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VECTOR INVARIANTS FOR THE TWO DIMENSIONAL MODULAR REPRESENTATION OF A CYCLIC GROUP OF PRIME ORDER

H E A CAMPBELL, R J SHANK, AND D L WEHLAU

ABSTRACT. In this paper, we study the vector invariants of the 2-dimensional indecomposable representation V_2 of the cylic group, C_p , of order p over a field \mathbf{F} of characteristic p, $\mathbf{F}[m\,V_2]^{C_p}$. This ring of invariants was first studied by David Richman [21] who showed that the ring required a generator of degree m(p-1), thus demonstrating that the result of Noether in characteristic 0 (that the ring of invariants of a finite group is always generated in degrees less than or equal to the order of the group) does not extend to the modular case. He also conjectured that a certain set of invariants was a generating set with a proof in the case p=2. This conjecture was proved by Campbell and Hughes in [3]. Later, Shank and Wehlau in [24] determined which elements in Richman's generating set were redundant thereby producing a minimal generating set.

We give a new proof of the result of Campbell and Hughes, Shank and Wehlau giving a minimal algebra generating set for the ring of invariants $\mathbf{F}[m\,V_2]^{C_p}$. In fact, our proof does much more. We show that our minimal generating set is also a SAGBI basis for $\mathbf{F}[m\,V_2]^{C_p}$. Further, our results provide a procedure for finding an explicit decomposition of $\mathbf{F}[m\,V_2]$ into a direct sum of indecomposable C_p -modules. Finally, noting that our representation of C_p on V_2 is as the p-Sylow subgroup of $SL_2(\mathbf{F}_p)$, we describe a generating set for the ring of invariants $\mathbf{F}[m\,V_2]^{SL_2(\mathbf{F}_p)}$ and show that (p+m-2)(p-1) is an upper bound for the Noether number, for m>2.

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1. Introduction

We suppose G is a group represented on a vector space V over a field \mathbf{F} . If $\{x_1, x_2, \ldots, x_n\}$ is a basis for the hom-dual, $V^* = \text{hom}_{\mathbf{F}}(V, \mathbf{F})$, of V, then we denote the symmetric algebra on V^* by

$$\mathbf{F}[V] = \mathbf{F}[x_1, x_2, \dots, x_n]$$

and we note that G acts on $f \in \mathbf{F}[V]$ by the rule

$$\sigma(f)(v) = f(\sigma^{-1}(v)).$$

As an aside, the notation $\mathbf{F}[V]$ is often used in the literature to denote the ring of regular functions on V. Our notation coincides with the usual notion when the field \mathbf{F} is infinite. However, for example, if $\mathbf{F} = \mathbf{F}_p$, the prime field, then the functions x_1 and x_1^p coincide on V.

The ring of functions left invariant by this action of G is denoted $\mathbf{F}[V]^G$. Invariant theorists often seek to relate algebraic properties of the invariant ring to properties of the representation. For example, when G is finite of order |G| and the characteristic p of \mathbf{F} does not divide |G| – the non-modular case – then $\mathbf{F}[V]^G$ is a polynomial algebra if and only if G is generated by reflections (group elements fixing a hyperplane of V). This is a famous result due to Coxeter [8], Shephard and Todd [26], Chevalley [6], and Serre[22]. For another example in the non-modular case, it is known by work of Noether [19] (when p = 0), Fogarty [12] and Fleischmann [13] (when p > 0), that $\mathbf{F}[V]^G$ is generated in degrees less than or equal to |G|. And, in the non-modular case with G finite, it is well-known that $\mathbf{F}[V]^G$ is always Cohen-Macaulay.

The case when p > 0, G is finite, V is finite dimensional and p does divide |G| is that of modular invariant theory. Many results that are well understood in the non-modular case are not yet understood or even within reach in the modular case. For example, in the modular case it is known that if $\mathbf{F}[V]^G$ is a polynomial algebra then G must be generated by reflections, but this is far from sufficient. For another example, in the modular case $\mathbf{F}[V]^G$ is "most often" not Cohen-Macaulay. Finally, in the modular case, there are examples where $\mathbf{F}[V]^G$ requires generators of degrees (much) larger than |G|, see below: this paper re-examines the first known such example in considerable detail.

There are now several references for modular invariant theory, see Benson [1], Smith[27], Neusel and Smith[18], Derksen and Kemper[9], Campbell and Wehlau[3].

Invariant theorists also seek to determine generators for $\mathbf{F}[V]^G$ and, if possible, relations among those generators. A famous example is the case of *vector invariants*, see Weyl [28]. Here we consider the vector space

$$m V = \overbrace{V \oplus V \oplus \cdots \oplus V}^{m \ summands}$$

with G acting diagonally. The invariants $\mathbf{F}[m\,V]^G$ are called vector invariants, and in this case, we seek to describe, determine or give constructions for, the generators of this ring, a first main theorem for (G,V). Once this is done a theorem determining the relations among the generators is referred to as a second main theorem for (G,V).

The cyclic group C_p has exactly p inequivalent indecomposable representations over a field \mathbf{F} of characteristic p. There is one indecomposable V_n of dimension n for each $1 \leq n \leq p$. To see this choose a basis for V_n with respect to which a fixed generator, σ , of C_p is represented by a matrix in Jordan Normal form. Since V_n is indecomposable this matrix has a single Jordan block and since σ has order p the common eigenvalue must be 1, the only p^{th} root of unity in a field of characteristic p. Thus σ is represented on V_n by the matrix

$$\begin{pmatrix} 1 & 0 & 0 & \dots & 0 & 0 \\ 1 & 1 & 0 & \dots & 0 & 0 \\ 0 & 1 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 1 & 0 \\ 0 & 0 & 0 & \dots & 1 & 1 \end{pmatrix}.$$

In order that this matrix have order p (or 1) we must have $n \leq p$. We call such a basis of V_n for which σ is in (lower triangular) Jordan Normal form a *triangular* basis.

Observe the following chain of inclusions:

$$V_1 \subset V_2 \subset \cdots \subset V_n$$
.

If V is any finite dimensional C_p -module then V can be decomposed into a direct sum of indecomposable C_p -modules:

$$V \cong m_1 V_1 \oplus m_2 V_2 \cdots \oplus m_p V_p$$

where $m_i \in \mathbb{N}$ for all i. This decomposition is far from unique but does have the property that the multiplicities m_{ℓ} are unique.

We are interested in the representation $m V_2$ and the action of C_p on $\mathbf{F}[m V_2]$. The ring of invariants $\mathbf{F}[m V_2]^{C_p}$ was first studied by David Richman [21]. He showed that this ring required a generator of degree m(p-1), showing that the result of Noether in characteristic 0 did not extend to the modular case. He also conjectured that a certain set of invariants was a generating set with a proof in the case p=2. This conjecture was proved by Campbell and Hughes in [3]: the proof is long, complex, and counter-intuitive in some respects. Later, Shank and Wehlau in [24] determined which elements in Richman's generating set were redundant thereby producing a minimal generating set.

We will show later (and the proof is not difficult), that $\mathbf{F}[m V_2]^{C_p}$ is not Cohen-Macaulay, or see Ellingsrud and Skjelbred [11].

In this paper, we give a new proof of the result of Campbell and Hughes, Shank and Wehlau giving a minimal algebra generating set for the ring of invariants $\mathbf{F}[m\,V_2]^{C_p}$. In fact, our proof does much more. We show that our minimal generating set is also a SAGBI basis for $\mathbf{F}[m\,V_2]^{C_p}$. In our view, this result is extraordinary. Further, our techniques also yield a procedure for finding a decomposition of $\mathbf{F}[m\,V_2]$ into a direct sum of indecomposable C_p -modules.

Our paper is organised as follows. In the second section of our paper, Preliminaries, we provide more details on the the representation theory of C_p , our use of graded reverse lexicographical ordering on the monomials in $\mathbf{F}[m\,V_2]^{C_p}$, and define the term SAGBI basis. In the next section, Polarisation, we define the polarisation map $\mathbf{F}[V] \to \mathbf{F}[m\,V]$, its (roughly speaking) inverse, known as restitution, and we note that these maps are G-equivariant, hence map G-invariants to G-invariants. These techniques allow us to focus our attention on multi-linear invariants. The next section, Partial Dyck Paths, describes a concept arising in the study of lattices in the plane, see, for example the book by Koshy [17, p. 151], and is followed by a section on Lead Monomials. Here we show that there is a bijection between the set of lead monomials of multi-linear invariants and certain collections of Partial Dyck Paths. This work requires us to count the number of indecomposable C_p summands in

$$\overset{m}{\otimes} V_2 = \overbrace{V_2 \otimes V_2 \otimes \cdots \otimes V_2}^{m \ copies},$$

and in fact we are able to determine a decomposition of ${}^m_{}V_2$ as a C_p -module, see Theorem 5.5. Following this, in section § 6, we prove that we have a generating set for our ring of invariants. The next section describes how our techniques provide a procedure for finding

a decomposition of $\mathbf{F}[m V_2]$ as a C_p -module. In the final section, noting that our representation of C_p on V_2 is as the p-Sylow subgroup of $SL_2(\mathbf{F}_p)$, we are able to describe a generating set for the ring of invariants $\mathbf{F}[m V_2]^{SL_2(\mathbf{F}_p)}$.

We thank the referee for a thorough and careful reading of our paper.

2. Preliminaries

Suppose $\{e_1, e_2, \dots, e_n\}$ is a triangular basis for V_n . Note that the C_p -module generated by e_1 is all of V_n . We also note that the indecomposable module $V_n^* = \text{hom}(V_n, \mathbf{F})$ is isomorphic to V_n since $\dim(V_n^*) = \dim(V_n)$. Because of our interest in invariants we often focus on the C_p action on V_n^* rather than on V_n itself. Therefore we will choose the dual basis $\{x_1, x_2, \dots, x_n\}$ for V^* to the basis $\{e_1, e_2, \ldots, e_n\}$. With this choice of basis the matrices representing G are upper-triangular on V^* . We note that $\sigma^{-1}(x_1) = x_1$ and $\sigma^{-1}(x_i) = x_i + x_{i-1}$ for $2 \le i \le n$: for convenience, and since σ^{-1} also generates C_p , we will change notation and write σ instead of σ^{-1} for the remainder of this paper. With this convention, we note that $(\sigma-1)^r(x_n) = x_{n-r}$ for r < n and $\dim(V_n) = n$ is the largest value of r such that $x_1 \in (\sigma - 1)^{r-1}(V_n^*)$. We say that the invariant x_1 has length n in this case and write $\ell(x_1) = n$. We observe that the socle of V_n is the line $V_n^{C_p}$ spanned by $\{e_n\}$. Similarly $(V_n^*)^{C_p}$ has basis $\{x_1\}$. Note that the kernel of $\sigma-1:V_i\to V_i$ is $V_i^{C_p}$ which is one dimensional

for all i. Thus

$$\dim((\sigma - 1)^{j}(V_{i})) = \begin{cases} 0 & \text{if } j - 1 \ge i; \\ i - j & \text{if } j - 1 < i. \end{cases}$$

For

$$V \cong m_1 V_1 \oplus m_2 V_2 \cdots \oplus m_p V_p$$

this gives $(p-j)m_p + (p-1-j)m_{p-1} + \cdots + (i-j)m_i = \dim((\sigma-1)^j(V))$ for all $0 \le j \le p-1$ and this system of equations uniquely determines the coefficients m_1, m_2, \ldots, m_p .

Each indecomposable C_p -module, V_n , satisfies $\dim(V_n)^{C_p} = 1$. Therefore the number of summands occurring in a decomposition of V is

given by $m_1 + m_2 + \cdots + m_p = \dim V^{C_p}$. Consider $\operatorname{Tr} := \sum_{\tau \in C_p} \tau$, an element of the group ring of C_p . If W is any finite dimensional C_p -representation, we also use Tr to denote the corresponding $\mathbf{F}[W]^{C_p}$ -module homomorphism,

$$\operatorname{Tr}: \mathbf{F}[W] \to \mathbf{F}[W]^{C_p}.$$

Similarly we define

$$N : \mathbf{F}[W] \to \mathbf{F}[W]^{C_p}$$

by $N(w) = \prod_{\tau \in C_p} \tau(w)$.

Note that $(\sigma - 1)^{p-1} = \sum_{i=0}^{p-1} (-1)^i \binom{p-1}{i} \sigma^i = \sum_{i=0}^{p-1} \sigma^i = \text{Tr. It follows}$ that Tr(v) = 0 if $v \in V_n$ for n < p, while $\text{Tr}(x_p) = x_1$ in V_p .

It is also the case that $V_p \cong \mathbf{F}C_p$ is the only free C_p -module and hence also the only projective.

The next theorem plays an important role in our decomposition of $\mathbf{F}[V]_{(d_1,d_2,...,d_m)}$ as a C_p -module (modulo projectives). A proof in the case $V = V_n$ may be found in Hughes and Kemper [14, section 2.3], and a proof of the version cited here is in Shank and Wehlau [25, section 2]

Theorem 2.1 (Periodicity Theorem). Let $V = V_{n_1} \oplus V_{n_2} \oplus \cdots \oplus V_{n_m}$. Let d_1, d_2, \ldots, d_m be non-negative integers and write $d_i = q_i p + r_i$ where $0 \le r_i \le p - 1$ for $i = 1, 2, \ldots, m$. Then

$$\mathbf{F}[V]_{(d_1,d_2,\dots,d_m)} \cong \mathbf{F}[V]_{(r_1,r_2,\dots,r_m)} \oplus t V_p$$

as C_p -modules for some non-negative integer t.

Comparing dimensions shows that in the above theorem

$$t = \left(\prod_{i=1}^{m} \binom{n_i + d_i - 1}{d_i} - \prod_{i=1}^{m} \binom{n_i + r_i - 1}{r_i}\right) / p.$$

In this paper, we are primarily interested in the case $V = m V_2$. We denote the basis for the i^{th} -copy of V_2^* in this direct sum by $\{x_i, y_i\}$ and we have $\sigma(x_i) = x_i$ and $\sigma(y_i) = y_i + x_i$.

For this representation of C_p , there is another "obvious" family of invariants, namely the

$$u_{ij} = x_i y_j - x_j y_i = \begin{vmatrix} x_i & y_i \\ x_j & y_j \end{vmatrix}$$

for $m \geq 2$.

2.2. Relations involving the u_{ij} . We will consider now two important families of relations involving the invariants $u_{ij} = x_i y_j - y_i x_j$. First we consider algebraic dependencies among the u_{ij} . Suppose $m \geq 4$ and let $1 \leq i < j < k < \ell \leq m$. It is easy to verify that $0 = u_{ij}u_{k\ell} - u_{ik}u_{j\ell} + u_{i\ell}u_{jk}$. It can be shown that these relations generate all the algebraic relations among the u_{st} .

It is useful to represent products of the various u_{st} graphically as follows. We consider the vertices of a regular m-gon and label them clockwise by $1, 2, \ldots, m$. We represent the factor u_{ij} by an arrow or directed edge from vertex i to vertex j. Thus a product of various u_{st} is

represented by a number of directed edges joining the labelled vertices of the regular m-gon.

Returning to the relation $u_{ij}u_{k\ell} - u_{ik}u_{j\ell} + u_{i\ell}u_{jk}$, we say that the middle product in this relation, $u_{ik}u_{j\ell}$, is a crossing since the arrows representing the two factors u_{ik} and $u_{j\ell}$ cross (intersect). Rewriting the relation as $u_{ik}u_{j\ell} = u_{ij}u_{k\ell} + u_{i\ell}u_{jk}$, we see that we may replace a crossing with a sum of two non-crossings. As observed by Kempe [16], since the length of two (directed) diagonals representing u_{ik} and $u_{j\ell}$ exceeds both the lengths represented by the sides u_{ij} and $u_{k\ell}$ and the two sides $u_{i\ell}$ and u_{jk} , we may repeatedly use "uncrossing" relations to rewrite any product of u_{st} 's by a sum of such products without any crossings. Thus the space generated by the monomials in the u_{st} of degree d has a basis represented by planar directed graphs on m vertices having d directed edges. Here we allow multiple (or weighted) edges to represent powers such as u_{ij}^a for $a \geq 2$.

Now we consider another important class of relations, this time involving the u_{st} and the x_r . Take $m \geq 3$, let $1 \leq i < j < k \leq m$ and consider the matrix

$$\begin{pmatrix} x_i & x_j & x_k \\ x_i & x_j & x_k \\ y_i & y_j & y_k \end{pmatrix}.$$

Computing the determinant by expanding along the first row we find $x_iu_{jk} - x_ju_{ik} + x_ku_{ij} = 0$. Since x_1, x_2, x_3 is a partial homogeneous system of parameters in $\mathbf{F}[m\,V_2]$ consisting of invariants it is a partial homogeneous system of parameters in $\mathbf{F}[m\,V_2]^{C_p}$. The relation $x_1u_{23} - x_2u_{13} + x_3u_{12} = 0$ shows that the product x_3u_{12} represents 0 in the quotient ring $\mathbf{F}[m\,V_2]^{C_p}/(x_1,x_2)$. Considering degrees, it is easy to see that u_{12} and x_3 do not lie in the ideal of $\mathbf{F}[m\,V_2]^{C_p}$ generated by (x_1,x_2) . Thus x_3 represents a zero divisor in the quotient ring $\mathbf{F}[m\,V_2]^{C_p}/(x_1,x_2)$. This shows that the partial homogeneous system of parameters x_1, x_2, x_3 in $\mathbf{F}[m\,V_2]^{C_p}$ does not form a regular sequence. Therefore $\mathbf{F}[m\,V_2]^{C_p}$ is not a Cohen-Macaulay ring when $m \geq 3$. For $m \leq 2$ the ring of invariants $\mathbf{F}[m\,V_2]^{C_p}$ is Cohen-Macaulay since $\mathbf{F}[V_2]^{C_p} = \mathbf{F}[x_1, \mathbf{N}(y_1)]$ is a polynomial ring and $\mathbf{F}[2\,V_2]^{C_p} = \mathbf{F}[x_1, x_2, u_{12}, \mathbf{N}(y_1), \mathbf{N}(y_2)]$ is a hypersurface ring.

Throughout this paper we will use graded reverse lexicographic term orders. We write LM(f) for the lead monomial of f and LT(f) for the lead term of f. We follow the convention that monomials are power products of variables and terms are scalar multiples of power products of variables. If $S = \bigoplus_{d=0}^{\infty} S_d$ is a graded subalgebra of a polynomial ring then we say a set B is a SAGBI basis for S in degree d if for every $f \in S_d$

we can write LM(f) as a product $LM(f) = \prod_{g \in B} LM(g)^{e_g}$ where each e_g is a non-negative integer. If B is a SAGBI basis for S in degree d for all d then we say that B is a SAGBI basis for S. If B is a SAGBI basis for S then B is an algebra generating set for S. The word SAGBI is an acronym for "sub-algebra analogue of Gröbner bases for ideals", and was introduced by Robbianno and Sweedler in [20] and (independently) by Kapur and Madlener in [15]. For a detailed discussion of term orders we direct the reader to Chapter 2 of Cox, Little and O'Shea [7]. For a discussion and application of SAGBI bases in modular invariant theory, we recommend Shank's paper [23].

Given a sequence of variables z_1, z_2, \ldots, z_m and an element $E = (e_1, e_2, \ldots, e_m)$ we write z^E to denote the monomial $z_1^{e_1} z_2^{e_2} \cdots z_m^{e_m}$ and we denote the degree $e_1 + e_2 + \cdots + e_m$ of this monomial by |E|.

The following well-known lemma is very useful for computing the lead term of a transfer.

Lemma 2.3. Let t be a positive integer. Then

$$\sum_{i=0}^{p-1} i^t = \begin{cases} -1, & if \ p-1 \ divides \ t; \\ 0, & if \ p-1 \ does \ not \ divide \ t. \end{cases}$$

For a proof of this lemma see for example, [5, Lemma 9.4].

3. Polarisation

Let V be a representation of a group G and let $r \in \mathbb{N}$ with $r \geq 2$ and consider the map of G-representations

$$\nabla^* : r V \longrightarrow (r-1) V$$

defined by $\nabla^*(v_1, v_2, \dots, v_r) = (v_1, v_2, \dots, v_{r-2}, v_{r-1} + v_r)$. We also consider the morphism

$$\Delta^*: (r-1) V \longrightarrow r V$$

given by $\Delta^*(v_1, v_2, \dots, v_{r-1}) = (v_1, v_2, \dots, v_{r-2}, v_{r-1}, v_{r-1})$. Dual to these two maps we have the corresponding algebra homomorphisms:

$$\nabla : \mathbf{F}[(r-1) \, V] \longrightarrow \mathbf{F}[r \, V]$$

and

$$\Delta : \mathbf{F}[r \ V] \longrightarrow \mathbf{F}[(r-1) \ V]$$

given by $\nabla(f) = f \circ \nabla^*$ and $\Delta(F) = F \circ \Delta^*$. We also define $\nabla_r^* = (\nabla^*)^{r-1} : r \ V \to V$ and $\Delta_r^* = (\Delta^*)^{r-1} : V \to r \ V$.

Thus $\nabla_r : \mathbf{F}[V] \longrightarrow \mathbf{F}[r \ V]$ is given by $(\nabla_r(f))(v_1, v_2, \dots, v_r) = f(v_1 + v_2 + \dots + v_r)$ and $\Delta_r : \mathbf{F}[r \ V] \longrightarrow \mathbf{F}[V]$ is given by $(\Delta_r(F))(v) =$

F(v, v, ..., v). The homomorphism ∇_r is called *(complete) polarisation* and the homomorphism Δ_r is called *restitution*.

The algebra $\mathbf{F}[r\,V]$ is naturally \mathbb{N}^r graded by multi-degree:

$$\mathbf{F}[r\,V] = \bigoplus_{(\lambda_1, \lambda_2, \dots, \lambda_r) \in \mathbb{N}^r} \mathbf{F}[r\,V]_{(\lambda_1, \lambda_2, \dots, \lambda_r)}$$

where

$$\mathbf{F}[r\,V]_{(\lambda_1,\lambda_2,\ldots,\lambda_r)} \cong \mathbf{F}[V]_{\lambda_1} \otimes \mathbf{F}[V]_{\lambda_2} \otimes \cdots \otimes \mathbf{F}[V]_{\lambda_r}$$
.

For each multi-degree, $(\lambda_1, \lambda_2, ..., \lambda_r) \in \mathbb{N}^r$ we have the projection $\pi_{(\lambda_1, \lambda_2, ..., \lambda_r)} : \mathbf{F}[r V] \to \mathbf{F}[r V]_{(\lambda_1, \lambda_2, ..., \lambda_r)}$. Given a homogeneous element $f \in \mathbf{F}[V]$ of total degree d, i.e., $f \in \mathbf{F}[V]_d$, its full polarisation is the multi-linear function $\mathcal{P}(f) = \pi_{(1,1,...,1)}(\nabla_d(f)) \in \mathbf{F}[d V]_{(1,1,...,1)}$. Thus $\mathcal{P} : \mathbf{F}[V]_d \to \mathbf{F}[d V]_{(1,1,...,1)}$.

More generally, we may use isomorphisms of the form $\mathbf{F}[V \oplus W] \cong \mathbf{F}[V] \otimes \mathbf{F}[W]$ to define

$$\nabla_{r_1,r_2,\dots,r_m} = \nabla_{r_1} \otimes \nabla_{r_2} \otimes \dots \otimes \nabla_{r_m} : \mathbf{F}[\oplus_{i=1}^m W_i] \longrightarrow \mathbf{F}[\oplus_{i=1}^m r_i W_i] .$$

Again, for ease of notation, if $f \in \mathbf{F}[\bigoplus_{i=1}^m W_i]_{(\lambda_1,\lambda_2,\dots,\lambda_m)}$ we write $\mathcal{P}(f) = \pi_{(1,1,\dots,1)}(\nabla_{\lambda_1,\lambda_2,\dots,\lambda_m}(f)) \in \mathbf{F}[\bigoplus_{i=1}^m \lambda_i W_i]_{(1,1,\dots,1)}$. Here again we call the multi-linear function $\mathcal{P}(f)$ the full polarisation of f.

Similarly we define the restitution map

$$\Delta_{r_1,r_2,\ldots,r_m} = \Delta_{r_1} \otimes \Delta_{r_2} \otimes \cdots \otimes \Delta_{r_m} : \mathbf{F}[\bigoplus_{i=1}^m r_i W_i] \longrightarrow \mathbf{F}[\bigoplus_{i=1}^m W_i] .$$

In this setting, if we have co-ordinate variables such as x_i, y_i, z_i for W_i we will use the symbols x_{ij}, y_{ij}, z_{ij} with $1 \leq j \leq r_i$ to denote the co-ordinate variables for r_iW_i . In this notation, restitution is the algebra homomorphism determined by $\Delta_{r_1,r_2,\dots,r_m}(x_{ij}) = x_i, \ \Delta_{r_1,r_2,\dots,r_m}(y_{ij}) = y_i, \ \Delta_{r_1,r_2,\dots,r_m}(z_{ij}) = z_i$, etc. For this reason, restitution is sometimes referred to as erasing subscripts. For ease of notation, we will write \mathcal{R} to denote the algebra homomorphism $\Delta_{\lambda_1,\lambda_2,\dots,\lambda_m}$ when restricted to $\mathbf{F}[\bigoplus_{i=1}^m \lambda_i W_i]_{(1,1,\dots,1)}$. Thus if $F \in \mathbf{F}[\bigoplus_{i=1}^m \lambda_i W_i]_{(1,1,\dots,1)}$ then $\mathcal{R}(F) \in \mathbf{F}[\bigoplus_{i=1}^m W_i]_{(\lambda_1,\lambda_2,\dots,\lambda_m)}$. (However, we will sometimes abuse notation and use \mathcal{R} to denote $\Delta_{\lambda_1,\lambda_2,\dots,\lambda_m}$ when the indices $\lambda_1,\lambda_2,\dots,\lambda_m$ are clear from the context.)

It is a relatively straightforward exercise to verify that for any $f \in \mathbf{F}[\bigoplus_{i=1}^{m} W_i]_{(\lambda_1,\lambda_2,...,\lambda_m)}$ we have $\mathcal{R}(\mathcal{P}(f)) = (\lambda_1!,\lambda_2!,\ldots,\lambda_m!)f$.

Since ∇^* and Δ^* are both G-equivariant, so are all the homomorphisms $\nabla_{r_1,r_2,\dots,r_m}$ and $\Delta_{r_1,r_2,\dots,r_m}$. In particular, if f is G-invariant then so is $\mathcal{P}(f)$. Similarly, $\mathcal{R}(F)$ is G-invariant if F is. We also note that since the action of G preserves degree an element f is invariant if and only if all its homogeneous components are invariant.

4. Partial Dyck Paths

In this section we consider a generalization of Dyck paths (see the book by Koshy [17, p. 151] for an introduction to Dyck paths). For us, a lattice path is a finite sequence of steps in the first quadrant of the xy-plane starting from the origin. Each step in the path is given by either the vector (1,0) (an x-step) or the vector (0,1) (a y-step). The number of steps in the path is called its length. The path is said to have height h if h is the largest integer such that the path touches the line y = x - h. A lattice path has finishing height h if the final step ends at a point on the line y = x - h.

Associated to each lattice path of length d is a word of length d, i.e., an ordered sequence of d symbols each either an x or a y. This word encodes the path as follows: the $i^{\rm th}$ symbol of the word is x if the $i^{\rm th}$ step of the path is an x-step and the $i^{\rm th}$ symbol of the word is a y if the $i^{\rm th}$ step is a y-step.

We will consider two types of lattice paths: (i) partial Dyck paths and (ii) initial Dyck paths of escape height p-1.

Definition 4.1. A partial Dyck path is a lattice path which stays on or below the diagonal (the line with equation y = x). A partial Dyck path of finishing height 0, i.e., which finishes on the diagonal, is called a Dyck path.

Definition 4.2. An initial Dyck path of escape height t is a lattice path of height at least t and which if it crosses above the diagonal does so only after it touches the line y = x - t. Expressed another way, these are paths which consist of an partial Dyck path of finishing height t followed by an entirely arbitrary sequence of x-steps and y-steps.

Clearly there are 2^d lattice paths of length d. We may associate these paths with the 2^d monomials in $\mathbf{F}[dV_2]_{(1,1,\ldots,1)} \cong \otimes^d V_2$. The lattice path γ of length d is associated to the word $\gamma_1 \gamma_2 \cdots \gamma_d$ and is associated to the

multi-linear monomial
$$\Lambda(\gamma) = z_1 z_2 \cdots z_d$$
 where
$$\begin{cases} z_i = x_i, & \text{if } \gamma_i = x; \\ z_i = y_i, & \text{if } \gamma_i = y. \end{cases}$$

We let $PDP_{\leq q}^d$ denote the set of all partial Dyck paths of length d and height at most q. Furthermore, we will denote by $PDP_{\leq q}^d(h)$ the set of partial Dyck paths of length d, height at most q and finishing height h. We write IDP_q^d to denote the set of all initial Dyck paths of escape height q and length d.

5. Lead Monomials

We wish to consider the C_p -representation $\mathbf{F}[dV_2]_{(1,1,\dots,1)} \cong \otimes^d V_2$. We consider a decomposition of $\otimes^d V_2$ into a direct sum of indecomposable C_p -representations. Each summand V_h has a one dimensional socle spanned by an element f and we associate to this summand the monomial $\mathrm{LM}(f)$. We say that the invariant f has length h and we write $\ell(f) = h$. In general a non-zero invariant has length h if h-1 is the maximal value of r for which f lies in the image of $(\sigma-1)^r$.

In order to study $\mathbf{F}[dV_2]_{(1,1,\ldots,1)}^{C_p} \cong (\otimes^d V_2)^{C_p}$ we use the graded reverse lexicographic order determined by $y_1 > x_1 > y_2 > x_2 \cdots > y_d > x_d$ and consider

$$M = \{ LM(f) \mid f \in (\otimes^d V_2)^{C_p} \} .$$

We will show that the set map

$$\Lambda: \mathrm{PDP}^d_{\leq p-2} \sqcup \mathrm{IDP}^d_{p-1} \longrightarrow M$$

is a bijection.

We begin by showing that the image of Λ lies inside M. In fact we will show that if $\gamma \in \mathrm{PDP}^d_{\leq p-2}(h)$ then $\Lambda(\gamma)$ is the lead monomial of an invariant of length h+1. Furthermore if $\gamma \in \mathrm{IDP}^d_{p-1}$ then $\Lambda(\gamma)$ is the lead monomial of an invariant of length p, i.e, an invariant lying in $\mathrm{Tr}(\otimes^d V_2)$.

Consider a path $\gamma \in \operatorname{PDP}^d_{\leq p-1}(h)$ and let $\gamma_1 \gamma_2 \cdots \gamma_d$ be the associated word. We wish to match each symbol γ_j which is a y with an earlier symbol $\gamma_{\rho(j)}$ which is an x. We do this recursively as follows. Choose the smallest value j such that $\gamma_j = y$ and for which we have not yet found a matching x. Take i to be maximal such that i < j, $\gamma_i = x$ and $i \neq \rho(s)$ for all s < j. Then we define $\rho(j) = i$. Let $I_1 = \{j \mid \gamma_j = y\}$, $I_2 = \rho(I_1)$ and $I_3 = \{1, 2, \ldots, d\} \setminus (I_1 \sqcup I_2)$. Then $|I_1| = |I_2| = (d-h)/2$, $|I_3| = h$ and $\gamma_i = x$ for all $i \in I_3$.

Define

$$\theta_0(\gamma) = \left(\prod_{j \in I_1} u_{\rho(j),j}\right) \prod_{i \in I_3} x_i \text{ and } \theta'_0(\gamma) = \left(\prod_{j \in I_1} u_{\rho(j),j}\right) \prod_{i \in I_3} y_i.$$

Then $\theta_0(\gamma) \in (\otimes^d V_2)^{C_p}$ and

$$LM(\theta_0(\gamma)) = \prod_{j \in I_1} LM(u_{\rho(j),j}) \prod_{i \in I_3} x_i = \prod_{j \in I_1} x_{\rho(j)} y_j \prod_{i \in I_3} x_i = \Lambda(\gamma) .$$

Lemma 5.1. $(\sigma - 1)^h(\theta_0'(\gamma)) = h! \theta_0(\gamma)$ and thus $\ell(\theta_0(\gamma)) \ge h + 1$.

Proof. We will prove a more general statement. We will show that

$$(\sigma - 1)^{|E|}(y^E) = |E|! x^E.$$

Note that this also implies that $(\sigma - 1)^{|E|+1}(y^E) = 0$. We proceed by induction on |E|. The result is clear for |E| = 0, 1. Assume, without loss of generality, that $e_i \geq 1$ for all i and define $Z_i \in \mathbb{N}^d$ by $x_i = x^{Z_i}$. For $|E| \geq 2$ we have

$$(\sigma - 1)^{|E|}(y^E) = (\sigma - 1)^{|E|-1}(\sigma - 1)(y^E)$$

$$= (\sigma - 1)^{|E|-1} \left(\sum_i e_i x_i y^{E-Z_i} + \text{ terms divisible by some } x_k x_\ell \right)$$

$$= (\sigma - 1)^{|E|-1} \left(\sum_i e_i x_i y^{E-Z_i} \right)$$
since the other terms lie in the kernel of $(\sigma - 1)^{|E|-1}$

$$= \sum_i e_i x_i (\sigma - 1)^{|E|-1} \left(y^{E-Z_i} \right)$$

$$= \sum_i e_i x_i (|E| - 1)! \, x^{E-Z_i} \text{ by induction}$$

$$= \sum_i e_i (|E| - 1)! \, x^E = \left(\sum_i e_i \right) (|E| - 1)! \, x^E$$

$$= |E|(|E| - 1)! \, x^E = |E|! \, x^E$$

If $\gamma \in PDP_{\leq p-2}^d$ then we define $\theta(\gamma) = \theta_0(\gamma)$ and $\theta'(\gamma) = \theta'_0(\gamma)$.

Suppose instead that $\gamma \in \mathrm{IDP}_{p-1}^d$ and let $\gamma_1 \gamma_2 \cdots \gamma_d$ be the word associated to γ . Take s minimal such that the path γ' associated to $\gamma_1 \gamma_2 \cdots \gamma_s$ is a partial Dyck path of finishing height p-1. Since $\gamma' \in \mathrm{PDP}_{\leq p-1}^s(p-1)$, from the above we have $I_1 = \{j \leq s \mid \gamma_j = y\}$, $I_2 = \rho(I_1)$ and $I_3 = \{1, 2, \ldots, s\} \setminus (I_1 \sqcup I_2)$ with $|I_1| = |I_2| = (s-p+1)/2$, $|I_3| = p-1$ and $\gamma_i = x$ for all $i \in I_3$. We further define $I_4 = \{i > s \mid \gamma_i = x\}$ and $I_5 = \{i > s \mid \gamma_i = y\}$. Define

$$\theta'(\gamma) = \theta'_0(\gamma') \prod_{i \in I_5} y_i \prod_{i \in I_4} x_i = \prod_{j \in I_1} u_{\rho(j),j} \prod_{i \in I_3 \cup I_5} y_i \prod_{i \in I_4} x_i$$

and

$$\theta(\gamma) = \operatorname{Tr} \left(\theta'_0(\gamma')\right) \prod_{i \in I_5} y_i \prod_{i \in I_4} x_i = \operatorname{Tr} \left(\prod_{i \in I_3 \cup I_5} y_i \right) \prod_{j \in I_1} u_{\rho(j),j} \prod_{i \in I_4} x_i$$

Then $\theta(\gamma) \in \text{Tr}(\otimes^d V_2) \subset (\otimes^d V_2)^{C_p}$ and $\ell(\theta(\gamma)) = p$.

By Lemma 2.3

$$LM(\theta(\gamma)) = \left(\prod_{i \in I_4} x_i \prod_{j \in I_1} LM(u_{\rho(j),j})\right) LM(Tr(\prod_{i \in I_3 \cup I_5} y_i))$$
$$= \left(\prod_{i \in I_4} x_i \prod_{j \in I_1} x_{\rho(j)} y_j\right) \prod_{i \in I_3} x_i \prod_{i \in I_5} y_i = \Lambda(\gamma)$$

In summary, if $\gamma \in PDP_{\leq p-2}^d(h)$ then $\theta(\gamma)$ is an invariant of length at least h+1 and lead monomial $\Lambda(\gamma)$. If $\gamma \in IDP_{p-1}^d$ then $\theta(\gamma)$ is an invariant of length p and with lead monomial $\Lambda(\gamma)$. Note that since these lead monomials are all distinct, the maps θ and Λ are injective.

It remains to show that Λ is onto M and to determine the exact length of the invariants $\theta(\gamma)$ when $\gamma \in \mathrm{PDP}^d_{\leq p-2}$. We will show that Λ is onto by showing $|M| = |\mathrm{PDP}^d_{\leq p-2} \sqcup \mathrm{IDP}^d_{p-1}|$. To determine |M| we examine the number of indecomposable summands in the decomposition of $\otimes^d V_2$.

Define non-negative integers $\mu_p^d(h)$ by the direct sum decomposition of the C_p -module $\otimes^d V_2$ over \mathbf{F} :

$$\bigotimes^{d} V_2 \cong \bigoplus_{h=1}^{p} \mu_p^d(h) V_h .$$

Using the convention $\otimes^0 V_2 = V_1$, we have the following lemma.

Lemma 5.2. Let $p \geq 3$. Then

$$\mu_p^0(h) = \delta_h^1 \text{ and } \mu_p^1(h) = \delta_h^2,$$

and

$$\mu_p^{d+1}(h) = \begin{cases} \mu_p^d(2), & \text{if } h = 1; \\ \mu_p^d(h-1) + \mu_p^d(h+1), & \text{if } 2 \le h \le p-2; \\ \mu_p^d(p-2), & \text{if } h = p-1; \\ \mu_p^d(p-1) + 2\mu_p^d(p), & \text{if } h = p; \end{cases}$$

for d > 1.

Proof. The initial conditions are clear. The recursive conditions follow immediately from the following three equations which may be found for example in Hughes and Kemper [14, Lemma 2.2]:

$$V_1 \otimes V_2 \cong V_2$$

 $V_h \otimes V_2 \cong V_{h-1} \oplus V_{h+1}$ for all $2 \leq h \leq p-1$
 $V_p \otimes V_2 \cong 2 V_p$.

Next we count lattice paths. Let $\nu_q^d(h) = |\text{PDP}_{\leq q}^d(h)|$ for $1 \leq h \leq q$. We also define $\bar{\nu}_q^d = |\text{IDP}_q^d|$. With this notation we have the following lemma.

Lemma 5.3. Let $q \geq 2$. Then

$$\begin{split} \nu_q^0(h) &= \delta_h^0 \ and \ \nu_q^1(h) = \delta_h^1 \ , \\ \bar{\nu}_q^0 &= 0 \ and \ \bar{\nu}_q^1 = 0 \ , \end{split}$$

and

$$\nu_q^{d+1}(h) = \begin{cases} \nu_q^d(1), & \text{if } h = 0; \\ \nu_q^d(h-1) + \nu_q^d(h+1), & \text{if } 1 \le h \le q-1; \\ \nu_q^d(q-1), & \text{if } h = q; \end{cases}$$

and

$$\bar{\nu}_q^{d+1} = \nu_{q-1}^d(q-1) + 2\bar{\nu}_q^d$$

for all d > 1.

Proof. All of these equations are easily seen to hold except perhaps the final one. Its left-hand term $\bar{\nu}_q^{d+1} = |\mathrm{IDP}_q^{d+1}|$ is the number of initial Dyck paths of length d+1 and escape height q. We divide such paths into two classes: those which first achieve height q on their final step and those which achieve height q sometime during the first d steps. Paths in the first class are partial Dyck paths of length d, height at most q-1 and finishing height q-1 followed by an x-step for the $(d+1)^{\mathrm{st}}$ step. There are $\nu_{q-1}^d(q-1)=|\mathrm{PDP}_{\leq q-1}^d(q-1)|$ such paths. The second class consists of initial Dyck paths of escape height q and length d followed by a final step which may be either an x-step or a y-step. Clearly there are $2|\mathrm{IDP}_q^d|=2\bar{\nu}_q^d$ paths of this kind. □

Corollary 5.4. For all $d \in \mathbb{N}$, all primes p and all h = 1, 2, ..., p - 1 we have

$$\mu_p^d(h) = \nu_{p-2}^d(h-1)$$
 and $\mu_p^d(p) = \bar{\nu}_{p-1}^d$.

Proof. Comparing the recursive expressions and initial conditions for $\mu_p^d(h)$ and $\nu_{p-2}^d(h-1)$ and for $\mu_p^d(p)$ and $\bar{\nu}_{p-1}^d$ given in the previous two lemmas makes the result clear for $p \geq 5$.

For p=2 it is easy to see that $\mu_2^d(1)=\nu_0^d(0)=\delta_d^0$ for $d\geq 0$ and $\mu_2^d(2)=2^{d-1}=\bar{\nu}_1^d$ for $d\geq 1$.

For p = 3 and h = 1, 2 we have

$$\mu_3^d(h) = \nu_1^d(h-1) = \begin{cases} 1, & \text{if } h+d \text{ is odd;} \\ 0, & \text{if } h+d \text{ is even.} \end{cases}$$

Hence $\mu_3^d(3) = \lfloor \frac{2^d-1}{3} \rfloor$ for $d \geq 0$. From the recursive relation $\bar{\nu}_2^{d+1} = \nu_1^d(1) + 2\bar{\nu}_2^d$ it is easy to see that $\bar{\nu}_2^d = \lfloor \frac{2^d-1}{3} \rfloor = \mu_3^d(3)$.

This corollary implies that the map Λ is a bijection. Furthermore for all d, every element of $\{\mathrm{LM}(f) \mid f \in (\otimes^d V_2)^{C_p}\}$ may be written as a product with factors from the set $\{\mathrm{LM}(g) \mid g \in B\}$ where

$$B := \{x_i \mid 1 \le i \le d\} \cup \{u_{ij} \mid 1 \le i < j \le d\}$$
$$\cup \{\operatorname{Tr}(\prod_{i=1}^{d} y_i^{e_i}) \mid 0 \le e_i \le 1, \forall i = 1, 2, \dots, d\} .$$

We record and extend these results in the following theorem.

Theorem 5.5. Let p be a prime, let $d \in \mathbb{N}$ and suppose $0 \le h \le p-2$. Let $\gamma \in PDP_{\le p-2}^d \cup IDP_{p-1}^d$. Then

- (1) $LM(\theta(\gamma)) = \Lambda(\gamma)$.
- (2) If $\gamma \in PDP^d_{\leq p-2}(h)$ then the invariant $\theta(\gamma)$ lies in

$$\mathbf{F}[dV_2]_{(1,1,\dots,1)}^{C_p} \cong (\otimes^d V_2)^{C_p}$$

and has length h + 1.

(3) If $\gamma \in IDP_{p-1}^d$ then the invariant $\theta(\gamma)$ lies in

$$\mathbf{F}[dV_2]_{(1,1,\dots,1)}^{C_p} \cong (\otimes^d V_2)^{C_p}$$

and has length p.

(4) B is a SAGBI basis in multi-degree (1, 1, ..., 1) for $\mathbf{F}[dV_2]^{C_p}$. Furthermore, we have the following decomposition of the C_p representation $\otimes^d V_2$ into indecomposable summands:

$$\bigotimes^{d} V_2 \cong \bigoplus_{\gamma \in PDP^d_{\leq p-2} \cup IDP^d_{p-1}} V(\gamma)$$

where $V(\gamma) \cong V_{h+1}$ is a C_p -module generated by $\theta'(\gamma)$, with socle spanned by $\theta(\gamma)$ and

$$h = \ell(\theta(\gamma)) - 1 = \begin{cases} \text{the finishing height of } \gamma; & \text{if } \gamma \in PDP_{\leq p-2}^d(h); \\ p - 1 & \text{if } \gamma \in IDP_{p-1}^d. \end{cases}$$

Proof. The assertions (1) and (3) have already been proved. To prove the other assertions we consider the C_p -module

$$W = \sum_{\gamma \in PDP_{\leq p-2}^d \cup IDP_{p-1}^d} V(\gamma)$$

generated by the set $\{\theta'(\gamma) \mid \gamma \in PDP_{\leq p-2}^d \cup IDP_{p-1}^d\}$. The set of vectors $\{\theta(\gamma) \mid \gamma \in PDP_{\leq p-2}^d \cup IDP_{p-1}^d\}$ spanning the socles of the $V(\gamma)$ is linearly independent since these vectors have distinct lead monomials. This implies that the above sum is direct:

$$W = \bigoplus_{\gamma \in PDP_{\leq p-2}^d \cup IDP_{p-1}^d} V(\gamma) .$$

Thus $\dim W = (\sum_{h=0}^{p-2} (h+1) \cdot \nu_p^d(h)) + p \cdot \bar{\nu}_p^d$. Applying Corollary 5.4, yields $\dim W = \dim \otimes^d V_2$. Since W is a submodule of $\otimes^d V_2$ we see that $W = \otimes^d V_2$. Furthermore, any set of (spanning vectors for the) socles in any direct sum decomposition of $\otimes^d V_2$ there will be exactly $\nu_p^d(h)$ invariants of length h+1 for $0 \le h \le p-2$ (and $\bar{\nu}_p^d$ of length p). Combining this fact with $\ell(\theta(\gamma)) \ge h+1$ for all $\gamma \in \operatorname{PDP}_{\le p-2}^d(h)$, we get $\ell(\theta(\gamma)) = h+1$ for all $\gamma \in \operatorname{PDP}_{\le p-2}^d(h)$, completing the proof of assertion (2) as well as the final assertion of the theorem. Assertion(4) also follows now since we have $\{\operatorname{LM}(f) \mid f \in (\otimes^d V_2)^{C_p}\} = \{\operatorname{LM}(\theta(\gamma)) \mid \gamma \in \operatorname{PDP}_{\le p-2}^d \cup \operatorname{IDP}_{p-1}^d\}$ and each of these lead monomials may be factored into a product of lead monomials of elements of B.

6. A Generating Set

Consider the set

$$\mathcal{B} = \{x_i, N(y_i) \mid 1 \le i \le m\} \cup \{u_{ij} \mid 1 \le i < j \le m\}$$
$$\cup \{Tr(y^E) \mid 0 \le e_i \le p - 1\}.$$

We will show that \mathcal{B} is a generating set, in fact a SAGBI basis for $\mathbf{F}[m\,V_2]^{C_p}$. Let $f \in \mathbf{F}[m\,V_2]^{C_p}$ be monic and multi-homogeneous, of multi-degree $(\lambda_1, \lambda_2, \ldots, \lambda_m)$. Let A denote the subalgebra $\mathbf{F}[\mathcal{B}]$. We proceed by induction on the total degree $d = \lambda_1 + \lambda_2 + \cdots + \lambda_m$ of f. Clearly if f has total degree 0 then f is constant, $f \in A$ and $\mathrm{LM}(f) = 1$ and there is nothing more to prove.

Suppose then that the total degree d of f is positive. First suppose that $\lambda_i \geq p$ for some i. We consider f as a polynomial in y_i and write $f = \sum_{j=0}^{\lambda_i} f_j y_i^j$ where f_j is a polynomial which is homogeneous of degree $\lambda_i - j$ in x_i . Dividing f by $N(y_i)$ in $\mathbf{F}[m V_2]$ yields $f = q N(y_i) + r$ where the remainder r is a polynomial whose degree in y_i is at most p-1. Applying σ we have $f = \sigma(f) = \sigma(q) N(y_i) + \sigma(r)$. Since applying σ cannot increase the degree in y_i , we see that $\sigma(r)$ also has degree at most p-1 in y_i . By the uniqueness of remainders and quotients we must have $\sigma(r) = r$ and $\sigma(q) = q$, i.e., $q, r \in \mathbf{F}[m V_2]^{C_p}$. Since $\lambda_i \geq p$, we see that x_i divides r and so we have $f = q N(y_i) + x_i r'$ with

 $q, r' \in \mathbf{F}[m V_2]^{C_p}$. By induction $q, r' \in A$ and thus $f \in A$. Also by induction we have that LM(q) and LM(r'), hence also LM(f) may be written as products with factors from $LM(\mathcal{B})$.

Therefore, we may assume that $\lambda_i < p$ for all i = 1, 2, ..., m. Then $\kappa = \lambda_1! \lambda_2! \cdots \lambda_m! \neq 0$. Define

$$F = \mathcal{P}(f) \in \mathbf{F}[dV_2]_{(1,1,\dots,1)}^{C_p} = (\bigotimes_{i=1}^d V_2)^{C_p}$$

At this point we want to fix some notation. We will use $\{x_{ij}, y_{ij} \mid 1 \leq i \leq m, 1 \leq j \leq \lambda_i\}$ as co-ordinate variables for $\lambda_1 V_2 \oplus \lambda_2 V_2 \oplus \cdots \oplus \lambda_m V_2$. We write $u_{ij,k\ell} = x_{ij}y_{k\ell} - x_{k\ell}y_{ij}$. We use a graded reverse lexicographic order on $\mathbf{F}[\bigoplus_{i=1}^m \lambda_i V_2]$ after ordering these variables such that the following conditions hold

- $y_{ij} > x_{ij}$,
- if i < k then $y_{ij} > y_{k\ell}$ and $x_{ij} > x_{k\ell}$,
- if $j < \ell$ then $y_{ij} > y_{i\ell}$ and $x_{ij} > x_{i\ell}$.

We will first show that \mathcal{B} generates $\mathbf{F}[m V_2]^{C_p}$ as an \mathbf{F} -algebra and then show that it is a SAGBI basis. Of course, the former statement follows from the latter but we include a separate proof of the former since the proof is short and illustrates the main idea we will need for the latter proof.

By Theorem 5.5, we may write

$$F = \sum_{I} \alpha_{I} \prod_{ij} x_{ij} \prod_{ij,k\ell} u_{ij,k\ell} \prod_{E} \operatorname{Tr}(\prod_{ij} y_{ij}^{e_{ij}}) .$$

Let
$$e_i = \sum_j e_{ij}$$
.

$$f = \kappa^{-1} \mathcal{R}(\mathcal{P}(f)) = \kappa^{-1} \mathcal{R}(F)$$

$$= \kappa^{-1} \mathcal{R}\left(\sum_{I} \alpha_{I} \prod_{ij} x_{ij} \prod_{ij,k\ell} u_{ij,k\ell} \prod_{E} \operatorname{Tr}(\prod_{ij} y_{ij}^{e_{ij}})\right)$$

$$= \kappa^{-1} \sum_{I} \alpha_{I} \prod_{ij} \mathcal{R}(x_{ij}) \prod_{ij,k\ell} \mathcal{R}(u_{ij,k\ell}) \prod_{E} \mathcal{R}(\operatorname{Tr}(\prod_{ij} y_{ij}^{e_{ij}}))$$

$$= \kappa^{-1} \sum_{I} \alpha_{I} \prod_{ij} x_{i} \prod_{ij,k\ell} u_{ik} \prod_{E} \operatorname{Tr}(\prod_{i} y_{i}^{e_{i}}) \in A$$

where the last equality follows from the following equalities

$$\mathcal{R}(\operatorname{Tr}(y^E)) = \mathcal{R}(\sum_{\tau \in C_p} \tau(y^E)) = \sum_{\tau \in C_p} \mathcal{R}(\tau(y^E)) = \sum_{\tau \in C_p} \tau(\mathcal{R}(y^E))$$
$$= \operatorname{Tr}(\mathcal{R}(y^E)) .$$

This completes the proof that \mathcal{B} generates $\mathbf{F}[m V_2]^{C_p}$ as an \mathbf{F} -algebra. We continue with the proof that \mathcal{B} is a SAGBI basis. First we prove a lemma relating our term orders and polarisation.

Lemma 6.1. Suppose γ_1, γ_2 are two monomials in $\mathbf{F}[m V_2]_{(\lambda_1, \lambda_2, ..., \lambda_m)}$ with $\gamma_1 > \gamma_2$. Then $LT(\mathcal{P}(\gamma_1)) > LT(\mathcal{P}(\gamma_2))$.

Proof. Write $\gamma_1 = \prod_{i=1}^m x_i^{a_i} y_i^{\lambda_i - a_i}$ and $\gamma_2 = \prod_{i=1}^m x_i^{b_i} y_i^{\lambda_i - b_i}$. Choose s such that $a_s \neq b_s$ but $a_{s+1} = b_{s+1}, \ldots, a_m = b_m$. Since $\gamma_1 > \gamma_2$ we must have $b_s > a_s$.

Now

$$LT(\mathcal{P}(\gamma_1)) = \prod_{i=1}^{m} \prod_{j=1}^{a_i} x_{ij} \prod_{j=a_i+1}^{\lambda_i} y_{ij} \text{ and } LT(\mathcal{P}(\gamma_2)) = \prod_{i=1}^{m} \prod_{j=1}^{b_i} x_{ij} \prod_{j=b_i+1}^{\lambda_i} y_{ij}.$$

Writing

$$\Gamma_{1} = \prod_{i=1}^{s-1} \prod_{j=1}^{a_{i}} x_{ij} \prod_{j=a_{i}+1}^{\lambda_{i}} y_{ij}, \qquad \Gamma_{2} = \prod_{i=1}^{s-1} \prod_{j=1}^{b_{i}} x_{ij} \prod_{j=b_{i}+1}^{\lambda_{i}} y_{ij}$$
and
$$\Gamma_{0} = \prod_{i=s+1}^{m} \prod_{j=1}^{a_{i}} x_{ij} \prod_{j=a_{i}+1}^{\lambda_{i}} y_{ij}$$

we have

$$LT(\mathcal{P}(\gamma_1)) = \Gamma_0 \Gamma_1 \prod_{j=1}^{a_s} x_{sj} \prod_{j=a_s+1}^{\lambda_s} y_{sj}$$

and

$$LT(\mathcal{P}(\gamma_2)) = \Gamma_0 \Gamma_2 \prod_{j=1}^{b_s} x_{sj} \prod_{j=b_s+1}^{\lambda_s} y_{sj}.$$

Since $a_s < b_s$ we see that $LT(\mathcal{P}(\gamma_1)) > LT(\mathcal{P}(\gamma_2))$.

Write $f = \gamma_1 + \gamma_2 + \cdots + \gamma_s$ where each γ_i is a term and $LM(f) = LT(f) = \gamma_1$ since f was assumed to be monic. Define $F = \mathcal{P}(f)$. By Lemma 6.1, $LM(F) = LM(\mathcal{P}(\gamma_1))$. Furthermore, each monomial of $\mathcal{P}(\gamma_1)$ restitutes to γ_1 . In particular, $\mathcal{R}(\Gamma_1) = \gamma_1$ where $\Gamma_1 = LM(F)$. By Proposition 5.5(4), we may write

$$\Gamma_1 = LM(F) = LM\left(\prod_{ij} x_{ij} \prod_{ij,k\ell} u_{ij,k\ell} \prod_E Tr(\prod_{ij} y_{ij}^{e_{ij}})\right)$$
$$= \prod_{ij} x_{ij} \prod_{ij,k\ell} LM(u_{ij,k\ell}) \prod_E LM(Tr(\prod_{ij} y_{ij}^{e_{ij}})).$$

Restituting we find

$$\gamma_{1} = \mathcal{R}(\Gamma_{1}) = \mathcal{R}\left(\prod_{ij} x_{ij} \prod_{ij,k\ell} LM(u_{ij,k\ell}) \prod_{E} LM(Tr(\prod_{ij} y_{ij}^{e_{ij}}))\right)$$

$$= \prod_{ij} \mathcal{R}(x_{ij}) \prod_{ij,k\ell} \mathcal{R}(LM(u_{ij,k\ell})) \prod_{E} \mathcal{R}(LM(Tr(\prod_{ij} y_{ij}^{e_{ij}})))$$

$$= \prod_{ij} x_{i} \prod_{ij,k\ell} LM(u_{i,k}) \prod_{E} LM(Tr(\prod_{i} y_{i}^{\sum_{j} e_{ij}}))$$

where the last equality follows using Lemma 6.2 below. Thus LM(f) may be written as a product of factors from $LM(\mathcal{B})$. This shows that \mathcal{B} is a SAGBI basis for $\mathbf{F}[mV_2]^{C_p}$.

Lemma 6.2. Let $y^E = \prod_{i=1}^m \prod_{j=1}^{\lambda_i} y_{ij}^{e_{ij}}$ where $e_{ij} \in \{0,1\}$ for all i, j. Let $e_i = \sum_{j=1}^{\lambda_i} e_{ij}$. If $e_i < p$ for all i = 1, 2, ..., m then

$$\mathcal{R}\left(\mathrm{LM}(\mathrm{Tr}(y^E))\right) = \mathrm{LM}\left(\mathrm{Tr}(\mathcal{R}(y^E))\right)$$
.

Proof. Let s be minimal such that $e_1 + e_2 + \cdots + e_s \ge p - 1$. (If no such s exists then $\text{Tr}(y^E) = 0$ and $\text{Tr}(\mathcal{R}(y^E)) = 0$.) Let r be minimal such that $e_1 + e_2 + \cdots + e_{s-1} + e_{s1} + e_{s2} + \cdots + e_{sr} = p - 1$. By Lemma 2.3

$$LM(Tr(y^E)) = \left(\prod_{i=1}^{s-1} \prod_{j=1}^{\lambda_i} x_{ij}^{e_{ij}}\right) \prod_{j=1}^r x_{sj}^{e_{sj}} \prod_{j=r+1}^{\lambda_s} y_{sj}^{e_{sj}} \left(\prod_{i=s+1}^m \prod_{j=1}^{\lambda_i} y_{ij}^{e_{ij}}\right) .$$

Since $\mathcal{R}(y^E) = \prod_{i=1}^m y_i^{e_i}$, again using Lemma 2.3 we see that

$$LM(Tr(\mathcal{R}(y^E))) = \left(\prod_{i=1}^{s-1} x_i^{e_i}\right) x_s^t y_s^{e_s - t} \left(\prod_{i=s+1}^m y_i^{e_i}\right)$$

where $t = (p-1) - (e_1 + e_2 + \dots + e_{s-1}) = \sum_{j=1}^r e_{ij}$. Thus

$$\mathcal{R}\left(\mathrm{LM}(\mathrm{Tr}(y^E))\right) = \mathrm{LM}\left(\mathrm{Tr}(\mathcal{R}(y^E))\right)$$

as required.

Theorem 6.3. The set

$$\mathcal{B}' = \{x_i, N(y_i) \mid 1 \le i \le m\} \cup \{u_{ij} \mid 1 \le i < j \le m\}$$
$$\cup \{Tr(y^E) \mid 0 \le e_i \le p - 1, \ 2(p - 1) < |E|\}$$

is both a minimal algebra generating set and a SAGBI basis for $\mathbf{F}[m\,V_2]^{C_p}$.

Proof. We start by showing \mathcal{B}' is a SAGBI basis. We need to see why we do not need invariants of the form $\text{Tr}(y^E)$ where $|E| \leq 2(p-1)$ as generators. To see this, consider such a transfer $\text{Tr}(y^E)$. By Lemma 2.3

its lead term is $x_r^{p-1-t+e_r}y_r^{t-p+1}\prod_{i=1}^{r-1}x_i^{e_i}\prod_{i=r+1}^dy_i^{e_i}$ where r is minimal such that $t=\sum_{i=1}^re_i\geq p-1$. (We may assume that r exists since if |E|< p-1 then $\mathrm{Tr}(y^E)=0$.)

Write $LM(Tr(y^E)) = x_{i_1} x_{i_2} \cdots x_{i_{p-1}} y_{i_p} y_{i_{p+1}} \cdots y_{i_e}$ where $1 \le i_1 \le i_2 \le \cdots \le i_e \le m$. Consider $f = \prod_{j=1}^{2p-2-|E|} x_{i_j} \prod_{j=1}^{|E|-(p-1)} u_{i_{p-j},i_{p-1+j}}$. Then $LM(f) = LM(Tr(y^E))$. Thus $\{LM(f) \mid f \in \mathcal{B}'\}$ generates the same algebra as $\{LM(f) \mid f \in \mathcal{B}\}$ which shows that \mathcal{B}' is a SAGBI basis (and hence a generating set) for $\mathbf{F}[m V_2]^{C_p}$.

Now we show that \mathcal{B}' is a minimal generating set. It is clear that the elements x_i and u_{ij} cannot be written as polynomials in the other elements of \mathcal{B}' . Furthermore, since $LM(N(y_i)) = y_i^p$ is the only monomial occurring in any element of \mathcal{B}' which is a pure power of y_i , we see that $N(y_i)$ is required as a generator. This leaves elements of the form $Tr(y^E)$ with |E| > 2(p-1). We proceed similarly to the proof of [24, Lemma 4.3]. Assume by way of contradiction that $Tr(y^E) =$ $\gamma_1 + \gamma_2 + \cdots + \gamma_r$ where each γ_i is a scalar times a product of elements from $\mathcal{B}' \setminus \{ \operatorname{Tr}(y^E) \}$ and that $\operatorname{LM}(\gamma_1) \geq \operatorname{LM}(\gamma_2) \cdots \geq \operatorname{LM}(\gamma_r)$. Then $LM(Tr(y^E)) \leq LM(\gamma_1)$. First we suppose that $LM(\gamma_1) = LT(Tr(y^E))$. As above we have

$$LM(\gamma_1) = LM(Tr(y^E)) = x^A y^B = x_r^{p-1-t+e_r} y_r^{t-p+1} \prod_{i=1}^{r-1} x_i^{e_i} \prod_{i=r+1}^d y_i^{e_i}$$

where r is minimal such that $t = \sum_{i=1}^{r} e_i \ge p - 1$. Since each $e_i < p$ and $LM(N(y_i)) = y_i^p$ we see that $N(y_i)$ does not divide γ_1 . But then since |A| = p - 1 we see that |A| < |E| - |A| = |B|and thus there must be at least one transfer which divides γ_1 . Conversely since |A| = p - 1 exactly one transfer (to the first power) may divide γ_1 . But then the lead monomials of the other factors must divide y^B and no element of \mathcal{B}' has a lead monomial satisfying this constraint. This shows that for |E| > 2(p-1), the monomial LM(Tr(y^E)) cannot be properly factored using lead monomials from \mathcal{B}' .

Therefore we must have $LM(\gamma_1) > LM(Tr(y^E))$ (and $LM(\gamma_1) =$ $LM(\gamma_2)$). Since we may assume that each term of each γ_i is homogeneous of degree E, we may write $LM(\gamma_1) = x^C y^D$ where C + D = E. But $LM(Tr(y^E)) = x^A y^B$ is the biggest monomial in degree E which satisfies $|A| \geq p-1$. Hence $LM(\gamma_1) > LM(Tr(y^E))$ implies that |C| < p-1. Therefore γ_1 must be a product of elements of the form x_i, u_{ij} and $N(y_i)$ from \mathcal{B}' . As above, since each $e_i < p$, no $N(y_i)$ can divide γ_1 . But then LM(γ_1) is a product of factors of the form x_i and $LM(u_{ij}) = x_i y_j$ and this forces $|C| \ge |D| = |E| - |C|$. Therefore $2(p-1) > 2|C| \ge |E|$. This contradiction shows that we cannot express $Tr(y^E)$ as a polynomial in the other elements of \mathcal{B}' when |E| > 2(p-1).

7. Decomposing $\mathbf{F}[m V_2]$ as a C_p -module

In this section we show that our techniques give a decomposition of the homogeneous component

$$\mathbf{F}[m V_2]_{(d_1,d_2,...,d_m)}$$

as a C_p -module. We will describe $\mathbf{F}[m V_2]_{(d_1,d_2,...,d_m)}$ modulo projectives, i.e., we compute the multiplicities of the indecomposable summands V_k of this component for which k < p. Having done this, a simple dimension computation will give the complete decomposition.

By the Periodicity Theorem (Theorem 2.1), we may assume that each $d_i < p$. Let $d = d_1 + d_2 + \cdots + d_m$. The symmetric group on d letters, Σ_d , acts on $\otimes^d V_2$ by permuting the factors. This action commutes with the action of C_p (in fact with the action of all of $GL(V_2)$). The image of the polarization map consists of those tensors which are fixed by the Young subgroup $Y = \Sigma_{d_1} \times \Sigma_{d_2} \times \cdots \times \Sigma_{d_m}$ of Σ_d . Since each $d_i < p$, we see that Y is a non-modular group. Maschke's Theorem then implies that polarization embeds $\mathbf{F}[m V_2]_d$ into $\otimes^d V_2$ as a C_p -summand. Therefore $\ell(\mathcal{P}(f)) = \ell(f)$ for all $f \in \mathbf{F}[m V_2]_{(d_1,d_2,\ldots,d_m)}^{C_p}$ and $\ell(\mathcal{R}(F)) = \ell(F)$ for all $F \in (\otimes^d V_2)^{C_p \times Y}$.

Using the relations given in Section 2.2, it is straightforward to write down a basis, consisting of products of u_{ij} 's and x_i 's, for the invariants in multi-degree (d_1, d_2, \ldots, d_m) which lie in the subring generated by $\{x_i \mid 1 \leq i \leq m\} \cup \{u_{i,j} \mid 1 \leq i < j \leq m\}$. Associated to the lead term of each invariant in this basis is an indecomposable summand of $\mathbf{F}[m V_2]_{(d_1,d_2,\ldots,d_m)}$. The dimension of this summand may be found using Theorem 5.5. More directly, consider a product of u_{ij} 's and x_i 's, say

$$f := \prod_{i=1}^{m} x_i^{a_i} \cdot \prod_{1 \le i < j \le m} u_{i,j}^{b_{i,j}} \in \mathbf{F}[m \, V_2]^{C_p} .$$

It is not too difficult to show that LT(f) is the lead term of an element of the transfer if and only if there exists r with $1 \le r \le m$ such that

$$\sum_{i=1}^{r} a_i + \sum_{\substack{1 \le i \le r \le j \le m \\ i < j}}^{r} b_{ij} \ge p - 1.$$

If no such r exists then $\ell(f) = 1 + \sum_{i=1}^{m} a_i$ gives the dimension of the associated summand.

Rather than working with the invariants lying in $\mathbf{F}[m V_2]$ directly, one may instead use Theorem 5.5 to decompose $\otimes^d V_2$. It is then possible to perturb this decomposition so that it is a refinement of the splitting given by polarisation/restitution and thus gives a decomposition of $\mathbf{F}[m V_2]_{(d_1,\ldots,d_m)}$.

Example 7.1. As an example we compute the decomposition of

$$\mathbf{F}[4\ V_2]_{(p+1,1,1,p+2)}.$$

This space has dimension $(p+2)(2)(2)(p+3) = 4p^2 + 20p + 24$. By Theorem 2.1, we know

$$\mathbf{F}[4 V_2]_{(p+1,1,1,p+2)} \cong \mathbf{F}[4 V_2]_{(1,1,1,2)} \oplus (4p+20)V_p$$

and we need to compute the decomposition of

$$\mathbf{F}[4V_2]_{(1,1,1,2)} = V_2 \otimes V_2 \otimes V_2 \otimes S^2(V_2).$$

We have available the invariants x_1, x_2, x_3, x_4 and $u_{12}, u_{13}, u_{14}, u_{23}, u_{24}, u_{34}$. Suppose now that $p \ge 7$. The products of these 10 invariants which lie in degree (1, 1, 1, 2) are as follows (sorted by length):

$$\begin{array}{l} \ell=2\colon x_4u_{12}u_{34},\ x_4u_{13}u_{24},\ x_4u_{14}u_{23},\ x_1u_{24}u_{34},\ x_2u_{14}u_{34},\ x_3u_{14}u_{24}\\ \ell=4\colon x_3x_4^2u_{12},\ x_1x_4^2u_{23},\ x_1x_2x_4u_{34},\ x_2x_4^2u_{13},\ x_1x_3x_4u_{24},\ x_2x_3x_4u_{14}\\ \ell=6\colon x_1x_2x_3x_4^2 \end{array}$$

Consider the invariants of length 2. Among the available relations for those of length 2 we have:

$$0 = x_4(u_{12}u_{34} - u_{13}u_{24} + u_{14}u_{23}),$$

$$0 = u_{34}(x_1u_{24} - x_2u_{14} + x_4u_{23}), \text{ and}$$

$$0 = u_{14}(x_2u_{34} - x_3u_{24} + x_4u_{23}).$$

Using these three relations we see that the three invariants

$$x_4u_{13}u_{24}$$
, $x_2u_{14}u_{34}$, $x_3u_{14}u_{24}$

may be expressed in terms of the other three invariants

$$x_4u_{12}u_{34}$$
, $x_4u_{14}u_{23}$, $x_1u_{24}u_{34}$.

Furthermore there are no relations involving only these latter three invariants and thus they represent the socles of 3 summands isomorphic to V_2 .

Among the available relations involving invariants of length 4 we have

$$0 = x_4^2(x_1u_{23} - x_2u_{13} + x_3u_{12}),$$

$$0 = x_1x_4(x_2u_{34} - x_3u_{24} + x_4u_{23}), \text{ and}$$

$$0 = x_3x_4(x_1u_{24} - x_2u_{14} + x_4u_{12}).$$

These allow us to express the three invariants

$$x_2x_4^2u_{13}$$
, $x_1x_3x_4u_{24}$, $x_2x_3x_4u_{14}$

using only

$$x_3 x_4^2 u_{12}, \quad x_1 x_4^2 u_{23}, \quad x_1 x_2 x_4 u_{34}.$$

Again these there are no relations involving only these latter 3 invariants and so they represent the socles of 3 summands isomorphic to V_4 .

Since $x_1x_2x_3x_4^2$ spans the socle of a summand isomorphic to V_6 we conclude that

$$\mathbf{F}[4 V_2]_{(1,1,1,2)} \cong 3 V_2 \oplus 3 V_4 \oplus V_6$$
 for $p \ge 7$.

For p = 5, the foregoing is all correct except that the lattice paths corresponding to $x_1x_2x_3x_4^2$ and $x_1x_2x_3x_4y_4 = LT(x_1x_2u_{34}x_4)$ both attain height p - 1 = 4. Thus in this case these two invariants both represent a projective summand and we have the decomposition

$$\mathbf{F}[4\ V_2]_{(1,1,1,2)} \cong 3\ V_2 \oplus 2\ V_4 \oplus 2\ V_5 \quad \text{for } p = 5.$$

For p=2,3 all the relevant lattice paths attain height p-1 and so the summand is projective. Thus

$$\mathbf{F}[4 V_2]_{(1,1,1,2)} \cong 8 V_3$$
 for $p = 3$, and $\mathbf{F}[4 V_2]_{(1,1,1,2)} \cong 12 V_2$ for $p = 2$.

We will also illustrate how to use the decomposition of $\otimes^5 V_2$ to find the decomposition of $\mathbf{F}[4\,V_2]_{(1,1,1,2)}$. By the results of Section 5, we have $\otimes^5 V_2 \cong 5\,V_2 \oplus 4\,V_4 \oplus V_6$ for $p \geq 7$. Here the lead monomials are

 $\ell = 2$: $x_1 y_2 x_3 y_4 x_5$, $x_1 x_2 y_3 y_4 x_5$, $x_1 y_2 x_3 x_4 y_5$, $x_1 x_2 y_3 x_4 y_5$, $x_1 x_2 x_3 y_4 y_5$

 $\ell = 4: x_1 y_2 x_3 x_4 x_5, \ x_1 x_2 y_3 x_4 x_5, \ x_1 x_2 x_3 y_4 x_5, \ x_1 x_2 x_3 x_4 y_5$

 $\ell = 6$: $x_1 x_2 x_3 x_4 x_5$

and the corresponding invariants are

 $\ell = 2$: $x_5 u_{12} u_{34}$, $x_5 u_{14} u_{23}$, $x_4 u_{12} u_{35}$, $x_1 u_{23} u_{45}$, $x_1 u_{25} u_{34}$

 $\ell = 4$: $x_3 x_4 x_5 u_{12}$, $x_1 x_4 x_5 u_{23}$, $x_1 x_2 x_5 u_{34}$, $x_1 x_2 x_3 u_{45}$

 $\ell = 6$: $x_1 x_2 x_3 x_4 x_5$

The Young subgroup $Y := \Sigma_1 \times \Sigma_1 \times \Sigma_1 \times \Sigma_2$ acts by simultaneously interchanging x_4 with x_5 and y_4 with y_5 . Clearly the action preserves length. The $C_p \times Y$ invariants are

$$\ell = 2: x_5 u_{12} u_{34} + x_4 u_{12} u_{35}, \ x_5 u_{14} u_{23} + x_4 u_{15} u_{23}, \ x_4 u_{12} u_{35} + x_5 u_{12} u_{34}, x_1 u_{23} u_{45} + x_1 u_{23} u_{54} = 0, \ x_1 u_{25} u_{34} + x_1 u_{24} u_{35}$$

$$\ell = 4: x_3 x_4 x_5 u_{12}, x_1 x_4 x_5 u_{23}, x_1 x_2 x_5 u_{34} + x_1 x_2 x_4 u_{35}, x_1 x_2 x_3 u_{45} + x_1 x_2 x_3 u_{54} = 0$$

 $\ell = 6$: $x_1 x_2 x_3 x_4 x_5$

We now restitute these $C_p \times Y$ invariants to $\mathbf{F}[4 V_2]_{(1,1,1,2)}^{C_p}$. We find

$$\mathcal{R}(x_5u_{12}u_{34} + x_4u_{12}u_{35}) = 2x_4u_{12}u_{34},$$

$$\mathcal{R}(x_5u_{14}u_{23} + x_4u_{15}u_{23}) = 2x_4u_{14}u_{23},$$

$$\mathcal{R}(x_1u_{25}u_{34} + x_1u_{24}u_{35}) = 2x_1u_{24}u_{34}.$$

Thus we find 3 summands of $\mathbf{F}[4V_2]_{(1,1,1,2)}$ isomorphic to V_2 . Restituting the invariants of length 4 we find

$$\mathcal{R}(x_3x_4x_5u_{12}) = x_3x_4^2u_{12},$$

$$\mathcal{R}(x_1x_4x_5u_{23}) = x_1x_4^2u_{23}, \text{ and}$$

$$\mathcal{R}(x_1x_2x_5u_{34} + x_1x_2x_4u_{35}) = 2x_1x_2x_4u_{34}.$$

Thus we have 3 summands isomorphic to V_4 . Since $\mathcal{R}(x_1x_2x_3x_4x_5) = x_1x_2x_3x_4^2$, we see that

$$\mathbf{F}[4 V_2]_{(1,1,1,2)} \cong 3 V_2 \oplus 3 V_4 \oplus V_6 \text{ for } p \ge 7.$$

For p = 2, 3, 5, the lengths of the above invariants change and we must adjust our conclusions accordingly as we did earlier. For p = 2 we must also use the Periodicity Theorem again since $d_4 = 2 = p$.

8. A First Main Theorem for $SL_2(\mathbf{F}_n)$

The purpose of this section is to use the relative transfer homomorphism to describe the ring of vector invariants, $\mathbf{F}[m\,V_2]^{SL_2(\mathbf{F}_p)}$. Let P denote the upper triangular Sylow p-subgroup of $SL_2(\mathbf{F}_p)$, giving $N(y) = N^P(y) = y^p - yx^{p-1}$. The ring of invariants of the defining representation of $SL_2(\mathbf{F}_p)$ is generated by $L = x\,N(y)$ and $D = N(y)^{p-1} + x^{p(p-1)}$ (see Dickson [10], Wilkerson [29], or Benson [1, §8.1]). For $\lambda \in \mathbb{N}^m$, define $L_\lambda = \pi_\lambda \nabla_m(L)$ and $D_\lambda = \pi_\lambda \nabla_m(D)$, the multidegree λ polarisations. Further define L_i to be the polarisation of L corresponding to $\lambda_i = p + 1$ and $\lambda_j = 0$ for $j \neq i$. It is easy to verify that $L_i = x_i y_i^p - x_i^p y_i$ is the Dickson invariant for the i^{th} summand.

Let L_{ij} denote the polarisation corresponding to $\lambda_i = 1$, $\lambda_j = p$, and $\lambda_k = 0$ otherwise. So, for example, $L_{32} = L_{(0,p,1,0,\dots,0)}$. Define

$$\mathcal{D}_m = \left\{ \lambda \in \mathbb{N}^m \mid p \text{ divides } \lambda_i \text{ for all } i \text{ and } \sum_{i=1}^m \lambda_i = p(p-1) \right\}.$$

Further define

$$S_m = \{u_{ij} \mid i < j \le m\} \cup \{L_i, L_{ij} \mid i, j \in \{1, \dots, m\}, i \ne j\}$$

$$\cup \{D_{\lambda} \mid \lambda \in \mathcal{D}_m\}.$$

Theorem 8.1. The ring of vector invariants, $\mathbf{F}[m V_2]^{SL_2(\mathbf{F}_p)}$, is generated by \mathcal{S}_m and elements from the image of the transfer.

Note that the elements of \mathcal{S}_m are clearly $SL_2(\mathbf{F}_p)$ -invariant and include a system of parameters. Let A denote the algebra generated by \mathcal{S}_m and let \mathfrak{a} denote the ideal in $\mathbf{F}[m\,V_2]^P$ generated by \mathcal{S}_m . A basis for the finite dimensional vector space $\mathbf{F}[m\,V_2]^P/\mathfrak{a}$ lifts to a set of A-module generators for $\mathbf{F}[m\,V_2]^P$, say \mathcal{M} . Since the relative transfer homomorphism is a surjective A-module morphism, $\mathbf{F}[m\,V_2]^{SL_2(\mathbf{F}_p)}$ is generated by $\mathcal{S}_m \cup \mathrm{Tr}_P^{SL_2(\mathbf{F}_p)}(\mathcal{M})$. The elements of \mathcal{M} may be chosen to be monomials in the generators of $\mathbf{F}[m\,V_2]^P$. Since we are working modulo the image of the transfer, it is sufficient to consider monomials of the form $\mathbf{N}(y)^{\alpha}x^{\beta}$.

Let \mathfrak{u} denote the ideal in $\mathbf{F}[m V_2]^P$ generated by $\{u_{ij} \mid i < j \leq m\}$.

Lemma 8.2. For $i < j \le m$, $L_{ij} = x_i \operatorname{N}(y_j) + u_{ij} x_j^{p-1}$ and $L_{ji} = x_j \operatorname{N}(y_i) - u_{ij} x_i^{p-1}$, giving $L_{ij} \equiv_{\mathfrak{u}} x_i \operatorname{N}(y_j)$ and $L_{ji} \equiv_{\mathfrak{u}} x_j \operatorname{N}(y_i)$.

Proof. Applying ∇_m to L gives

$$(x_1 + \cdots + x_m) (y_1^p + \cdots + y_m^p - (y_1 + \cdots + y_m)(x_1 + \cdots + x_m)^{p-1}).$$

Expanding gives

$$(x_1 + \cdots + x_m) (y_1^p + \cdots + y_m^p) - (y_1 + \cdots + y_m)(x_1 + \cdots + x_m)^p$$

Collecting the appropriate multi-degrees gives $L_{ij} = x_i y_j^p - y_i x_j^p$ and $L_{ji} = x_j y_i^p - y_j x_i^p$. Using $u_{ij} = x_i y_j - x_j y_i$ and $N(y) = y^p - x^{p-1} y$ gives

$$x_i N(y_i) + u_{ij} x_i^{p-1} = x_i y_i^p - x_i y_j x_i^{p-1} + x_i y_j x_i^{p-1} - x_i^p y_i = L_{ij}$$

and

$$x_j N(y_i) - u_{ij} x_i^{p-1} = x_j y_i^p - x_j y_i x_i^{p-1} - y_j x_i^p + x_i^{p-1} x_j y_i = L_{ji}.$$

Since $\mathfrak{u} \subset \mathfrak{a}$, the preceding lemma and the formula $L_i = x_i N(y_i)$ show that it is sufficient to compute $\operatorname{Tr}_P^{SL_2(\mathbf{F}_p)}$ on monomials of the form $N(y)^{\alpha}$ or x^{β} .

Let B denote the Borel subgroup containing P, i.e., the upper triangular elements of $SL_2(\mathbf{F}_p)$. Define a weight function on $\mathbf{F}[m V_2]$ by $\operatorname{wt}(x_i) \equiv_{(p-1)} 1$ and $\operatorname{wt}(y_i) \equiv_{(p-1)} -1$. Note that $\operatorname{N}(y_i)$ is isobaric of weight -1. Furthermore, $\mathbf{F}[m V_2]^B$ consists of the span of the the weight zero elements of $\mathbf{F}[m V_2]^P$. The relative transfer Tr_P^B is determined by weight:

$$\operatorname{Tr}_{P}^{B}\left(\mathrm{N}(y)^{\alpha}x^{\beta}\right) = \begin{cases} -\operatorname{N}(y)^{\alpha}x^{\beta}, & \text{if } (|\beta| - |\alpha|) \equiv_{(p-1)} 0; \\ 0, & \text{otherwise.} \end{cases}$$

Since $\operatorname{Tr}_P^{SL_2(\mathbf{F}_p)} = \operatorname{Tr}_B^{SL_2(\mathbf{F}_p)} \operatorname{Tr}_P^B$, it is sufficient to compute $\operatorname{Tr}_B^{SL_2(\mathbf{F}_p)}$ on $\operatorname{N}(y)^{\alpha}$ with $|\alpha|$ a multiple of p-1 and x^{β} with $|\beta|$ a multiple of p-1. However, if $|\beta| \geq p-1$, then $x^{\beta} \in \operatorname{Tr}^P(\mathbf{F}[m\,V_2])$ and $\operatorname{Tr}_P^{SL_2(\mathbf{F}_p)}(x^{\beta}) \in \operatorname{Tr}^{SL_2(\mathbf{F}_p)}(\mathbf{F}[m\,V_2])$. Thus is is sufficient to compute $\operatorname{Tr}_B^{SL_2(\mathbf{F}_p)}(\operatorname{N}(y)^{\alpha})$ with $|\alpha|$ a multiple of p-1.

For $\lambda \in \mathcal{D}_m$, define

$$N(y)^{\lambda/p} = \prod_{i=1}^{m} N(y_i)^{\lambda_i/p}.$$

Lemma 8.3. $\nabla_m(D) \equiv_{\mathfrak{u}} (\mathrm{N}(y_1) + \cdots + \mathrm{N}(y_m))^{p-1} + (x_1 + \cdots + x_m)^{p(p-1)},$ giving $D_{\lambda} \equiv_{\mathfrak{u}} \binom{p-1}{\lambda/p} (\mathrm{N}(y)^{\lambda/p} + x^{\lambda})$ and $\mathrm{N}(y)^{\lambda/p} \equiv_{\mathfrak{a}} -x^{\lambda}.$

Proof. The proof is by induction on m. Note that $\nabla_m = \nabla^{m-1}$. Thus $\nabla_1(D) = \nabla^0(D) = D = \mathcal{N}(y)^{p-1} + x^{p(p-1)}$, as required. Recall that the action of ∇ on $\mathbf{F}[m\,V_2]$ is determined by $\nabla(x_m) = x_m + x_{m+1}$, $\nabla(y_m) = y_m + y_{m+1}$, $\nabla(x_i) = x_i$, and $\nabla(y_i) = x_i$ for i < m. Thus $\nabla(u_{ij}) = u_{ij}$ if i < j < m and $\nabla(u_{im}) = y_i(x_m + x_{m+1}) - x_i(y_m + y_{m+1}) = u_{im} + u_{i,m+1}$. Therefore ∇ induces an algebra morphism on $\mathbf{F}[m\,V_2]^P/\mathfrak{u}$. Furthermore $\nabla(\mathcal{N}(y_i)) = \mathcal{N}(y_i)$ if i < j < m and $\nabla(\mathcal{N}(y_m)) = y_m^p + y_{m+1}^p - (x_m + x_{m+1})^{p-1}(y_m + y_{m+1}) = \mathcal{N}(y_m) + \mathcal{N}(y_{m+1}) - u_{m,m+1} \sum_{j=0}^{p-2} (-x_m)^j x_{m+1}^{p-2-j}$. By induction,

$$\nabla_{m+1}(D) = \nabla(\nabla_m(D)) \in \nabla((N(y_1) + \dots + N(y_m))^{p-1} + (x_1 + \dots + x_m)^{p(p-1)} + \mathfrak{u}).$$

Evaluating the algebra morphism ∇ gives

$$\nabla_{m+1}(D) \in (\nabla(\mathcal{N}(y_1)) + \dots + \nabla(\mathcal{N}(y_m)))^{p-1} + (x_1 + \dots + x_{m+1})^{p(p-1)} + \nabla(\mathfrak{u})$$

$$\in (N(y_1) + \dots + N(y_{m+1}))^{p-1} + (x_1 + \dots + x_{m+1})^{p(p-1)} + \mathfrak{u},$$

as required. \Box

Using the lemma, if p-1 divides $|\alpha|$ then $\mathrm{Tr}_B^{SL_2(\mathbf{F}_p)}(\mathrm{N}(y)^\alpha)$ is decomposable modulo the image of the transfer, completing the proof of Theorem 8.1

To complete the calculation of a generating set for $\mathbf{F}[m V_2]^{SL_2(\mathbf{F}_p)}$ and compute an upper bound for the Noether number, we need only identify a set of A-module generators for $\mathbf{F}[m V_2]$. This can be done by applying the Buchberger algorithm to \mathcal{S}_m . For example, a Magma [2] calculation for m=3 and p=3, produces 522 A-module generators giving rise to 74 non-zero elements in the image of the transfer. Subducting the transfers against \mathcal{S}_m gives 11 new generators and 29 in total. Magma's MinimalAlgebraGenerators command reduces the number of generators to 28, occurring in degrees 2, 4, 6 and 8. The same calculation for p=5 and m=3 gives a Noether number of 24. Thus for $p\in\{3,5\}$ and m=3, the Noether number is (p+m-2)(p-1)=(p+1)(p-1).

Theorem 8.4. $\mathbf{F}[m V_2]^{SL_2(\mathbf{F}_p)}$ is generated as an A-module in degrees less than or equal to (p+m-2)(p-1).

Proof. Define \mathfrak{a}' to be the ideal in $\mathbb{F}[m V_2]$ generated by \mathcal{S}_m , i.e.,

$$\mathfrak{a}' = A^+ \mathbf{F}[m \, V_2].$$

A basis for $\mathbf{F}[m\,V_2]/\mathfrak{a}'$ lifts to a set of A-module generators for $\mathbf{F}[m\,V_2]$. We may choose the A-module generators to be monomials, $y^{\alpha}x^{\beta}$, which are minimal representatives of their mod- \mathfrak{a}' congruence class. For convenience, denote $d=|\alpha|+|\beta|$. For i< j, using $u_{ij}=x_iy_j-x_jy_i$, if x_i divides $y^{\alpha}x^{\beta}$, then y_j does not. For $j\leq i$, using L_i and L_{ij} , if x_i divides $y^{\alpha}x^{\beta}$, then y_j^p does not. The remaining representatives fall into two classes: y^{α} and $y_1^{\alpha_1}\cdots y_k^{\alpha_k}x_k^{\beta_k}\cdots x_m^{\beta_m}$ with $\beta_k\neq 0$ and $\alpha_i\leq p-1$.

Case 1: y^{α} . Using D_{λ} with $\lambda \in \mathcal{D}_m$, we see that, for $|\gamma| \geq p-1$, $(y^{\gamma})^p$ does not divide y^{α} . Write $\alpha_i = q_i p + r_i$ with $r_i < p$. Then $y^{\alpha} = (y^q)^p y^r$ with $|q| \leq p-2$. Thus $|\alpha| = p|q| + |r| \leq p(p-2) + m(p-1) = (p+m-1)(p-1)-1$. However, $\operatorname{Tr}(y^{\alpha}) = 0$ unless p-1 divides $|\alpha|$. Therefore, the A-module generators of the form $\operatorname{Tr}(y^{\alpha})$ satisfy $d = |\alpha| \leq (p+m-2)(p-1)$.

Case 2: $y_1^{\alpha_1} \cdots y_k^{\alpha_k} x_k^{\beta_k} \cdots x_m^{\beta_m}$ with $\beta_k \neq 0$ and $\alpha_i \leq p-1$. For i < j, let x^{γ} be a monomial in x_1, \ldots, x_{j-1} . If $|\gamma| = p-1$, then

 $x^{\gamma}L_{ij} = x^{\gamma}(x_iy_j^p - x_j^py_i) \equiv_{\mathfrak{u}} y^{\gamma}y_ix_j^p - x^{\gamma}y_ix_j^p$. Therefore, if $\beta_j \geq p$ for any j > k, then $|\alpha| < p$. If $|\gamma| \leq p-1$ then $x^{\gamma}L_j = x^{\gamma}(x_jy_j^p - x_j^py_j) \equiv_{\mathfrak{u}} y^{\gamma}y_j^{p-|\gamma|}x_j^{|\gamma|+1} - x^{\gamma}y_jx_j^p$. Therefore, if $\beta_k \geq p$, we also have $|\alpha| < p$. If $|\beta_j| < p$ for all $j \geq k$, then $|\alpha| + |\beta| \leq (m-k+2)(p-1) \leq (p+m-2)(p-1)$ if k > 1. Hence it is sufficient to consider the case $|\alpha| < p$. However the transfer is zero unless p-1 divides $|\alpha|$ so we may assume $|\alpha| = p-1$. If $|\alpha| = p-1$, a straightforward calculation with binomial coefficients gives $\operatorname{Tr}^P(y^{\alpha}x^{\beta}) = -x^{\alpha+\beta}$. Furthermore, $\operatorname{Tr}^B_P(x^{\alpha+\beta}) = 0$ unless p-1 divides $|\alpha| + |\beta|$. Write $\alpha_i + \beta_i = q_i p + r_i$ with $r_i < p$. Then $x^{\alpha+\beta} = (x^q)^p x^r$. If $|q| \geq p-1$ and |r| > 0, we may choose i = 1 so that i = 1 so i = 1 so that i = 1 so i = 1 so that i = 1 so th

Corollary 8.5. For m > 2, the Noether number for $\mathbf{F}[m V_2]^{SL_2(\mathbf{F}_p)}$ is less than or equal to (p + m - 2)(p - 1). For m = 2 and p > 2, the Noether number is p(p - 1) and for m = 2, p = 2, the Noether number is p + 1 = 3.

Proof. The elements of S_m lie in degrees 2, p+1 and p(p-1). Clearly L_1 and $D_{(p(p-1),0,\ldots,0)}$ are indecomposable.

For p = 2 and $m \in \{3,4\}$, Magma calculations give the Noether number (p + m - 2)(p - 1) = m.

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