constructions: problems appear to be evident in children across the
786 spectrum, and, most relevant to our current discussion, to HFA children. If the syntactic
787 principles underlying obligatory control differ from those that regulate passives and
788 raising, in not involving A-movement, then the bifurcation emerging here, with
789 obligatory control and binding on the one hand and passives and raising on the other,
790 makes sense theoretically. As we noted in the introduction, there have been a number
791 of recent studies into populations with ASD, using constructions whose underlying
792 movement is uncontroversial, namely *wh*-questions (Zebib et al. 2013) and relative
793 clauses (Riches et al. 2010; Durrleman and Zufferey, 2013). An interesting proposition
794 emerging from this discussion is that HFA individuals have adult-like competence of
795 reflexive binding and (regular) obligatory control but not of *wh*-movement, relative
796 clauses, passives and raising. The relations that seem to cause difficulties involve both
797 A-bar dependencies (relative clauses and *wh*-movement) and A-dependencies
798 (passives and raising), yet all involve displacement of some kind. The A-bar
799 dependencies that are most problematic are those which employ the greatest number

800 of movement operations (or constructions involving the most distance between the
801 place in which the argument surfaces and where it is interpreted), making it plausible
802 that HFA children struggle with long-distance dependencies. Yet passives and raising
803 are local relations, which suggests that displacement itself might be sufficient to cause
804 the children difficulty. Future experimentation, perhaps also on more unaccusatives,
805 can help us decide.

806

807 **5. Conclusions**

808 This paper forms a novel contribution to a line of studies dedicated to the more general
809 question of whether complex grammar is intact in children on the autistic spectrum. It
810 has taken a new example of complex grammar, namely obligatory control, and tested
811 the preferred interpretations of these constructions in HFA children. The children's
812 results on these constructions were compared with that of binding. One important
813 finding is that for regular examples of subject- and object-control and the binding of
814 reflexives, all but three children (who were classified as ALI) achieved a successful
815 performance, a result that lends support to these examples of complex grammar being
816 spared in this population. We have also discussed the degree to which properties of
817 obligatory control and binding differ from other examples of complex grammar, in
818 particular, passives and raising. The current study's results found binding and
819 obligatory control to pattern together: both were unaffected in our HFA children. We
820 contrasted this excellent performance with previous studies on passive and raising,
821 which have reported deficiencies, and suggested that together, these support a
822 distinction in terms of the syntactic operations underlying them. The significant
823 difficulties observed for the *promise* construction were not restricted to our HFA group,
824 but were also observed at a similar level in the language-matched TD controls. In line
825 with previous literature on this anomalous construction, we attribute their difficulty to
826 its breaking of locality, which is an otherwise robust grammatical principle that children
827 have already acquired and can rely on for its consistency. Children have to abandon
828 this rule for only one construction. Their reluctance to do so translates into
829 compromised acquisition.

830

831 **Appendices**

832

833 Appendix A. Binding Sentences

834

835 1. Name Reflexive

836 Bart's dad is touching himself.

837 Lisa's mum is touching herself.

838 Bart's dad is pointing to himself.

839 Lisa's mum is pointing to herself.

840 Bart's dad is washing himself.

841 Maggie's mum is washing herself.

842 Maggie's mum is dressing herself.

843 Lisa's mum is dressing herself.

844

845 2. Name Pronoun

846 Bart's dad is touching him.

847 Lisa's mum is touching her.

848 Bart's dad is pointing to him.

849 Lisa's mum is pointing to her.

850 Bart's dad is washing him.

851 Maggie's mum is washing her.

852 Maggie's mum is dressing her.

853 Lisa's mum is dressing her.

854

855 3. Name Possessive

856 Bart's dad is licking a lamp post.

857 Lisa's mum is waving a flag.

858 Bart's dad is patting a dog.

859 Maggie's mum is patting a dog.

860 Lisa's mum is driving a car.

861 Lisa's mum is playing with blocks.

862 Bart's dad is eating an ice cream.

863 Maggie's mum is eating an ice cream.

864

865 4. Name Name

866 Bart is pointing to Dad.

867 Lisa is touching Mum.

868 Bart is washing Dad.

869 Mum is dressing Maggie.

870 Dad is pointing to Bart.

871 Mum is touching Lisa.

872 Mum is washing Maggie.

873 Mum is dressing Lisa.

874

875

876 Appendix B. Obligatory Control Sentences

877 1. Single-Complement Subject Control

878 Maggie tried to wash Marge.

879 Homer tried to wash Bart.

880 Lisa tried to dress Marge.

881 Marge tried to dress Maggie.

882 Lisa tried to eat the sandwich.

883 Homer tried to eat the sandwich.

884 Bart tried to hit the punch bag.

885 Marge tried to hit the punch bag.

886

887 2. Object Control

888 Homer persuaded Marge to walk the dog.

889 Marge persuaded Homer to walk the dog.

890 Lisa persuaded Bart to build the sandcastle.

891 Bart persuaded Lisa to build the sandcastle.

892 Marge persuaded Maggie to get in the bath.

893 Marge persuaded Homer to read the book.

894 Homer persuaded Marge to drive the car.

895 Marge persuaded Maggie to pat the dog.

896

897 3. Double-Complement Subject Control

898 Marge promised Homer to walk the dog.
899 Homer promised Marge to walk the dog.
900 Bart promised Lisa to play the trumpet.
901 Lisa promised Bart to play the trumpet.
902 Lisa promised Bart to write the letter.
903 Marge promised Homer to read the book.
904 Marge promised Homer to drive the car.
905 Maggie promised Marge to pat the dog.

906

907 4. SVO

908 Homer is walking the dog.
909 Lisa is eating a sandwich.
910 Lisa is throwing water.
911 Bart is playing the trumpet.
912 Marge is driving the car.
913 Maggie is patting the dog.
914 Maggie is having ice-cream.
915 Bart is swinging a bat.

916

917 Appendix C: Familiarization procedure

918

919 Prior to the experimental task, participants were presented with pictures depicting all
920 the characters of the Simpson family on the laptop computer. The first picture showed
921 all 5 members of the family together, and the experimenter pointed out to each
922 character individually to the child: 'This is Homer, he is the dad in this family. This is
923 Marge, she is the mum in this family. These are the children: Bart, Lisa and Maggie.'
924 To ensure that the child is able to see the difference between Lisa and her younger
925 sister Maggie, the experimenter would add: 'See Maggie has a dummy here, she is a
926 baby'.
927 The following sets of picture pairs were used to ensure that the child can distinguish
928 between the characters, select the appropriate character out of the two presented on
929 the screen, and understand that the correct picture can be on either left or right side
930 of the screen:

- 931 1. Homer (left side) and Bart (right side), with the instruction: 'Point to Homer.'
- 932 2. Homer (left side) and Bart (right side), with the instruction: 'Point to Bart.'
- 933 3. Marge (left side) and Lisa (right side), with the instruction: 'Point to Marge.'
- 934 4. Marge (left side) and Lisa (right side), with the instruction: 'Point to Lisa.'
- 935 5. Lisa (left side) and Maggie (right side), with the instruction: 'Point to Lisa.'
- 936 6. Lisa (left side) and Maggie (right side), with the instruction: 'Point to baby
- 937 Maggie.'

938

939 The presentation of the above pictures was followed by pictures showing relevant

940 characters involved in an action described by the verbs used in the task: e.g. wash,

941 dry, point to and touch (Binding), and e.g. drive a car, walk the dog, play the trumpet

942 (Control).

943 The instructions uttered by the experimenter included sentences such as:

944 'Look, here we have washing/drying/touching/pointing. Marge is

945 washing/drying/touching/pointing to Maggie.' (Binding)

946 'Look, here we have driving/building/reading/walking/playing'. 'Homer is walking the

947 dog/driving the car/playing the trumpet.' (Control)

948 The experimenter would ensure that the participants can distinguish between the

949 characters before proceeding with the task. All the participants were able to follow

950 these instructions and were able to distinguish between the characters.

951

952

953

954 Appendix D: Questions used to determine knowledge of verbs independently of

955 control and representative sample of responses.

956

957 Try: what does it mean when you try?

- 958 • It's when you do something and you're not sure you can do it.
- 959 • You might not be able to do it but if you really really want to do it you can do it.
- 960 • It's like you give it a go....but you might not be able to do it.

961 Persuade: what does it mean when you persuade someone?

- 962 • You make someone do something.
- 963 • You convince someone that they do it.

964 • It's when you make someone do something.

965 Promise: what does it mean when you promise someone something?

966 • It's like when you say you'll definitely do it.

967 • I say I'll do something for sure.

968 • Once I've said I'll do it, I have to do it.

969

970 The promise question was followed up with: If you promise your mum that you will tidy
971 up your room, does that mean that you do it or you don't do it?

972 • It means I do it.

973 • I do it... well if I keep my promise.

974 • I do it.

975

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987

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