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The morphology of the Late Pleistocene hominin remains from the site of La Cotte de St Brelade, Jersey, United Kingdom

#### **ABSTRACT**

Thirteen permanent fully-erupted teeth were excavated at the Paleolithic site of La Cotte de St Brelade in Jersey in 1910 and 1911. These were all found in the same location, on a ledge behind a hearth in a Mousterian occupation level. They were originally identified as being Neanderthal. A fragment of occipital bone was found in a later season. Recent dating of adjacent sediments gives a probable age of <48 ka. The purpose of this paper is to provide an updated description of the morphology of this material, and consider its likely taxonomic assignment from comparison with Neanderthal and *Homo sapiens* samples. One of the original teeth has been lost and we identify one as non-hominin. At least two adult individuals are represented. Cervix shape and the absence of common Neanderthal traits in several teeth suggest affinities with *H. sapiens* in both individuals, while crown and root dimensions and root morphology of all the teeth are entirely consistent with a Neanderthal attribution, pointing towards shared Neanderthal and *H. sapiens* ancestry. The likely date of this material corresponds with the time in which both Neanderthals and *H. sapiens* were present in Europe. The occipital fragment is stratigraphically more recent and does not exhibit any diagnostic Neanderthal features.

**Keywords:** Hominin teeth; Late Pleistocene; Neanderthal; European Pleistocene *Homo sapiens*; La Cotte de St. Brelade; Hominin morphology.

#### 1. Introduction

The timing and duration of overlaps between *Homo sapiens* and Neanderthals in Europe, and the nature of their interaction, have long been debated by archaeologists and anthropologists (e.g., Stringer, 2006; Hoffecker, 2009). Recent evidence points towards contemporaneity or alternation of occupation of the two populations (Benazzi et al., 2011; Harvati et al., 2019). The application of improved radiocarbon dating methods has shown that the Mousterian ended by ~41–39 ka cal BP across much of Europe (Higham et al., 2014). Furthermore, new data from Bulgaria suggest that H. sapiens were already in Eastern Europe by ~45 ka, several millennia before the physical disappearance of the Neanderthals from the region. Hominin bone fragments from Bacho Kiro were identified as H. sapiens from mtDNA analysis and directly dated to ~46.8–42.8 ka cal BP (Fewlass et al., 2020; Hublin et al., 2020). Radiocarbon dating shows a clear overlap of the initial Upper Paleolithic at Bacho Kiro with the late Mousterian and Châtelperronian attributed to late Neanderthal populations (Fewlass et al., 2020). In central and northwestern Europe, the Châtelperronian (~44-40 ka) overlaps with both the Early Aurignacian, starting at ~43–42 ka, and the Proto-Aurignacian, starting at ~42 ka (Hublin, 2015). In Western Europe, there is direct fossil evidence for the presence of both H. sapiens and Neanderthals at ~41-37 ka. A tooth discovered in a Proto-Aurignacian context at the site of Grotta di Fumane in northern Italy and dated at ~41–38.5 ka cal BP was found to have H. sapiens DNA (Benazzi et al., 2015), whilst a Neanderthal tibia from Saint-Césaire in western France was directly dated to ~42-40.5 ka cal BP (Hublin et al., 2012) and a Neanderthal mandibular fragment from Spy in Belgium has been directly dated to ~38.5–37 ka cal BP (Crevecoeur et al., 2010). The Oase 1 H. sapiens from Romania, dated at 42.5-40.5 ka cal BP (Zilhão et al., 2007), had a Neanderthal ancestor within the previous six generations (Fu et al., 2015), which demonstrates that these populations probably did overlap in Europe before 40 ka. In support of this, Peter (2019) has determined that, while the majority of Neanderthal ancestry entered *H. sapiens* populations between ~55 and ~48 ka, there was a lesser amount of gene flow within Europe, ending at ~40 ka. Interestingly, Hajdinjak et al. (2018) found no evidence of recent gene flow from *H. sapiens* in four very late Neanderthals, dated at <45 ka cal BP, from Goyet, Spy, Les Cottes and Mezmaiskaya.

The hominin remains from La Cotte de St Brelade on Jersey, United Kingdom, (Fig. 1) likely fall within this key time period when both Neanderthals and H. sapiens were present in Western Europe. Their taxonomic status is therefore of considerable interest. The hominin remains from La Cotte de St Brelade originate from an area of complex sedimentation close to where the North and West Ravines meet (Fig. 2). Members of the Société Jersiaise undertook excavation in this area in 1910-1911 after the cave entrance had been cleared of clay and granite rubble deposits. The investigators located a series of fine-grained deposits, some of which were rich in ash and carbonized wood, which they described as hearths (Nicolle and Sinel, 1910). The excavations of these deposits continued until 1920 and produced at least 20,000 stone artifacts (Callow, 1986a), but it appears that smaller debitage elements were largely discarded during the initial seasons. These artifacts were described at the time as Mousterian in character (Marett, 1916); and more recent analysis has confirmed that all the stone artifacts are consistent with Late Middle Paleolithic technological practices (Callow, 1986a). The assemblage contains both Levallois and discoidal production elements, as well as formal tools, including side scrapers and two bifaces. No artifacts consistent with Upper Paleolithic technology have been identified among the artifact collections of La Cotte de St Brelade.

It is not possible to determine what fauna were found within deposits directly associated with the hominin remains from the surviving archive of the excavations carried out between 1910 and 1920. However, the faunal material recovered from Weichselian units as a whole

includes *Mammuthus primigenius*, *Coelodonta antiquitatis*, *Equus* sp., *Rangifer tarandus*, *Crocuta crocuta*, and *Vulpes vulpes* (see Callow, 1986b), species which would be consistent with an MIS 3 attribution in northern France (Auguste, 2009). Mammoth, woolly rhinoceros and horse are specifically mentioned as coming from the same general area as the hominin teeth (Nicolle and Sinel, 1910; Keith and Knowles, 1911).

The hominin teeth were discovered over two seasons. In 1910, nine teeth were found in a mass of poorly preserved bone (for which no identifications are recorded) on a rock ledge above the layer identified as a hearth (Fig. 3) (Nicolle and Sinel, 1910). They were described as lying side by side in original position, but with no trace of once supporting bone apparent. A further four teeth were found in clay adhering to the rock at the same location in 1911 (Nicolle and Sinel, 1912). An occipital fragment, together with two other bone fragments, were discovered in a ravine six feet (1.8 m) beyond the entrance of the cave and 18 ft (5.4 m) above the Mousterian cave floor level by Ernest Daghorn in 1915 (Marett, 1916).

Analysis of the surviving archive and a modern survey of the site have allowed us to broadly identify the location and position in the stratigraphic succession from which the hominin teeth were recovered and, more generally, the position and context of the hominin occipital fragment (Table 1). Although the deposits from this part of the site were removed during the early 20th century, their stratigraphic equivalents appear to extend into areas of remaining sediment within the main West Ravine (Bates et al., 2013). Optically stimulated luminescence (OSL) dating, undertaken in 2011 within the middle parts of this sequence, approximately 4 m below the location where the teeth were discovered, suggests that the deposits containing the teeth and the occipital fragment both postdate 48 ka (Bates et al., 2013). In view of the vertical extent of sediments between the lower dated horizon and the location from which we understand the teeth to have been discovered, a date that is clearly younger than 48 ka for the teeth is the only tenable proposition. It is plausible that these

deposits span a period in which both late Neanderthal populations and those of *H. sapiens* were present in Western Europe, and during which sea levels were low enough for Jersey to be part of a continuous landmass with France (Fig. 1B; Scott et al., 2014; Shaw et al., 2016). Consequently, understanding the exact date and taxonomic affinity of these human fossils is important for understanding Pleistocene population replacement at a regional scale.

The teeth were originally described by Keith and Knowles (1911; 1912). The 1912 paper is a reprint of the 1911 paper, with additional details of the four teeth found in 1911. Keith (1913) further addressed the considerable level of taurodontism in the molar teeth. The teeth were briefly described in Marett (1911) and Oakley et al. (1975), and more recently were studied by Stringer and Currant (1986), who noted that by this date two of the teeth were only represented by casts and one other tooth was missing. The missing tooth was a left I<sup>1</sup>, represented only by the root. The crown of this tooth had disintegrated before it was seen by Arthur Keith, as the result of an accident during conservation (letter from J. Sinel to Dr Keith dated 1st September 1911, in the archives of the Royal College of Surgeons). In 1929 Tom Watson, an amateur paleontologist/archaeologist on Jersey, sent a hominin lower canine that he had found at La Cotte to Arthur Keith for identification (letter dated 17th January 1929, in the archives of the Royal College of Surgeons). Although Tom Watson left all his finds to the Jersey Museum, this tooth has not come to light. This letter also states that Tom Watson had previously sent teeth and bones that he had discovered at La Cotte to Arthur Keith for identification, but no record of these has been found. The three bone fragments recovered in 1915 were initially identified as parts of an occipital, malar and mandible from a single juvenile skull (Marett, 1916). Angel and Coon (1954) reanalyzed the material and concluded that only the occipital fragment was human, and that it belonged to a child of about 5 years old. This opinion was supported by Stringer and Currant (1986), who agreed that the other two fragments did not represent any part of the human skeleton.

The purpose of this paper is to provide an updated description of the morphology of the La Cotte teeth and the occipital fragment, and present data relevant to their taxonomic attribution.

#### 2. Materials and methods

#### 2.1. Materials – La Cotte

The material available for study consists of ten isolated permanent fully erupted teeth (Table 2), and a fragment of occipital. These are held by the Jersey Museum, and were temporarily loaned to the Natural History Museum, London, in 2015. Two other teeth, SJMJ2462 (right I<sub>1</sub>) and SJMJ2467 (left M³), are represented by casts. The casts made in 1911 and now held by the Natural History Museum, London, were used in this study because, of several casts available, they are the most similar to the teeth described and illustrated by Keith and Knowles (1912). The right I<sub>1</sub> (SJMJ2462) is thought to be non-hominin and is not included in the analysis (see Supplementary Online Material [SOM] S1). With permission of Jersey Heritage, the microtomographic scans of the La Cotte de St Brelade specimens published in this paper are publically available (under a CC BY-NC 4.0 license) through the Human Fossil Record online archive (https://human-fossil-record.org/).

## 2.2. Materials – Comparative material

Comparative morphology samples for traits scored at the outer enamel surface (OES) principally come from Bailey (2006a) and, where the trait is not scored by Bailey (2006a), from Martinón-Torres et al. (2012) (SOM Tables S1, S2), and consist of Neanderthals and fossil (primarily European Late Pleistocene) *H. sapiens*. Comparative CT-scan samples employed for geometric morphometrics, and occurrence of Tomes' root (Arizona State University dental anthropology system [ASUDAS] grades 4–5: Turner et al., 1991) and

taurodontism in P<sub>3</sub> and P<sub>4</sub>, consist of Neanderthals, fossil (Pleistocene) *H. sapiens* and recent (Holocene) *H. sapiens* (SOM Tables S1, S3, S4). Comparative metrics data for Neanderthals and fossil (European Late Pleistocene) *H. sapiens* are taken from the literature and the NESPOS database (2013), identified in Table legends and in SOM Tables S1–3, S5, S6. For crown dimensions, the early Neanderthal site of Krapina was separated from late Neanderthals because of the distorting effect caused by the large size of the Krapina tooth crowns and the fact that the Krapina teeth make up approximately one third of the total Neanderthal sample.

## 2.3. Definitions

We use the terms pre-Neanderthal (e.g., Atapuerca-SH, Pontnewydd), early Neanderthal (e.g., Krapina, Bourgeois-Delaunay), and classic/late Neanderthal, as proposed by Dean et al. (1998). Although this assumed a linear and almost certainly oversimplified model of Neanderthal evolution (Hublin and Roebroeks, 2009), Compton and Stringer (2015) found it useful in classifying morphological differences observed in Neanderthal dentitions.

## 2.4. Dental morphological traits

Most of the morphological traits were assessed using the Arizona State University Dental Anthropology System (ASUDAS), and associated reference plaques (Turner et al., 1991; Scott and Turner, 1997; Scott et al., 2018). Additional traits described by other authors and not included in the ASUDAS were also utilized (see SOM S2 for descriptions of the traits studied). Wherever possible, traits were scored at the OES but, due to wear, some traits could only be scored at the enamel dentine junction (EDJ). There are few comparative data available for the frequency of traits at the EDJ, and they are only provided here for P<sup>4</sup>. In other cases, traits scored at the EDJ were compared to frequencies of traits at the OES.

Several authors have found a strong positive correlation between the EDJ and the OES in the expression of morphological traits in hominins (Krenn et al., 2019 for lower premolars; Guy et al., 2015 for upper molars; Skinner et al., 2008; 2010 for lower molars) but we recognize that wear obscures the OES and can lead to misidentification of trait expression. For each trait, 95% confidence limits were calculated for trait frequency in each taxon, and for the difference in frequencies between the two taxa (SOM S3). Traits that are of potential use in making inferences about taxonomic affiliation are those where the confidence intervals for the two comparative samples do not overlap, or where the confidence limits for the difference in frequencies between the two comparative samples are both either above or below zero (i.e., do not include zero) (Altman et al., 2000).

## 2.5. Relative cusp areas and occlusal polygon

Relative cusp areas, and the angles and relative size of the occlusal polygon, on SJMJ2456 (right M¹) were measured from a high definition photograph of the occlusal surface taken perpendicular to the plane of the cervix, using ImageJ software (Rasband, 2008), according to the methodology described by Bailey (2004). The original positions of the cusp tips were estimated by determining the point of intersection of the principal ridges of each cusp visible at the EDJ,

#### 2.6. Taurodontism

The degree of taurodontism of the molars was determined using the method of Shifman and Chananel (1978). Shifman and Chananel's (1978) method was employed (SOM S2) because it provides an absolute measurement, rather than a relative measurement that requires complete roots. The distance between the bicervical line and the highest point on the floor of the pulp cavity of the molar teeth was measured from the CT scans (Table 2: pulp chamber

height). This is preferable to taking the measurement from the roof of the pulp chamber, where secondary dentine may form as the tooth wears. The method presented by Keene (1966) (SOM S2) was used to measure the degree of taurodontism in SJMJ2461 (right M<sub>2</sub>), because the original root length could be estimated. The original length of the root of SJMJ2461 (right M<sub>2</sub>) (Table 2), where the broken sides of the tooth's root are converging, was estimated by extending these on a scaled photograph, and assuming a rounded tip.

## 2.7. Geometric morphometrics of cervix shape

Microtomography was used to examine the internal structures of the teeth. Specimens were scanned at the Natural History Museum using an XTekCT scanner (180 kV, 205  $\mu$ A, 0.25mm copper filter, 3000 projections) with a resultant isometric voxel size of ~30  $\mu$ m. To facilitate segmentation, each image stack was filtered using a mean-of-least-variance filter (kernel size one) or a median and mean-of least variance filter (each with kernel size three) (Wollny et al., 2013). Each tooth was segmented into its enamel and dentine components in Avizo 6.3 (ThermoFisher Scientific, Waltham, USA). Surface models of the EDJ were produced using the surface generation module (unconstrained smoothing) and saved as PLY files.

Geometric morphometric analysis of cervix shape (in almost all cases the dentine horns and the ridges between were too worn for landmark-based measurement) was conducted in Mathematica 10 (Wolfram, Long Hanborough, UK) following protocols outlined in previous publications (Skinner and Gunz, 2010; Martin et al., 2017). Thirty semilandmarks were placed around the cervix of each tooth. For the mandibular molars, the initial cervix landmark was placed on the mesiobuccal corner of the crown (beneath the protoconid) and continued mesially. In the maxillary molars, the initial landmark was placed on the middle part of the buccal face of the crown (between the paracone and metacone) and continued mesially. For

mandibular and maxillary premolars the initial landmark was placed at the mid-point of the buccal face and continued mesially. Estimations of missing portions of the cervix were made for SJMJ 2457 (left P<sup>4</sup>), 2456 (right M<sup>1</sup>) and 2461 (right M<sub>2</sub>) (SOM Fig. S1), and subjected to Procrustes superimposition and then slid (Gunz and Mitteroecker, 2013) to create a geometrically homologous set of shape variables. Principal components analysis (PCA) was conducted to assess variation in cervix shape. Canonical variate analysis (CVA) was conducted to assess taxonomic affinity using inclusive sets of principal component scores (i.e., first set using 1-5 PCs, second set using 1-6 PCs, etc.) that represented ~95% of overall shape variation. PCA was conducted in both shape space and form space; the latter including the log of centroid size as a variable. CVA was only conducted in shape space and attempted to assign La Cotte teeth to either Neanderthals or *H. sapiens*.

#### 2.8. Crown and root measurements

Measurements of the La Cotte teeth were taken with sliding calipers to the nearest 0.1 mm on the original specimens. The crown dimensions and root lengths were measured using the method of Moorrees (1957): crown = maximum dimensions parallel to and at right angles to the buccal surface; root = maximum vertical dimension from the cervix of the mesiobuccal root on the buccal aspect. In multirooted teeth, the term 'trunk' is used to describe the portion of the root between the cervix and the point at which the individual roots furcate, and root trunk length was measured as the distance between the cervix and the root furcation on the aspect of the tooth on which this was at a minimum. The dimensions of the root at the cervix were measured as the maximum dimensions at right angles to the mesial and buccal surfaces. This definition was also used to measure cervical dimensions from CT scans of comparative samples. Where the measurements for both left and right antimeres are available, the average was used. Mesiodistal crown dimensions of the La Cotte teeth were adjusted for wear using

the method of Wood and Abbott (1983), in which the estimated original margins of the tooth are drawn on a scaled photograph of the occlusal surface and the difference between these and the actual margins measured. The adjusted measurements were used for comparisons with other teeth. Where the length of the La Cotte teeth could not be estimated, only the buccolingual dimension is used for comparison with other teeth. The level of occlusal wear was quantified using Murphy's method, as summarized by Smith (1984; Table 2).

An adjusted z-score method, using Student's t inverse distribution (Maureille et al., 2001), was employed to compare each of the La Cotte measurements with the means and standard deviations of comparative groups. The formula applied was:

$$\frac{\text{La Cotte dimension} - X}{\text{Invt}_{0.975; n-1} * \text{SD}}$$

where X, SD and n represent the mean, sample standard deviation and sample size respectively of the comparative sample. The interval between -1 and +1 comprises 95% of the variation in the comparative sample. A value of zero denotes that the La Cotte dimension equals the mean of the comparative sample. All measurements and observations on the La Cotte teeth were repeated by the same observer after an interval of one month.

#### 3. Results

#### 3.1. Description

Condition The tooth crown and roots appear to not have undergone any erosive taphonomic processes. However, cracking and areas of taphonomic reworking of the dentine are evident in the CT scans. Layers of cementum are apparent on the apical half of the root surfaces, with the exception of SJMJ2459 (right M³), but hypercementosis is not present. The pulp chambers of the teeth exhibit what appears to be demineralisation and taphonomic alteration of the circumpulpal primary dentine, leading to it having a 'feathered' appearance (C. Dean,

pers. comm.). There are deposits of secondary dentine in the molar pulp chambers. All the teeth show some wear and this is generally flat and near horizontal. Most tooth crowns have dentine exposed on individual cusps, and the surface morphology has largely been obliterated.

Tooth descriptions Our identifications of tooth type are in agreement with those given by Keith and Knowles (1912). Traits are described using the ASUDAS grades (Turner et al., 1991) unless otherwise stated. X-ray photographs of the teeth are shown in SOM Figures S2, S3. Discrete morphological traits of the teeth, along with comparative data, are reported in Tables 3–7.

Lower left permanent canine (SJMJ2463) See Figure 5. The crown is complete, but the apex of the root is missing. Severe cracking is evident on the buccal surface of the root below the cervix and running down the distal surface from this point to the apex. This is also evident in the buccolingual CT slice (Fig. 5h).

There is moderate (grade 2) shoveling (Fig. 5a), trace (grade 1) double shoveling (Fig. 5a), a grade 4 distal accessory ridge (Fig. 5g), and a mesiobuccal bulge viewed occlusally. Lingually, a tuberculum dentale is present as a mild medially placed bulge without a free apex and there is a faint, wide but low, lingual medial ridge (Fig. 5g). The root is labiolingually wide, with deep mesial and distal longitudinal grooves. The mesial groove is particularly marked. The pulp canal is single and ovoid throughout the root, wide viewed distally, and mesiodistally flattened (Fig. 5h,i). There is distinct vertical convex curvature of the buccal aspect of the root and vertical convexity of the lingual aspect.

<u>Upper left fourth premolar (SJMJ2457)</u> See Figure 6. The preserved morphology (narrow and ovoid, rather than a more triangular crown shape) is consistent with a P<sup>4</sup>. The tooth has matching occlusion (facets, wear shape and level of wear) with the left P<sub>4</sub> (SJMJ2465). The crown has a postmortem chip on the distolingual corner of the occlusal rim, at the edge of a

large double fracture, and the root apices are missing. The distal aspect of the crown must have broken off in life, since there is rounding and vertical striations on the occlusal edge of the fracture.

The buccal surface of the crown is swollen, viewed mesially. The sagittal sulcus is visible at the OES, curved lingually at the distal end (Fig. 6d) and, from the EDJ, it can be seen that it terminates at the mesial margin (Fig. 6g). The EDJ reveals a small mesial accessory crest (Fig. 6g), that likely delineated a small mesial fovea in the unworn tooth. A small pit is still visible in this location on the worn occlusal surface (Fig. 6d). There are two robust roots, with separate root canals, linked mesially by a radicular plate, creating a deep groove on the distal root surface (cross-section in Fig. 6i). The buccal root is vertically convex. The pulp chamber is taurodont, extending to the root furcation. It is mildly ovoid in distal and buccal views (Fig. 6h; SOM Fig. S4).

Lower left third premolar (SJMJ2464) See Figure 7. The large size of the buccal cusp, and its more lingual placement than in SJMJ2465 (left P<sub>4</sub>), and our analysis of cervix shape (SOM Fig. S5) indicate a P<sub>3</sub>. Additionally, a buccal cingulum is present at the EDJ, which occurs only on the P<sub>3</sub> in the comparative samples. The crown is complete, but the root apices are missing.

The occlusal crown outline is buccolingually oblong, with slight mesiolingual truncation and the mesiodistal dimension greatest buccally. Viewed mesially, the upper part of the buccal surface is curved sharply lingually and the lingual surface is swollen. The bulbous metaconid is mesially placed, and there are two smaller distolingual cusps observable at the OES (Fig. 7a). The Y-shaped mesiobuccally slanted sagittal sulcus is not interrupted (Fig. 7a). It is narrow and the two foveae are insignificant, the distal being the deepest. Traces of mesial and distal accessory ridges can be seen on the buccal cusp at the OES, and are clearly visible at the EDJ (Fig. 7d,g). A faint distolingual groove is present at the OES (Fig. 7a,d),

but the lingual margin is uninterrupted at the EDJ (Fig. 7g; Davies et al., 2019). The mesial and distal margins also both appear to have been uninterrupted (there is no evidence of interruption at the EDJ). Examination of the EDJ (Fig. 7g) indicates no evidence of a transverse crest. Small dentine horns underlie the two accessory cusps distal to the metaconid (Fig 7g). Although not visible at the enamel surface, there is a faint buccal cingulum at the EDJ, consisting of a mesially placed horizontal ridge continuing from a slight mesial vertical groove/ridge (not shown).

There is a grade 4 Tomes' root (cross-section in Fig. 7i), with partial division into two roots, each with a single root canal. In the buccal root there is a vertical mesial groove and a prominent buccal groove. The buccal aspect of this root is vertically convex. The taurodont pulp chamber extends to the root furcation and is mildly ovoid in lingual view (SOM Fig. S6). In distal view it is convex lingually on the lingual side at the cervix, and is hourglass shaped below this. The root canal in the buccal root is enlarged buccolingually (Fig. 7h,i).

Lower left fourth premolar (SJMJ2465) See Figure 8. Morphological identification as a P<sub>4</sub> is confirmed by analysis of cervix shape (SOM Fig. S5). The tooth cannot be a metamere of SJMJ2464 (left P<sub>3</sub>) because the interproximal facets do not match. The tooth is complete apart from the apex of the root, which is chipped. Severe cracking can be seen on the lingual side of the root in the buccolingual CT slice (Fig. 8h).

The occlusal outline is oblong, with very slight mesiolingual truncation. The worn down buccal surface of the crown lacks the convexity and lingual inclination observed in SJMJ2464 (left P<sub>3</sub>), and the lingual face is less swollen. The narrow sagittal sulcus has a small bridge of enamel centrally (Fig 8d). However, examination of the EDJ reveals no evidence of a transverse crest (Fig. 8g). As with SJMJ2464 (left P<sub>3</sub>), the mesial and distal foveae (distal deepest) do not appear to have been large. The sagittal sulcus does not interrupt either margin at the OES at this level of wear (Fig. 8d); and neither margin is interrupted at

the EDJ. The EDJ reveals a distolingual cusp, in addition to the metaconid, as well as a small dentine horn on the mesial marginal ridge, and confirms the presence of a distal accessory ridge on the buccal cusp (Fig. 8g).

There is a single straight-sided root, mesially convex viewed apically, with a longitudinal groove in the apical half of the distal surface. The root is taurodont, with the pulp chamber extending to the apex (SOM Fig. S6). In distal view it can be seen that there has been considerable taphonomic alteration of the dentine towards the centre of the root, so that it is difficult to determine the original shape of the pulp chamber but, as with the left P<sub>3</sub> (SJMJ2464), the lingual side appears to be convex at the cervix (Fig. 8h; SOM Fig. S6). Molars The molar buccal and lingual faces are mildly convex viewed mesially. The buccal surface grooves on upper molars are weak, and they are absent on lower molars. The loss of occlusal surface morphology on the molars, despite a relatively low level of wear, suggests that the unworn cusps were low. All the molar roots exhibit supraradicular taurodontism (Kallay, 1970), where the pulp chamber is enlarged before the furcation of the roots. Upper right permanent first molar (SJMJ2456) See Figure 9. The low crown index value (equal mesiodistal and buccolingual measurements), particularly in relation to the other upper molars in the comparative samples, and our analysis of cervix shape among Neanderthal and H. sapiens M<sup>1-3</sup> indicate a M<sup>1</sup> (SOM Fig. S7). Additionally, the oblique ridge at the EDJ is type 1, running from the metacone dentine horn tip to the lingual marginal ridge distal to the protocone. Type 1 is typical of M<sup>1</sup>, but not of M<sup>2</sup> and M<sup>3</sup> (Martin et al., 2017). The crown is

The occlusal shape is a rounded and slightly skewed rhomboid, with a metacone that is mesiolingually placed, resulting in distobuccal truncation, and a buccally protruding paracone

complete, but the roots are missing above the trunk. Part of the distal margin of the crown

broke off antemortem, as indicated by the presence of regular minor chipping along the

occlusal edge of the fracture.

(Fig. 9a). Taking into account corrections for the missing fractured areas, the metacone and hypocone are of similar size. Although worn, there appears to be a grade 2 metaconule (Fig. 9a; mesial and distal enamel protrusions from the uninterrupted oblique ridge: Reid and Van Reenen, 1995). Examination of the EDJ (Fig. 9g) indicates no cusp 5 (hypoconule), two small accessory dentine horns on the mesial marginal ridge, and a grade 3 post-paracone tubercle (Ortiz et al., 2017) that cannot be detected at the enamel surface due to wear. The root is hypertaurodont. The lingual aspect of the root trunk is flared lingually, and there is a vertical groove reaching the cervix. At the broken root surface there is no evidence of separated roots bucally or mesially, but the base of the trunk is visible distally. Due to taphonomic alteration of the dentine it is difficult to determine the original shape of the pulp chamber.

<u>Upper left permanent second molar (SJMJ2458)</u> See Figure 10. The distal reduction in the crown and presence of a distal interproximal facet indicate an M<sup>2</sup>. This tooth occludes with the left M<sub>2</sub> (SJMJ2455) (Keith and Knowles, 1912). The tooth is complete apart from the root apices.

The occlusal outline is near triangular, convex mesially and distally, and flattened buccally. The tooth has a deep central fossa and no oblique ridge (Fig. 10a). No hypocone is visible on the worn OES. There is a hypocone dentine horn at the EDJ (Fig. 10g), which is likely to have been below grade 3 (reduced) on the original enamel surface due to its small size. The presence of a cusp 5 is indicated by dentine exposure on the distal margin of the OES (Fig. 10a). There is a grade 2 post-paracone tubercle (Ortiz et al., 2017) visible at the EDJ (Fig. 10g), and a mesial marginal ridge accessory tubercle. The root is hypertaurodont. The individual roots are furcated mesially at the level at which they are preserved, but with both buccal and distal radicular plates (cross-section in Fig. 10i). Three individual root canals are evident. The roots curve inwards in the apical half viewed distally (Fig. 10b), and both root trunk and individual roots have a pronounced distal inclination. Though taphonomically

altered buccally, the pulp chamber appears to have been hour glass shaped in distal view (Fig. 10h).

<u>Upper right third molar (SJMJ2459)</u> See Figure 11. The diminutive distal portion of the crown, and the lack of a distal interproximal facet despite the presence of substantial occlusal wear, indicate an M<sup>3</sup>. The crown is complete, but the lingual root has been broken off at the trunk, and the buccal roots are missing above the trunk.

Viewed occlusally, the mesial aspect of the tooth, including the root trunk, is markedly concave, and the remainder of the tooth is near circular (Fig. 11a). Most surface morphology has been obliterated due to wear. It is unclear which cusps were present in the large distal area of dentine exposure, even at the EDJ (Fig. 11g). There are two dentine horns evident at the EDJ between this area and the protocone (Fig 11g). If one of these is the hypocone, it is most likely to be the more distally placed of the two, both from its position and its larger size. If this is the case, it implies the presence of multiple lingual cusps along the distal rim of the unworn tooth in addition to metacone, hypocone and cusp 5. No oblique ridge is evident at the EDJ. There is a faint horizontal ridge on the buccal OES of the paracone (Fig. 11a), not seen at the EDJ. The root is mesotaurodont. The lingual root is separated from the buccal root mesially and distally just below the fragmented root surface. The buccal root is not bifurcated at this level, though separate root canals are apparent.

<u>Upper left third molar (SJMJ2467, cast)</u> See Figure 12. The description is based on the 1911 Natural History Museum cast, and published details (Keith and Knowles, 1912; see SOM Fig. S8B). The crown appears to have been complete. Only part of the root trunk is present in the cast, but the root appears to have been complete in the original tooth according to the photograph in Keith and Knowles (1912; Fig. 12e).

Viewed occlusally, the crown is strongly tapered distally, and flattened mesially. Details of morphology are not clear due to the poor quality of the cast. There is a deep central fossa

and no oblique ridge (Fig. 12a). Keith and Knowles (1912) described the tooth as three-cusped, lacking the hypocone. There is no evidence of a cusp 5 (Fig. 12e). The roots were described as more compressed together than in the left M<sup>2</sup>, but otherwise similar in form to those of the molars found previously (Keith and Knowles 1911, 1912). The roots appear to have been hypertaurodont, with a greater degree of taurodontism than the other La Cotte molars (Fig. 12e).

Lower right permanent second molar (SJMJ2461) See Figure 13. This tooth is identified as an antimere of SJMJ2455 (left M<sub>2</sub>). It has a distal interproximal facet, which is located lingually, implying lingual displacement of the adjacent tooth. Displacement of this nature is more likely to occur in an M<sub>3</sub> than in an M<sub>2</sub>. The tooth is complete apart from the root apices.

The occlusal shape is rectangular, wider distally than mesially. It has rounded buccal and distal aspects (mesial worn), and partial flattening lingually. There is a 'Y' groove pattern, and a large (grade 5) distally oriented hypoconulid (cusp 5) visible at the OES (Fig. 13a). A small dentine horn at the EDJ indicates that a cusp 6 (entoconulid) was present (Fig. 13g). The sagittal sulcus is uninterrupted at the OES and no mid-trigonid crest is evident. It is absent also at the EDJ, with only a weak crest on the protoconid (grade 0: Bailey et al., 2011). Traces of a wide mesial fovea (trait referred to as an anterior fovea in the ASUDAS: Turner et al., 1991; Scott and Turner, 1997; Scott and Irish, 2017; Scott et al., 2018) can be identified at the OES and it is present as a linear depression at the EDJ. The entoconid dentine horn tip is positioned on the margin of the tooth (Martin et al., 2017; Fig. 13g). There is a pit (grade 1) protostylid at the OES (Fig. 13a), with a corresponding horizontal cingular crest at the EDJ (Fig. 13g).

The mesial root is rectangular in shape and bifurcated at the apex, but fused to the distal root buccally. The lingual furcation of the mesial root is convex mesially. The buccal sides of both roots curve lingually. Marginal ridges are present mesially and distally on the mesial

root, and mesially on the distal root. The root is classed as hypertaurodont using Shifman and Chananel's (1978) method but, with an estimated root length of 14.9 mm, Keene's (1966) method gives a figure of 37%, which is classed as hypotaurodont (25–49.9%). The pulp chamber is barrel shaped, viewed lingually, and widening of the mesial root canals is evident in the mesiodistal CT slices (Fig. 13h; SOM Fig. S9).

Lower left permanent second molar (SJMJ2455) See Figure 14. This tooth occludes with the left M<sup>2</sup> (SJMJ2458; Fig. 14i). It has mesial and distal interproximal wear facets, and, as with SJMJ2461 (right M<sub>2</sub>), the distal interproximal facet is located lingually. Based on a similar degree of wear, similar dimensions and crown morphology, and the description of the original root morphology (Keith and Knowles, 1912), this is likely to be the antimere to SJMJ2461 (right M<sub>2</sub>). The crown is complete, but Keith and Knowles (1912) sectioned the root just below, and parallel to, the cervix (line visible in Fig. 14b). Part of the root has been restored with filler. Only 9 mm of the trunk remains and the apical part of the root has been lost. The tooth was described by Keith and Knowles (1912) as having roots of the same form as the right M<sub>2</sub>, fused buccally but not lingually, inclined distally and lingually, and having a trunk length of 7 mm.

The occlusal shape is rectangular, with rounded margins (mesial worn), and partially flattened lingually. As with the right M<sub>2</sub>, there is a 'Y' groove pattern, and a large (grade 5) distally placed hypoconulid is present (Fig. 14a,d). Unlike the right M<sub>2</sub> there is no cusp 6 dentine horn and no protostylid crest at the EDJ, though a trace protostylid is present on the hypoconid (Fig. 14g). However, as with the right M<sub>2</sub>, there is no mid-trigonid crest at the EDJ (site worn at the OES) (grade 0: Bailey et al., 2011) and only a weak crest evident on the protoconid. The entoconid dentine horn tip is positioned on the margin of the tooth (Martin et al., 2017).

Lower right third molar (SJMJ2460) See Figure 15. This tooth lacks a distal interproximal facet despite the presence of dentine exposure. This, together with its shape and the presence of a large number of accessory crests, indicates an M<sub>3</sub>. The interproximal wear facet matches with that of the right M<sub>2</sub> (SJMJ2461) (Fig. 15i) and it is likely the teeth are metameres. Only the crown and less than one-quarter of the root are present. A portion of the mesial margin of the crown has broken off postmortem. A lingual crack can be seen in the CT slice (Fig. 15h).

The overall occlusal shape of the crown is near circular. The unworn lingual half of the tooth is very wrinkled, with multiple cusps present at the OES (Fig. 15a,d). There is an 'X' groove pattern (Fig. 15a). There are three crests running from the mesial margin into, and filling, the mesial (anterior) fovea at the OES, but the presence of any associated mesial marginal ridge tubercles cannot be assessed because the mesial face of the tooth is missing (Fig. 15a). There is no mid-trigonid crest (grade 0 at the EDJ: Bailey et al., 2011). There is a single mesiodistal groove at the OES on the buccal slope of the hypoconid, and lingual to the dentine exposure, that delineates the large protostylid cingular crest seen at the EDJ (Fig. 15d). Examination of the EDJ (Fig. 15g) reveals the presence of numerous primary and accessory dentine horns. Some of these can be reasonably identified as cusps, while others reflect repeated enamel knot initiation (Martin et al., 2017). Moving distally from the metaconid dentine horn, there is a small (grade 3) cusp 7, the entoconid, an undulating ridge that potentially exhibits incipient dentine horns, a cusp 6, and then one additional dentine horn lingual to the grade 4 hypoconulid. Additionally, there is a small dentine horn on the protostylid ridge on the buccal aspect of the hypoconulid. The degree of internal placement of the hypoconid relative to the protostylid cingulum is uncommon (not seen in any of the CTscan comparative samples), and may further reflect a general perturbation of the development of this tooth. The metaconid and entoconid dentine horn tips are positioned on the margin of the tooth (Martin et al., 2017).

Occipital fragment (SJMJ2452) See Figure 16. The occipital fragment comprises a small part of a left squamous, measuring 53 mm between the broken anterior and posterior edges, and 37 mm from the asterion to the broken medial edge. The endocranial and exocranial surfaces are weathered and marked by superficial cracks and abraded areas. One edge of the piece is defined by the lambdoid suture, which extends 45 mm from the asterion. All the other edges are defined by natural breaks. The thickness of the bone, and weak markings on the external surface, are consistent with an immature age at death. The lambdoid suture has several abraded patches but appears to be mostly open. An area of interdigitated bone, visible on the external surface located 35 mm from the asterion, may represent an early stage of fusion at the lambdoid suture. A finger of bone projecting inwards from the lambdoid suture, situated 6 mm from the asterion, and measuring 6.2 by 2.6 mm, appears to be a small wormian bone. The endocranial surface has a well demarcated transverse sulcus that extends 35 mm from just below the asterion to the broken medial edge along the lower border, and 32 mm from the lambdoid suture to the broken medial edge along the upper border. The transverse sulcus passes directly across the asterion and would have crossed the posteroinferior (mastoid) corner of the parietal bone before reaching the temporal bone, instead of crossing directly onto the temporal bone (Fig. 16B, indicated by dotted lines). Taken together, the size and morphology of the occipital fragment are consistent with an immature individual who died in late childhood or adolescence.

#### 3.2. Qualitative morphological comparisons

Trait frequencies for the tooth types found in the La Cotte material, and in comparative samples of Late Pleistocene hominins, are reported in Tables 3–7. Traits that show a significant difference between Neanderthal and fossil *H. sapiens* are identified: i) where the 95% confidence interval for the difference between the proportions for the two samples is

entirely above or below zero, i.e., does not include 0% difference; ii) where the 95% confidence intervals for the two sample proportions do not overlap (SOM S3). These are the traits principally discussed.

Lower canine (SJMJ2463) The characteristics of this tooth support a Neanderthal affinity. Shoveling is present in the entire Neanderthal comparative sample and most (88%) of the fossil *H. sapiens* sample (Table 3). The distal accessory ridge is more common in Neanderthals (78%) than fossil *H. sapiens* (42%) (Table 3). It tends to be more strongly expressed in Neanderthals, as it is at La Cotte, than in fossil *H. sapiens* (50% compared to 8% at their grade 2 in Martinón-Torres et al.'s, 2012: Table 17 samples). The mild expressions of the tuberculum dentale and lingual medial ridge in SJMJ2463 (left C<sub>1</sub>) are the forms frequently found in both comparative samples (Martinón-Torres et al., 2012).

The buccal curvature of the root is typical of Neanderthals, but not of *H. sapiens* (Bilsborough and Thompson, 2005; Le Cabec et al., 2013), as is the convex buccal contour of crown and root together, known as 'cyrtodonty' (Patte, 1962; Brabant and Sahly, 1964). The wide root canal, as viewed distally, is unlike the narrow straight sided canals found in recent human teeth (van Beek, 1983).

<u>Upper fourth premolar (SJMJ2457)</u> The morphological traits of this tooth support a Neanderthal affinity. The swollen buccal surface, viewed mesially, and sharp lingual inclination from the point of maximum curvature, is typical of Neanderthals, and more pronounced than is generally found in *H. sapiens* (personal observation). The absence of a buccal mesial accessory ridge is also typical of Neanderthals (83%) and less common in fossil *H. sapiens* (40%) (Table 4).

The roots are robust compared to *H. sapiens*. The two-rooted form present is the most common type in Neanderthals (Maureille et al., 2008). The taurodontism, and a relatively

longer root trunk before furcation of the roots than in recent humans, are also seen in some Neanderthal P<sup>4</sup> (Kallay, 1963).

Lower premolars (SJMJ2464 P<sub>3</sub>; SJMJ2465 P<sub>4</sub>) The characteristics of both premolars give an ambiguous picture. For the P<sub>3</sub> (SJMJ2464) the Neanderthal features are the large lingually placed buccal cusp (Gómez-Robles et al., 2008), and the presence of a buccal cingulum at the EDJ, which occurs frequently in the Neanderthal sample but is not seen in the recent human sample. For the P<sub>4</sub> (SJMJ2465) the Neanderthal features are the presence of multiple lingual cusps (94%), a mesially placed metaconid (97%), and a buccal distal accessory ridge (88%) (Table 4). The corresponding figures for fossil *H. sapiens* are significantly lower (Table 4). The cusp on the mesial margin, represented by a dentine horn at the EDJ, found on SJMJ2465 (left P<sub>4</sub>), also occurs on three Krapina P<sub>4</sub> (Compton and Stringer, 2012). Three or more lingual cusps on P<sub>4</sub> (three at La Cotte) are present in 89% of Neanderthals but only 20% of fossil *H. sapiens* (Martinón-Torres et al 2012: Table 19).

In contrast, the absence of a transverse crest, and a symmetrical shape, found on both premolars, are rare in Neanderthal P<sub>3</sub> (3% and 6% respectively) and P<sub>4</sub> (both at 6%) (Table 4). Additionally, Davies et al. (2019) recorded the presence of a transverse crest in their entire Neanderthal P<sub>3</sub> sample. Martinón-Torres et al. (2012: Tables 18,19), however, reported absence of a transverse crest in 15% of P<sub>3</sub> and 19% of P<sub>4</sub> in their Neanderthal sample. The absence of a transverse crest, and a symmetrical shape, are more frequently observed for P<sub>3</sub> and P<sub>4</sub> in fossil *H. sapiens* (Table 4). Bailey (2002) looked at the combination of three characteristics (well-developed metaconid, transverse crest and asymmetry) in P<sub>4</sub> and found that 98% of modern humans had only one of these traits, as at La Cotte, compared to only 6% of Neanderthals.

The robust roots of SJMJ2464 (left  $P_3$ ) are more typical of Neanderthals than of H. sapiens. Interestingly, grade 4-5 Tomes' root, present on the  $P_3$ , occurs less frequently in the

P<sub>3</sub> (12%) than in the P<sub>4</sub> (25%) in Neanderthals, the reverse of that found in fossil *H. sapiens* (38% and 23% respectively) (Table 4) and recent humans. Tomes' root occurs less frequently in recent humans than in fossil *H. sapiens* (12.5% and 2.5% respectively for P<sub>3</sub> and P<sub>4</sub> in a large mixed sample: Shields, 2005). The wide root canal observed in SJMJ2465 (left P<sub>4</sub>) is typical of Neanderthal P<sub>4</sub> but uncommon in recent humans (Prado-Simón et al., 2012). The extended taurodont pulp chamber in SJMJ2464 (left P<sub>3</sub>) and SJMJ2465 (left P<sub>4</sub>) is apparent as widening in both buccolingual and mesiodistal directions (SOM Fig. S6). In some teeth, in both comparative samples (Table 4), extension of the pulp chamber into the root is only apparent as widening in a buccolingual direction. Considering the small samples involved (Table 4), the frequency of taurodontism is similar between P<sub>3</sub> and P<sub>4</sub> and between Neanderthals and fossil *H. sapiens*. Taken overall, widening in a buccolingual direction, with or without mesiodistal widening, occurs at approximately twice the frequency as widening in both directions together (51% against 23%: Table 4).

Molars The upper molar cusps are internally placed, as is often observed in Neanderthals. Carabelli's trait is absent in the upper molars. This was previously thought to be unusual in Neanderthals (McCown and Keith, 1939; Smith, 1989). More recently Martinón-Torres et al. (2012) reported 20% absence for M¹, 42% for M² and 80%/ for M³, in their Neanderthal sample. The post-paracone dentine horn, which is present at the EDJ in SJMJ2456 (right M¹) and SJMJ2458 (left M²) and could not be scored in SJMJ2459 (right M³), was ubiquitous in a sample of Neanderthal maxillary molars and present in 86% of a recent human sample (Martin et al., 2017: Table 10). In contrast, Ortiz at al. (2017: Table 4) reported the presence of post-paracone dentine horns in 98% of a Neanderthal sample but only 25% of a recent human sample. We did not observe the protostylid forms seen at the EDJ on SJMJ2461 (right M²) and SJMJ2460 (right M³) in our comparative samples. Taurodontism is particularly associated with Neanderthals, but it is also found in fossil *H. sapiens* from Skhūl and Qafzeh

(McCown and Keith, 1939; Vandermeersch, 1981) and in Aterians (Kupczik and Hublin, 2010). Kupczik et al. (2019: Table S1) found taurodontism (grades III and IV of their bifurcation index) in 88% (14 of 16) of their sample of Neanderthal M<sub>2</sub>. Studies of recent Europeans have shown taurodontism is present in less than 10% of molars (Jafarzadeh et al., 2008: Table 3). The absence of enamel extensions above trace level on any of the molars is also typical of Neanderthals and most *H. sapiens* outside Asia (Bailey, 2006b).

Upper first molar (SJMJ2456) The Neanderthal M<sup>1</sup> crown has a distinct shape (Bailey, 2004; Gómez-Robles et al., 2007; Martinón-Torres et al., 2013): The main cusp tips are more internally placed, leading to a relatively smaller occlusal polygon (formed by linking the tips of the four principal cusps) compared to the total occlusal area (Table 5). There is a statistically significant difference at  $p \le 0.01$  between Neanderthal and *H. sapiens* for this percentage figure (Martinón-Torres et al., 2013). The occlusal shape is skewed and there is a large distally projected and swollen hypocone, leading to a narrow hypocone angle. The metacone is relatively smaller than the hypocone and mesiolingually oriented, leading to distobuccal truncation of the occlusal outline and a wide metacone angle (Table 5 and Fig. 17). The hypocone is larger than the metacone in 14/16 molars in Bailey's (2004) Neanderthal sample. The occlusal polygon is narrower distally than mesially (Fig. 17).

The M¹ (SJMJ2456) shows clear Neanderthal affinities (see Table 5 and Fig. 17). It has a relatively small occlusal polygon (24%), a mesiolingually placed metacone, along with a large metacone angle (116°), and an occlusal polygon that is narrower distally than mesially. However, the crown has only a mildly skewed appearance, with a small protocone angle (100°) compared to the means of both the comparative samples (106°). The relative size of the hypocone compared to total occlusal area (19%) is small in comparison to the Neanderthal sample mean (23.7%) and smaller than the metacone (21%), and the hypocone angle (76°) is large. While being within the Neanderthal range of variation, both of these

features are more similar to the fossil *H. sapiens* sample. Additionally, the protocone is relatively large (33%) compared to Neanderthal (29.9%).

<u>Upper second molar (SJMJ2458)</u> The presence of a cusp 5 is common in Neanderthals (68%), and the presence of a mesial accessory cusp is ubiquitous. Both are less frequent in fossil *H. sapiens* (13% and 39% respectively) (Table 6). The reduced hypocone is unusual, though, in both Neanderthals and fossil *H. sapiens*, occurring at frequencies of only 6% and 15% respectively (Table 6). It is, however, common in the pre-Neanderthal Atapuerca-SH sample (Martinón-Torres et al., 2012).

Upper third molars (SJMJ2459 and SJMJ2467) The concave mesial aspect, viewed occlusally, of SJMJ2459 (right M³) is distinctly unusual. The presence of multiple cusps along the distal rim (i.e., in excess of metacone, hypocone and a single cusp 5), as inferred for SJMJ2459 (right M³), has been reported in Krapina M³ (Compton and Stringer, 2012); and Martin et al. (2017) found considerable variation in distal cusp pattern in Neanderthal M³. Multiple distal cusps and split hypocones occur in Aterian teeth (Bailey et al., 2017), and split hypocones have been reported in recent humans (Greene et al., 1967; Bermúdez de Castro and Martínez, 1986), but mention of multiple distal cusps in recent humans is rare in the literature. Ortiz et al. (2017: Table S3) found multiple cusp 5 at the EDJ in ~20% of Neanderthals but only in ~1% of recent humans.

Lower molars (SJMJ2455 and SJMJ2461 M<sub>2</sub>; SJMJ2460 M<sub>3</sub>) The hypoconulid expression in the M<sub>2</sub> (SJMJ2455 and SJMJ2461) is particularly large. Martinón-Torres et al. (2012: Table 21) found only 16.6% of Neanderthal and 4% of fossil *H. sapiens* M<sub>2</sub> with grade 5 hypoconulids. The characteristics of the La Cotte lower molars give an ambiguous picture. Neanderthal characteristics include the presence of a hypoconulid (found in the entire Neanderthal sample), a wide mesial (anterior) fovea (89% of M<sub>2</sub> and 93% of M<sub>3</sub>), and a 'Y' groove pattern in M<sub>2</sub> (75%) (Table 7). The corresponding figures for fossil *H. sapiens* are

significantly lower (Table 7). Additionally, the multiple cusps and accessory crests found on SJMJ2460 (right M<sub>3</sub>) have been observed in Neanderthals (McCown and Keith, 1939; Radovčić et al., 1988; Bailey and Hublin, 2006), as has the repeated enamel knot initiation seen at the EDJ (Martin et al., 2017).

In contrast, the absence of a mid-trigonid crest at the OES, observed in SJMJ2461 (right M<sub>2</sub>) and SJMJ2460 (right M<sub>3</sub>), is rare in Neanderthal M<sub>2</sub> and M<sub>3</sub> (4% and 7% respectively), but almost ubiquitous in the fossil *H. sapiens* sample, at 96% and 100% respectively (Table 7). At the EDJ, Bailey et al. (2011: Table 3) recorded the presence of a continuous mid-trigonid crest in their entire Neanderthal M<sub>2</sub> and M<sub>3</sub> sample (absent at La Cotte), but only 35.5% and 14.3% respectively of a recent European sample. Furthermore, the position of the metaconid dentine horn tip on the occlusal margin of SJMJ2460 (right M<sub>3</sub>) is rare in Neanderthals. The metaconid dentine horn was centrally placed in 95% of a late Neanderthal sample (Martin et al., 2017: Table 9).

The non-tapering shape and bifurcation of the mesial root, and the presence of longitudinal marginal ridges on mesial and distal roots, observed in SJMJ2461 (right M<sub>2</sub>), are typical of Neanderthal M<sub>2</sub> (Compton and Stringer, 2015).

Occipital fragment (SJMJ2452) The occipital lacks any diagnostic Neanderthal anatomical features. The transverse sulcus pathway is typical of recent humans, and differs from the pathway observed in some Neanderthals, in which the transverse sulcus passes directly from the occipital to the temporal without crossing the parietal bone (Arsuaga et al., 2002).

## 3.3. Geometric morphometric analysis of cervix shape

Figures 18–24 illustrate PCAs of cervix morphology in shape and form (form including the log of centroid size as a variable) space for upper and lower molars and premolars. The P<sup>4</sup> (SJMJ2457) falls closer to the *H. sapiens* samples (fossil and recent humans) in shape space

but with the Neanderthals in form space, reflecting its large size (Fig. 18a,b). It differs from the mean Neanderthal cervix shape in being somewhat compressed mesiolingually and distobuccally (Fig. 18c,d). Using 11 shape PCs, attribution accuracy of the comparative P<sup>4</sup> sample is ~65% (i.e., the proportion of specimens of known taxonomic affiliation that are attributed correctly using cross-validated canonical variate analysis) and posterior probabilities of discriminant analysis consistently assign SJMJ2457 (P<sup>4</sup>) to *H. sapiens* rather than Neanderthal.

The identification of SJMJ2464 as a P<sub>3</sub> and SJMJ2465 as a P<sub>4</sub> is supported by their cervix shape that groups them with the *H. sapiens* (fossil and recent humans) P<sub>3</sub> and P<sub>4</sub> samples (SOM Fig. S5). The P<sub>3</sub> falls with the *H. sapiens* samples in shape space but closer to the Neanderthal sample in form space due to its large size (Fig 19a,b). Using 11 shape PCs, attribution accuracy of the comparative P<sub>3</sub> sample is ~70% (with cross-validation), and posterior probabilities consistently assign SJMJ2464 (P<sub>3</sub>) to *H. sapiens*. Wireframe models indicate Neanderthals have a distally expanded cervix compared to the *H. sapiens* samples and SJMJ2464 (P<sub>3</sub>) (Fig. 19c,d). The P<sub>4</sub> falls with the *H. sapiens* samples in both shape and form space (Fig. 20a,b), sharing a buccolingually expanded cervix with both *H. sapiens* samples (Fig. 20c,d) but being more similar in size to the earlier sample. Using 9 shape PCs, attribution accuracy of the P<sub>4</sub> sample is ~90% (with cross-validation) and posterior probabilities consistently assign SJMJ2465 (P<sub>4</sub>) to *H. sapiens*.

The SJMJ2456 M<sup>1</sup> plots with Neanderthals in both shape space and form space (Fig. 21a,b). It shares a distolingual expansion of the cervix with Neanderthals although it is not as marked as the average Neanderthal M<sup>1</sup> wireframe (Fig. 21c,d). Using 11 shape PCs, attribution accuracy of the comparative M<sup>1</sup> sample is ~90% (with cross-validation) and posterior probabilities consistently assign SJMJ2456 (M<sup>1</sup>) to Neanderthals.

The SJMJ2458 M<sup>2</sup> falls on the margin of the overlapping distributions of the *H. sapiens* and Neanderthal samples in shape space, and the form analysis demonstrates it is larger than most of the *H. sapiens* comparative sample and of average size for a Neanderthal (Fig. 22a,b). The wireframe model highlights the unusually small hypocone of SJMJ2458 (M<sup>2</sup>) compared to the mean *H. sapiens* and Neanderthal cervix shapes (Fig. 22c,d). Using 13 shape PCs, attribution accuracy of the comparative M<sup>2</sup> sample is ~70% (with cross-validation) and SJMJ2458 (M<sup>2</sup>) assigns with near equal frequency as either a Neanderthal or *H. sapiens* (i.e., its taxonomic attribution cannot be determined based on cervix shape).

The SJMJ2459 M<sup>3</sup> falls well outside of both Neanderthal and *H. sapiens* ranges and it is considerably larger than the largest Neanderthals in this analysis (Fig 23a,b). As with the SJMJ2458 M<sup>2</sup>, the wireframe comparisons of mean shape illustrate the particularly strange contour of the SJMJ2459 M<sup>3</sup> (Fig. 23c,d). Using 9 shape PCs, attribution accuracy of the comparative M<sup>3</sup> sample is ~70% (with cross-validation) and SJMJ2459 (M<sup>3</sup>) is consistently assigned to Neanderthals.

The two M<sub>2</sub> (SJMJ2455 and SJMJ2461) fall in an intermediate position between the Neanderthals and *H. sapiens* in shape space but fall closer to the fossil *H. sapiens* and Neanderthal samples when size is included (Fig. 24a,b). The M<sub>2</sub>s are similar to the Neanderthal sample in having a buccally expanded cervix without the buccal pinching distal to the protoconid present in the *H. sapiens* samples (Fig. 24c,d). Using 14 shape PCs, attribution accuracy of the comparative sample is ~85% (with cross-validation) and posterior probabilities consistently assign both SJMJ2455 and SJMJ2461 (M<sub>2</sub>) to Neanderthals.

Cervix shape alone tends to perform less well at taxonomic discrimination than analyzes that also incorporate the EDJ marginal ridge (Martin et al., 2017) and, at a number of tooth positions, attribution accuracy of the comparative sample (in which taxonomic affiliation is known) ranges between 60–90%. In summary, the P<sub>3</sub>, P<sub>4</sub> and P<sup>4</sup> are consistently assigned as

 $H.\ sapiens$ , while the two  $M_2$ , the  $M^1$  and the  $M^3$  are consistently assigned as Neanderthals. Attribution of the  $M^2$  is less consistent and cervix shape cannot contribute strongly to its taxonomic assessment.

## 3.4. Metrical comparisons

Comparative data for crown dimensions are presented in SOM Tables S7 and S8, and adjusted Z scores for crown dimensions are illustrated in Figures 25 and 26. Adjusted Z score is a measure of the distance of the La Cotte value above or below the mean of the comparative sample, using Student's t distribution. Values of greater than +/-1 are significant at the 5% level. A value of zero denotes that the La Cotte dimension equals the mean of the comparative sample.

Crown area The crown areas of the La Cotte teeth are all close to or above the late Neanderthal comparative sample means, with associated adjusted Z scores under 0.5, except for SJMJ2463 (left C<sub>1</sub>) and SJMJ2464 (left LP<sub>3</sub>), which are particularly large. The C<sub>1</sub> crown area is above the range of the late Neanderthal comparative sample, and its buccolingual dimension is only exceeded by Kebara 2 (10.2 mm). The buccolingual dimension of the P<sub>3</sub> is only exceeded by La Quina 9 (11.0 mm), and this is the only tooth in the late Neanderthal comparative sample with a larger crown area (99 sq mm). With the exception of these two teeth, the crown areas of the La Cotte teeth are all within the ranges of the other comparative samples, with associated adjusted Z scores up to 0.7 (0.8 for the Krapina P<sub>4</sub>). In contrast, the adjusted Z scores for SJMJ2463 (left C<sub>1</sub>) and SJMJ2464 (left P<sub>3</sub>) are 0.8 and 0.7 respectively in relation to late Neanderthals, and 1.0 and 1.4 in relation to fossil *H. sapiens*.

<u>Crown index</u> The crown indices of the La Cotte teeth all have adjusted Z scores under 0.5 in relation to the late Neanderthal comparative sample except for the left  $M^3$  SJMJ2467 (0.7). The La Cotte  $C_1$ ,  $P_3$  and  $P_4$  have crown indices above the means of all the comparative

samples (Table 8), indicating that they are relatively buccolingually expanded; and the  $M^1$ ,  $M^2$  and  $M^3$  are all squarer, with crown indices below the means of comparative samples (Table 7) (with the lone exception of SJMJ2459 in relation to Krapina). Two large differences in the comparative samples stand out. The fossil *H. sapiens*  $M^1$  has an adjusted Z score of -1.3, reflecting the more rectangular shape of these teeth compared to Neanderthals. The Krapina  $P_3$  has an adjusted Z score of 2.2 due to the lower crown indices of these teeth and this is also apparent in the Krapina lower molars.

Cervical dimensions See Table 8. In all but one case (SJMJ2461, right M<sub>2</sub>) the La Cotte dimensions are above the Neanderthal comparative sample means. The mesiodistal dimensions of SJMJ2463 (left C<sub>1</sub>) and SJMJ2464 (left P<sub>3</sub>), and the buccolingual dimensions of SJMJ2457 (left P<sup>4</sup>), at the cervix are either above or at the upper end of the Neanderthal ranges. SJMJ2464 (left P<sub>3</sub>) has adjusted Z scores for Neanderthal of 1.5 for the mesiodistal dimension and 1.0 for the buccolingual dimension. Keith and Knowles (1912) noted the large cervical dimensions; and the particularly high cervical dimensions of the La Cotte canine and premolars, other than SJMJ2465 (left P<sub>4</sub>), is very distinctive. Fossil *H. sapiens* have smaller cervical dimensions than Neanderthals, and this difference is most pronounced in the P<sub>4</sub>, M<sup>2</sup> and M<sub>2</sub>. The fossil *H. sapiens* adjusted Z scores for SJMJ2463 (left C<sub>1</sub>) and SJMJ2464 (left P<sub>3</sub>) in both mesiodistal and buccolingual dimensions, and for SJMJ2458 (left M<sup>2</sup>) in the mesiodistal dimension, are all at 1.0 or above.

Root length The only La Cotte tooth with a complete root is SJMJ2467 (LM<sup>3</sup>), for which only a cast is now available (Keith and Knowles, 1912: preface). It has a length of 16.0 mm, which is within the Neanderthal range (13.5–16.7 mm, mean 15.2 mm: Bailey, 2005), and above the ranges for Předmostí (11.0–14.0 mm: Matiegka, 1934) and contemporary humans (8.0–15.0 mm: Black, 1902).

## 3.5. Number of individuals

Since all the teeth from La Cotte were found in one place, and are broadly similar in their degree of development and wear, the 'null hypothesis' would be that they all come from the same individual (Keith and Knowles, 1912). Our observations demonstrate that the eleven teeth from La Cotte represent a maximum of six individuals, made up of three groups of teeth that can be confidently associated with one another and three isolated teeth:

- The largest group of unambiguously associated teeth comprises four molars, SJMJ 2455, 2458, 2460 and 2461 (referred to hereafter as individual A-1). SJMJ2455 (left M<sub>2</sub>) and SJMJ2458 (left M<sup>2</sup>) occlude (Fig. 14i). SJMJ2455 (left M<sub>2</sub>) and SJMJ2461 (right M<sub>2</sub>) are likely to be antimeres, due to the similarities of morphology and size, and the shared presence of lingually placed distal interproximal facets, a highly unusual pattern of distal wear (Fig. 13a, marked 'a') and unusually lingually inclined roots. SJMJ2461 (right M<sub>2</sub>) and SJMJ2460 (right M<sub>3</sub>) are likely metameres. The lingual placement of the interproximal facet on SJMJ2461 suggests lingual displacement of the adjacent M<sub>3</sub>, and the form of wear on SJMJ2460 is consistent with this (Fig. 15i). SJMJ2460 (right M<sub>3</sub>) also has a prominent form of the protostylid, similar to, although larger than, that seen on SJMJ2461
- ii) The matching interproximal wear between SJMJ2463 (left C<sub>1</sub>) and SJMJ2464 (left P<sub>3</sub>), and their unusually large size, demonstrates that they are metameres (A-2)
- iii) SJMJ2456, right M<sup>1</sup> (A-3)
- iv) SJMJ2467, left  $M^3$  (A-4)
- v) SJMJ2457 (left P<sup>4</sup>) and SJMJ2465 (left P<sub>4</sub>) occlude (B-1)
- vi) SJMJ2459, right M<sup>3</sup> (B-2).

The minimum number of individuals (MNI) represented by these eleven teeth is two, referred to as Individuals A and B. This can be confidently inferred from the fact that some of the groupings identified above cannot belong to the same dentition. Firstly, SJMJ2459 (right M³; B-2) does not occlude with either the right M₂ or the right M₃ from A-1. Secondly, premolars SJMJ2464 (left P₃; A-2) and SJMJ2465 (left P₄; B-1) are not metameres, since the interproximal facets do not match, and their large difference in size is inconsistent with a single dentition.

Teeth from individual B (SJMJ2457 [left P<sup>4</sup>; B-1] and SJMJ2465 [left P<sub>4</sub>; B-1] and SJMJ2459 [right M<sup>3</sup>; B-2]) exhibit a similar degree of wear that is proportionally greater than that of the other teeth and consistent with a single individual. The remaining four teeth, SJMJ 2456, 2467, 2463 and 2464, cannot with complete confidence be associated with either Individual A or B, but are tentatively included with Individual A on the basis of dental metrics. SJMJ2456 (right M<sup>1</sup>; A-3), SJMJ2467 (left M<sup>3</sup>; A-4) and SJMJ2458 (left M<sup>2</sup>; A-1) have similar crown area and crown index values in relation to the Neanderthal means. Likewise, the relationship of crown area to the Neanderthal mean in SJMJ2463 (left C<sub>1</sub>) and SJMJ2464 (left P<sub>3</sub>; A-2) is similar to that in M<sub>2</sub> SJMJ2461 and SJMJ2455 (A-1).

In summary, Individual A is represented by four teeth that are unambiguously associated and another four teeth that could belong to this individual, and Individual B is represented by three teeth. Based on the level of wear and the fact that there is dentine exposure on third molars in both individuals, it is likely that Individuals A and B were (young) adults. Trinkaus (1995) found a uniform pattern of wear in a sample of Neanderthals and noted that there was slight dentine exposure on first molars, as at La Cotte, in the third decade of life. However, the accumulation of cementum on the apical two thirds of the roots and the amount of secondary dentine in the pulp chambers of the molars, from both individuals, could indicate a greater age at death, as these are age related processes (Hillson, 1996; Guatelli-Steinberg and

Huffman, 2012). The teeth found in the first season (1910) clearly did not all come from a right mandible as stated by Nicolle and Sinel (1910), but the fact that the teeth were in a row suggests that those from Individual A, at least, might have been originally deposited in anatomical association.

The occipital fragment came from an individual who died in late childhood or adolescence. It can therefore be concluded, from the degree of root development and wear on the teeth, that this fragment is not associated with the dental remains and therefore comes from a third individual.

#### 4. Discussion

Individually, all of the La Cotte teeth have diagnostically Neanderthal characteristics and seven teeth also have *H. sapiens* characteristics. The teeth that lack *H. sapiens* characteristics are SJMJ2463 (left C<sub>1</sub>), SJMJ2458 (left M<sup>2</sup>), and the upper third molars, SJMJ2459 (right M<sup>3</sup>) and SJMJ2467 (left M<sup>3</sup>). Table 9 summarizes the mix of Neanderthal and *H. sapiens* characteristics within the individual teeth, grouped according to the maximum number of six individuals (A-1, A-2, A-3, A-4, B-1 and B-2) and minimum number of two individuals (A and B).

Within A-1 (SJMJ2458, left M<sup>2</sup>; SJMJ2461, right M<sub>2</sub>; SJMJ2455, left M<sub>2</sub>; SJMJ2460, right M<sub>3</sub>), the M<sup>2</sup> has no *H. sapiens* characteristics and the other three molars have a mixture of characteristics. Within A-2 (SJMJ2463, left C<sub>1</sub>; SJMJ2464, left P<sub>3</sub>), the canine has entirely Neanderthal diagnostic characteristics but those of the premolar are mixed. A-3 (SJMJ2456, right M<sup>1</sup>) has mixed characteristics. A-4 (SJMJ2467, left M<sup>3</sup>) only has Neanderthal characteristics. Within B1, both premolars (SJMJ2457, left P<sup>4</sup>; SJMJ2465, left P<sub>4</sub>) have a mixture of characteristics. B-2 (SJMJ2459, right M<sup>3</sup>) only has Neanderthal characteristics.

Traits that are specific to one of these six groups include absence of mid-trigonid crest in lower molars (A-1), and particularly large teeth compared to Neanderthal and *H. sapiens* (A-2). Traits that occur in more than one group include absence of transverse crest and mesiolingual truncation in lower premolars, and cervix shape of *H. sapiens* form (A-2 and B-1). It is notable that for teeth with multiple crown traits that show a significant difference in prevalence between Neanderthals and fossil *H. sapiens* (P<sub>4</sub>, M<sub>2</sub>, M<sub>3</sub>) there is an ambiguous picture.

Four of the maximum of six individuals have a mixture of diagnostic Neanderthal and *H. sapiens* characteristics. Three of these sets of teeth could belong to the same dentition (Individual A) but the fourth belongs to a second dentition (Individual B). This suggests that the occurrence of mixed characteristics relates to a group of individuals, rather than being specific to one individual. The *H. sapiens* characteristics occur principally in the premolars, and are less common in the M<sup>1</sup> and lower molars, and are reflected in cervix shape, and crown morphological traits. The analysis of cervix shape gives a varied picture. The molars are assigned to Neanderthals, while the premolars are assigned to *H. sapiens*. The root forms and the tooth dimensions are entirely compatible with Neanderthals.

Several traits that are considered to be particularly typical of Neanderthals (Bailey, 2002, 2004, 2006a; Martin et al., 2017) are absent in the La Cotte teeth. These are the presence of a transverse crest, and mesiolingual truncation in lower premolars; the presence of a midtrigonid crest, and metaconid central dentine horn tip placement in lower molars; and peculiarities of the occlusal shape of M¹. The first four of these traits are not diagnostic of either Neanderthals or *H. sapiens*, but they are consistently present in Neanderthals and less common in *H. sapiens*. The small occlusal polygon of the M¹ from La Cotte is diagnostically Neanderthal, but other aspects of the M¹ morphology are more ambiguous.

Three aspects of the morphology of the La Cotte teeth are unusual in both Neanderthals and fossil *H. sapiens*. These are the reduced hypocone on SJMJ2458 (left M<sup>2</sup>); the concave mesial surface and unusual cervical shape of SJMJ2459 (right M<sup>3</sup>); and the protostylid form on SJMJ2461 (right M<sub>2</sub>) and SJMJ2460 (right M<sub>3</sub>). The protostylid form of SJMJ2461 (right M<sub>2</sub>) is superficially similar to that observed in *Paranthropus robustus* (Skinner et al., 2009), although not identical. We consider the SJMJ2460 (right M<sub>3</sub>) protostylid form to reflect abnormal development of the distal part of the crown and thus not useful for its taxonomic assessment.

With the exception of the possibly early form of the protostylid, there are no traits relating to earlier hominins in the La Cotte teeth. Traits that are only seen in *Homo heidelbergensis*, pre-Neanderthals or early Neanderthals (Compton and Stringer, 2015) are absent. These include a buccal cingulum at the enamel surface on P<sub>3</sub> (*H. heidelbergensis*); a distal occlusolingual cleft on P<sub>3</sub> (*H. heidelbergensis* and pre-Neanderthal); mesiobuccal swelling (viewed occlusally) of P<sub>4</sub> (*H. heidelbergensis*, pre-Neanderthal and early Neanderthal); and grade 2 buccal vertical grooves/cingula on lower molars (*H. heidelbergensis*, pre-Neanderthal and early Neanderthal). Multiple lingual cusps on the P<sub>3</sub> (present at La Cotte) are not found in *H. heidelbergensis* (Compton and Stringer, 2015; M. Bermúdez de Castro, pers. comm. regarding Arago),

The dental morphology of at least two dental individuals from La Cotte therefore displays a mixture of Neanderthal and *H. sapiens* characteristics, with Neanderthal features more strongly represented, and is clearly distinct from earlier hominins. Since there is more than one individual involved, abnormality of development can be dismissed as a likely cause. This mix of features could suggest shared ancestry or, alternatively, a need to extend the phenotype of one or other species to accommodate this variation. If this combination of features is not the result of introgression, it could be due to genetic drift as a consequence of

isolation, but this does not seem probable since, at the likely date of these fossils (<48 ka), sea levels were lower and Jersey was connected to mainland Europe. Another possibility is short term evolutionary pressures, although it is difficult to see what advantage would be conveyed by these minor morphological differences. The small sample of teeth from La Cotte does not enable us to determine which of these scenarios is more likely. Attempts to extract DNA and resolve the issue through genetic analysis have thus far proved unsuccessful.

The site of Palomas in southern Spain has hominin dental material from secure stratigraphic contexts dated at ~45–38 ka cal BP (Walker et al., 2017), which shows a comparable mix of dental features to those found at La Cotte. The traits of the Palomas teeth are primarily Neanderthal, but not all the P<sub>3</sub> and P<sub>4</sub> exhibit asymmetry and a transverse crest (present in 50% and 75% respectively); and only one of the eight lower molars has a midtrigonid crest at the OES, and fewer than half (43%) have a mesial (anterior) fovea of grade >1 (Zapata et al., 2017). However, unlike La Cotte, the crown breadths of some of the anterior teeth, and crown areas of some of the lower molars, are more than two standard deviations below the Neanderthal mean (Pinilla and Trinkaus, 2017). Trinkaus (2017) concluded that the Palomas remains were Neanderthals, but acknowledged that the Neanderthal range of variation would need to be extended to accommodate this group within Neanderthals because of differences in dental traits, their small size, and other aspects of their skeletal morphology. DNA analysis might help resolve the taxonomic ambiguity, but to date none has been reported.

Trinkaus (2007) identified distinct Neanderthal dental characteristics that appear in European Late Pleistocene *H. sapiens* dated earlier than 33 ka, and considered these to be due to the assimilation of Neanderthals into these populations. The characteristics listed involve: i) morphological traits in I<sup>1</sup>, C<sup>1</sup> and P<sub>4</sub> (multiple lingual cusps, mesially placed metaconid, and asymmetry for P<sub>4</sub>) that are present in the earlier material and generally absent in later,

post 33 ka, material; ii) relatively large anterior teeth; and iii) megadont distal molars. The only *H. sapiens* specimen with secure Neanderthal ancestry is the Oase 1 mandible and, by association, the Oase 2 cranium (Fu et al., 2015). Between 6 and 9% of its genome was derived from Neanderthals and it was concluded that there had been a Neanderthal ancestor 4–6 generations back (Fu et al., 2015). The Oase teeth (molars) do not exhibit any Neanderthal features. The lower molar mesial (anterior) foveae are small and there are no mid-trigonid crests; the M¹ is of *H. sapiens* form; and the teeth are non-taurodont. The complex crown morphology of the M³ was considered unique (Trinkaus et al., 2012). However, the teeth are very large, particularly the second and third molars. A discriminant function analysis of the lower molar crown dimensions plotted them with Neanderthals (Trinkaus et al., 2003).

There is no evidence of transitional or Upper Paleolithic characteristics in the stone artifacts retained from the excavations at La Cotte. However, the degree of association between the teeth and the Mousterian artifacts in the occupation level is uncertain because the teeth were found on a ledge above the occupation level (though clearly accessible). The stone artifacts recovered at Palomas are similarly described as Mousterian (Walker et al., 2017).

The supraradicular taurodontism found in the La Cotte molars is the type generally found in Neanderthals. The more extreme radicular or total taurodontism, where the pulp chamber extends to the tip of a single root, is only found at a few sites, e.g., Krapina (Smith, 1976); Fondo Cattíe (Tarli, 1983); Palomas (Walker et al., 2008). Pyramidal roots, defined by Kupczik and Hublin (2010) as fused roots that can have apical root canal branches in addition to radicular or total taurodontism, are found at Regourdou, Kebara and La Quina (Kupczik and Hublin, 2010) and Aubesier (Lebel et al., 2001). The description of SJMJ2461 (M<sub>2</sub>), where both Shifman and Chananel's (1978) and Keene's (1966) methods are used to measure the degree of taurodontism, demonstrates that different methods can give very different

results. The degree of taurodontism in the La Cotte premolars is particularly notable. The extent of taurodontism in molars can be identified by the type, the height of the pulp chamber, and its shape—hourglass or barrel. In the comparative samples of Neanderthal P<sub>3</sub> and P<sub>4</sub> there is considerably greater variation of form than is found in molars. There is variation in the degrees of buccolingual and mesiodistal widening, and in the overall shape and the degree of tapering, in addition to the extent to which the pulp chamber expands towards the root apex. Consideration is needed as to how this should be measured. A point of interest is that P<sub>3</sub> and P<sub>4</sub> show a similar degree and shape of the taurodontism when both teeth are present in a specimen in the comparative samples in six of nine cases, and the P<sub>4</sub> has a slightly greater level of taurodontism in the remainder. This contrasts with the situation in molars, where the degree of taurodontism frequently increases from first to third (Dumančić et al., 2001).

## 5. Conclusions

The crown and root dimensions for the La Cotte teeth fit well with the Neanderthal comparative samples, and the morphology of the crowns and roots are, in most aspects, typical of Neanderthals. However, there are also *H. sapiens* characteristics, specifically the lack of asymmetry and absence of transverse crests in the lower premolars; the mixture of characteristics in the M¹; and the absence of mid-trigonid crests, and the marginal position of the metaconid dentine horn tip, in the lower molars. Furthermore, the cervix shape of molars and premolars gives a mixed picture, Neanderthal for molars and *H. sapiens* for premolars. The taxonomic attribution of the teeth is therefore ambiguous. Neanderthal characteristics are present in all eleven teeth, but seven of the teeth also have *H. sapiens* characteristics. *Homo sapiens* characteristics occur in teeth from both the minimum of two adult individuals identified, suggesting a group or kin with these characteristics. Also of note is the unusual

form of the protostylids found at the EDJ on lower molars, which has not previously been observed in Neanderthal or *H. sapiens* teeth.

The occipital fragment, which was found in a stratigraphically higher archaeological horizon, is likely to be from an immature individual who died in late childhood or adolescence. Its taxonomic status cannot be determined with certainty, but there are no anatomical features preserved in the bone that clearly indicate a Neanderthal affinity.

Of the various scenarios that can be considered to explain the mix of features in the La Cotte teeth, we favor shared Neanderthal and *H. sapiens* ancestry. The dating of the fossils during a period of temporal overlap between these groups is consistent with this interpretation.

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## Figure legends

**Figure 1.** Location of La Cotte de St Brelade (from Shaw et al., 2016). A) Channel Islands within north-western Europe. B) Jersey in relation to other Channel Islands and the French coast, showing the ~7 m drop in sea level necessary to reconnect to the continent. C) simplified geological map of Jersey showing main sites. Based upon an image supplied by John Renouf, with permission.

**Figure 2.** Site plan and cross-section of La Cotte de St. Brelade. A: Site plan; B: cross-section

**Figure 3.** E.T. Nicolle shown standing on the level of the hearth, with what might be the ledge on which the teeth were found on the left-hand side. Photograph by R. Mollet. Reproduced with the kind permission of the Société Jersiaise.

**Figure 4.** Cast of SJMJ2462, right I<sub>1</sub>. a–f) Photographs in occlusal (a), mesial (b), labial (c), apical (d), distal (e), lingual (f). Note that a black and white photo of the original tooth taken after casting is provided in mesial view.

**Figure 5.** SJMJ2463, left C<sub>1</sub>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in lingual view. h) CT cross-section (plane of section shown in d); i) CT cross-section (plane of section shown in f). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 6.** SJMJ2457, left P<sup>4</sup>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal view. h) CT cross-section (plane of section shown in 'd'); i) CT cross-section (plane of section shown in 'f'). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 7.** SJMJ2464, left P<sub>3</sub>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal view. h) CT cross-section (plane of section shown in 'd'); i) CT cross-section (plane of section shown in 'f'). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 8.** SJMJ2465, left P<sub>4</sub>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal view. h) CT cross-section (plane of section shown in 'd'); i) CT cross-section (plane of section shown in 'f'). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 9.** SJMJ2456, right M<sup>1</sup>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal view. h) CT cross-section (plane of section shown in 'd'); i) CT cross-section (plane of section shown in 'f'). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 10.** SJMJ2458, left M<sup>2</sup>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal view. h) CT cross-section (plane of section shown in 'd'); i) CT cross-section (plane of section shown in 'f'). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 11.** SJMJ2459, right M<sup>3</sup>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal view. h) CT cross-section (plane of section shown in 'd'); i) CT cross-section (plane of section shown in 'f'). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 12.** Cast of SJMJ2467, left M<sup>3</sup>. a–f) Photographs in occlusal (a), mesial (b), buccal (c), apical (d), distal (e), lingual (f). Note that a black and white photo of the original tooth taken after casting is provided in distal view.

**Figure 13.** SJMJ2461, right M<sub>2</sub>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal view. h) CT cross-section (plane of section shown in 'd'); i) CT cross-section (plane of section shown in 'f'). Letters (a and b) on occlusal image denote mesiodistally concave/convex facets. Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 14.** SJMJ2455, left M<sub>2</sub>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal view. h) CT cross-section (plane of section shown in 'd'); i) occlusion of SJMJ2455 and SJMJ2458 (not to scale). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 15.** SJMJ2460, right M<sub>3</sub>. a–c) Photographs in occlusal (a), distal (b), and mesial (c) views. d–f) Surface models in occlusal (d), distal (e), and mesial (f) views. g) EDJ in occlusal view showing numerous accessory dentine horns (\*). h) CT cross-section (plane of section shown in 'd'); i) articulation of SJMJ2460 and SJMJ2461 (not to scale). Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 16.** La Cotte SJMJ2452 occipital fragment (right) compared to a modern child aged about 6 years: A) ectocranial surface; B endocranial surface, dotted lines indicating the pathway of the transverse sulcus, which passes directly across the asterion in both bones.

**Figure 17.** Comparison of  $M^1$  occlusal polygon shapes. Neanderthal: Krapina D161; fossil H. *sapiens*: La Madeleine. Abbreviations: B = buccal; D = distal; L = lingual; M = mesial.

**Figure 18.** Results of the principal components analysis of cervix shape landmarks in  $P^4$  in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and form (d) space. The percentage of variance depicted by each principal component (PC) is indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

**Figure 19.** Results of the principal components analysis of cervix shape landmarks in  $P_3$  in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and form (d) space. The percentage of variance depicted by each principal component (PC) is indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

**Figure 20.** Results of the principal components analysis of cervix shape landmarks in  $P_4$  in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and form (d) space. The percentage of variance depicted by each principal component (PC) is indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

**Figure 21.** Results of the principal components analysis of cervix shape landmarks in  $M^1$  in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and form (d) space. The percentage of variance depicted by each principal component (PC) is indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

**Figure 22.** Results of the principal components analysis of cervix shape landmarks in  $M^2$  in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and form (d) space. The percentage of variance depicted by each principal component (PC) is indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

**Figure 23.** Results of the principal components analysis of cervix shape landmarks in M<sup>3</sup> in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and

form (d) space. The percentage of variance depicted by each principal component (PC) is indicated. Abbreviations:  $Hn = Homo\ neanderthalensis$ ;  $Hs = Homo\ sapiens$ .

**Figure 24.** Results of the principal components analysis of cervix shape landmarks in  $M_2$  in shape (a) and form (b) space, and wireframe models of mean cervix shape in shape (c) and form (d) space. The percentage of variance depicted by each principal component (PC) is indicated. Abbreviations: Hn = *Homo neanderthalensis*; Hs = *Homo sapiens*.

**Figure 25.** Crown area adjusted Z scores; Distances of La Cotte values from comparative sample means. Abbreviations: Hs = H. sapiens; U = upper; L = lower; C = canine; P = premolar; M = molar; UM3a = SJMJ2467; UM3b = SJMJ2459.

**Figure 26.** Crown index adjusted Z scores; Distances of La Cotte values from comparative sample means. Abbreviations: Hs = H. sapiens; U = upper; L = lower; C = canine; P = premolar; M = molar; UM3a = SJMJ2467; UM3b = SJMJ2459.