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TITLE

How worldview and personal values can shape conservation conflict – the case of captive-bred lions

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78 Authors: Christina Hiller, Douglas C. MacMillan

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11 Figures

12 Fig. 1 Conservation value appraisal framework showing the main emerging themes and their

- interrelations relevant for assessing the conservation value of captive-bred lions. Factors in the bigger frame affect how people interpret the factors in the inner frame to suit their conservation value
- 15 appraisal

16 **Fig. 2** Stakeholder values resulting in contrasting character judgements of lion breeders, which are

transferred to captive-bred lions and lead to positive, sceptical or negative tendencies when assessing their conservation value

- **Fig. 3** Conservation worldviews relevant in the case of captive-bred lions leading to positive, scattered
- 20 or negative tendencies when assessing their conservation value
- 21

22 Tables

23 Table 1 Number of interviewees and their roles relating to the captive lion industry

- Table 2 Quotes from interviews with representatives of the captive lion industry and key-informants on the conservation value (CV) of captive-bred lions illustrating their common ground, values and
- 26 conservation worldviews (quote numbers referenced in Results in square brackets)

Table 3 Quotes from interviews with representatives of the captive lion industry and key-informants

illustrating the evaluation criteria (A-H) and appraisal spectrum (1-4) for the conservation value (CV) of

captive-bred lions (quote codes A1-H4 referenced in Results in square brackets). Interviewee-ID is
 indicated within brackets in bold letters after each quote (KI, key-informant; CL, representative of

- 31 captive lion industry; F, female; M, male)
- 32

33 Glossary

- 34 CBL.....Captive-Bred Lion
- 35 CLCaptive Lion
- 36 CV.....Conservation Value
- 37 F.....Female
- 38 IDIdentification
- 39 KIKey-Informant
- 40 NGO.....Non-Government Organisation
- 41 M.....Male

42 Abstract

- 43 Conservation debates, fuelled by social media, are becoming increasingly polarised, especially where 44 animal conservation and welfare are concerned. This study reveals how the 'evidence-based
- 45 approach' founded on scientific knowledge and consensus-building can be insufficient when
- 46 addressing conflicts that are driven by deeply held and opposing belief systems about nature, wildlife
- 47 and their exploitation. Using targeted semi-structured interviews grounded in inductive approaches, we
- 48 unveil core attitudes and viewpoints of captive lion breeders in South Africa and compare them to
- 49 those of key-informants from science and governance arenas. Further, we demonstrate how the value-
- 50 systems and worldviews of stakeholders influence their interpretations of scientific knowledge when 51 assessing the conservation value of captive-bred lions. Since polarised conflicts are frequent in
- 52 conservation, the insights of this study highlight the need to create a deeper understanding of the
- 53 social-psychological perspective of all main stakeholders to prepare the foundation for solution-
- 54 building processes and evidence-based decision-making.
- 55 **Keywords:** conservation value, conservation psychology, conservation conflict, lion trade (*Panthera* 56 *leo*), inductive research, complex problems

57 **1 Introduction**¹

Many human-wildlife issues in conservation are complex, dynamic and characterised by uncertainty 58 and ambiguity as they are embedded in a context of ecological, social and economic trade-offs. 59 Complexity within such socio-ecological systems refers to a lack of clearly defined boundaries. 60 innumerable nonlinear interactions and constant change (Game et al., 2014). In other words, these 61 conservation challenges lack cause-and-effect relationships and the possibility to determine the right 62 intervention. Rather, stakeholders' personal values and beliefs shape their problem statement, 63 objectives and tactics (Mason et al., 2018) and are regarded as one source of conservation conflict 64 (Redpath et al., 2013). Rittel and Webber (1973) coined the term "wicked" problems for such complex 65 issues where no objective and definitive solution exists and where resolutions rely on political 66 67 judgement influenced mainly by the differing levels of power of all actors involved (Mason et al., 2018). 68 Such issues, almost by definition, defy classical problem-solving approaches grounded in the 69 quantitative sciences, where optimal solutions are often identified or engineered by addressing deficits 70 and disagreements through research and knowledge exchange (Dickman et al., 2015; Kidd et al., 71 2019), even when supplemented by consensus-building techniques such as the 'Delphi Approach' (MacMillan and Marshall, 2006). 72 Complex problems resist such knowledge-based approaches and represent a fundamental hurdle for 73

74 policy-making, with final decisions often left to political expediency. In the globalised world, featuring increasingly heterogeneous societies, political decision-making becomes more complicated and 75 fallible, with decisions influenced by powerful but perhaps cloaked vested interests, prevailing political 76 ideologies or cultures and the fast-evolving nature of global value-systems. It is becoming increasingly 77 difficult for governance agencies to mediate the co-construction of a broadly accepted way forward 78 79 while stakeholder groups drift further apart, and simple but extreme solutions emerge and fill the solution-void, increasingly via social media. Untangling and understanding such contextualised 80 81 problems can only be achieved through in-depth gualitative social science (Moon et al., 2019).

Farming wild animals for human purposes is a contentious issue in many countries. Still, none more so than in South Africa, where breeding wild animals in captivity is an established sector in rural areas and where the debate has considerable salience to policymakers and society at large, both at home and abroad (Coals et al., 2019; Nelson at al., 2016). In this study, we explore the conflict over captivebred lions (CBLs) (*Panthera leo*) in South Africa, which now outnumber wild populations by more than two to one, with 8 000 CBLs (Williams and 't Sas-Rolfes, 2019) compared to an estimated 3 490 freeroaming lions (Miller et al., 2016).

The polarisation of the conflict about CBLs has surged following numerous (social) media reports and several ensuing court cases or parliamentary debates (Parliament of the Republic of South Africa:

91 Portfolio Committee on Environmental Affairs, 2018; Republic of South Africa: Department of

¹ CBL......Captive-Bred Lion

CV......Conservation Value

92 Environment, 2019; The High Court of South Africa, 2019; The Supreme Court of Appeal of South 93 Africa, 2010). Thus far, quantitative data analyses have largely been used to create knowledge about 94 the sector and support reconciliatory conflict-resolution attempts (Coals et al., 2020; Williams et al., 2015, 2017; Williams and 't Sas-Rolfes, 2019). Mostly, these efforts have stopped in their tracks by the 95 continued claim of animal rights, welfare and even biodiversity conservation organisations, seemingly 96 97 supported by public interest groups on social media, that the only acceptable way to deal with the 98 captive lion sector is to completely shut it down and ban captive breeding of lions (Ban Animal Trading 99 South Africa, 2020; Blood Lions, 2019; Born Free Foundation, 2020; Campaign Against Canned Hunting, 2019; FOUR PAWS, 2020). The most recent court case lodged by the National Council of 100 Societies for the Prevention of Cruelty to Animals challenged the lion bone quotas set by the South 101 African Minister of Environment, Forestry and Fisheries (DEFF) in accordance with the COP17 102 103 decision by the Convention on International Trade in Endangered Species (CITES 2017), and resulted 104 in the widened mandate of DEFF to include animal wellbeing aspects when deciding on lion part guotas (The High Court of South Africa, 2019). Currently, a high-level ministerial panel has been 105 established to "Review Policies, Legislation and Practices related to the Management, Breeding, 106 Hunting, Trade and Handling of Elephant, Lion, Leopard and Rhinoceros" (Republic of South Africa: 107 Department of Environment, 2019). 108

109 Simultaneously, the debate about CBLs has for large parts been revolving around their potential 110 conservation value (CV) (US Fish and Wildlife Service, 2018; Williams and 't Sas-Rolfes, 2019). This renewed attempt to deal with the controversy based on more scientific knowledge results in further 111 efforts to collect evidence garnered from genetic, behavioural, ecological and socio-economic data to 112 be evaluated within a CV-framework. As we demonstrate with our research, we are inclined to doubt 113 114 the prospects of CV-based approaches to mitigating polarised conflicts. Traditionally, as described by MacMillan and Marshall (2006), consensus-building techniques such as the Delphi approach can be 115 integrated to resolve disagreements between stakeholders and deal with uncertainties. Furthermore, it 116 is already well-established that developing a vision for conservation success with all relevant 117 stakeholders can create a positive atmosphere for cooperation (Redford et al., 2011) and allow for 118 structured engagements like scenario-based planning or structured risk evaluation approaches to 119 achieve pragmatic, accepted solutions for wicked problems (Woodford et al., 2016). 120

However, these practices and techniques assume common perception about the nature of the problem 121 and the objectives of the project or policy. In the context of CBLs, several issues arise. First, there is 122 no agreed definition of CV (Capmourteres and Anand, 2016; McGowan et al., 2017). Capmourteres 123 124 and Anand (2016) instead emphasise that the term conservation value in academia comprises a wide variety of meanings and associated metrics and that the CV theory is evolving by adapting to different 125 126 conservation management scenarios making CV-frameworks highly case-specific. Second, scientific 127 research is patchy. Some studies have investigated the direct impact of CBLs on rehabilitating 128 extirpated or declining wild populations through reintroductions or by keeping genetic repositories 129 (Edwards, 2014; Frankham, 2008; Lindsey et al., 2012; Slotow and Hunter, 2009). Others have examined how CBLs could alleviate trade-related pressures for free-roaming animals (Lindsey et al., 130 2012; Macdonald et al., 2017; Williams et al., 2017). But many other factors, such as contribution to 131 habitat protection or raising conservation funds, are much more difficult to investigate scientifically and 132 are under-researched (Bauer et al., 2018; Coals et al., 2019). Third, even with fuller scientific 133 134 knowledge and understanding, it may be difficult to obtain consensus about strategy or policy decisions when the major stakeholders have very different views about the problem and vested 135 interests, in particular solutions related to conservation and animal rights (Williams and 'Sas-Rolfes, 136 2019; Woodford et al., 2016). 137

In this study, we use an inductive research approach based on in-depth interviews to establish the role 138 of an emergent CV-framework for conflict resolution that directly incorporates social-psychological 139 components of the CV-debate about captive populations. The social-psychological perspective seeks 140 to comprehend human behaviour in social situations. It helps to understand how stakeholders 141 construct their goals and perceptions based on feelings, thoughts, values and beliefs, in this case, 142 143 about the CV of CBLs, within their social context and interactions with others (Allport, 1985). We 144 explore the attitudes of lion breeders towards conservation and their understanding of the CV of their 145 animals, and we compare views of lion breeders with those of scientific experts and policymakers. We 146 believe our model can move the debate forward by shedding light on the specific socio-ecological 147 context in which this farming-related controversy takes place as well as on the contextual "realities" of 148 stakeholder and their core values and beliefs (Moon et al., 2019). We hope that our extended CV-149 framework will provide a more resilient and enabling platform for deeper, less polarised debates to be undertaken by conservation professionals worldwide. 150

2 Materials and Methods 151

Semi-structured interviews were used to obtain gualitative data permitting us to gain access to the 152 relevant stakeholder groups as well as to overcome logistical challenges due to their wide 153 geographical distribution. With open guestions, these interviews are the best way to gain a deeper 154 understanding of perceptions, dilemmas, emotions, conflicts, beliefs and values of especially hard-to-155 156 access stakeholder groups such as lion breeders (Drury et al., 2011). They can yield high-quality data 157 and insights into complex situations (Young et al., 2018), especially when a more inductive social research approach is adopted. Guided by the core principles of grounded theory (Corbin and Strauss, 158 1990), we used the interviews to build a theory of how the CBL-industry links to CV through the eyes 159 160 of the respondents (Khan, 2014).

161 Grounded theory postulates that data collection, coding and analysis happen simultaneously in 162 overlapping cycles uncovering themes and their interconnections. Data analysis involves annotations, memo-writing and coding of transcribed interviews. When interviews elicit no new information on the 163 research topic, the process is understood to be saturated, and research can conclude. In a final step, 164 writing up the findings and insights with reference to relevant literature enhances the resulting 165 narrative. Since this inductive approach lacks an initial hypothesis, the researcher ought to adopt a 166 "not-knowing-stance" and trust that the patterns and insights representing the real-world phenomena 167 emerge through the process. 168

2.1 Study area and sampling strategy 169

Inductive research based on grounded theory deploys theoretic sampling evolving from the 170 simultaneous collection and analysis of data, becoming more purposeful over time as emerging 171 theories become more robust (Khan, 2014). This study was conducted in South Africa, currently the 172 only country with a large-scale CBL-industry (Williams and 't Sas-Rolfes, 2019). A short fieldwork 173 174 timeframe of six weeks and the widespread distribution of interview partners across most parts of South Africa (Fig. A1) rendered theoretic sampling infeasible. As an alternative, purposive sampling 175 was used to coordinate interviews with key-informants such as scientists and policymakers who are 176 177 very knowledgeable about the industry or aspects of lion conservation (Bernard and Ryan, 2010) and 178 could also provide us with an entry point with lion farmers. For the owners and managers of CBLfacilities, we used a snowballing strategy as this was the best approach to overcome their scepticism 179 and reluctance to engage with outsiders (Drury et al., 2011). All interviews were conducted by the 180 main researcher, a permanent resident of South Africa for 15 years, in English language and without 181 the need to engage a translator. Meeting interview partners face-to-face at their chosen location was 182 essential to secure their voluntary participation, build rapport (Young et al., 2018), and obtain 183 184 permission to record the conversation. Rapport was further enhanced after explaining all measures implemented to ensure anonymity and by maintaining a neutral and curious stance throughout the 185 interview. Furthermore, it was necessary to address the lion farmers' concern that the research results 186 might not reflect the full picture conveyed by them but rather selected aspects, an experience 187 described by many interviewees about how (social) media regularly portrays the controversy. 188

2.2 Data gathering 189

190 The length of the 28 semi-structured interviews outlined in Table 1 ranged from 41 minutes to 1 hour 191 and 47 minutes, with an average duration of 1 hour and 12 minutes. The interview guide was 192 developed to collect qualitative data from both representatives of the CBL-industry and key-informants 193 addressing the same core topics (Fig. A2/A3). The wording of the open-ended questions was adjusted during interviews to modulate the flow of the conversation. No pilot interviews were conducted as the 194 questions evolved from interview to interview due to the inductive nature of the research (Newing et 195 196 al., 2011). An early interviewee permitted the research supervisor to listen to this particular recording and provide feedback to improve the interview technique. Each interview started by explaining the 197 research aims and addressing ethical concerns, including confidentiality, anonymity and the 198 comprehensive analysis of the information shared. Standardised interview topics were not discussed 199 in a specific order, thereby allowing the conversation to flow naturally and follow new threads as they 200 surfaced (Young et al., 2018). All interviewees accepted the offer to receive a copy of the final report. 201

2.3 Data analysis 202

The inductive social research designed for this study featured three distinct phases, described in more 203 detail in the supplementary material (Table A1). Annotations and theoretical memos based on hand-

- 206 continuous comparison of interviews and to support the formulation and revision of emerging concepts
- and their links (Corbin and Strauss, 1990). The third phase served to refine the emerging theory
- 208 ensuring the identified common threads represented the viewpoints shared by the interview partners
- 209 (Newing et al., 2011).

An open coding system derived from the annotations and memos served to code the transcripts (Table A2). Categories received letter-codes and sub-categories numbers. Subsequently, selective coding helped to structure final themes, whereas an in-depth analysis of coded data provided the platform to evidence, triangulate and link the various findings, thereby creating a representative storyline. The comprehensive literature review before commencing fieldwork influenced which sub-categories were defined. However, utmost care was taken to only work with categories which at least one interviewee had themselves introduced into the research.

217 **3 Results**

The inductive research process uncovered six interrelated main themes resulting in a diagram with three contiguous components directly linked into the central category "conservation value appraisalspectrum" (Fig. 1). In the bigger picture, two more themes emerged connected to two components people use when explaining their CV-appraisals.

222 3.1 The CV of CBLs is about lions

223 3.1.1 Common ground

224 Most interviewees framed their CV-appraisal within the context of threats to free-roaming lions and

desired outcomes of conservation efforts. Habitat loss or fragmentation represented the most

- frequently mentioned threat by both captive lion owners and key-informants (eight/66.7% of all
- interviewed CL-facilities) and ten/62.5% of all interviewed key-informants), followed by human-wildlife
 conflict (five/41.7% of all interviewed CL-facilities and nine/56.3% of all interviewed key-informants).
- Threats to lions due to wildlife trade and disease were only brought up by a few interview partners
- from both CL-facilities (two/16.7% and one/8.3% respectively) and key-informants (three/18.8% and
- three/18.8% respectively). All in all, the interviews revealed broad awareness of the human-induced
- threats to lions, with many stakeholders sharing the view that the apparent solution to lion
- conservation would be to shrink the human population [1]. (Numbers in square brackets in Results
- refer to exemplified quotes in Table 2). Similarly, most interviewees described successful conservation as resulting in one or more of three outcomes: (i) functioning ecosystem processes (two/16.7% of CL-
- facilities and six/37.5% of key-informants) [2], (ii) extant, healthy wild lion populations (six/50.0% of
- 237 CL-facilities and eleven/68.8% of key-informants) [3] and (iii) conserved evolutionary potential
- 238 (twelve/100% of CL-facilities and four/25% of key-informants) [4].

Throughout the interviews, the respondents introduced and elaborated on eight criteria relevant for 239 determining the CV of CBLs (Table 3). All interview partners discussed at least two of the criteria and 240 the vast majority more than five, while none of them commented on all eight. Almost everyone talked 241 about "genetics", "reintroductions", or "wild population buffer" when assessing the CV of CBLs and 242 more than half of all interviewees about "safety net population". At least eight interviewees deliberated 243 "habitat protection", "research", "raising conservation awareness", and "raising conservation funds" as 244 assessment criteria. Overall, the interviews revealed that views on (i) threats to wild lions, (ii) desired 245 conservation outcomes and (iii) criteria used to assess the CV of CBLs showed high levels of 246 247 consonance or compatibility across all stakeholder groups.

248 3.1.2 Conservation value appraisal spectrum

In contrast, the extent to which the interview partners thought that CBLs possess CV was very diverse and contentious, ranging from "no CV" via "potential" and "limited" to "substantial" CV. Table 3 exhibits

and contentious, ranging from "no CV" via "potential" and "limited" to "substantial" CV. Table 3 exh the spectrum of opinions shared during the interviews. (Quote codes A1-H4 in square brackets in

252 Results refer to exemplified quotes). The emergent theory revealed that people either use

253 "conservation status uncertainty" or "knowledge ambiguity" to frame their appraisal on the CV-

254 spectrum.

255 3.1.3 Conservation status uncertainty

256 Uncertainty about the future conservation status of lion populations in different regions of Africa

regularly served to argue for or against the benefit of keeping lions in captivity from a conservation

- point of view. Notably, the "safety-net" criterion [C1-C4], the "research" criterion [F1-F4] and the
- criterion for "raising conservation awareness" [G1-G4] proved to be subject to uncertainty-based

appraisals, in addition to other examples relating to reintroductions [B3]. Interviewees arguing against
 the CV of CBLs mostly alluded to an expectation that conservation efforts will be successful and that

the CV of CBLs mostly alluded to an expectation that conservation efforts will be successful and that the prolific breeding qualities of lions will stabilise or even increase wild lion populations in the future.

263 In contrast, positive CV-appraisals were mainly based on the prospect that a growing human

264 population in Africa will escalate human-induced threats to lions, further diminishing or losing existing

264 wild lion populations and a corresponding need for ex-situ conservation efforts.

266 3.1.4 Knowledge ambiguity

Respondents also arrived at different conclusions based on ambiguous knowledge and understandinginherent to the assessment criteria summarised in Table 3.

Firstly, some respondents mentioned a lack of knowledge of the genetics of CBLs [A2], while others 269 referred to differing, often unpublished results claiming CBLs either exhibit insufficient, inappropriate or 270 unexpected genetic diversity [A1, A3, A4]. Furthermore, a vague understanding of what constitutes 271 "the right" genetics emerged. From a conservation point of view, the spectrum ranged from purist to 272 273 pragmatic positions. For purists, it is essential to split lions into separate management units based on observed local adaptations and only reproduce within those, whereas for pragmatists, all lions can be 274 mixed. One conservation genetics expert claimed that detailed knowledge of the whole lion genome 275 276 would be necessary to understand genetic profiles for maintaining their evolutionary capacity [A2].

277 Secondly, ambiguous knowledge also characterised the "reintroduction" criterion. A few interviewees 278 referred to failed attempts of CBL-reintroductions [B1-B3]. In contrast, some stakeholders reported on 279 successful introduction projects with ongoing research or the development of science-based release 280 models [B4]. Moreover, differing views were expressed as to whether the existence of other lions in 281 the release area constitutes a pre-requisite to deciding on the release success in addition to self-

sufficiency, successful breeding and the survival of the progeny of the discharged lions [B1, B3].

Thirdly, in relation to the "habitat protection" criterion, a couple of interviewees contemplated the ecological functioning of hunting farms and breeding facilities in comparison to other types of land use, especially livestock and crop farming [D1-D4]. A lack of information about the combined size of CBLfacilities and their level of ecological functioning became noticeable.

Fourthly, the "wild population buffer" criterion yielded different judgements based on ambiguous 287 288 knowledge about market mechanisms and the extent of demand. A few interviewees expressed the 289 view that the legal bone trade fuels demand and encourages legal and illegal lion hunts, thereby increasing the pressure on wild lions [E1]. Other respondents argued that the legal trade meets the 290 demand, thus discouraging poaching and wild lion hunts by acting as a buffer for wild lion populations 291 [E4]. Along those lines, several interview partners deliberated how the demand for trophy hunts and 292 lion bones had been met before trade interventions were introduced [E2], while others eluded to an 293 294 "infinite" demand due to growing consumer numbers and wealth in Asia [E3].

Lastly, in terms of the "conservation funding" criterion, no clear account was given in what way conservation funds raised through CBLs would have to be allocated and spent to consistently result in

a positive CV-appraisal of CBLs [H2-H4].

298 **3.2 The CV of CBLs is not about lions, but personal values and worldviews**

The inductive research process unveiled that people's values and worldviews greatly influence how they refer to uncertainty and ambiguity to substantiate their appraisal for different criteria on the CVspectrum for CBLs.

302 3.2.1 View on breeders/concept of humankind

Data relating to the image of lion breeders uncovered a value iceberg with money-related valuations being discussed above the surface and core values below (Fig. 2). Three distinctive value-based patterns became transparent, each with an associated tendency to assess the CV of CBLs either positively, sceptically or negatively, exemplified by quotes in Table 2. The figure depicts how opposing values result from vastly different character judgements of lion farmers and attitudes towards them. Within the positive section, above the surface, the value "money" signifies business acumen and entrepreneurship [5]. By contrast, money symbolises greed and selfishness for personal enrichment

- 310 within the sceptic and the negative sections [6]. The ostensibly polarised views serve as a breeding
- ground for mistrust and escalating emotions [7]. Astonishingly, many interviewees expressed the view
- that non-government organisations (NGOs) operating in the field of lion conservation have no interest
- to change this situation since the conflict serves as the basis for their fund-raising business model [8].

The core values in the submerged part of the iceberg are less transparent and not part of the overt 314 debate. Overall, the values within the different sections of the iceberg give rise to distinct breeder 315 316 images. These character judgements are transferred to general attitudes towards CBLs and influence a person's CV-appraisal. The breeder image and stance towards CBLs in the positive section [9] rests 317 on an ambition to produce top-quality [10] in combination with a sense of responsibility for animals and 318 319 nature [11]. At the other extreme, core values to ensure animal justice and to protect the welfare of animals [12] characterised a negative sentiment and attitude towards breeders and CBLs [13]. In the 320 centre, the underlying core values to conserve and enable nature, combined with caution to avoid 321

irreversible mistakes [14], lead to scepticism towards lion farmers and CBLs [15].

323 3.2.2 Conservation worldview

The analysis also unveiled the theme "conservation worldview", showing that interviewees hold 324 diverging views of the approach conducive to bring about conservation success. The elicited sub-325 themes summarised in Fig. 3 suggest that two paradigms are currently relevant in the case of CBLs. 326 Some interviewees associated with either a "sustainable use" paradigm [16] or with "wilderness 327 protection" [17], whereas others alluded to the shortfalls of both models resulting in a neither-nor 328 329 position [18]. Adopting a "sustainable use" paradigm resulted in more favourable CV-appraisals of CBLs. In contrast, the "wilderness protection" paradigm promoted the opposite. The perception of 330 some interviewees that both these paradigms feature serious flaws resulted in scattered CV-331 332 appraisals on the spectrum.

333 Overall, the emergent theory highlights how human value systems and conservation-related

334 worldviews influence CV-appraisals of CBLs. The inner frame merely serves as a mechanism to

translate a person's worldview and values into a CV-appraisal. As a consequence, a CV-framework

based on scientific knowledge will not resolve the conflict. Emotionality, which links strongly to NGO

business models, and which 'despises' private profits from wild animal management and breeding,

represents perhaps the biggest barrier to conflict resolution between conservationists and lion farmers.

339 4 Discussion

340 Scientists and policymakers have almost exclusively focused on creating more knowledge to resolve

341 contentious conflict issues in conservation. However, debates turn ever more polarised, while

numerous questions remain unresolved from a scientific viewpoint. Our research demonstrates how

the real-world debate about a complex conservation issue tacitly turns deeply anthropocentric,
 revolving around worldviews and personal values in the form of deeply felt beliefs, to substantiate

344 revolving around worldviews and personal values in the form of deeply felt beliefs, to substantiate 345 extreme positions in the dispute. Consequently, scientific knowledge concepts like the CV of CBLs

fade into the background and lions, both wild and captive, suffer from a lack of in-depth discourse. For

example, critical knowledge gaps, highlighted by this study, relate to market mechanisms and demand

for consumptive and non-consumptive lion products and the quantity and quality of land managed by

the CBL-industry and remain unanswered. Furthermore, analysing the genetic composition of the

350 CBL-population, defining "ideal" genetic profiles and overcoming ambiguity when determining

351 successful reintroductions could help to gauge the suitability of CBLs to maintain evolutionary potential

and to aid the restoration of extirpated or diminished lion populations.

Once stakeholders are prepared to engage, conservation can make use of its conventional problemsolving approach and continued knowledge-creation has a valuable role to play. However, the inherent emotional complexity of the CBL-issue with the associated lack of direct, science-based cause-effect relationships suggests that there are no easy answers (Game et al., 2014; Rittel and Webber, 1973; Woodford et al., 2016).

As Fig. 3 shows, the conflict is partly fuelled by the different worldviews of stakeholders about the 'right' approach to conservation. Conservation science is familiar with shifting views about the purpose and frame of conservation (Kareiva and Marvier, 2012; Mace, 2014). The "sustainable use" and "wilderness protection" paradigms in this study represent such divergent worldviews and mirror the observation of Mace (2014) that differing underlying ideologies of conservation paradigms tend to 363 provoke tension and frictions. Our results suggest that a wide gap between these different paradigms

364 exists with little evidence of an evolution into a more nuanced "nature and people" approach that

recognises the interlacement and dynamic relationship between nature and people, as suggested by
 Mace (2014).

367 Our research results elicited an understanding of the emerging limits to the sustainable use approach based on the business case for conservation in South Africa (Fig. 2 and Fig. 3). Not only do business 368 leaders like owners of CBL-facilities fail to incorporate the ecological and social objectives of 369 sustainability on an equal footing (Elkington, 2018; Rogers and Hudson, 2011), the approach does not 370 resonate with the increasingly strident calls for banning CBLs from the animal rights and welfare 371 372 movement. Concerns about animal welfare are now penetrating legal processes and policies about CBLs, with a recent High Court Ruling in South Africa requiring the Department of Environment, 373 Forestry and Fisheries (DEFF) to consider welfare matters during its process to decide on the annual 374 lion bone export quotas (The High Court of South Africa, 2019). This shift is a reflection of the 375 movement of funds into conservation NGOs from western donors that seek to abolish CBL-enterprises 376 run for profit through the use of disconcerting imagery to create very negative perceptions of CBLs via 377 social media (Ban Animal Trading South Africa, 2020; Blood Lions, 2019; Born Free Foundation, 378 379 2020; Campaign Against Canned Hunting (CACH), 2019; FOUR PAWS, 2020). The potential to 380 mediate between the "animal rights and welfare" movement and the idea of "sustainable use", as 381 hitherto pursued by governance agencies in Southern Africa for the past decades, becomes 382 increasingly remote.

Our results show that the conflict is also rooted in different personal values resulting in a lack of trust between stakeholders. Young et al. (2016) confirmed trust to be a central element to biodiversity management, especially in areas of conflict. As illustrated by this study, conflict and mistrust characterise the interactions of stakeholders in the CBL-industry (Fig. 2). According to Covey & Merrill (2006), trust rests on people's character and competence, which can be promoted by sharing values and knowledge, respectively (Cvetkovich and Winter, 2003; Young et al., 2016).

389 In terms of values and character, the value iceberg (Fig. 2) describes two different levels, above and 390 below the surface. The polarised debate above the surface represents a taboo trade-off (Tetlock, 2003). Sacred values like preserving the natural environment stand against secular, monetary 391 valuations of scarce resources. As in the case of CBLs, taboo trade-offs lead to harsh character 392 judgements ("lion breeders are bad or dodgy people"), and these deep-seated beliefs generate moral 393 outrage and a reluctance to deal with the issue or rather not engage with the industry) 394 fuelling the polarised extremes. Trust-building through value-sharing exclusively refers to core values 395 396 in the submerged part of the iceberg and must not be confused with secular valuations central to the 397 polarisation above. According to Schwartz (1994), core values are motivational goals transcending specific situations which guide people's evaluation of behaviour, events and people. Based on 398 Schwartz's circular continuum of values, people tend to arrive at similar or different judgements 399 dependent on whether they apply compatible or opposing values. As an illustration, the self-400 transcendent motivation of the values "responsibility for nature" and "protecting animal welfare" in Fig. 401 2 make them by and large compatible and easier for people to align their opinions. In contrast, the 402 403 drive for self-enhancement inherent to the "ambition to achieve top-guality" competes with such self-404 transcending values, making it more difficult to agree.

405 However, core values cannot be changed for conservation purposes (Manfredo et al., 2016). Consequently, conservation advocates would be wise to respect that personal values vary across 406 human beings and that a different set of values does not automatically turn them into bad or dodgy 407 408 people. Much rather, exploring ways how differing core values could be mobilised to achieve 409 conservation goals could prove more constructive than rendering general, intolerant judgements about different groups of people who hold different sets of core values and provide the potential to leverage 410 the opportunity for trust-building through value sharing. In the case of the CBL-industry, all emerged 411 412 core values of stakeholders are principally compatible, except for the opposing nature of the ambition 413 to achieve top-quality, which is, however, still socially desirable to strive towards (Schwartz and Bardi, 414 2007; Teed et al., 2019). It, therefore, does not appear impossible for the various stakeholders to 415 mutually appreciate each other's underlying core values with the potential to move the moralised 416 debate about the breeders' bad character to a more nuanced and potentially trust-building discussion 417 (Young et al., 2016), especially when key-parties interact in small groups to share values and 418 knowledge and evaluate policy-options (Biggs et al., 2017). Nevertheless, such progress requires 419 involved parties to let go of the taboo trade-off debate, seemingly grounded in their worldviews about the validity of business needs linked to the sustainability concept discussed above. 420

421 Besides the core values, knowledge-sharing also advances the development of trust (Young et al.,

422 2016). This trust-related function of knowledge often remains overlooked in favour of the commonly

423 accepted purpose to contribute evidence for management decisions and policy definition (Dickman et al., 2015). Conservationists often work on the assumption that for people to change their viewpoints 424

and behaviour, it is merely necessary to share knowledge and educate them (Kidd et al., 2019). 425

426 Sophisticated levels of knowledge might, however, be detrimental to trust-building when scientists and

427 policymakers are reluctant or even refuse to engage with local types of expertise, such as the

- 428 experience of breeders, that might be deemed short of rigour or merit (Young et al., 2016). All in all,
- acknowledging and deliberately making use of value- and knowledge-sharing appear to play a vital 429 role in transcending the existing extremist views and assuming responsibility for a sustainable solution
- 430
- despite differing core values. 431

432 Our study found lots of potential for dialogue between conflict parties based on common ground, compatible or at least socially acceptable core values and a potential to bridge different worldviews by 433 transcending the existing wildlife economy model in favour of a "nature and people" approach to 434 conservation (Mace, 2014). However, if worldviews and personal values in the form of unquestioned 435 beliefs prevent stakeholders from being interested in identifying common ground and searching for 436 solutions to resolve complex conservation issues, this should be concerning to conservation 437 438 professionals. Especially worrisome is the tendency of some animal rights actors to categorically reject 439 any way forward other than banning CBLs altogether. If pursued by governance agencies, such a simple solution in a context of complex social-ecological systems might also prompt unexpected and 440 unintended consequences, constituting a significant risk to biodiversity conservation. In the light of 441 442 these developments, we propose that the traditional, knowledge-focused way to resolve conservation 443 conflict has to be rethought, even when supplemented by consensus-building methods.

5 Conclusion 444

445 Our study has broader implications for conservation practitioners and policymakers. The results emphasise the importance of conservation-related worldviews and trust as prerequisites to applying 446 scientific knowledge in polarised conflict situations. This study set out to explore how scientific 447 knowledge and conservation value can contribute to resolving the polarised conflict characterising the 448 449 captive lion sector. However, the in-depth, inductive research uncovered the socio-psychological 450 nature of the conflict and how deep-seated, belief-led positions rendered the conservation 451 value/scientific knowledge approach impotent.

452 In our research on CBLs, we found that CV-appraisals only helped to polarise the debate further

453 instead of assisting in identifying common ground and co-constructing solutions supported by all

- involved parties. Our findings suggest that conservation practitioners and policymakers must 454
- understand that differing belief systems underpin stakeholder values and worldviews and must be 455

456 regarded as legitimate and key aspects of any conflict resolution process.

This study emphasises the importance of establishing a conservation frame mindful of the worldviews 457 of all stakeholders as well as enforcing efforts to develop trust through sharing core values and 458 459 knowledge. We, therefore, recommend that the conservation sector is equipped with the competencies 460 and skills to address different human beliefs and personal value systems to gradually prepare the ground for the design and implementation of solution-building processes in addition to evidence-based 461 problem-solving. Pro-actively addressing worldviews and existing character judgements about 462 breeders will serve as a precursor to solution-building, but without preparing the ground, it is highly 463 464 unlikely that relevant stakeholders will accept any rational, analytical approach yielding little hope for 465 resolving the conflict.

Above all, progress will depend on the openness of stakeholders to participate in a solution-building 466 467 process. Applying the insights from this study, we propose conservation to be at a turning point in an increasingly belief-led world, where it is crucial to understand, acknowledge and integrate the inherent 468 anthropocentricity when faced with complex problems embedded in social-ecological systems. Due to 469 the increasing heterogeneity of our societies, we conclude that conservation professionals need to 470 471 introspect and emerge from their safe space of exclusively creating more scientific knowledge for 472 evidence-based problem-solving. Taking a stand by creating the platforms for dialogue about worldviews and personal values towards shared visions that are transparent to the public might 473 474 constitute the missing link to ignite the co-creation of new, unforeseen solutions to serve biodiversity 475 conservation long-term.

- **Data availability statement:** The interview data generated and analysed during the current study are not publicly available due to the necessity to uphold confidentiality and anonymity of the part-taking
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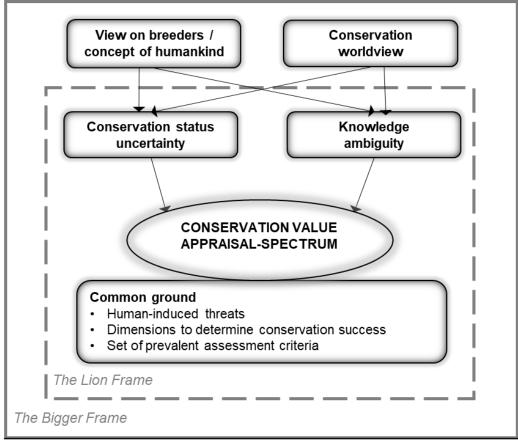
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Table 1 Number of interviewees and their roles relating to the captive lion industry

Role	Male	Female	Total	%
Owners/managers of captive lion facility	12		12	42.9
Representatives of captive lion association	2		2	7.1
Scientists (with expertise on both with captive and free-roaming lions in terms of genetics, physiology, conservation biology and conservation management)	5	3	8	28.6
Policymakers (concerned with predator conservation in South Africa)	1	3	4	14.3
Other key-informant (lion welfare organisation specialised in rescue operations)	1	1	2	7.1
Total	21	7	28	100
%	75	25	100	



Theme	Full theme description
Conservation value appraisal spectrum	The conservation value of captive-bred lions is contentious with a broad appraisal spectrum.
Common ground	Areas of common ground exist for assessing the conservation value of captive-bred lions.
Conservation status uncertainty	Uncertainty regarding the future conservation status of lion populations in different geographical regions serves as a mechanism to argue for a specific conservation value appraisal of captive-bred lions.
Knowledge ambiguity	Ambiguous knowledge inherent to assessment criteria serves as a mechanism to argue for a specific conservation value appraisal of captive-bred lions.
View on breeders / concept of humankind	A person's judgement of the character of lion breeders based on personal values affects their conservation value appraisal for captive- bred lions.
Conservation worldview	A person's conservation-related worldview affects their conservation value appraisal for captive-bred lions.

Fig. 1 Conservation value appraisal framework showing the main emerging themes and their

644 interrelations relevant for assessing the conservation value of captive-bred lions. Factors in the bigger

645 frame affect how people interpret the factors in the inner frame to suit their conservation value

646 appraisal

Table 2 Quotes from interviews with representatives of the captive lion industry and key-informants on the conservation value (CV) of captive-bred lions illustrating their common ground, values and conservation worldviews (quote numbers referenced in Results in square brackets)

The	Theme of conservation value appraisal framework					
Quote code						
	Interviewee-ID (KIkey-informant; CLcaptive lion owner/manager; Ffemale; Mmale) Quotation					
	1	CLM95	"So as long as you can't do anything about the human population, there's nothing you're going to do about the habitat. Therefore, there's nothing that you or anybody is going to give us any guarantee that the wild lion population is not declining."			
ו ground	2	KIF73	"At the end of the day we are looking at ecosystem processes that are functioning. We're looking at species playing their role within that. And positive human outcomes in that."			
Common ground	3	CLM49	"The definition of conservation is any activity, and by that I do not exclude anything, any activity which pron or supports the species in the wild."			
0	4	KIM11	"Conservation in general is about maintaining diverse populations with the genetic diversity that gives these populations a sort of enough standing variation to cope with whatever changes evolution throws at them so, the word is about conserving evolutionary potential."			
	5	CLM03	"That was my goal, to do my own breeding, get my own ranches and do my own businesses Of course I'm benefit out of it, but my animals also benefit out of it because they put me to the position to be able to buy more land so that I can give them back something."			
	6	KIM20	"But the overriding principle is the selfishness of individual humans. So, the breeders are trying to maximize their income and their personal well-being. It's not just money, it's about self- interest and greed."			
	7	KIM33	"I think with the lion, I think it's just too emotional. So I don't know if you're going to find a middle ground on the lion issue."			
		CLM38	<i>"I haven't got any trust in the people that's doing the researches for nature conservation on the moment."</i>			
5	8	CLM58	"I would say that in the same sentence that green societies or whatever you call them, that's only in it for the money as well."			
ept of humankind		KIM33	"Born frees and others are generating huge amounts of money because of their campaigns to fight it [captive lion industry]. They are business models. So, basically that's what they are. And so they've got massive vested interests."			
concept of	9	CLM58 CLM03	"I'm a farmer, it was born into me to protect the animals. There's a lot of good guys out there, a lot of good intentioned people. So what a farmer do, he never takes the money, he reinvest everything." "I feel the people are not fair. Give us that opportunity."			
eders	10	CLM38	"I wanted to breed the top-quality, good lion in my opinion. That's my passion or what you call it."			
View on breeders/conc	11	CLM95	"People that is in the lion business industry, they are mainly businesspeople and they are animal lovers. I see myself as somebody that really loves nature per se and not necessarily an animal. And we are the custodians of all these animals, the wildlife, the nature, the diversity of Africa. We are the custodians of it with little to no say."			
	12	KIF48	"I'm actually quite a greenie. Just on a personal level, I'm highly opposed to animals like lions living within a confined area where they cannot behave like a lion."			
	13	CLM60	"I mean, as far as I'm concerned, the one who just has lions to produce bones is the most reprehensible sort of type of human being. He lacks morality in a true sense of the word. I mean I've been saying it for 20 years. It's really simple. Ban captive breeding."			
	14	KIF29	"From a conservation point of view the approach is to mimic natural systems as much as possible and the other thing with conservationists is to air on the side of caution."			
	15	KIF29	"But we know that there's a lot of these captive people are slippery customers. [Therefore,] presumably still most people in the small reserve and bigger reserve management are very anti-captive lion breeding."			

Theme of conservation value appraisal framework					
	Quote code				
		Interviev	vee-ID (KIkey-informant; CLcaptive lion owner/manager; Ffemale; Mmale)		
			Quotation		
Conservation worldview	16	KIM40	"The keeping and breeding of these lions in South Africa must be understood within the larger context of the South African wildlife and conservation management model. By giving game animals an economic value, an incentive is created to protect and conserve the wild animals on the owner's land as well as the habitat in which they can thrive."		
	17	KIM20	"Probably one of the most effective ways of achieving a rational society that looks after biodiversity is reducing the demands within society and that's reducing numbers again. It comes back to: we're doing half for the planet."		
	18	KIM33	"For me, every model that we've got in Africa has its strong points and its weak points. The South African model: our numbers have increased. But the downside is that you've ended up with intensification as one component of it. The Kenyan model: you've got much more expansive wildlife. You've got your big ecosystems and all that. Their downside is the animal wildlife conflict. They lost a lot of their wildlife. And there is absolutely no way South Africa can put those resources into all of those [rhinos, lions, leopard,]."		

Table 3 Quotes from interviews with representatives of the captive lion industry and key-informants 652

illustrating the evaluation criteria (A-H) and appraisal spectrum (1-4) for the conservation value (CV) of 653

captive-bred lions (quote codes A1-H4 referenced in Results in square brackets). Interviewee-ID is 654 indicated within brackets in bold letters after each quote (KI, key-informant; CL, representative of

655

captive lion industry; F, female; M, male) 656

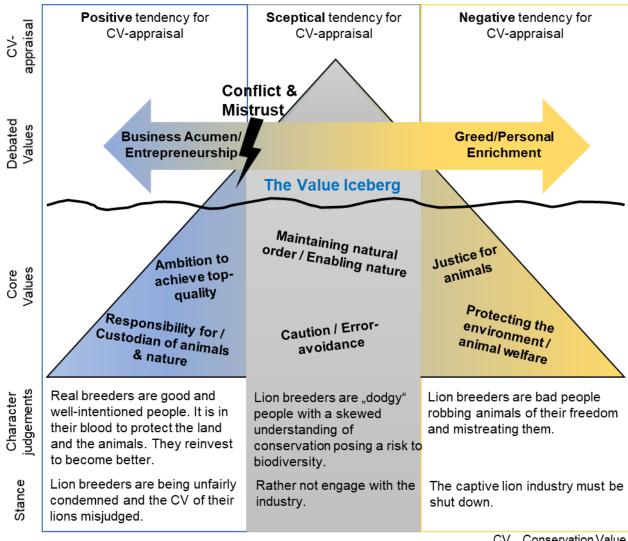
	1: No Conservation Value	2: Potential Conservation Value	3: Limited Conservation Value	4: Existing Conservation Value
	"Are the activities of A1 (3KI 1CL)	А2 (9КІ)	A3 (1CL)	A4 (10CL)
A: Genetics	the lion breeders maintaining heterozygosity and the answer is no, because they're in-breeding. Also, selective breeding, exactly the same, very rapid decline in heterozygosity, high risk of fixing alleles big mane versus small mane alleles. And then out breeding where they don't care where the hell their lions come from. All of these lead to loss of heterozygosity. And are they maintaining viable populations and the answer is no. They're not managed as a meta- population." (KIM20)	"The breeders will say they've got some genes that don't exist in the wild anymore. And then the purest conservationist would say well they're just all bad because they've been doing all sorts of things and breeding. But we don't have any evidence either way." (KIF59) "That continuum between inbreeding and outbreeding. The categorical answer right now is, we don't quite know yet and that's because we don't have these lion genomes to scrutinize	"Where you do have a problem is the unethical breeding of lions (mainly in SA) where lions are bred for size, manes and so on. So the breeders mingle genetic material to get the morphological attributes that appeal to a hunter." (CLM15)	"The interesting thing is that the one thing we are probably accused of most is inbreeding. We've got scientific proof that our animals are more genetically diverse than those in the Kruger Park, the wild populations." (CLM49)
B: Reintroductions	B1 (SKI 1CL) "There is no known way of successfully reintrocuding a captive lion. It might hunt and survive but will it have all the social knowledge to survive when there is a wild pride?" (KIF29)	"At the moment the industry because of the pressure saying they've got no conservation value have taken captive lions and have put them into extensive systems. But, I think there is still a lot of work to be done in the captive industry to see whether they have a conservation value as far as eco- system and the "being a	"My sense is that you're B3 (2K 1CL) not going to get a huge value from inserting animals into the wild from captive-bred lions. For a couple of reasons. One, is because it's a really difficult thing to do properly and to make sure that they integrated into wild populations. And secondly, it seems that you could do it from other stocks." (KIM33) "The guys have proven it. You know, they have put lions in game reserves where there are no other lions and they've done well. But, put a lion in a system like Kruger National Park where there's exisiting lion popula- tions and existing social structures and the prey are accustomed to co- exist these lions are likely to die." (KIF73)	B4 (1K 8CL) "We released five lions in the end of 2016 They were released after six weeks [in a boma] and three months later they gave birth to 14 cubs born on 22,000 hectares. They need proper habitat that's looked after, no human conflict, and they need prey. That's all they need." (KIM28)
C: Safety-Net Population	C1 (4KI 1CL) "Whereas the scenario where we suddenly end up with no wild lions and available suitable habitat would never exist because we'd always have pockets of wild lions and relocate from the habitat it's in." (CLM60)	been enough time probably hash t been enough time for super, weak genetics to propagate. We probably could use them as a reserve gene pool but the chances that we'll get to that situation one day is just, is highly unlikely I don't see wild lion populations completely disappearing. I see them	C3 (1KI 1CL) "There's limited scope for the re-introduction of captive lions into the wild. So, there's going to be a couple of places that's going to take these guys away and they might be able to send it out to a couple of other countries, a couple of lions - but then it's saturated." (KIF73) "A lion falls under natural, renewable resources. It's extremely renewable because it breeds like a rabbit." (CLM97)	"We maintain a studbook on all lions so that their origin and genetics is known. If there was an event that decimated lions suddenly we could help repopulate." (CLM15) "It makes no sense to only take care of the ones in the wild because maybe those won't even survive in the next few years. So, we have to use the animals that are in the wild and the animals that are inside those are valid animals that belong to the same species." (KIFO2)

657 658

Continued

	1: No Conservation Value	2: Potential Conservation Value	3: Limited Conservation Value	4: Existing Conservation Value
	D1 (3KI 1CL)	D2 (2KI)	D3 (1KI)	D4 (1KI 5CL)
			"What's less clear is that	
	"So, is a 1000 hectares		whole group of 250 odd	
	effective conservation of	"The lion is, I think, one of the most	that are kind of involved in	"No one is putting more land out
otec	habitat? And the answer is probably no. They would need	expensive hunts and just releasing a lion every now and then, is probably	captive breeding and canned or captive lion hunting,	"No one is putting more land out there for conservation. That's why
Pro	to scale this up to 100 000	allowing that land to stay like it is	which of those actually have	there is a privately industry and
itat	hectares, to really start making	and not be converted to a cattle farm	some bigger estate and	we as ranchers, we are trying to
lab	a difference for the other	or whatever. There's no evidence	actually contribute to lion	do it." (CLM03)
	biodiversity, let alone for lion	vet." (KIF59)	conservation, and which of	()
	populations." (KIM20)		them are really just breeding	
			facilities for captive	
			breeding?" (KIM33)	
	"There's always E1 (4KI 2CL)	"The reality is, we know E2 (3KI)	E3 (2KI)	E4 (2KI 10CL)
r	going to be a market for the	that there's a demand and we	"I don't agree with [captive-	
Buffer	wild product. So the wild	know that the captive-bred lions have	bred hunting] but if you have	"If they're close it up, it's going to
nB	product always has a higher	been meeting that demand. The big	to shoot a lion, I would rather	be going to the black market. Then you have no control over it If the
Population	value, so there's always going	un-known is if you had to stop the supply, is the demand just going to	have a canned lion hunted.	thing is regulated and is going
hul	to be pressure on the wild	disappear? I think if we can show	[But] China has got billions of	through the right channels and it's
	product. The more we supply	that the demand is being met largely	people there and we can	controlled in a controlled
	the demand of the buying market, the more sophisticated	from the captive-bred lion and it's	export a hundred thousand lions a month, they'll absorb it	environment, you can see that it's
•••	that buying market is going to	stopping people getting bones	in a heartbeat." (CLM93)	done humanely." (CLM58)
	become." (KIM11)	from other sources, then that would	(
		be a conservation value." (KIM33)		III
	"Research, firstly, F1 (1KI 1CL) um, at this point in time	F2 (2KI)	F3 (1KI)	"In the wild is that F4 (2KI 2CL) you need a lot of researchers to do
	there's, there's really no need.			the same kind of work that I have
	Physical research that hasn't			been doing. I've been collecting
_	been undertaken that still			blood samples and vaginal
F: Research	needs to be done. We don't	"Maybe those facilities can somehow	"I have no doubt that they	smears, pictures of the back
ese	need to discover anything	be linked to say the zoo or something to further improve their conservation	can contribute to research, but do we need them for	quarters every day or every two
E. R	about lions that we don't	value." (KIF29)	research?" (KIF73)	days and now I've got a huge pool
_	already know." (CLM60)	value: (III 25)		of samples to analyse and to say,
	"You want to do any genetic			"Listen, this is how lions work day
	studies or studies on any diseases, go and study your			by day." This was something impossible if these animals were
	wild lion populations." (KIM20)			not in these facilities." (KIF02)
	G1 (1CL)	"I honestly don't think G2 (3KI)	G3 (1CL)	G4 (3CL)
SSS		that many of these facilities has an	"That's a very	
ene		educational value. I think they	difficult one. People who will	"What we are trying to achieve is
war		potentially could have in doing things	probably never get the	we are looking to educate the
A n	"If you want to educate a guy	the right way. Touching, feeling is extremely valuable to humans. Those	opportunity to see a lion in the wild can come here and	next generation and the importance there is of
atio	on a lion go to the wild, go to	type of things sink in deeper than a		conservation of wildlife because
G: Raising Conservation Awareness	Kruger Park You don't	story I tell you about an animal. But	boils down to semantics of	Africa is so unique with the
ons	educate a guy by walking on a	then we also have got to take that	what is the actual message	different kinds of wildlife. We need
lg C	leash with a lion." (CLM97)	into the bigger perspective and when	that having an animal in	to teach the next generation that
isir		we do these things, not to sell the	captivity gives. My education	they can actually co-exist with our
: Ra		western love of Simba, the lion and	messages is basically: look	wildlife." (CLM19)
0		actually understanding the role of	how horrible our species is to	
		predators in a system." (KIF73) "What those people are H2 (1KI 1CL)	animals." (CLM60)	
spu	H1	doing is not conservation. It's a	H3 (1KI) "If I had to put a conser-	H4 (1KI 6CL) "But I think the biggest one
H: Conservation Funds		financial enterprise. So, some of that	vation value on it, it would be	and we're working with govern-
ion		money can be channelled towards	bringing revenue into the	ment to implement it that
rvat	\times	real conservation endeavours. Then I	country. Bringing revenue	money goes to the conservation
ıseı		might be a lot more comfortable with		fund and use that money to look
S		it. It would mean that the work that	some of that revenue gets	after the wild animals or put lions
Ξ		they do finally does actually have real	channelled back into	back in Africa in reserves or
		conservation value." (KIM62)	conservation." (KIM11)	something like that." (CLM13)





CV...Conservation Value

Fig. 2 Stakeholder values resulting in contrasting character judgements of lion breeders, which are 662 transferred to captive-bred lions and lead to positive, sceptical or negative tendencies when assessing 663

664 their conservation value

